

# City of Corona

*400 S. Vicentia Ave.  
Corona, CA 92882*

## City Council Meeting Final Agenda

**Wednesday, October 18, 2023**

**Closed Session Council Board Room 5:00PM  
Open Session Council Chambers 6:30PM**



**CITY COUNCIL/SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF  
CORONA/CORONA PUBLIC FINANCING AUTHORITY/CORONA UTILITY  
AUTHORITY/CORONA HOUSING AUTHORITY MEETING**

**Tony Daddario, Mayor  
Tom Richins, Vice Mayor  
Jacque Casillas, Council Member  
Wes Speake, Council Member  
Jim Steiner, Council Member**

The City Council meeting of October 18, 2023, will be conducted in person. Members may attend in person or remotely. To participate remotely, please use the following link:

<https://coronaca-gov.zoom.us/j/88090027917>

## CONVENE CLOSED SESSION

### CITY COUNCIL

- 1. CLOSED SESSION - CONFERENCE WITH LABOR NEGOTIATORS PURSUANT TO GOVERNMENT CODE SECTION 54957.6**  
AGENCY DESIGNATED REPRESENTATIVE: JACOB ELLIS, CITY MANAGER  
EMPLOYEE ORGANIZATIONS: CORONA PUBLIC SERVICE EMPLOYEES ASSOCIATION AND CORONA SUPERVISORS ASSOCIATION
- 2. CLOSED SESSION - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION PURSUANT TO GOVERNMENT CODE SECTION 54956.9(d)(1)**  
NAME OF CASE: STEVEN SCHMIDT V. CITY OF CORONA  
WCAB CASE NUMBERS: UNASSIGNED
- 3. CLOSED SESSION - CONFERENCE WITH LEGAL COUNSEL - EXISTING LITIGATION PURSUANT TO GOVERNMENT CODE SECTION 54956.9(d)(1)**  
NAME OF CASE: MICHAEL HOLLEMAN V. CITY OF CORONA  
WCAB CASE NUMBERS: ADJ12347453, ADJ12347454
- 4. CLOSED SESSION - PUBLIC EMPLOYEE PERFORMANCE EVALUATION PURSUANT TO GOVERNMENT CODE SECTION 54957**  
TITLE: CITY MANAGER

## INVOCATION

*The invocation may be offered by a person of any religion, faith, belief or non-belief, as well as Council Members. A list of volunteers is maintained by the City Clerk and interested persons should contact the Clerk for further information.*

## PLEDGE OF ALLEGIANCE

## CONVENE OPEN SESSION

*Individuals wishing to address the City Council are requested to complete a speaker card available at the rear of the Council Chambers. Please deliver the card to the City Clerk prior to the item being heard by the City Council or, for items not listed on the agenda, before the "Communications" section of the agenda is called. Please observe a three-minute limit for communications and please note that the Communications section of the agenda is limited to items within the subject matter jurisdiction of the City Council that are not listed on the agenda. Once called upon to speak, you are requested to state your name and city of residence for the record.*

## PROCLAMATIONS/RECOGNITIONS/PRESENTATIONS

- 5. PROCLAMATION: RED RIBBON WEEK**
- 6. PROCLAMATION: NATIONAL FRIENDS OF THE LIBRARY WEEK**

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**7. [RECOGNITION: FRIENDS OF THE LIBRARY](#)****MEETING MINUTES****8. [MINUTES - APPROVAL OF MINUTES FOR THE CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, CORONA HOUSING AUTHORITY CITY COUNCIL MEETING OF OCTOBER 4, 2023](#)****CONSENT CALENDAR**

*All items listed on the Consent Calendar are considered to be routine matters, status reports or documents covering previous City Council action. The items listed on the Consent Calendar may be enacted in one motion. With the concurrence of the City Council, a Council Member or any person in attendance may request that an item be removed for further consideration.*

**9. [FINANCIAL REPORT - CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, AND CORONA HOUSING AUTHORITY TO RECEIVE AND FILE THE MONTHLY INVESTMENT PORTFOLIO REPORT FOR THE MONTH OF AUGUST 2023](#)****10. [FINANCIAL REPORT - CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, AND CORONA HOUSING AUTHORITY TO RECEIVE AND FILE THE MONTHLY FISCAL REPORT FOR THE MONTH OF AUGUST 2023](#)****11. [LEGISLATIVE MATTER - SECOND READING - CITY COUNCIL ADOPTION OF ORDINANCE NO. 3379, SECOND READING OF AN ORDINANCE OF THE CITY OF CORONA, CALIFORNIA, APPROVING AMENDMENTS TO CORONA MUNICIPAL CODE CHAPTER 1.04.160 AND 1.04.170 TO ADOPT A NEW CITY CORPORATE SEAL](#)****12. [AGREEMENT - WATER CONNECTION FEE AGREEMENT WITH CELIA GODSEY, OWNER OF 3702 HOWE STREET](#)****That the City Council:**

- a. Authorize the City Manager, or his designee, to execute the Water Connection Fee Agreement in the amount of \$10,680.68.
- b. Authorize an estimated revenue increase in the amount of \$1,115.53 in the Water Capacity Fund (507) and \$70.24 in the Water Utility Fund (570) for the remainder of Fiscal Year 2024.

**That the Corona Utility Authority** review, ratify, and to the extent necessary, direct the City Council to take the above actions.

**13. BID & PURCHASE - [PURCHASE OF ONE \(1\) KOVATCH MOBILE EQUIPMENT TYPE 3 WILDLAND FIRE APPARATUS FROM FIRE APPARATUS SOLUTIONS UTILIZING THE SOURCEWELL COOPERATIVE PURCHASING PROGRAM, CONTRACT NUMBER 113021-RVG-1](#)**

**That the City Council:**

- a. Approve the use of the Sourcewell Master Price Agreement Number #113021-RVG-3 for the purchase of one (1) Kovatch Mobile Equipment (KME) type 3 wildland fire apparatus.
- b. Make a determination under Corona Municipal Code [Section 3.08.140\(C\)](#) that competitive bidding is not required for this purchase based upon the reasons provided in this staff report.
- c. Authorize the City Manager, or his designee, to issue a purchase order to Fire Apparatus Solutions in the amount of \$715,031.
- d. Authorize the City Manager, or his designee, to negotiate and execute non-substantive extensions, change orders and amendments up to the amount authorized by Corona Municipal Code [Section 3.08.080\(I\)](#), which is equivalent to 10% or \$71,503.
- e. Authorize a one-time appropriation in the amount of \$786,534 from the Public Safety Reserve within Measure X Fund 120 to Vehicle Procurement Operating & Maintenance Project No. 68342.

**14. BID & PURCHASE - [PURCHASE ORDER WITH TORAY MEMBRANE USA FOR REVERSE OSMOSIS MEMBRANES](#)**

**That the City Council:**

- a. Authorize the City Manager, or his designee, to issue a purchase order with Toray Membrane USA in the amount of \$210,000 per year, for a term of four years, including any non-substantive extensions or change orders up to the amount authorized by Corona Municipal Code [Section 3.08.080\(I\)](#) which is equivalent to 10% or \$21,000.
- b. Make a determination under Corona Municipal Code [Section 3.08.140\(B\)](#) that competitive bidding is not required for this purchase based upon the reasons provided in this agenda report.

**That the Corona Utility Authority** review, ratify, and to the extent necessary, direct the City Council to take the above actions.

**15. RESOLUTION - [RESOLUTION APPROVING THE UPDATED CITY OF CORONA TRANSIT SERVICE DISADVANTAGED BUSINESS ENTERPRISE PROGRAM AND GOAL FOR FEDERAL FISCAL YEARS 2024-2026](#)**

**That the City Council:**

- a. Adopt Resolution No. 2023-099 to approve the Disadvantaged Business Enterprise Program and establish an overall goal of zero percent for Federal Fiscal Year 2024-2026.
- b. Authorize the City Manager, or his designee, to sign the program objective and policy statement.

**16. RESOLUTION - [RESOLUTION ACCEPTING THE GRANT AGREEMENT FROM THE STATE OF CALIFORNIA DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL ABC-OTS GRANT](#)**

**That the City Council:**

- a. Adopt Resolution No. 2023-101, accepting and appropriating \$30,500 from the State of California Department of Alcoholic Beverage Control ABC-OTS Grant Program.
- b. Appropriate \$30,500 of one-time money from the unappropriated General Fund Balance to the Police Department's operating budget and increase the General Fund estimated revenue by the same amount to be reimbursed from the State of California Department of Alcohol Beverage Control ABC-OTS Grant Program.
- c. Authorize the City Manager, or his designee, to execute the grant documents and any other related documents, amendments, and agreements consistent with or in furtherance of the City Council's actions hereunder.

## COMMUNICATIONS FROM THE PUBLIC

*Persons wishing to address the City Council are requested to state their name and city of residence for the record. This portion of the agenda is intended for general public comment only, which means it is limited to items within the subject matter jurisdiction of the City Council that are not listed on the agenda. Please note that state law prohibits the City Council from discussing or taking action on items not listed on the agenda. The City Council will appreciate your cooperation in keeping your comments brief. Please observe a three-minute limit for communications.*

## PUBLIC HEARINGS

*This portion of the agenda is for advertised public hearing items where formal public testimony on each individual item is accepted prior to City Council action.*

## ADMINISTRATIVE REPORTS

*This portion of the agenda is for Council discussion and action on staff reports and new topics that may not be routine status reports, or documents covering previous City Council action.*

**17. ADMINISTRATIVE REPORT - [RESULTS OF THE 2023 COMMUNITY SURVEY](#)**

That the City Council receive and file the 2023 Community Survey Report.

**LEGISLATIVE MATTERS**

*This portion of the agenda is for proposed ordinances presented for the City Council's consideration.*

**18. LEGISLATIVE MATTER - [ORDINANCE AMENDING CHAPTER 5.55 OF THE CORONA MUNICIPAL CODE TO PROHIBIT SHORT TERM RESIDENTIAL RENTALS, AN ORDINANCE ESTABLISHING AN AMORTIZATION PERIOD AND OPERATIONAL REQUIREMENTS FOR LEGAL NONCONFORMING SHORT TERM RESIDENTIAL RENTAL UNITS, AND RESOLUTION AMENDING THE ADMINISTRATIVE PENALTIES SCHEDULE FOR VIOLATIONS OF THE CORONA MUNICIPAL CODE](#)****That the City Council:**

- a. Adopt Urgency Ordinance No. 3380 approving an amendment to [Chapter 5.55](#) of the Corona Municipal Code to prohibit short term residential rentals.
- b. Introduce, by title only, and waive full reading of consideration of Ordinance No. 3381, first reading of an ordinance approving an amendment to [Chapter 5.55](#) of the Corona Municipal Code to prohibit short term residential rentals.
- c. Adopt Urgency Ordinance No. 3382 establishing an amortization period and operating regulations for legally existing short-term residential rentals.
- d. Introduce, by title only, and waive full reading of consideration of Ordinance No. 3383, first reading of an ordinance establishing an amortization period and operating regulations for legally existing short-term residential rentals.
- e. Resolution No. 2023-100 amending the Administrative Penalties Schedule for violations of the Corona Municipal Code.

**BOARDS AND COMMISSIONS – REPORTS FROM CITY COUNCIL, COMMISSIONERS, AND STAFF FOR THE:**

*This portion of the agenda lists items from Commissions and Boards.*

**A) Library Board of Trustees****19. LIBRARY BOARD OF TRUSTEES REPORT - [LIBRARY BOARD OF TRUSTEES REPORT UPDATE FOR SEPTEMBER 26, 2023:](#)**

- A) [ADULT CLASSES AND TRAININGS](#)
- B) [ENGLISH AS A SECOND LANGUAGE \(ESL\) CLASSES](#)
- C) [FAMILY SOLAR ECLIPSE PARTY, OCTOBER 4TH](#)
- D) [ARABIC BILINGUAL STORYTIME 1ST & 3RD FRIDAY OF EACH MONTH AT 3:30PM](#)
- E) [HALLOWEEN STORYTIME, OCTOBER 26TH AT 10:15AM](#)

## B) Planning &amp; Housing Commission

## C) Parks &amp; Recreation Commission

- 20. PARKS & RECREATION COMMISSION REPORT - [PARKS AND RECREATION COMMISSION REPORT UPDATE FOR SEPTEMBER 12, 2023:](#)**  
[A.\) HISTORIC CIVIC CENTER 100TH ANNIVERSARY CELEBRATION](#)  
[B.\) FALL HAPPENINGS AT THE CCC](#)  
[C.\) CITY-HOSTED SPORTS](#)  
[D.\) BORDER PICKLEBALL UPDATE](#)  
[E.\) TRAILS MASTER PLAN - NEXT STEPS](#)

## D) Regional Meetings

- 21. REGIONAL MEETING REPORT - [UPDATE FROM COUNCIL MEMBER WES SPEAKE ON THE LEAGUE OF CALIFORNIA CITIES \(LOCC\) CONFERENCE OF SEPTEMBER 20-23, 2023](#)**
- 22. REGIONAL MEETING REPORT - [UPDATE FROM COUNCIL MEMBER WES SPEAKE ON THE BOARD OF DIRECTORS OF THE WESTERN RIVERSIDE COUNTY REGIONAL WASTEWATER AUTHORITY \(WRCRWA\) MEETING OF SEPTEMBER 28, 2023](#)**
- 23. REGIONAL MEETING REPORT - [UPDATE FROM COUNCIL MEMBER WES SPEAKE ON THE SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS \(SCAG\) TRANSPORTATION COMMITTEE MEETING OF OCTOBER 5, 2023](#)**
- 24. REGIONAL MEETING REPORT - [UPDATE FROM COUNCIL MEMBER WES SPEAKE ON THE RIVERSIDE COUNTY TRANSPORTATION COMMISSION \(RCTC\) MEETING OF OCTOBER 11, 2023](#)**

**CITY ATTORNEY'S REPORTS AND COMMENTS****CITY MANAGER'S REPORTS AND COMMENTS****CITY COUNCIL MEMBER REPORTS AND COMMENTS****FUTURE AGENDA ITEMS**

*This portion of the agenda is for items requested by the Mayor, Council Members or Staff for consideration at a future meeting. No immediate action is taken on Future Agenda items; this section serves to highlight topics that will be considered at upcoming meetings. Council action on items that have appeared in this section takes place under Administrative Reports, when accompanied by a staff report.*

1. Draft Parks & Recreation Masterplan Review (Staff) Nov/Dec
2. Draft Trails Masterplan Review (Staff) Jan/Feb
3. Historic Resources Ordinance Revisions (W. Speake) Jan/Feb
4. Wylie Labs (J. Casillas) TBD
5. Banning Semi Trucks on Hidden Valley Parkway (J. Casillas) TBD
6. Inclusive/Inclusionary Housing Ordinance for Multi family Housing (W. Speake) TBD
7. Public/Private Partnership for Flock Camera Placement (W. Speake) TBD
8. Large Native Tree Ordinance/Placing Trees on Historic Tree List (W. Speake) TBD
9. Community Lighting Support Project (T. Daddario) TBD

## ADJOURNMENT

*The next regular meeting of the City Council/Successor Agency to the Redevelopment Agency of the City of Corona/Corona Public Financing Authority/Corona Utility Authority/Corona Housing Authority is scheduled for Wednesday, November 1, 2023 at 4:30 P.M. or thereafter as noted on the posted agenda for closed session items in the City Council Board Room followed by the regular meeting at 6:30 p.m. or thereafter as noted on the posted agenda in the City Council Chambers.*

*Corona City Hall - Online, All the Time at [www.CoronaCA.gov](http://www.CoronaCA.gov)*

*Agendas for all City Council meetings are posted at least 72 hours prior to the meeting in the entry way display case at City Hall. A complete agenda packet is available for public inspection during business hours at the City Clerk's Office. Any materials relating to an item on the agenda which are distributed to all, or a majority of all, members of the City Council after the posting of the agenda will also be available at the same time for public inspection during business hours at the City Clerk's Office.*

*This meeting is being conducted in person as well as via teleconference. For members of the public wishing to submit written comments, please email comments to the City Clerk at [CityClerk@CoronaCA.gov](mailto:CityClerk@CoronaCA.gov) prior to the City Council meeting and your comments will be made part of the official record of proceedings.*

*In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the ADA Coordinator at (951) 736-2235. Notification 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility to this meeting.*

*Meeting is Being Recorded*

# City of Corona

*400 S. Vicentia Ave.  
Corona, CA 92882*

## City Council Minutes - Draft

**Wednesday, October 4, 2023**

**Closed Session Council Board Room 5:30 PM  
Open Session Council Chambers 6:30 PM**



**CITY COUNCIL/SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF  
CORONA/CORONA PUBLIC FINANCING AUTHORITY/CORONA UTILITY  
AUTHORITY/CORONA HOUSING AUTHORITY MEETING**

**Tony Daddario, Mayor  
Tom Richins, Vice Mayor  
Jacque Casillas, Council Member  
Wes Speake, Council Member  
Jim Steiner, Council Member**

The City Council meeting of October 4, 2023, will be conducted in person. Members may attend in person or remotely. To participate remotely, please use the following link:

<https://coronaca-gov.zoom.us/j/88393805385>

## CONVENE CLOSED SESSION

Closed Session convened at 5:30 p.m. for the purposes listed below. Present were Mayor Daddario, Vice Mayor Richins, Council Member Casillas, Council Member Speake, and Council Member Steiner. Closed Session adjourned at 6:24 p.m.

## CITY COUNCIL

1. PUBLIC EMPLOYEE PERFORMANCE EVALUATION  
PURSUANT TO GOVERNMENT CODE SECTION 54957  
TITLE: CITY ATTORNEY/LEGAL & RISK MANAGEMENT DIRECTOR
2. PUBLIC EMPLOYEE PERFORMANCE EVALUATION  
PURSUANT TO GOVERNMENT CODE SECTION 54957  
TITLE: CITY MANAGER

## Rollcall

**Present:** 5 - Tony Daddario, Tom Richins, Jacque Casillas, Wes Speake, and Jim Steiner

## INVOCATION

None.

## PLEDGE OF ALLEGIANCE

The Pledge of Allegiance was led by Mayor Daddario.

## CONVENE OPEN SESSION

Mayor Daddario called the meeting to order at 6:34 p.m.

## PROCLAMATIONS/RECOGNITIONS/PRESENTATIONS

3. PROCLAMATION: FIRE PREVENTION WEEK

Cindi Schmitz, Fire Marshal, accepted the proclamation.

Ms. Schmitz invited the public to attend Fire Station 5 and Fire Station 6 open house on October 7, 2023.

4. PROCLAMATION: MANUFACTURING MONTH

Alex Juttner, Director of Operations, and Norm Wagner, Business Development Manager, accepted the proclamation.

## MEETING MINUTES

**A motion was made by Mayor Daddario, seconded by Council Member Casillas, that the Meeting Minutes be approved. The motion carried by the following vote:**

**Aye:** 5 - Daddario, Richins, Casillas, Speake, and Steiner

5. APPROVAL OF MINUTES FOR THE CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, CORONA HOUSING AUTHORITY STUDY SESSION MEETING OF SEPTEMBER 20, 2023

**These Minutes were approved.**

6. APPROVAL OF MINUTES FOR THE CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, CORONA HOUSING AUTHORITY CITY COUNCIL MEETING OF SEPTEMBER 20, 2023

**These Minutes were approved.**

## CONSENT CALENDAR

**A motion was made by Mayor Daddario, seconded by Council Member Casillas, that the Consent Calendar be approved, with the exception of items 11 and 12, which were voted on separately. The motion carried by the following vote:**

**Aye:** 5 - Daddario, Richins, Casillas, Speake, and Steiner

7. CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, AND CORONA HOUSING AUTHORITY TO RECEIVE AND FILE THE MONTHLY INVESTMENT PORTFOLIO REPORT FOR THE MONTH OF JULY 2023

**This Financial Report was received and filed.**

8. CITY COUNCIL, SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF CORONA, CORONA PUBLIC FINANCING AUTHORITY, CORONA UTILITY AUTHORITY, AND CORONA HOUSING AUTHORITY TO RECEIVE AND FILE THE MONTHLY FISCAL REPORT FOR THE MONTH OF JULY 2023

**This Financial Report was received and filed.**

9. CITY COUNCIL ADOPTION OF ORDINANCE NO. 3375, SECOND READING OF AN ORDINANCE OF THE CITY OF CORONA, CALIFORNIA, APPROVING AN AMENDMENT TO THE NORTHEAST CORONA SPECIFIC PLAN (SP81-2) to: (1) AMEND SECTION 4.5.10(C) TO ALLOW UP TO SIX TENANTS TO BE ADVERTISED ON A PARCEL IDENTIFICATION SIGN; AND(2) AMEND SECTION 4.5.10(I) TO

INCREASE THE MAXIMUM ALLOWABLE SIGN AREA AND SIGN HEIGHT and ALLOW ELECTRONIC MESSAGE CENTER ON FREEWAY PYLON SIGNS AND TO ALLOW PYLON SIGNS UP TO A HEIGHT OF 55 FEET WITHIN PLANNING AREA 7A IN THE SUPPORT COMMERCIAL DISTRICT (SPA2022-0006)

**This Ordinance was adopted.**

- 10.** ACCEPTANCE OF THE STATE OF CALIFORNIA OFFICE OF TRAFFIC SAFETY SELECTIVE TRAFFIC ENFORCEMENT PROGRAM FEDERAL GRANT AWARD

**This Agreement was approved.**

- 11.** THIRD AMENDED AND RESTATED DISPOSITION AND DEVELOPMENT AGREEMENT AMONG THE CITY, CORONA HOUSING AUTHORITY, REST AREA, LLC. AND SUN CIRCLE, LLC. AND AMENDED AND RESTATED LICENSE AGREEMENT WITH REST AREA, LLC.

The Council provided comments and had inquiries. Jessica Gonzales, Economic Development Director, provided clarification.

Joe Morgan, resident, addressed the Council to express his concerns regarding the proposed item.

**A motion was made by Mayor Daddario, seconded by Vice Mayor Richins, that this Agreement be approved. The motion carried by the following vote:**

**Aye:** 5 - Daddario, Richins, Casillas, Speake, and Steiner

- 12.** ACCEPTANCE AND APPROPRIATION OF \$22,991 IN GRANT FUNDING FROM THE CALIFORNIA STATE LIBRARY FOR THE ZIP BOOK PROJECT

Donna Finch, Assistant to the City Manager, provided an update.

The Council provided comments in support of the proposed item.

**A motion was made by Council Member Speake, seconded by Vice Mayor Richins, that this Grant be approved. The motion carried by the following vote:**

**Aye:** 5 - Daddario, Richins, Casillas, Speake, and Steiner

- 13.** GRANT FUNDING FROM THE CALIFORNIA LIBRARY LITERACY SERVICES PROGRAM IN THE AMOUNT OF \$65,412 FOR ADULT AND FAMILY LITERACY SERVICES

**This Grant was approved.**

## COMMUNICATIONS FROM THE PUBLIC

Chris Bainum, resident, addressed the Council and expressed various concerns.

Mateo Meza, resident, addressed the Council to announce the upcoming Red Ribbon Week Festival.

Desiree Crosby, citizen, addressed the Council to express concerns with the Corona Animal Shelter.

Don Fuller, resident, addressed the Council to announce Hispanic Heritage Month.

Joe Morgan, resident, addressed the Council regarding the Riverside Downtown project and Corona Downtown Revitalization project.

## PUBLIC HEARINGS

None.

## ADMINISTRATIVE REPORTS

None.

## LEGISLATIVE MATTERS

### 14. ORDINANCE AMENDING 1.04.160 AND 1.04.170 OF THE CORONA MUNICIPAL CODE TO ADOPT A NEW CITY CORPORATE SEAL

Joe Morgan, resident, commended staff for all their hard work on a new City Corporate Seal.

Maddy Paxton, resident, addressed the Council to express her concerns regarding the proposed item.

The Council provided comments and had inquiries. Jacob Ellis, City Manager, provided clarification.

**A motion was made by Mayor Daddario, seconded by Vice Mayor Richins, that this Ordinance be approved. The motion carried by the following vote:**

**Aye:** 5 - Daddario, Richins, Casillas, Speake, and Steiner

## BOARDS AND COMMISSIONS – REPORTS FROM CITY COUNCIL, COMMISSIONERS, AND STAFF FOR THE:

### A) Library Board of Trustees

None.

### B) Planning & Housing Commission

None.

### C) Parks & Recreation Commission

None.

#### D) Regional Meetings

- 15.** UPDATE FROM COUNCIL MEMBER JACQUE CASILLAS ON THE WESTERN RIVERSIDE COUNCIL OF GOVERNMENTS (WRCOG) MEETING OF OCTOBER 2, 2023  
Council Member Casillas provided an update.
- 16.** UPDATE FROM COUNCIL MEMBER JIM STEINER ON THE RIVERSIDE TRANSIT AGENCY (RTA) BOARD MEETING OF SEPTEMBER 28, 2023  
Council Member Steiner provided an update.
- 17.** UPDATE FROM MAYOR TONY DADDARIO ON THE WESTERN RIVERSIDE COUNTY REGIONAL CONSERVATION AUTHORITY (RCA) MEETING OF OCTOBER 2, 2023  
Mayor Daddario provided an update.

#### CITY ATTORNEY'S REPORTS AND COMMENTS

None.

#### CITY MANAGER'S REPORTS AND COMMENTS

None.

#### CITY COUNCIL MEMBER REPORTS AND COMMENTS

Council Member Speake acknowledged Sylvia Edwards, City Clerk, for her 20 years of service. He also announced the upcoming Spirits and Spirits event at the Corona Public Library on October 20, 2023.

Vice Mayor Richins provided a brief overview on the following: Corona Civic Center 100 Year Anniversary, St. Matthews 50 Year Anniversary, and Bring your Plushy to the Library program.

Mayor Daddario provided a brief overview on the Corona Civic Center 100 Year Anniversary and his meeting with the Army Corps of Engineering. He also announced the Household Hazardous Waste Collection Event on October 14 & 15, 2023 and the Halloween Event on October 14, 2023.

#### FUTURE AGENDA ITEMS

1. Rent Stabilization Update (T. Richins) October 12, 2023
2. Security Camera Master Plan Update (Staff) October 12, 2023
3. Banning Temporary Signs in the Public Right of Way (W. Speake) October 12, 2023
4. Park Fee Reduction (J. Casillas) October 12, 2023
5. Clothing Bin Size Requirements (J. Casillas) October 12, 2023
6. Duncan Gate Options (Staff) October 12, 2023
7. Development of a I 15 Corridor Lobbying Coalition (W. Speake) October 12, 2023
8. LMD Zones 17 and 18 Prop 2018 Ballot Measure (Staff) October 12, 2023
9. Draft Parks & Recreation Masterplan Review (Staff) Nov/Dec
10. Draft Trails Masterplan Review (Staff) Jan/Feb
11. Historic Resources Ordinance Revisions (W. Speake) Jan/Feb
12. Wylie Labs (J. Casillas) TBD
13. Banning Semi Trucks on Hidden Valley Parkway (J. Casillas) TBD
14. Inclusive/Inclusionary Housing Ordinance for Multi family Housing (W. Speake) TBD
15. Public/Private Partnership for Flock Camera Placement (W. Speake) TBD
16. Large Native Tree Ordinance/Placing Trees on Historic Tree List (W. Speake) TBD
17. Community Lighting Support Project (T. Daddario) TBD

## ADJOURNMENT

The next meeting of the Council is on Wednesday, October 18, 2023. Mayor Daddario adjourned the meeting at 7:46 p.m.



## City of Corona Investment Portfolio Report Month Ending August 31, 2023

In accordance with the City Investment Policy, a monthly investment report shall be filed with the City Council which provides a clear picture of the status of the current investments. The report for the month ending August 31, 2023 has been prepared by Chandler Asset Management. We hereby certify that this report accurately reflects all pooled investments and is in compliance with the City's Investment Policy. Combined with anticipated revenues, the portfolio contains sufficient investment liquidity to meet budgeted expenditures for the next six months.

SUBMITTED BY:

DocuSigned by:

*Chad Willardson*

AC0F0B8DE62B4FC...

Chad T. Willardson  
City Treasurer

DocuSigned by:

*Brett Channing*

B077051CB5E54C9...

Brett Channing  
Assistant City Manager

DocuSigned by:

*Kim Sitton*

AE0AA49B0BD44E7...

Kim Sitton  
Finance Director

REVIEWED BY:

DocuSigned by:

*EN*

*Christine Thompson*

C477487B2FB3438...

Christine Thompson  
Accounting Manager

### Investment Summary

	City's Investment	Pension Trust	Total Investment
Beginning balance July 31, 2023	\$ 517,728,619	\$ 32,766,094	\$ 550,494,713
Acquisitions / Additions:			
Agency Notes	22,900,994		22,900,994
US Corporate Notes	3,010,920		3,010,920
LAIF	15,750,000		15,750,000
Money Market Fund	4,061,601	16,852	4,078,453
	<u>\$ 45,723,515</u>	<u>\$ 16,852</u>	<u>\$ 45,740,367</u>
Dispositions / Withdrawals:			
Agency Notes	20,106,714		20,106,714
US Corporate Notes	6,013,855		6,013,855
LAIF	27,000,000		27,000,000
Money Market Fund	2,998,940	-	2,998,940
	<u>\$ 56,119,509</u>	<u>\$ -</u>	<u>\$ 56,119,509</u>
Gain/(Loss) on Dispositions	\$ (168,076)	\$ -	\$ (168,076)
Ending balance, August 31, 2023	<u>\$ 507,164,549</u>	<u>\$ 32,782,946</u>	<u>\$ 539,947,495</u>

City of Corona Consolidated

Account #10003

Portfolio Summary

As of August 31, 2023



PORTFOLIO CHARACTERISTICS

Average Modified Duration	1.62
Average Coupon	3.01%
Average Purchase YTM	3.13%
Average Market YTM	5.02%
Average S&P/Moody Rating	AA+/Aa1
Average Final Maturity	1.85 yrs
Average Life	1.69 yrs

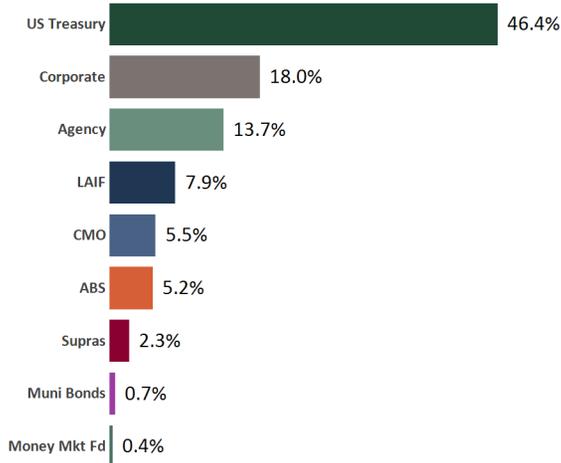
ACCOUNT SUMMARY

	Beg. Values as of 7/31/23	End Values as of 8/31/23
Market Value	500,418,938	490,346,665
Accrued Interest	2,121,640	2,574,090
<b>Total Market Value</b>	<b>502,540,578</b>	<b>492,920,755</b>
Income Earned	1,415,909	1,306,456
Cont/WD		0
Par	520,728,022	510,529,290
Book Value	517,728,619	507,164,549
Cost Value	517,728,619	507,164,549

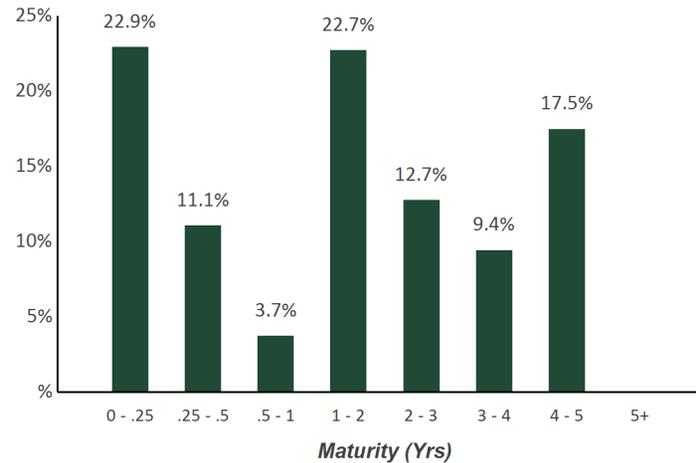
TOP ISSUERS

Government of United States	46.4%
Federal Home Loan Mortgage Corp	9.1%
Local Agency Investment Fund	7.9%
Federal National Mortgage Assoc	6.0%
Federal Home Loan Bank	3.3%
Inter-American Dev Bank	1.2%
Intl Bank Recon and Development	1.2%
Bank of America Corp	1.1%
<b>Total</b>	<b>76.2%</b>

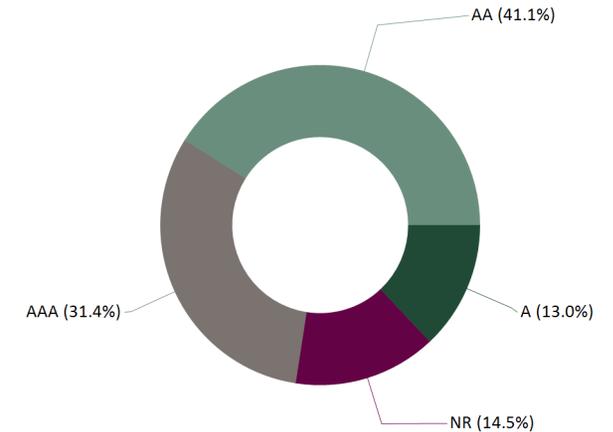
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



# Statement of Compliance

As of August 31, 2023



## City of Corona Consolidated

This portfolio is a consolidation of assets managed by Chandler Asset Management and assets managed internally by Client. Chandler relies on Client to provide accurate information for reporting assets and producing this compliance statement.

Investment Type	Minimum Rating	Max%	Max % Issuer	Max Maturity	Miscellaneous	Comment
U.S. Treasuries		100%		5 years		Complies
Federal Agencies		100%		5 years	20% max agency callable securities	Complies
Supranational Obligations	"AA" rating or higher by a NRSRO	30%	10%	5 years	Washington D.C. based issuers: IADB, IBRD, and IFC	Complies
Municipal Securities (CA, Local Agency)	"A" rating or higher by a NRSRO	25%	5%	5 years		Complies
Municipal Securities (CA, Other States)	"A" rating or higher by a NRSRO	25%	5%	5 years		Complies
Corporate Medium Term Notes	"A" rating category or higher by a NRSRO	30%	5%	5 years	U.S. domiciled corporations or U.S. licensed depository	Complies
Asset-Backed, Mortgage-Backed, Mortgage Pass-Through Securities, and Collateralized Mortgage Obligations (Non-Agency)	"AA" rating category or better by a NRSRO	20%	5%	5 years	Non-Government issued	Complies
Agency Mortgage Securities		100%		5 years		Complies
Negotiable Certificates of Deposit (NCD)	"A-1/P-1" or better short term debt rating; and "A2/A" or better long term debt rating;	20%	5%	3 years	May not exceed shareholder's equity of issuing bank or net worth of issuing S&L or Federal Association; Issued by a nationally or state chartered bank, a federal association, or a state licensed branch of a foreign owned bank (insured by federal government)	Complies
FDIC Insured Time Deposits (Non-negotiable CD)			5%			Complies
Collateralized Time Deposits (Non-negotiable CD)			5%			Complies
Banker's Acceptances		20%	25%	180 days		Complies
Commercial Paper	"A-1/P-1" rating for issuer's Commercial Paper; "A2/A" or higher rating on long term debt	40% if AUM >\$100 million (sunsetting 1/1/26)	5%	270 days	10% max of the outstanding commercial paper of any single issuer; U.S. domiciled corporations with assets > \$500 million	Complies
Money Market Mutual Funds	"AAA" or Highest rating by two NRSROs	20%	20%		Have an SEC registered investment adviser with > 5 years experience and AUM >\$500 million; 20% max combined Money Market Mutual Funds and Mutual Funds	Complies

Mutual Funds	"AAA" or Highest rating by two NRSROs	10%			Have an SEC registered investment adviser with > 5 years experience and AUM >\$500 million; 20% max combined Money Market Mutual Funds and Mutual Funds	<i>Complies</i>
Local Agency Investment Fund (LAIF)					Limit set by LAIF	<i>Complies</i>
Local Government Investment Pool						<i>Complies</i>
Repurchase Agreements		10%	5%	14 days	102% Collateralized	<i>Complies</i>
Max Per Issuer					5% max per issuer, unless otherwise specified in the policy, other than U.S. Government, its Agencies and instrumentalities, Money Market Mutual Funds, and LAIF	<i>Complies</i>
Maximum Maturity				5 years		<i>Complies</i>

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	06/08/2021 0.34%	163,654.21 163,654.21	99.80 7.54%	163,357.63 24.01	0.03% (296.58)	Aaa / AAA NR	0.80 0.03
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	23,249.98	05/18/2020 0.83%	23,248.15 23,248.15	99.81 7.85%	23,205.60 8.47	0.00% (42.55)	Aaa / AAA NR	0.87 0.03
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	252,167.16	09/22/2020 0.38%	252,130.11 252,130.11	98.99 5.89%	249,624.31 33.69	0.05% (2,505.80)	NR / AAA AAA	1.13 0.18
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	941,913.87	08/10/2021 0.39%	941,901.06 941,901.06	99.11 6.07%	933,576.99 112.24	0.19% (8,324.07)	NR / AAA AAA	1.14 0.15
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	32,991.17	07/14/2020 0.52%	32,986.14 32,986.14	99.61 6.34%	32,862.14 7.48	0.01% (124.00)	Aaa / NR AAA	1.21 0.07
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	268,168.92	09/08/2021 0.34%	268,141.25 268,141.25	99.23 5.88%	266,107.51 14.75	0.05% (2,033.74)	Aaa / NR AAA	1.32 0.14
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	158,393.93	10/06/2020 0.36%	158,364.42 158,364.42	99.29 6.19%	157,272.33 24.64	0.03% (1,092.09)	NR / AAA AAA	1.38 0.12
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	1,054,083.77	01/11/2022 1.16%	1,054,060.47 1,054,060.47	98.68 6.12%	1,040,174.08 543.44	0.21% (13,886.39)	Aaa / AAA NR	1.38 0.26
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	98,761.27	08/04/2020 0.48%	98,740.53 98,740.53	99.71 4.38%	98,473.48 14.18	0.02% (267.05)	Aaa / NR AAA	1.48 0.07
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	2,565,000.00	02/23/2022 1.98%	2,564,596.27 2,564,596.27	98.49 5.98%	2,526,332.63 1,536.15	0.51% (38,263.64)	NR / AAA AAA	1.48 0.37
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	1,952,408.75	02/15/2022 1.91%	1,952,391.96 1,952,391.96	98.58 6.03%	1,924,682.59 1,133.48	0.39% (27,709.37)	Aaa / NR AAA	1.55 0.34
05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	636,691.09	01/11/2022 1.11%	636,595.90 636,595.90	98.67 5.94%	628,218.64 116.73	0.13% (8,377.26)	NR / AAA AAA	1.57 0.27
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	245,925.60	02/17/2021 0.27%	245,921.10 245,921.10	98.13 5.87%	241,319.90 18.44	0.05% (4,601.20)	Aaa / NR AAA	1.64 0.33
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	430,953.36	10/20/2020 0.39%	430,854.12 430,854.12	98.56 6.04%	424,765.31 72.78	0.09% (6,088.81)	NR / AAA AAA	1.71 0.25

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	904,204.77	02/02/2021 0.27%	904,036.96 904,036.96	98.09 6.22%	886,954.35 104.49	0.18% (17,082.61)	Aaa / NR AAA	1.71 0.32
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	650,648.17	04/20/2021 0.38%	650,579.73 650,579.73	97.81 5.87%	636,392.47 109.89	0.13% (14,187.26)	NR / AAA AAA	2.04 0.40
43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	938,780.24	11/16/2021 0.89%	938,582.34 938,582.34	96.23 6.20%	903,420.14 229.48	0.18% (35,162.20)	Aaa / NR AAA	2.39 0.71
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	1,002,388.75	07/13/2021 0.52%	1,002,299.34 1,002,299.34	96.42 5.86%	966,471.16 231.66	0.20% (35,828.18)	Aaa / NR AAA	2.54 0.67
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	880,557.60	11/09/2021 0.71%	880,538.85 880,538.85	96.13 6.10%	846,501.15 277.86	0.17% (34,037.70)	NR / AAA AAA	2.62 0.72
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	669,545.37	11/09/2021 0.75%	669,395.92 669,395.92	96.51 5.94%	646,153.46 220.21	0.13% (23,242.46)	NR / AAA AAA	2.71 0.67
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	1,650,000.00	02/15/2022 1.89%	1,649,751.84 1,649,751.84	96.09 5.91%	1,585,447.07 1,378.67	0.32% (64,304.77)	Aaa / AAA NR	2.71 0.98
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	1,065,000.00	05/10/2022 3.23%	1,064,944.62 1,064,944.62	97.47 5.83%	1,038,066.15 569.78	0.21% (26,878.47)	Aaa / AAA NR	2.99 0.98
362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	713,465.72	10/13/2021 0.68%	713,447.52 713,447.52	95.84 6.19%	683,811.94 202.15	0.14% (29,635.58)	Aaa / AAA NR	3.05 0.75
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	1,010,000.00	03/10/2022 2.34%	1,009,776.59 1,009,776.59	96.82 5.91%	977,842.61 1,041.42	0.20% (31,933.98)	Aaa / NR AAA	3.05 0.89
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	1,350,000.00	03/09/2022 2.23%	1,349,948.03 1,349,948.03	96.29 6.01%	1,299,863.70 1,332.00	0.26% (50,084.33)	NR / AAA AAA	3.13 0.99
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	660,000.00	01/11/2022 1.27%	659,942.65 659,942.65	96.06 5.99%	633,963.00 346.50	0.13% (25,979.65)	NR / AAA AAA	3.21 0.84
02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	2,765,000.00	05/17/2022 3.42%	2,764,388.38 2,764,388.38	96.75 5.46%	2,675,057.32 4,165.93	0.54% (89,331.06)	NR / AAA AAA	3.71 1.60

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	1,150,000.00	08/02/2022 3.75%	1,149,949.40 1,149,949.40	99.17 5.09%	1,140,414.75 1,307.17	0.23% (9,534.65)	NR / AAA AAA	3.89 0.93
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	1,880,000.00	02/22/2023 5.07%	1,879,657.46 1,879,657.46	99.30 5.42%	1,866,866.32 4,186.13	0.38% (12,791.14)	Aaa / NR AAA	4.21 1.93
<b>Total ABS</b>		<b>26,113,978.25</b>	<b>1.96%</b>	<b>26,110,825.32</b>	<b>5.87%</b>	<b>25,497,198.73</b> <b>19,363.82</b>	<b>5.18%</b> <b>(613,626.59)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>2.54</b> <b>0.79</b>
<b>AGENCY</b>									
3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	Various 1.95%	5,740,000.40 5,740,000.40	98.13 5.33%	5,396,957.50 33,821.18	1.10% (343,042.90)	Aaa / AA+ NR	0.79 0.76
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	4,000,000.00	09/13/2019 1.79%	4,206,760.00 4,206,760.00	97.46 5.43%	3,898,560.00 53,666.67	0.80% (308,200.00)	Aaa / AA+ AAA	1.04 0.99
3135G0W66	FNMA Note 1.625% Due 10/15/2024	3,500,000.00	Various 1.21%	3,564,275.00 3,564,275.00	96.10 5.24%	3,363,661.00 21,486.11	0.69% (200,614.00)	Aaa / AA+ AA+	1.13 1.08
3130AQF40	FHLB Note 1% Due 12/20/2024	4,300,000.00	12/21/2021 1.02%	4,297,205.00 4,297,205.00	94.71 5.25%	4,072,422.50 8,480.56	0.83% (224,782.50)	Aaa / AA+ AAA	1.31 1.26
3135G0X24	FNMA Note 1.625% Due 1/7/2025	4,220,000.00	Various 1.30%	4,282,878.20 4,282,878.20	95.45 5.16%	4,027,956.24 10,286.25	0.82% (254,921.96)	Aaa / AA+ AA+	1.36 1.30
3137EAEPO	FHLMC Note 1.5% Due 2/12/2025	6,575,000.00	02/13/2020 1.52%	6,569,937.25 6,569,937.25	94.96 5.16%	6,243,587.13 5,205.21	1.27% (326,350.12)	Aaa / AA+ AA+	1.45 1.40
3135G03U5	FNMA Note 0.625% Due 4/22/2025	5,270,000.00	04/22/2020 0.67%	5,259,143.80 5,259,143.80	93.14 5.03%	4,908,736.23 11,802.60	1.00% (350,407.57)	Aaa / AA+ AA+	1.64 1.59
3135G04Z3	FNMA Note 0.5% Due 6/17/2025	6,200,000.00	Various 0.51%	6,196,731.90 6,196,731.90	92.40 4.98%	5,728,744.20 6,372.22	1.16% (467,987.70)	Aaa / AA+ AA+	1.80 1.74
3137EAEU9	FHLMC Note 0.375% Due 7/21/2025	6,300,000.00	Various 0.45%	6,277,899.60 6,277,899.60	91.87 4.94%	5,787,696.60 2,625.00	1.17% (490,203.00)	Aaa / AA+ AA+	1.89 1.84
3135G05X7	FNMA Note 0.375% Due 8/25/2025	6,285,000.00	Various 0.46%	6,260,439.20 6,260,439.20	91.42 4.97%	5,746,042.40 392.81	1.17% (514,396.80)	Aaa / AA+ AA+	1.99 1.93
3137EAEX3	FHLMC Note 0.375% Due 9/23/2025	6,295,000.00	Various 0.44%	6,276,346.05 6,276,346.05	91.24 4.89%	5,743,803.51 10,360.52	1.17% (532,542.54)	Aaa / AA+ AA+	2.07 2.00
3135G06G3	FNMA Note 0.5% Due 11/7/2025	6,300,000.00	Various 0.57%	6,279,895.00 6,279,895.00	91.11 4.84%	5,739,785.11 9,975.00	1.17% (540,109.89)	Aaa / AA+ AA+	2.19 2.12

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>AGENCY</b>									
880591EZ1	Tennessee Valley Authority Note 3.875% Due 3/15/2028	3,500,000.00	05/04/2023 3.56%	3,548,755.00 3,548,755.00	97.97 4.37%	3,428,876.50 56,887.15	0.71% (119,878.50)	Aaa / AA+ AA+	4.54 4.05
3130AWN63	FHLB Note 4% Due 6/30/2028	3,000,000.00	08/21/2023 4.53%	2,931,360.00 2,931,360.00	98.82 4.27%	2,964,705.00 15,666.67	0.60% 33,345.00	Aaa / AA+ NR	4.84 4.32
<b>Total Agency</b>		<b>71,245,000.00</b>	<b>1.22%</b>	<b>71,691,626.40</b>	<b>5.01%</b>	<b>67,051,533.92</b> <b>247,027.95</b>	<b>13.65%</b> <b>(4,640,092.48)</b>	<b>Aaa / AA+</b> <b>AA+</b>	<b>1.90</b> <b>1.80</b>
<b>CMO</b>									
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	487,843.24	05/16/2019 2.57%	506,861.51 506,861.51	99.58 4.95%	485,808.45 286.77	0.10% (21,053.06)	Aaa / NR AAA	0.15 0.13
3137BSP72	FHLMC K058 A2 2.653% Due 8/25/2026	1,500,000.00	11/12/2021 1.35%	1,586,425.78 1,586,425.78	93.54 5.02%	1,403,173.50 3,316.25	0.29% (183,252.28)	NR / NR AAA	2.99 2.75
3137BSRE5	FHLMC K059 A2 3.12% Due 9/25/2026	3,000,000.00	02/18/2022 1.98%	3,128,437.50 3,128,437.50	94.74 5.01%	2,842,248.00 7,800.00	0.58% (286,189.50)	NR / AAA AAA	3.07 2.76
3137FBBX3	FHLMC K068 A2 3.244% Due 8/25/2027	2,650,000.00	11/02/2022 4.79%	2,482,408.20 2,482,408.20	93.98 4.93%	2,490,597.20 7,163.83	0.51% 8,189.00	Aaa / NR NR	3.99 3.57
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	4,690,000.00	07/20/2023 4.60%	4,471,072.27 4,471,072.27	94.44 4.89%	4,429,432.98 13,460.30	0.90% (41,639.29)	NR / NR AAA	4.32 3.84
3137F4D41	FHLMC K074 A2 3.6% Due 1/25/2028	3,000,000.00	03/16/2023 4.93%	2,911,054.69 2,911,054.69	95.00 4.88%	2,849,871.00 1,800.00	0.58% (61,183.69)	NR / NR AAA	4.41 3.89
3137FETN0	FHLMC K073 A2 3.35% Due 1/25/2028	6,000,000.00	Various 4.55%	5,707,999.69 5,707,999.69	94.05 4.88%	5,642,988.00 3,350.00	1.15% (65,011.69)	NR / NR AAA	4.41 3.90
3137FG6X8	FHLMC K077 A2 3.85% Due 5/25/2028	5,320,000.00	Various 4.60%	5,229,125.01 5,229,125.01	95.86 4.84%	5,099,496.64 3,413.66	1.04% (129,628.37)	NR / NR AAA	4.74 4.14
3137FGR31	FHLMC K078 A2 3.854% Due 6/25/2028	1,997,000.00	06/22/2023 4.42%	1,951,677.46 1,951,677.46	95.87 4.83%	1,914,467.98 1,282.74	0.39% (37,209.48)	NR / NR AAA	4.82 4.17
<b>Total CMO</b>		<b>28,644,843.24</b>	<b>4.11%</b>	<b>27,975,062.11</b>	<b>4.90%</b>	<b>27,158,083.75</b> <b>41,873.55</b>	<b>5.52%</b> <b>(816,978.36)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>4.16</b> <b>3.68</b>
<b>CORPORATE</b>									
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/1/2024	3,600,000.00	12/05/2019 2.26%	3,598,128.00 3,598,128.00	96.15 5.71%	3,461,302.80 27,000.00	0.71% (136,825.20)	A1 / A AA-	1.17 1.12

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>CORPORATE</b>									
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/8/2024	2,593,000.00	Various 1.88%	2,624,484.21 2,624,484.21	96.29 5.42%	2,496,841.19 17,499.15	0.51% (127,643.02)	A2 / A A+	1.19 1.14
74153WCQ0	Priscoa Global Funding Note 1.15% Due 12/6/2024	1,975,000.00	12/01/2021 1.19%	1,972,965.75 1,972,965.75	94.49 5.73%	1,866,173.55 5,362.67	0.38% (106,792.20)	Aa3 / AA- AA-	1.27 1.22
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	2,700,000.00	01/10/2022 1.50%	2,696,382.00 2,696,382.00	94.94 5.34%	2,563,434.00 5,220.00	0.52% (132,948.00)	A1 / A+ A+	1.37 1.32
64952WEK5	New York Life Global Note 1.45% Due 1/14/2025	3,745,000.00	01/11/2022 1.49%	3,740,955.40 3,740,955.40	94.49 5.69%	3,538,744.13 7,089.49	0.72% (202,211.27)	Aaa / AA+ AAA	1.38 1.32
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	4,145,000.00	01/16/2020 2.10%	4,136,171.15 4,136,171.15	95.09 5.78%	3,941,422.47 9,441.39	0.80% (194,748.68)	A2 / A+ A+	1.39 1.33
69371RR73	Paccar Financial Corp Note 2.85% Due 4/7/2025	2,970,000.00	03/31/2022 2.86%	2,969,227.80 2,969,227.80	96.17 5.38%	2,856,349.98 33,858.00	0.59% (112,877.82)	A1 / A+ NR	1.60 1.52
78016EZ59	Royal Bank of Canada Note 3.375% Due 4/14/2025	1,270,000.00	04/07/2022 3.39%	1,269,530.10 1,269,530.10	96.74 5.51%	1,228,575.14 16,311.56	0.25% (40,954.96)	A1 / A AA-	1.62 1.53
06367WB85	Bank of Montreal Note 1.85% Due 5/1/2025	2,761,000.00	07/23/2021 0.85%	2,862,660.02 2,862,660.02	93.94 5.71%	2,593,650.27 17,026.17	0.53% (269,009.75)	A2 / A- AA-	1.67 1.59
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	3,295,000.00	05/24/2021 2.03%	3,299,268.65 3,299,268.65	96.03 6.11%	3,164,089.65 6,787.70	0.64% (135,179.00)	A1 / A- AA-	1.75 1.67
63743HFE7	National Rural Utilities Note 3.45% Due 6/15/2025	940,000.00	04/27/2022 3.46%	939,746.20 939,746.20	96.42 5.58%	906,311.34 6,846.33	0.19% (33,434.86)	A2 / A- A	1.79 1.69
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	1,565,000.00	Various 2.17%	1,565,968.20 1,565,968.20	95.86 6.12%	1,500,155.79 2,864.47	0.30% (65,812.41)	A1 / A- AA-	1.81 1.73
66815L2J7	Northwestern Mutual Gbl Note 4% Due 7/1/2025	2,350,000.00	06/27/2022 4.01%	2,349,201.00 2,349,201.00	97.40 5.51%	2,288,935.25 15,666.67	0.47% (60,265.75)	Aaa / AA+ AAA	1.84 1.73
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	3,000,000.00	Various 1.12%	2,969,700.00 2,969,700.00	89.74 5.75%	2,692,092.00 5,906.26	0.55% (277,608.00)	Aa1 / AA+ NR	2.28 2.19
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 1/14/2026	1,870,000.00	12/06/2021 1.47%	1,820,388.90 1,820,388.90	89.88 5.41%	1,680,795.27 1,953.11	0.34% (139,593.63)	Aaa / AA+ AAA	2.38 2.29
46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	1,000,000.00	05/20/2021 2.28%	1,037,330.00 1,037,330.00	94.06 5.92%	940,584.00 7,464.08	0.19% (96,746.00)	A1 / A- AA-	2.64 1.57

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<b>CORPORATE</b>									
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	1,600,000.00	05/18/2022 4.99%	1,511,376.00 1,511,376.00	94.24 5.87%	1,507,916.80 11,961.07	0.31% (3,459.20)	A1 / A- A+	2.66 1.58
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	4,865,000.00	05/10/2021 1.09%	4,843,983.20 4,843,983.20	90.37 4.85%	4,396,510.23 14,730.14	0.89% (447,472.97)	A1 / AA AA-	2.70 2.59
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	805,000.00	Various 1.08%	807,605.05 807,605.05	90.47 4.96%	728,255.33 2,725.82	0.15% (79,349.72)	A2 / A+ A	2.71 2.60
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	3,325,000.00	06/15/2021 1.13%	3,323,537.00 3,323,537.00	89.95 5.02%	2,990,907.33 7,585.16	0.61% (332,629.67)	A1 / A+ A+	2.80 2.69
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	3,000,000.00	Various 2.27%	3,007,920.00 3,007,920.00	92.03 6.05%	2,760,780.00 7,914.00	0.56% (247,140.00)	A1 / A- AA-	2.80 1.73
57629WDE7	Mass Mutual Global funding Note 1.2% Due 7/16/2026	2,272,000.00	08/10/2021 1.21%	2,271,369.92 2,271,369.92	88.86 5.44%	2,019,003.72 3,408.00	0.41% (252,366.20)	Aa3 / AA+ AA+	2.88 2.75
58989V2D5	Met Tower Global Funding Note 1.25% Due 9/14/2026	1,715,000.00	09/07/2021 1.27%	1,713,422.20 1,713,422.20	88.20 5.53%	1,512,581.98 9,944.62	0.31% (200,840.22)	Aa3 / AA- AA-	3.04 2.89
931142ER0	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	785,000.00	09/08/2021 1.09%	783,516.35 783,516.35	89.62 4.75%	703,513.08 3,754.92	0.14% (80,003.27)	Aa2 / AA AA	3.05 2.92
59217GER6	Metlife Note 1.875% Due 1/11/2027	2,485,000.00	01/03/2022 1.90%	2,482,167.10 2,482,167.10	89.34 5.38%	2,220,161.13 6,471.35	0.45% (262,005.97)	Aa3 / AA- AA-	3.37 3.17
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	1,300,000.00	03/09/2022 2.73%	1,282,866.00 1,282,866.00	89.99 5.64%	1,169,922.00 15,748.06	0.24% (112,944.00)	A2 / A- A	3.51 3.24
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	1,900,000.00	03/09/2022 2.97%	1,884,933.00 1,884,933.00	91.73 5.41%	1,742,832.00 25,270.00	0.36% (142,101.00)	A1 / A NR	3.53 3.24
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	3,000,000.00	Various 4.95%	2,906,255.64 2,906,255.64	94.74 5.72%	2,842,254.00 37,962.67	0.58% (64,001.64)	A1 / A- AA-	3.65 2.44
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	1,345,000.00	05/05/2022 4.04%	1,342,821.10 1,342,821.10	96.03 5.19%	1,291,655.96 16,588.33	0.27% (51,165.14)	A2 / A+ A+	3.69 3.33

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<b>CORPORATE</b>									
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	1,365,000.00	05/17/2022 3.69%	1,365,638.40 1,365,638.40	96.13 4.85%	1,312,186.80 14,870.91	0.27% (53,451.60)	A2 / A+ A	3.71 3.37
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	2,500,000.00	07/15/2022 3.53%	2,441,975.00 2,441,975.00	94.43 4.65%	2,360,807.50 21,458.33	0.48% (81,167.50)	Aa3 / A+ NR	3.72 3.43
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	1,400,000.00	08/26/2022 4.18%	1,395,310.00 1,395,310.00	95.50 5.44%	1,337,029.40 13,259.71	0.27% (58,280.60)	A1 / A NR	3.77 3.40
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	4,500,000.00	Various 4.99%	4,242,810.00 4,242,810.00	93.52 5.33%	4,208,247.00 20,987.50	0.86% (34,563.00)	A3 / A- NR	4.38 3.94
24422EWR6	John Deere Capital Corp Note 4.75% Due 1/20/2028	2,450,000.00	01/23/2023 4.40%	2,487,705.50 2,487,705.50	99.55 4.86%	2,439,068.10 13,253.82	0.50% (48,637.40)	A2 / A A+	4.39 3.90
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	1,500,000.00	02/16/2023 4.76%	1,532,220.00 1,532,220.00	101.93 4.75%	1,528,953.00 3,500.00	0.31% (3,267.00)	A2 / A+ A	4.46 3.87
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	2,090,000.00	03/06/2023 4.90%	2,087,972.70 2,087,972.70	100.31 4.79%	2,096,485.27 48,679.58	0.44% 8,512.57	Aa3 / A+ NR	4.53 3.86
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	1,650,000.00	05/08/2023 4.01%	1,649,083.50 1,649,083.50	97.73 4.54%	1,612,596.15 20,350.00	0.33% (36,487.35)	Aaa / AA+ NR	4.70 4.17
58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	1,975,000.00	05/08/2023 4.05%	1,975,392.25 1,975,392.25	97.60 4.62%	1,927,562.48 23,107.50	0.40% (47,829.77)	A1 / A+ NR	4.72 4.18
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	4,000,000.00	Various 5.11%	3,959,713.70 3,959,713.70	98.96 5.12%	3,958,556.00 34,125.00	0.81% (1,157.70)	A3 / A NR	4.79 4.18
69371RS64	Paccar Financial Corp Note 4.95% Due 8/10/2028	1,670,000.00	08/03/2023 4.99%	1,667,361.40 1,667,361.40	100.44 4.85%	1,677,289.55 4,822.13	0.34% 9,928.15	A1 / A+ NR	4.95 4.33
<b>Total Corporate</b>		<b>93,276,000.00</b>	<b>2.76%</b>	<b>92,819,062.39</b>	<b>5.38%</b>	<b>88,064,531.64</b> <b>568,775.67</b>	<b>17.98%</b> <b>(4,754,530.75)</b>	<b>A1 / A+</b> <b>AA-</b>	<b>2.82</b> <b>2.51</b>

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>LAIF</b>									
90LAIF\$00	Local Agency Investment Fund State Pool	38,526,879.61	Various 3.48%	38,526,879.61 38,526,879.61	1.00 3.48%	38,526,879.61 242,646.47	7.87% 0.00	NR / NR NR	0.00 0.00
<b>Total LAIF</b>		<b>38,526,879.61</b>	<b>3.48%</b>	<b>38,526,879.61</b>	<b>3.48%</b>	<b>242,646.47</b>	<b>0.00</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>MONEY MARKET FUND</b>									
60934N807	Federated Investors Govt Oblig Fund Inst.	313,727.42	08/15/2023 4.96%	313,727.42 313,727.42	1.00 4.96%	313,727.42 0.00	0.06% 0.00	Aaa / AAA AAA	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	1,513,861.61	Various 4.96%	1,513,861.61 1,513,861.61	1.00 4.96%	1,513,861.61 0.00	0.31% 0.00	Aaa / AAA AAA	0.00 0.00
<b>Total Money Market Fund</b>		<b>1,827,589.03</b>	<b>4.96%</b>	<b>1,827,589.03</b>	<b>4.96%</b>	<b>0.00</b>	<b>0.37%</b> <b>0.00</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>0.00</b> <b>0.00</b>
<b>MUNICIPAL BONDS</b>									
13063DRK6	California State Taxable GO 2.4% Due 10/1/2024	3,385,000.00	10/16/2019 1.91%	3,462,753.45 3,462,753.45	96.77 5.52%	3,275,495.25 33,850.00	0.67% (187,258.20)	Aa2 / AA- AA	1.09 1.04
<b>Total Municipal Bonds</b>		<b>3,385,000.00</b>	<b>1.91%</b>	<b>3,462,753.45</b>	<b>5.52%</b>	<b>33,850.00</b>	<b>0.67%</b> <b>(187,258.20)</b>	<b>Aa2 / AA-</b> <b>AA</b>	<b>1.09</b> <b>1.04</b>
<b>SUPRANATIONAL</b>									
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	6,300,000.00	Various 0.59%	6,272,360.85 6,272,360.85	91.22 4.83%	5,747,036.40 10,762.50	1.17% (525,324.45)	Aaa / AAA AAA	2.16 2.09
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 4/20/2026	6,390,000.00	04/13/2021 0.97%	6,360,733.80 6,360,733.80	90.62 4.70%	5,790,918.33 20,345.94	1.18% (569,815.47)	Aaa / AAA AAA	2.64 2.54
<b>Total Supranational</b>		<b>12,690,000.00</b>	<b>0.78%</b>	<b>12,633,094.65</b>	<b>4.76%</b>	<b>31,108.44</b>	<b>2.35%</b> <b>(1,095,139.92)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>2.40</b> <b>2.32</b>

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US TREASURY</b>									
912796CQ0	US Treasury Bill 5.085% Due 9/14/2023	21,500,000.00	06/15/2023 5.22%	21,226,681.25 21,226,681.25	98.73 5.22%	21,226,681.25 233,839.38	4.35% 0.00	P-1 / A-1+ F-1+	0.04 0.04
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	50,750,000.00	Various 5.40%	50,076,248.64 50,076,248.64	98.67 5.40%	50,076,248.64 318,366.03	10.22% 0.00	P-1 / A-1+ F-1+	0.13 0.13
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	30,000,000.00	06/15/2023 5.34%	29,225,923.33 29,225,923.33	97.42 5.34%	29,225,923.33 329,303.33	6.00% 0.00	P-1 / A-1+ F-1+	0.29 0.28
912797GM3	US Treasury Bill 5.262% Due 2/8/2024	20,500,000.00	08/14/2023 5.48%	19,969,634.25 19,969,634.25	97.41 5.48%	19,969,634.25 50,939.08	4.06% 0.00	P-1 / A-1+ F-1+	0.44 0.43
912828B66	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	Various 2.21%	5,121,796.88 5,121,796.88	98.80 5.44%	4,939,845.00 6,351.90	1.00% (181,951.88)	Aaa / AA+ AA+	0.46 0.45
912828X70	US Treasury Note 2% Due 4/30/2024	3,150,000.00	Various 1.79%	3,177,480.46 3,177,480.46	97.79 5.42%	3,080,479.50 21,228.26	0.63% (97,000.96)	Aaa / AA+ AA+	0.67 0.64
912828XX3	US Treasury Note 2% Due 6/30/2024	4,900,000.00	Various 1.81%	4,942,253.91 4,942,253.91	97.25 5.42%	4,765,441.10 16,777.17	0.97% (176,812.81)	Aaa / AA+ AA+	0.83 0.80
912828D56	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	12/12/2019 1.75%	5,140,234.38 5,140,234.38	97.18 5.44%	4,858,790.00 5,485.73	0.99% (281,444.38)	Aaa / AA+ AA+	0.96 0.93
9128283D0	US Treasury Note 2.25% Due 10/31/2024	4,000,000.00	11/07/2019 1.77%	4,090,468.75 4,090,468.75	96.60 5.29%	3,864,064.00 30,326.09	0.79% (226,404.75)	Aaa / AA+ AA+	1.17 1.12
912828ZC7	US Treasury Note 1.125% Due 2/28/2025	7,000,000.00	03/18/2020 0.81%	7,108,007.81 7,108,007.81	94.32 5.10%	6,602,694.00 216.35	1.34% (505,313.81)	Aaa / AA+ AA+	1.50 1.45
91282CED9	US Treasury Note 1.75% Due 3/15/2025	7,000,000.00	04/05/2022 2.65%	6,823,085.94 6,823,085.94	95.11 5.09%	6,657,658.00 56,589.67	1.36% (165,427.94)	Aaa / AA+ AA+	1.54 1.48
91282CAB7	US Treasury Note 0.25% Due 7/31/2025	6,000,000.00	03/12/2021 0.72%	5,879,062.50 5,879,062.50	91.61 4.89%	5,496,330.00 1,304.35	1.12% (382,732.50)	Aaa / AA+ AA+	1.92 1.87
91282CAM3	US Treasury Note 0.25% Due 9/30/2025	3,200,000.00	03/29/2021 0.74%	3,130,375.00 3,130,375.00	91.11 4.79%	2,915,500.80 3,366.12	0.59% (214,874.20)	Aaa / AA+ AA+	2.08 2.03
91282CAT8	US Treasury Note 0.25% Due 10/31/2025	6,000,000.00	02/19/2021 0.53%	5,923,125.00 5,923,125.00	90.79 4.78%	5,447,112.00 5,054.35	1.11% (476,013.00)	Aaa / AA+ AA+	2.17 2.11
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	3,800,000.00	03/26/2021 0.77%	3,731,867.19 3,731,867.19	90.77 4.75%	3,449,092.80 3,620.90	0.70% (282,774.39)	Aaa / AA+ AA+	2.25 2.19
91282CCW9	US Treasury Note 0.75% Due 8/31/2026	1,570,000.00	09/23/2021 0.90%	1,558,899.61 1,558,899.61	89.53 4.52%	1,405,578.61 32.35	0.29% (153,321.00)	Aaa / AA+ AA+	3.00 2.90

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<b>US TREASURY</b>									
91282CCZ2	US Treasury Note 0.875% Due 9/30/2026	3,130,000.00	10/18/2021 1.19%	3,083,172.27 3,083,172.27	89.74 4.48%	2,808,808.79 11,523.70	0.57% (274,363.48)	Aaa / AA+ AA+	3.08 2.97
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	3,120,000.00	11/15/2021 1.25%	3,100,987.50 3,100,987.50	90.15 4.50%	2,812,630.08 11,827.17	0.57% (288,357.42)	Aaa / AA+ AA+	3.17 3.04
91282CET4	US Treasury Note 2.625% Due 5/31/2027	2,400,000.00	06/09/2022 3.07%	2,351,156.25 2,351,156.25	93.87 4.42%	2,252,812.80 16,008.20	0.46% (98,343.45)	Aaa / AA+ AA+	3.75 3.49
91282CEW7	US Treasury Note 3.25% Due 6/30/2027	6,650,000.00	Various 3.12%	6,690,380.86 6,690,380.86	96.00 4.39%	6,384,000.00 36,999.66	1.30% (306,380.86)	Aaa / AA+ AA+	3.83 3.53
91282CFB2	US Treasury Note 2.75% Due 7/31/2027	1,300,000.00	08/22/2022 3.12%	1,277,960.94 1,277,960.94	94.14 4.40%	1,223,777.10 3,108.70	0.25% (54,183.84)	Aaa / AA+ AA+	3.92 3.64
91282CFH9	US Treasury Note 3.125% Due 8/31/2027	3,450,000.00	Various 3.45%	3,399,517.58 3,399,517.58	95.42 4.39%	3,292,055.55 296.19	0.67% (107,462.03)	Aaa / AA+ AA+	4.00 3.70
91282CFM8	US Treasury Note 4.125% Due 9/30/2027	2,800,000.00	Various 4.37%	2,770,000.01 2,770,000.01	99.07 4.37%	2,774,077.60 48,598.36	0.57% 4,077.59	Aaa / AA+ AA+	4.08 3.65
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	4,600,000.00	Various 3.74%	4,628,085.94 4,628,085.94	98.16 4.35%	4,515,548.60 45,293.03	0.93% (112,537.34)	Aaa / AA+ AA+	4.25 3.83
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	7,000,000.00	Various 3.78%	7,028,945.31 7,028,945.31	98.17 4.34%	6,871,760.00 46,436.82	1.40% (157,185.31)	Aaa / AA+ AA+	4.34 3.92
91282CGH8	US Treasury Note 3.5% Due 1/31/2028	7,000,000.00	02/16/2023 4.05%	6,828,007.81 6,828,007.81	96.70 4.33%	6,768,944.00 21,304.35	1.38% (59,063.81)	Aaa / AA+ AA+	4.42 4.02
91282CGP0	US Treasury Note 4% Due 2/29/2028	7,000,000.00	02/23/2023 4.16%	6,949,960.94 6,949,960.94	98.77 4.30%	6,913,865.00 769.23	1.40% (36,095.94)	Aaa / AA+ AA+	4.50 4.07
91282CHE4	US Treasury Note 3.625% Due 5/31/2028	7,000,000.00	06/14/2023 4.00%	6,884,335.94 6,884,335.94	97.26 4.27%	6,808,046.00 64,477.46	1.39% (76,289.94)	Aaa / AA+ AA+	4.75 4.27
<b>Total US Treasury</b>		<b>234,820,000.00</b>	<b>3.95%</b>	<b>232,117,656.25</b> <b>232,117,656.25</b>	<b>5.07%</b>	<b>227,407,398.80</b> <b>1,389,443.93</b>	<b>46.42%</b> <b>(4,710,257.45)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>1.43</b> <b>1.33</b>
<b>TOTAL PORTFOLIO</b>		<b>510,529,290.13</b>	<b>3.13%</b>	<b>507,164,549.21</b> <b>507,164,549.21</b>	<b>5.02%</b>	<b>490,346,665.46</b> <b>2,574,089.83</b>	<b>100.00%</b> <b>(16,817,883.75)</b>	<b>Aa1 / AA+</b> <b>AAA</b>	<b>1.85</b> <b>1.62</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>492,920,755.29</b>			

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/02/2023	60934N807	2,576.60	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,576.60	0.00	2,576.60	0.00
Purchase	08/02/2023	60934N807	15,656.40	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	15,656.40	0.00	15,656.40	0.00
Purchase	08/07/2023	60934N807	1,427,111.07	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,427,111.07	0.00	1,427,111.07	0.00
Purchase	08/10/2023	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	99.842	4.99%	1,667,361.40	0.00	1,667,361.40	0.00
Purchase	08/12/2023	60934N807	49,312.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	49,312.50	0.00	49,312.50	0.00
Purchase	08/15/2023	60934N807	20,000,000.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	20,000,000.00	0.00	20,000,000.00	0.00
Purchase	08/15/2023	60934N807	167,500.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	167,500.00	0.00	167,500.00	0.00
Purchase	08/15/2023	60934N807	1,952.67	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,952.67	0.00	1,952.67	0.00
Purchase	08/15/2023	60934N807	7,849.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,849.00	0.00	7,849.00	0.00
Purchase	08/15/2023	60934N807	2,497.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,497.50	0.00	2,497.50	0.00
Purchase	08/15/2023	60934N807	2,585.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,585.00	0.00	2,585.00	0.00
Purchase	08/15/2023	60934N807	7,811.13	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,811.13	0.00	7,811.13	0.00
Purchase	08/15/2023	60934N807	30,822.61	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	30,822.61	0.00	30,822.61	0.00
Purchase	08/15/2023	60934N807	71,785.35	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	71,785.35	0.00	71,785.35	0.00
Purchase	08/15/2023	60934N807	184,052.70	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	184,052.70	0.00	184,052.70	0.00
Purchase	08/15/2023	60934N807	140,174.51	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	140,174.51	0.00	140,174.51	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/15/2023	60934N807	69,549.97	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	69,549.97	0.00	69,549.97	0.00
Purchase	08/15/2023	60934N807	44,720.66	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	44,720.66	0.00	44,720.66	0.00
Purchase	08/15/2023	60934N807	18,953.21	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	18,953.21	0.00	18,953.21	0.00
Purchase	08/15/2023	60934N807	64,915.68	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	64,915.68	0.00	64,915.68	0.00
Purchase	08/15/2023	60934N807	48,607.74	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	48,607.74	0.00	48,607.74	0.00
Purchase	08/15/2023	60934N807	53,086.15	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	53,086.15	0.00	53,086.15	0.00
Purchase	08/15/2023	60934N807	113,458.90	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	113,458.90	0.00	113,458.90	0.00
Purchase	08/15/2023	912797GM3	20,500,000.00	US Treasury Bill 5.262% Due 2/8/2024	97.413	5.48%	19,969,634.25	0.00	19,969,634.25	0.00
Purchase	08/16/2023	60934N807	693.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	693.00	0.00	693.00	0.00
Purchase	08/16/2023	60934N807	40,599.64	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	40,599.64	0.00	40,599.64	0.00
Purchase	08/18/2023	60934N807	59,333.28	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	59,333.28	0.00	59,333.28	0.00
Purchase	08/21/2023	60934N807	3,565.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	3,565.00	0.00	3,565.00	0.00
Purchase	08/21/2023	60934N807	4,189.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	4,189.50	0.00	4,189.50	0.00
Purchase	08/21/2023	60934N807	198,106.14	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	198,106.14	0.00	198,106.14	0.00
Purchase	08/21/2023	60934N807	155,924.17	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	155,924.17	0.00	155,924.17	0.00
Purchase	08/21/2023	60934N807	31,819.93	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	31,819.93	0.00	31,819.93	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/21/2023	60934N807	61,227.45	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	61,227.45	0.00	61,227.45	0.00
Purchase	08/21/2023	60934N807	98,705.16	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	98,705.16	0.00	98,705.16	0.00
Purchase	08/23/2023	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	97.712	4.53%	2,931,360.00	13,000.00	2,944,360.00	0.00
Purchase	08/23/2023	74340XCG4	1,370,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	98.070	5.33%	1,343,559.00	10,203.65	1,353,762.65	0.00
Purchase	08/25/2023	60934N807	11,784.38	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	11,784.38	0.00	11,784.38	0.00
Purchase	08/25/2023	60934N807	6,413.70	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	6,413.70	0.00	6,413.70	0.00
Purchase	08/25/2023	60934N807	17,068.33	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	17,068.33	0.00	17,068.33	0.00
Purchase	08/25/2023	60934N807	16,750.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	16,750.00	0.00	16,750.00	0.00
Purchase	08/25/2023	60934N807	13,460.30	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	13,460.30	0.00	13,460.30	0.00
Purchase	08/25/2023	60934N807	7,163.83	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,163.83	0.00	7,163.83	0.00
Purchase	08/25/2023	60934N807	9,000.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	9,000.00	0.00	9,000.00	0.00
Purchase	08/25/2023	60934N807	7,800.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,800.00	0.00	7,800.00	0.00
Purchase	08/25/2023	60934N807	2,848.88	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,848.88	0.00	2,848.88	0.00
Purchase	08/25/2023	60934N807	3,316.25	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	3,316.25	0.00	3,316.25	0.00
Purchase	08/25/2023	60934N807	85,387.89	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	85,387.89	0.00	85,387.89	0.00
Purchase	08/25/2023	60934N807	71,880.35	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	71,880.35	0.00	71,880.35	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/25/2023	60934N807	360,049.49	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	360,049.49	0.00	360,049.49	0.00
Purchase	08/31/2023	60934N807	239,168.75	Federated Investors Govt Oblig Fund Inst.	1.000	4.96%	239,168.75	0.00	239,168.75	0.00
<b>Subtotal</b>			<b>50,571,234.77</b>				<b>49,943,149.42</b>	<b>23,203.65</b>	<b>49,966,353.07</b>	<b>0.00</b>
Security Contribution	08/01/2023	90LAIF\$00	600,000.00	Local Agency Investment Fund State Pool	1.000		600,000.00	0.00	600,000.00	0.00
Security Contribution	08/07/2023	90LAIF\$00	900,000.00	Local Agency Investment Fund State Pool	1.000		900,000.00	0.00	900,000.00	0.00
Security Contribution	08/07/2023	90LAIF\$00	1,000,000.00	Local Agency Investment Fund State Pool	1.000		1,000,000.00	0.00	1,000,000.00	0.00
Security Contribution	08/08/2023	90LAIF\$00	550,000.00	Local Agency Investment Fund State Pool	1.000		550,000.00	0.00	550,000.00	0.00
Security Contribution	08/22/2023	90LAIF\$00	1,200,000.00	Local Agency Investment Fund State Pool	1.000		1,200,000.00	0.00	1,200,000.00	0.00
Security Contribution	08/28/2023	90LAIF\$00	500,000.00	Local Agency Investment Fund State Pool	1.000		500,000.00	0.00	500,000.00	0.00
Security Contribution	08/29/2023	90LAIF\$00	6,000,000.00	Local Agency Investment Fund State Pool	1.000		6,000,000.00	0.00	6,000,000.00	0.00
Security Contribution	08/29/2023	90LAIF\$00	5,000,000.00	Local Agency Investment Fund State Pool	1.000		5,000,000.00	0.00	5,000,000.00	0.00
<b>Subtotal</b>			<b>15,750,000.00</b>				<b>15,750,000.00</b>	<b>0.00</b>	<b>15,750,000.00</b>	<b>0.00</b>
Short Sale	08/15/2023	60934N807	-19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000		-19,969,634.25	0.00	-19,969,634.25	0.00
<b>Subtotal</b>			<b>-19,969,634.25</b>				<b>-19,969,634.25</b>	<b>0.00</b>	<b>-19,969,634.25</b>	<b>0.00</b>
<b>TOTAL ACQUISITIONS</b>			<b>46,351,600.52</b>				<b>45,723,515.17</b>	<b>23,203.65</b>	<b>45,746,718.82</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Closing Purchase	08/15/2023	60934N807	-19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000		-19,969,634.25	0.00	-19,969,634.25	0.00
<b>Subtotal</b>			<b>-19,969,634.25</b>				<b>-19,969,634.25</b>	<b>0.00</b>	<b>-19,969,634.25</b>	<b>0.00</b>
Sale	08/07/2023	14913R2V8	1,460,000.00	Caterpillar Financial Service Note 3.4% Due 5/13/2025	96.954	5.22%	1,415,528.40	11,582.67	1,427,111.07	-42,617.40
Sale	08/10/2023	60934N807	1,667,361.40	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,667,361.40	0.00	1,667,361.40	0.00
Sale	08/15/2023	60934N807	19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	19,969,634.25	0.00	19,969,634.25	0.00
Sale	08/23/2023	60934N807	1,331,578.51	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,331,578.51	0.00	1,331,578.51	0.00
Sale	08/23/2023	79466LAG9	3,100,000.00	Salesforce.com Inc Callable Note Cont 7/15/2022 0.625% Due 7/15/2024	95.629	5.71%	2,964,499.00	2,045.14	2,966,544.14	-111,693.60
<b>Subtotal</b>			<b>27,528,574.16</b>				<b>27,348,601.56</b>	<b>13,627.81</b>	<b>27,362,229.37</b>	<b>-154,311.00</b>
Paydown	08/15/2023	02582JIT8	0.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	100.000		0.00	7,811.13	7,811.13	0.00
Paydown	08/15/2023	43813DAC2	30,785.69	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	100.000		30,785.69	36.92	30,822.61	2.42
Paydown	08/15/2023	43815BAC4	0.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	100.000		0.00	2,585.00	2,585.00	0.00
Paydown	08/15/2023	44891RAC4	71,626.20	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	100.000		71,626.20	159.15	71,785.35	16.49
Paydown	08/15/2023	44891VAC5	183,957.10	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	100.000		183,957.10	95.60	184,052.70	27.59
Paydown	08/15/2023	44891WAC3	139,021.18	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	100.000		139,021.18	1,153.33	140,174.51	3.07

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/15/2023	448977AD0	0.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	100.000		0.00	2,497.50	2,497.50	0.00
Paydown	08/15/2023	44933LAC7	69,321.98	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	100.000		69,321.98	227.99	69,549.97	7.29
Paydown	08/15/2023	44935FAD6	44,280.47	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	100.000		44,280.47	440.19	44,720.66	9.88
Paydown	08/15/2023	47787JAC2	0.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	100.000		0.00	1,952.67	1,952.67	0.00
Paydown	08/15/2023	47787NAC3	18,931.14	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	100.000		18,931.14	22.07	18,953.21	2.88
Paydown	08/15/2023	47789QAC4	64,453.38	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	100.000		64,453.38	462.30	64,915.68	5.75
Paydown	08/15/2023	47800CAC0	0.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	100.000		0.00	7,849.00	7,849.00	0.00
Paydown	08/15/2023	89236XAC0	48,547.38	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100.000		48,547.38	60.36	48,607.74	9.05
Paydown	08/15/2023	89238JAC9	52,534.07	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	100.000		52,534.07	552.08	53,086.15	1.12
Paydown	08/15/2023	89240BAC2	113,238.45	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	100.000		113,238.45	220.45	113,458.90	21.02
Paydown	08/16/2023	362554AC1	40,172.58	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	100.000		40,172.58	427.06	40,599.64	1.02
Paydown	08/16/2023	380146AC4	0.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	100.000		0.00	693.00	693.00	0.00
Paydown	08/18/2023	43813KAC6	59,237.26	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		59,237.26	96.02	59,333.28	8.70

## City of Corona Consolidated

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Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/21/2023	36262XAC8	197,735.75	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	100.000		197,735.75	370.39	198,106.14	2.69
Paydown	08/21/2023	36265MAC9	152,591.25	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	100.000		152,591.25	3,332.92	155,924.17	1.31
Paydown	08/21/2023	43813GAC5	31,757.45	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	100.000		31,757.45	62.48	31,819.93	0.58
Paydown	08/21/2023	43815GAC3	60,494.65	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	100.000		60,494.65	732.80	61,227.45	12.75
Paydown	08/21/2023	89238LAC4	0.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	100.000		0.00	4,189.50	4,189.50	0.00
Paydown	08/21/2023	92290BAA9	98,627.85	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	100.000		98,627.85	77.31	98,705.16	20.72
Paydown	08/21/2023	92348KAV5	0.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	100.000		0.00	3,565.00	3,565.00	0.00
Paydown	08/25/2023	05601XAC3	84,726.59	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	100.000		84,726.59	661.30	85,387.89	12.67
Paydown	08/25/2023	05602RAD3	0.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	100.000		0.00	2,848.88	2,848.88	0.00
Paydown	08/25/2023	09690AAC7	71,786.86	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	100.000		71,786.86	93.49	71,880.35	7.41
Paydown	08/25/2023	3137B7MZ9	357,564.70	FHLMC K036 A2Due 10/25/2023	100.000		357,564.70	2,484.79	360,049.49	-13,939.44
Paydown	08/25/2023	3137BSP72	0.00	FHLMC K058 A2 2.653% Due 8/25/2026	100.000		0.00	3,316.25	3,316.25	0.00
Paydown	08/25/2023	3137BSRE5	0.00	FHLMC K059 A2 3.12% Due 9/25/2026	100.000		0.00	7,800.00	7,800.00	0.00
Paydown	08/25/2023	3137F4D41	0.00	FHLMC K074 A2 3.6% Due 1/25/2028	100.000		0.00	9,000.00	9,000.00	0.00
Paydown	08/25/2023	3137FBBX3	0.00	FHLMC K068 A2 3.244% Due 8/25/2027	100.000		0.00	7,163.83	7,163.83	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/25/2023	3137FEBQ2	0.00	FHLMC K072 A2 3.444% Due 12/25/2027	100.000		0.00	13,460.30	13,460.30	0.00
Paydown	08/25/2023	3137FETN0	0.00	FHLMC K073 A2 3.35% Due 1/25/2028	100.000		0.00	16,750.00	16,750.00	0.00
Paydown	08/25/2023	3137FG6X8	0.00	FHLMC K077 A2 3.85% Due 5/25/2028	100.000		0.00	17,068.33	17,068.33	0.00
Paydown	08/25/2023	3137FGR31	0.00	FHLMC K078 A2 3.854% Due 6/25/2028	100.000		0.00	6,413.70	6,413.70	0.00
<b>Subtotal</b>			<b>1,991,391.98</b>				<b>1,991,391.98</b>	<b>126,733.09</b>	<b>2,118,125.07</b>	<b>-13,765.03</b>
Maturity	08/15/2023	912797GG6	20,000,000.00	US Treasury Bill 5.017% Due 8/15/2023	100.000		19,749,150.00	250,850.00	20,000,000.00	0.00
<b>Subtotal</b>			<b>20,000,000.00</b>				<b>19,749,150.00</b>	<b>250,850.00</b>	<b>20,000,000.00</b>	<b>0.00</b>
Security Withdrawal	08/03/2023	90LAIF\$00	1,000,000.00	Local Agency Investment Fund State Pool	1.000		1,000,000.00	0.00	1,000,000.00	0.00
Security Withdrawal	08/03/2023	90LAIF\$00	1,700,000.00	Local Agency Investment Fund State Pool	1.000		1,700,000.00	0.00	1,700,000.00	0.00
Security Withdrawal	08/09/2023	90LAIF\$00	1,600,000.00	Local Agency Investment Fund State Pool	1.000		1,600,000.00	0.00	1,600,000.00	0.00
Security Withdrawal	08/10/2023	90LAIF\$00	2,500,000.00	Local Agency Investment Fund State Pool	1.000		2,500,000.00	0.00	2,500,000.00	0.00
Security Withdrawal	08/10/2023	90LAIF\$00	2,400,000.00	Local Agency Investment Fund State Pool	1.000		2,400,000.00	0.00	2,400,000.00	0.00
Security Withdrawal	08/17/2023	90LAIF\$00	2,500,000.00	Local Agency Investment Fund State Pool	1.000		2,500,000.00	0.00	2,500,000.00	0.00
Security Withdrawal	08/17/2023	90LAIF\$00	4,600,000.00	Local Agency Investment Fund State Pool	1.000		4,600,000.00	0.00	4,600,000.00	0.00
Security Withdrawal	08/23/2023	90LAIF\$00	400,000.00	Local Agency Investment Fund State Pool	1.000		400,000.00	0.00	400,000.00	0.00
Security Withdrawal	08/24/2023	90LAIF\$00	600,000.00	Local Agency Investment Fund State Pool	1.000		600,000.00	0.00	600,000.00	0.00
Security Withdrawal	08/30/2023	90LAIF\$00	2,600,000.00	Local Agency Investment Fund State Pool	1.000		2,600,000.00	0.00	2,600,000.00	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Security Withdrawal	08/30/2023	90LAIF\$00	2,800,000.00	Local Agency Investment Fund State Pool	1.000		2,800,000.00	0.00	2,800,000.00	0.00
Security Withdrawal	08/31/2023	90LAIF\$00	1,900,000.00	Local Agency Investment Fund State Pool	1.000		1,900,000.00	0.00	1,900,000.00	0.00
Security Withdrawal	08/31/2023	90LAIF\$00	2,400,000.00	Local Agency Investment Fund State Pool	1.000		2,400,000.00	0.00	2,400,000.00	0.00
<b>Subtotal</b>			<b>27,000,000.00</b>				<b>27,000,000.00</b>	<b>0.00</b>	<b>27,000,000.00</b>	<b>0.00</b>
<b>TOTAL DISPOSITIONS</b>			<b>56,550,331.89</b>				<b>56,119,509.29</b>	<b>391,210.90</b>	<b>56,510,720.19</b>	<b>-168,076.03</b>
<b>OTHER TRANSACTIONS</b>										
Interest	08/12/2023	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.000		49,312.50	0.00	49,312.50	0.00
Interest	08/15/2023	912828B66	5,000,000.00	US Treasury Note 2.75% Due 2/15/2024	0.000		68,750.00	0.00	68,750.00	0.00
Interest	08/15/2023	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	0.000		59,375.00	0.00	59,375.00	0.00
Interest	08/15/2023	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.000		39,375.00	0.00	39,375.00	0.00
Interest	08/25/2023	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.000		11,784.38	0.00	11,784.38	0.00
Interest	08/31/2023	912828ZC7	7,000,000.00	US Treasury Note 1.125% Due 2/28/2025	0.000		39,375.00	0.00	39,375.00	0.00
Interest	08/31/2023	91282CCW9	1,570,000.00	US Treasury Note 0.75% Due 8/31/2026	0.000		5,887.50	0.00	5,887.50	0.00
Interest	08/31/2023	91282CFH9	3,450,000.00	US Treasury Note 3.125% Due 8/31/2027	0.000		53,906.25	0.00	53,906.25	0.00
Interest	08/31/2023	91282CGP0	7,000,000.00	US Treasury Note 4% Due 2/29/2028	0.000		140,000.00	0.00	140,000.00	0.00
<b>Subtotal</b>			<b>43,380,000.00</b>				<b>467,765.63</b>	<b>0.00</b>	<b>467,765.63</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>OTHER TRANSACTIONS</b>										
Dividend	08/02/2023	60934N807	56,076,063.61	Federated Investors Govt Oblig Fund Inst.	0.000		15,656.40	0.00	15,656.40	0.00
Dividend	08/02/2023	60934N807	9,228,514.26	Federated Investors Govt Oblig Fund Inst.	0.000		2,576.60	0.00	2,576.60	0.00
<b>Subtotal</b>			<b>65,304,577.87</b>				<b>18,233.00</b>	<b>0.00</b>	<b>18,233.00</b>	<b>0.00</b>
<b>TOTAL OTHER TRANSACTIONS</b>			<b>108,684,577.87</b>				<b>485,998.63</b>	<b>0.00</b>	<b>485,998.63</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
<b>FIXED INCOME</b>						
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 05/12/2026	05/10/2021 05/12/2021 4,865,000.00	4,843,983.20 0.00 0.00 4,843,983.20	10,675.97 0.00 14,730.14 4,054.17	0.00 0.00 0.00 4,054.17	4,054.17
02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 05/17/2027	05/17/2022 05/24/2022 2,765,000.00	2,764,388.38 0.00 0.00 2,764,388.38	4,165.93 7,811.13 4,165.93 7,811.13	0.00 0.00 0.00 7,811.13	7,811.13
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 05/10/2028	05/08/2023 05/10/2023 1,650,000.00	1,649,083.50 0.00 0.00 1,649,083.50	14,850.00 0.00 20,350.00 5,500.00	0.00 0.00 0.00 5,500.00	5,500.00
05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 03/25/2025	01/11/2022 01/19/2022 636,691.09	721,309.82 0.00 84,713.92 636,595.90	132.26 661.30 116.73 645.77	0.00 0.00 0.00 645.77	645.77
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 08/25/2026	05/10/2022 05/18/2022 1,065,000.00	1,064,944.62 0.00 0.00 1,064,944.62	569.78 2,848.88 569.78 2,848.88	0.00 0.00 0.00 2,848.88	2,848.88
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 04/23/2027	Various Various 3,000,000.00	2,906,255.64 0.00 0.00 2,906,255.64	29,065.16 0.00 37,962.67 8,897.51	0.00 0.00 0.00 8,897.51	8,897.51
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 06/19/2026	Various Various 3,000,000.00	3,007,920.00 0.00 0.00 3,007,920.00	4,616.50 0.00 7,914.00 3,297.50	0.00 0.00 0.00 3,297.50	3,297.50
06367WB85	Bank of Montreal Note 1.85% Due 05/01/2025	07/23/2021 07/27/2021 2,761,000.00	2,862,660.02 0.00 0.00 2,862,660.02	12,769.63 0.00 17,026.17 4,256.54	0.00 0.00 0.00 4,256.54	4,256.54
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	09/08/2021 09/15/2021 268,168.92	339,920.70 0.00 71,779.45 268,141.25	18.70 93.49 14.75 89.54	0.00 0.00 0.00 89.54	89.54

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
13063DRK6	California State Taxable GO 2.4% Due 10/01/2024	10/16/2019 10/24/2019 3,385,000.00	3,462,753.45 0.00 0.00 3,462,753.45	27,080.00 0.00 33,850.00 6,770.00	0.00 0.00 0.00 6,770.00	6,770.00
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/08/2024	Various Various 2,593,000.00	2,624,484.21 0.00 0.00 2,624,484.21	12,853.36 0.00 17,499.15 4,645.79	0.00 0.00 0.00 4,645.79	4,645.79
14913R2V8	Caterpillar Financial Service Note Due 05/13/2025	05/10/2022 05/13/2022 0.00	1,458,145.80 0.00 1,458,145.80 0.00	10,755.33 11,582.67 0.00 827.34	0.00 0.00 0.00 827.34	827.34
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 05/18/2027	07/15/2022 07/19/2022 2,500,000.00	2,441,975.00 0.00 0.00 2,441,975.00	15,208.33 0.00 21,458.33 6,250.00	0.00 0.00 0.00 6,250.00	6,250.00
24422EWR6	John Deere Capital Corp Note 4.75% Due 01/20/2028	01/23/2023 01/25/2023 2,450,000.00	2,487,705.50 0.00 0.00 2,487,705.50	3,555.90 0.00 13,253.82 9,697.92	0.00 0.00 0.00 9,697.92	9,697.92
3130A1XJ2	FHLB Note 2.875% Due 06/14/2024	Various Various 5,500,000.00	5,740,000.40 0.00 0.00 5,740,000.40	20,644.10 0.00 33,821.18 13,177.08	0.00 0.00 0.00 13,177.08	13,177.08
3130A2UW4	FHLB Note 2.875% Due 09/13/2024	09/13/2019 09/16/2019 4,000,000.00	4,206,760.00 0.00 0.00 4,206,760.00	44,083.33 0.00 53,666.67 9,583.34	0.00 0.00 0.00 9,583.34	9,583.34
3130AQF40	FHLB Note 1% Due 12/20/2024	12/21/2021 12/22/2021 4,300,000.00	4,297,205.00 0.00 0.00 4,297,205.00	4,897.22 0.00 8,480.56 3,583.34	0.00 0.00 0.00 3,583.34	3,583.34
3130AWN63	FHLB Note 4% Due 06/30/2028	08/21/2023 08/23/2023 3,000,000.00	0.00 2,931,360.00 0.00 2,931,360.00	0.00 (13,000.00) 15,666.67 2,666.67	0.00 0.00 0.00 2,666.67	2,666.67

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
3135G03U5	FNMA Note 0.625% Due 04/22/2025	04/22/2020 04/24/2020 5,270,000.00	5,259,143.80 0.00 0.00 5,259,143.80	9,057.81 0.00 11,802.60 2,744.79	0.00 0.00 0.00 2,744.79	2,744.79
3135G04Z3	FNMA Note 0.5% Due 06/17/2025	Various Various 6,200,000.00	6,196,731.90 0.00 0.00 6,196,731.90	3,788.89 0.00 6,372.22 2,583.33	0.00 0.00 0.00 2,583.33	2,583.33
3135G05X7	FNMA Note 0.375% Due 08/25/2025	Various Various 6,285,000.00	6,260,439.20 0.00 0.00 6,260,439.20	10,213.13 11,784.38 392.81 1,964.06	0.00 0.00 0.00 1,964.06	1,964.06
3135G06G3	FNMA Note 0.5% Due 11/07/2025	Various Various 6,300,000.00	6,279,895.00 0.00 0.00 6,279,895.00	7,350.00 0.00 9,975.00 2,625.00	0.00 0.00 0.00 2,625.00	2,625.00
3135G0W66	FNMA Note 1.625% Due 10/15/2024	Various Various 3,500,000.00	3,564,275.00 0.00 0.00 3,564,275.00	16,746.52 0.00 21,486.11 4,739.59	0.00 0.00 0.00 4,739.59	4,739.59
3135G0X24	FNMA Note 1.625% Due 01/07/2025	Various Various 4,220,000.00	4,282,878.20 0.00 0.00 4,282,878.20	4,571.67 0.00 10,286.25 5,714.58	0.00 0.00 0.00 5,714.58	5,714.58
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	05/16/2019 05/21/2019 487,843.24	878,365.65 0.00 371,504.14 506,861.51	496.96 2,484.79 286.77 2,274.60	0.00 0.00 0.00 2,274.60	2,274.60
3137BSP72	FHLMC K058 A2 2.653% Due 08/25/2026	11/12/2021 11/17/2021 1,500,000.00	1,586,425.78 0.00 0.00 1,586,425.78	3,316.25 3,316.25 3,316.25 3,316.25	0.00 0.00 0.00 3,316.25	3,316.25
3137BSRE5	FHLMC K059 A2 3.12% Due 09/25/2026	02/18/2022 02/24/2022 3,000,000.00	3,128,437.50 0.00 0.00 3,128,437.50	7,800.00 7,800.00 7,800.00 7,800.00	0.00 0.00 0.00 7,800.00	7,800.00

## City of Corona Consolidated

## Income Earned



Account #10003

As of August 31, 2023

CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
3137EAEP0	FHLMC Note 1.5% Due 02/12/2025	02/13/2020 02/14/2020 6,575,000.00	6,569,937.25 0.00 0.00 6,569,937.25	46,298.96 49,312.50 5,205.21 8,218.75	0.00 0.00 0.00 8,218.75	8,218.75
3137EAEU9	FHLMC Note 0.375% Due 07/21/2025	Various Various 6,300,000.00	6,277,899.60 0.00 0.00 6,277,899.60	656.25 0.00 2,625.00 1,968.75	0.00 0.00 0.00 1,968.75	1,968.75
3137EAEX3	FHLMC Note 0.375% Due 09/23/2025	Various Various 6,295,000.00	6,276,346.05 0.00 0.00 6,276,346.05	8,393.34 0.00 10,360.52 1,967.18	0.00 0.00 0.00 1,967.18	1,967.18
3137F4D41	FHLMC K074 A2 3.6% Due 01/25/2028	03/16/2023 03/21/2023 3,000,000.00	2,911,054.69 0.00 0.00 2,911,054.69	1,800.00 9,000.00 1,800.00 9,000.00	0.00 0.00 0.00 9,000.00	9,000.00
3137FBBX3	FHLMC K068 A2 3.244% Due 08/25/2027	11/02/2022 11/07/2022 2,650,000.00	2,482,408.20 0.00 0.00 2,482,408.20	7,163.83 7,163.83 7,163.83 7,163.83	0.00 0.00 0.00 7,163.83	7,163.83
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	07/20/2023 07/25/2023 4,690,000.00	4,471,072.27 0.00 0.00 4,471,072.27	13,460.30 13,460.30 13,460.30 13,460.30	0.00 0.00 0.00 13,460.30	13,460.30
3137FETN0	FHLMC K073 A2 3.35% Due 01/25/2028	Various Various 6,000,000.00	5,707,999.69 0.00 0.00 5,707,999.69	3,350.00 16,750.00 3,350.00 16,750.00	0.00 0.00 0.00 16,750.00	16,750.00
3137FG6X8	FHLMC K077 A2 3.85% Due 05/25/2028	05/24/2023 05/30/2023 5,320,000.00	5,229,125.01 0.00 0.00 5,229,125.01	3,413.66 17,068.33 3,413.66 17,068.33	0.00 0.00 0.00 17,068.33	17,068.33
3137FGR31	FHLMC K078 A2 3.854% Due 06/25/2028	06/22/2023 06/27/2023 1,997,000.00	1,951,677.46 0.00 0.00 1,951,677.46	1,282.74 6,413.70 1,282.74 6,413.70	0.00 0.00 0.00 6,413.70	6,413.70

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 09/16/2026	10/13/2021 10/21/2021 713,465.72	753,619.08 0.00 40,171.56 713,447.52	213.53 427.06 202.15 415.68	0.00 0.00 0.00 415.68	415.68
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	08/10/2021 08/18/2021 941,913.87	1,139,634.12 0.00 197,733.06 941,901.06	135.81 370.39 112.24 346.82	0.00 0.00 0.00 346.82	346.82
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 03/20/2025	02/15/2022 02/23/2022 1,952,408.75	2,104,981.90 0.00 152,589.94 1,952,391.96	1,222.07 3,332.92 1,133.48 3,244.33	0.00 0.00 0.00 3,244.33	3,244.33
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	01/11/2022 01/19/2022 660,000.00	659,942.65 0.00 0.00 659,942.65	346.50 693.00 346.50 693.00	0.00 0.00 0.00 693.00	693.00
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	Various Various 3,000,000.00	2,969,700.00 0.00 0.00 2,969,700.00	3,718.76 0.00 5,906.26 2,187.50	0.00 0.00 0.00 2,187.50	2,187.50
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 07/15/2024	05/18/2020 05/27/2020 23,249.98	54,031.42 0.00 30,783.27 23,248.15	19.69 36.92 8.47 25.70	0.00 0.00 0.00 25.70	25.70
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 04/21/2025	02/17/2021 02/24/2021 245,925.60	277,677.97 0.00 31,756.87 245,921.10	20.83 62.48 18.44 60.09	0.00 0.00 0.00 60.09	60.09
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	09/22/2020 09/29/2020 252,167.16	311,358.67 0.00 59,228.56 252,130.11	41.61 96.02 33.69 88.10	0.00 0.00 0.00 88.10	88.10
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 05/15/2026	02/15/2022 02/23/2022 1,650,000.00	1,649,751.84 0.00 0.00 1,649,751.84	1,378.67 2,585.00 1,378.67 2,585.00	0.00 0.00 0.00 2,585.00	2,585.00

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43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 01/21/2026	11/16/2021 11/24/2021 938,780.24	999,064.24 0.00 60,481.90 938,582.34	244.27 732.80 229.48 718.01	0.00 0.00 0.00 718.01	718.01
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 05/15/2025	10/20/2020 10/28/2020 430,953.36	502,463.83 0.00 71,609.71 430,854.12	84.88 159.15 72.78 147.05	0.00 0.00 0.00 147.05	147.05
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 06/17/2024	06/08/2021 06/16/2021 163,678.76	347,583.72 0.00 183,929.51 163,654.21	50.99 95.60 24.01 68.62	0.00 0.00 0.00 68.62	68.62
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 01/15/2025	01/11/2022 01/19/2022 1,054,083.77	1,193,078.58 0.00 139,018.11 1,054,060.47	615.11 1,153.33 543.44 1,081.66	0.00 0.00 0.00 1,081.66	1,081.66
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	03/09/2022 03/16/2022 1,350,000.00	1,349,948.03 0.00 0.00 1,349,948.03	1,332.00 2,497.50 1,332.00 2,497.50	0.00 0.00 0.00 2,497.50	2,497.50
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 09/15/2025	04/20/2021 04/28/2021 650,648.17	719,894.42 0.00 69,314.69 650,579.73	121.59 227.99 109.89 216.29	0.00 0.00 0.00 216.29	216.29
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 05/15/2026	11/09/2021 11/17/2021 669,545.37	713,666.51 0.00 44,270.59 669,395.92	234.77 440.19 220.21 425.63	0.00 0.00 0.00 425.63	425.63
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 04/20/2026	04/13/2021 04/20/2021 6,390,000.00	6,360,733.80 0.00 0.00 6,360,733.80	15,686.56 0.00 20,345.94 4,659.38	0.00 0.00 0.00 4,659.38	4,659.38
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	Various Various 6,300,000.00	6,272,360.85 0.00 0.00 6,272,360.85	8,137.51 0.00 10,762.50 2,624.99	0.00 0.00 0.00 2,624.99	2,624.99

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46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 04/22/2026	05/20/2021 05/24/2021 1,000,000.00	1,037,330.00 0.00 0.00 1,037,330.00	5,728.25 0.00 7,464.08 1,735.83	0.00 0.00 0.00 1,735.83	1,735.83
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 06/01/2025	05/24/2021 06/01/2021 3,295,000.00	3,299,268.65 0.00 0.00 3,299,268.65	4,525.13 0.00 6,787.70 2,262.57	0.00 0.00 0.00 2,262.57	2,262.57
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 06/23/2025	Various Various 1,565,000.00	1,565,968.20 0.00 0.00 1,565,968.20	1,600.73 0.00 2,864.47 1,263.74	0.00 0.00 0.00 1,263.74	1,263.74
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 09/16/2026	03/10/2022 03/16/2022 1,010,000.00	1,009,776.59 0.00 0.00 1,009,776.59	1,041.42 1,952.67 1,041.42 1,952.67	0.00 0.00 0.00 1,952.67	1,952.67
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	07/14/2020 07/22/2020 32,991.17	51,914.40 0.00 18,928.26 32,986.14	11.77 22.07 7.48 17.78	0.00 0.00 0.00 17.78	17.78
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 03/16/2026	07/13/2021 07/21/2021 1,002,388.75	1,066,746.97 0.00 64,447.63 1,002,299.34	246.56 462.30 231.66 447.40	0.00 0.00 0.00 447.40	447.40
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	02/22/2023 03/02/2023 1,880,000.00	1,879,657.46 0.00 0.00 1,879,657.46	4,186.13 7,849.00 4,186.13 7,849.00	0.00 0.00 0.00 7,849.00	7,849.00
57629WDE7	Mass Mutual Global funding Note 1.2% Due 07/16/2026	08/10/2021 08/12/2021 2,272,000.00	2,271,369.92 0.00 0.00 2,271,369.92	1,136.00 0.00 3,408.00 2,272.00	0.00 0.00 0.00 2,272.00	2,272.00
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 03/09/2028	03/06/2023 03/09/2023 2,090,000.00	2,087,972.70 0.00 0.00 2,087,972.70	40,188.96 0.00 48,679.58 8,490.62	0.00 0.00 0.00 8,490.62	8,490.62

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58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 05/17/2028	05/08/2023 05/17/2023 1,975,000.00	1,975,392.25 0.00 0.00 1,975,392.25	16,441.88 0.00 23,107.50 6,665.62	0.00 0.00 0.00 6,665.62	6,665.62
58989V2D5	Met Tower Global Funding Note 1.25% Due 09/14/2026	09/07/2021 09/14/2021 1,715,000.00	1,713,422.20 0.00 0.00 1,713,422.20	8,158.16 0.00 9,944.62 1,786.46	0.00 0.00 0.00 1,786.46	1,786.46
59217GER6	Metlife Note 1.875% Due 01/11/2027	01/03/2022 01/11/2022 2,485,000.00	2,482,167.10 0.00 0.00 2,482,167.10	2,588.54 0.00 6,471.35 3,882.81	0.00 0.00 0.00 3,882.81	3,882.81
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 04/28/2026	05/18/2022 05/20/2022 1,600,000.00	1,511,376.00 0.00 0.00 1,511,376.00	9,043.73 0.00 11,961.07 2,917.34	0.00 0.00 0.00 2,917.34	2,917.34
63743HFE7	National Rural Utilities Note 3.45% Due 06/15/2025	04/27/2022 05/04/2022 940,000.00	939,746.20 0.00 0.00 939,746.20	4,143.83 0.00 6,846.33 2,702.50	0.00 0.00 0.00 2,702.50	2,702.50
64952WEK5	New York Life Global Note 1.45% Due 01/14/2025	01/11/2022 01/14/2022 3,745,000.00	3,740,955.40 0.00 0.00 3,740,955.40	2,564.28 0.00 7,089.49 4,525.21	0.00 0.00 0.00 4,525.21	4,525.21
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 05/10/2027	05/05/2022 05/10/2022 1,345,000.00	1,342,821.10 0.00 0.00 1,342,821.10	12,105.00 0.00 16,588.33 4,483.33	0.00 0.00 0.00 4,483.33	4,483.33
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 01/14/2026	12/06/2021 12/08/2021 1,870,000.00	1,820,388.90 0.00 0.00 1,820,388.90	706.44 0.00 1,953.11 1,246.67	0.00 0.00 0.00 1,246.67	1,246.67
66815L2J7	Northwestern Mutual Gbl Note 4% Due 07/01/2025	06/27/2022 07/01/2022 2,350,000.00	2,349,201.00 0.00 0.00 2,349,201.00	7,833.33 0.00 15,666.67 7,833.34	0.00 0.00 0.00 7,833.34	7,833.34

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69371RR73	Paccar Financial Corp Note 2.85% Due 04/07/2025	03/31/2022 04/07/2022 2,970,000.00	2,969,227.80 0.00 0.00 2,969,227.80	26,804.25 0.00 33,858.00 7,053.75	0.00 0.00 0.00 7,053.75	7,053.75
69371RS64	Paccar Financial Corp Note 4.95% Due 08/10/2028	08/03/2023 08/10/2023 1,670,000.00	0.00 1,667,361.40 0.00 1,667,361.40	0.00 0.00 4,822.13 4,822.13	0.00 0.00 0.00 4,822.13	4,822.13
74153WCQ0	Pricoa Global Funding Note 1.15% Due 12/06/2024	12/01/2021 12/08/2021 1,975,000.00	1,972,965.75 0.00 0.00 1,972,965.75	3,469.97 0.00 5,362.67 1,892.70	0.00 0.00 0.00 1,892.70	1,892.70
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 06/15/2028	Various Various 4,000,000.00	2,616,154.70 1,343,559.00 0.00 3,959,713.70	11,752.81 (10,203.65) 34,125.00 12,168.54	0.00 0.00 0.00 12,168.54	12,168.54
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 01/15/2028	Various Various 4,500,000.00	4,242,810.00 0.00 0.00 4,242,810.00	7,300.00 0.00 20,987.50 13,687.50	0.00 0.00 0.00 13,687.50	13,687.50
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/01/2024	12/05/2019 12/09/2019 3,600,000.00	3,598,128.00 0.00 0.00 3,598,128.00	20,250.00 0.00 27,000.00 6,750.00	0.00 0.00 0.00 6,750.00	6,750.00
78016EZ59	Royal Bank of Canada Note 3.375% Due 04/14/2025	04/07/2022 04/14/2022 1,270,000.00	1,269,530.10 0.00 0.00 1,269,530.10	12,739.69 0.00 16,311.56 3,571.87	0.00 0.00 0.00 3,571.87	3,571.87
79466LAG9	Salesforce.com Inc Callable Note Cont 7/15/2022 Due 07/15/2024	Various Various 0.00	3,076,192.60 0.00 3,076,192.60 0.00	861.11 2,045.14 0.00 1,184.03	0.00 0.00 0.00 1,184.03	1,184.03
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 03/03/2027	03/09/2022 03/11/2022 1,300,000.00	1,282,866.00 0.00 0.00 1,282,866.00	13,093.89 0.00 15,748.06 2,654.17	0.00 0.00 0.00 2,654.17	2,654.17

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880591EZ1	Tennessee Valley Authority Note 3.875% Due 03/15/2028	05/04/2023 05/05/2023 3,500,000.00	3,548,755.00 0.00 0.00 3,548,755.00	45,585.07 0.00 56,887.15 11,302.08	0.00 0.00 0.00 11,302.08	11,302.08
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 03/10/2027	03/09/2022 03/11/2022 1,900,000.00	1,884,933.00 0.00 0.00 1,884,933.00	20,836.67 0.00 25,270.00 4,433.33	0.00 0.00 0.00 4,433.33	4,433.33
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 06/08/2027	08/26/2022 08/30/2022 1,400,000.00	1,395,310.00 0.00 0.00 1,395,310.00	8,467.04 0.00 13,259.71 4,792.67	0.00 0.00 0.00 4,792.67	4,792.67
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 06/18/2026	06/15/2021 06/18/2021 3,325,000.00	3,323,537.00 0.00 0.00 3,323,537.00	4,467.97 0.00 7,585.16 3,117.19	0.00 0.00 0.00 3,117.19	3,117.19
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 01/13/2025	01/10/2022 01/13/2022 2,700,000.00	2,696,382.00 0.00 0.00 2,696,382.00	1,957.50 0.00 5,220.00 3,262.50	0.00 0.00 0.00 3,262.50	3,262.50
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 01/15/2025	10/06/2020 10/13/2020 158,393.93	206,902.75 0.00 48,538.33 158,364.42	32.19 60.36 24.64 52.81	0.00 0.00 0.00 52.81	52.81
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 04/15/2026	11/09/2021 11/15/2021 880,557.60	933,071.80 0.00 52,532.95 880,538.85	294.44 552.08 277.86 535.50	0.00 0.00 0.00 535.50	535.50
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 02/20/2025	02/23/2022 02/28/2022 2,565,000.00	2,564,596.27 0.00 0.00 2,564,596.27	1,536.15 4,189.50 1,536.15 4,189.50	0.00 0.00 0.00 4,189.50	4,189.50
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 05/15/2025	02/02/2021 02/08/2021 904,204.77	1,017,254.39 0.00 113,217.43 904,036.96	117.57 220.45 104.49 207.37	0.00 0.00 0.00 207.37	207.37

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90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 01/21/2025	01/16/2020 01/21/2020 4,145,000.00	4,136,171.15 0.00 0.00 4,136,171.15	2,360.35 0.00 9,441.39 7,081.04	0.00 0.00 0.00 7,081.04	7,081.04
9128283D0	US Treasury Note 2.25% Due 10/31/2024	11/07/2019 11/08/2019 4,000,000.00	4,090,468.75 0.00 0.00 4,090,468.75	22,744.57 0.00 30,326.09 7,581.52	0.00 0.00 0.00 7,581.52	7,581.52
912828B66	US Treasury Note 2.75% Due 02/15/2024	Various Various 5,000,000.00	5,121,796.88 0.00 0.00 5,121,796.88	63,432.32 68,750.00 6,351.90 11,669.58	0.00 0.00 0.00 11,669.58	11,669.58
912828D56	US Treasury Note 2.375% Due 08/15/2024	12/12/2019 12/13/2019 5,000,000.00	5,140,234.38 0.00 0.00 5,140,234.38	54,782.46 59,375.00 5,485.73 10,078.27	0.00 0.00 0.00 10,078.27	10,078.27
912828X70	US Treasury Note 2% Due 04/30/2024	Various Various 3,150,000.00	3,177,480.46 0.00 0.00 3,177,480.46	15,921.19 0.00 21,228.26 5,307.07	0.00 0.00 0.00 5,307.07	5,307.07
912828XX3	US Treasury Note 2% Due 06/30/2024	Various Various 4,900,000.00	4,942,253.91 0.00 0.00 4,942,253.91	8,521.74 0.00 16,777.17 8,255.43	0.00 0.00 0.00 8,255.43	8,255.43
912828ZC7	US Treasury Note 1.125% Due 02/28/2025	03/18/2020 03/19/2020 7,000,000.00	7,108,007.81 0.00 0.00 7,108,007.81	32,955.16 39,375.00 216.35 6,636.19	0.00 0.00 0.00 6,636.19	6,636.19
91282CAB7	US Treasury Note 0.25% Due 07/31/2025	03/12/2021 03/15/2021 6,000,000.00	5,879,062.50 0.00 0.00 5,879,062.50	40.76 0.00 1,304.35 1,263.59	0.00 0.00 0.00 1,263.59	1,263.59
91282CAM3	US Treasury Note 0.25% Due 09/30/2025	03/29/2021 03/30/2021 3,200,000.00	3,130,375.00 0.00 0.00 3,130,375.00	2,688.52 0.00 3,366.12 677.60	0.00 0.00 0.00 677.60	677.60

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91282CAT8	US Treasury Note 0.25% Due 10/31/2025	02/19/2021 02/22/2021 6,000,000.00	5,923,125.00 0.00 0.00 5,923,125.00	3,790.76 0.00 5,054.35 1,263.59	0.00 0.00 0.00 1,263.59	1,263.59
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	03/26/2021 03/29/2021 3,800,000.00	3,731,867.19 0.00 0.00 3,731,867.19	2,413.93 0.00 3,620.90 1,206.97	0.00 0.00 0.00 1,206.97	1,206.97
91282CCW9	US Treasury Note 0.75% Due 08/31/2026	09/23/2021 09/24/2021 1,570,000.00	1,558,899.61 0.00 0.00 1,558,899.61	4,927.58 5,887.50 32.35 992.27	0.00 0.00 0.00 992.27	992.27
91282CCZ2	US Treasury Note 0.875% Due 09/30/2026	10/18/2021 10/19/2021 3,130,000.00	3,083,172.27 0.00 0.00 3,083,172.27	9,204.00 0.00 11,523.70 2,319.70	0.00 0.00 0.00 2,319.70	2,319.70
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	11/15/2021 11/17/2021 3,120,000.00	3,100,987.50 0.00 0.00 3,100,987.50	8,870.38 0.00 11,827.17 2,956.79	0.00 0.00 0.00 2,956.79	2,956.79
91282CED9	US Treasury Note 1.75% Due 03/15/2025	04/05/2022 04/07/2022 7,000,000.00	6,823,085.94 0.00 0.00 6,823,085.94	46,270.38 0.00 56,589.67 10,319.29	0.00 0.00 0.00 10,319.29	10,319.29
91282CET4	US Treasury Note 2.625% Due 05/31/2027	06/09/2022 06/10/2022 2,400,000.00	2,351,156.25 0.00 0.00 2,351,156.25	10,672.13 0.00 16,008.20 5,336.07	0.00 0.00 0.00 5,336.07	5,336.07
91282CEW7	US Treasury Note 3.25% Due 06/30/2027	Various Various 6,650,000.00	6,690,380.86 0.00 0.00 6,690,380.86	18,793.48 0.00 36,999.66 18,206.18	0.00 0.00 0.00 18,206.18	18,206.18
91282CFB2	US Treasury Note 2.75% Due 07/31/2027	08/22/2022 08/23/2022 1,300,000.00	1,277,960.94 0.00 0.00 1,277,960.94	97.15 0.00 3,108.70 3,011.55	0.00 0.00 0.00 3,011.55	3,011.55

## City of Corona Consolidated

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## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
91282CFH9	US Treasury Note 3.125% Due 08/31/2027	Various Various 3,450,000.00	3,399,517.58 0.00 0.00 3,399,517.58	45,117.19 53,906.25 296.19 9,085.25	0.00 0.00 0.00 9,085.25	9,085.25
91282CFM8	US Treasury Note 4.125% Due 09/30/2027	Various Various 2,800,000.00	2,770,000.01 0.00 0.00 2,770,000.01	38,815.57 0.00 48,598.36 9,782.79	0.00 0.00 0.00 9,782.79	9,782.79
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	Various Various 4,600,000.00	4,628,085.94 0.00 0.00 4,628,085.94	30,195.35 0.00 45,293.03 15,097.68	0.00 0.00 0.00 15,097.68	15,097.68
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	Various Various 7,000,000.00	7,028,945.31 0.00 0.00 7,028,945.31	23,586.95 0.00 46,436.82 22,849.87	0.00 0.00 0.00 22,849.87	22,849.87
91282CGH8	US Treasury Note 3.5% Due 01/31/2028	02/16/2023 02/17/2023 7,000,000.00	6,828,007.81 0.00 0.00 6,828,007.81	665.76 0.00 21,304.35 20,638.59	0.00 0.00 0.00 20,638.59	20,638.59
91282CGP0	US Treasury Note 4% Due 02/29/2028	02/23/2023 02/28/2023 7,000,000.00	6,949,960.94 0.00 0.00 6,949,960.94	117,173.91 140,000.00 769.23 23,595.32	0.00 0.00 0.00 23,595.32	23,595.32
91282CHE4	US Treasury Note 3.625% Due 05/31/2028	06/14/2023 06/20/2023 7,000,000.00	6,884,335.94 0.00 0.00 6,884,335.94	42,984.97 0.00 64,477.46 21,492.49	0.00 0.00 0.00 21,492.49	21,492.49
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 05/15/2026	Various Various 805,000.00	807,605.05 0.00 0.00 807,605.05	1,954.36 0.00 2,725.82 771.46	0.00 0.00 0.00 771.46	771.46
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 05/15/2027	05/17/2022 05/20/2022 1,365,000.00	1,365,638.40 0.00 0.00 1,365,638.40	10,662.16 0.00 14,870.91 4,208.75	0.00 0.00 0.00 4,208.75	4,208.75

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 02/15/2028	02/16/2023 02/21/2023 1,500,000.00	1,532,220.00 0.00 0.00 1,532,220.00	36,312.50 39,375.00 3,500.00 6,562.50	0.00 0.00 0.00 6,562.50	6,562.50
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 02/20/2025	08/04/2020 08/12/2020 98,761.27	197,347.66 0.00 98,607.13 98,740.53	28.35 77.31 14.18 63.14	0.00 0.00 0.00 63.14	63.14
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 07/20/2027	08/02/2022 08/11/2022 1,150,000.00	1,149,949.40 0.00 0.00 1,149,949.40	1,307.17 3,565.00 1,307.17 3,565.00	0.00 0.00 0.00 3,565.00	3,565.00
931142ERO	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 09/17/2026	09/08/2021 09/17/2021 785,000.00	783,516.35 0.00 0.00 783,516.35	3,068.04 0.00 3,754.92 686.88	0.00 0.00 0.00 686.88	686.88
			<b>346,908,808.11</b>	<b>1,360,882.56</b>	<b>0.00</b>	
			<b>5,942,280.40</b>	<b>584,922.88</b>	<b>0.00</b>	
			<b>6,539,495.41</b>	<b>1,398,995.54</b>	<b>0.00</b>	
<b>Total Fixed Income</b>		<b>347,424,821.49</b>	<b>346,311,593.10</b>	<b>623,035.86</b>	<b>623,035.86</b>	<b>623,035.86</b>
<b>CASH &amp; EQUIVALENT</b>						
60934N807	Federated Investors Govt Oblig Fund Inst.	Various Various 1,827,589.03	764,928.42 4,061,600.52 2,998,939.91 1,827,589.03	0.00 18,233.00 0.00 18,233.00	0.00 0.00 0.00 18,233.00	18,233.00
912796CQ0	US Treasury Bill 5.085% Due 09/14/2023	06/15/2023 06/16/2023 21,500,000.00	21,226,681.25 0.00 0.00 21,226,681.25	139,696.25 0.00 233,839.38 94,143.13	0.00 0.00 0.00 94,143.13	94,143.13
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	Various 07/20/2023 50,750,000.00	50,076,248.64 0.00 0.00 50,076,248.64	88,846.33 0.00 318,366.03 229,519.70	0.00 0.00 0.00 229,519.70	229,519.70

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## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	06/15/2023 06/16/2023 30,000,000.00	29,225,923.33 0.00 0.00 29,225,923.33	196,726.67 0.00 329,303.33 132,576.66	0.00 0.00 0.00 132,576.66	132,576.66
912797GG6	US Treasury Bill Due 08/15/2023	05/16/2023 05/17/2023 0.00	19,749,150.00 0.00 19,749,150.00 0.00	211,828.89 250,850.00 0.00 39,021.11	0.00 0.00 0.00 39,021.11	39,021.11
912797GM3	US Treasury Bill 5.262% Due 02/08/2024	08/14/2023 08/15/2023 20,500,000.00	0.00 19,969,634.25 0.00 19,969,634.25	0.00 0.00 50,939.08 50,939.08	0.00 0.00 0.00 50,939.08	50,939.08
<b>Total Cash &amp; Equivalent</b>			<b>124,577,589.03</b>	<b>564,432.68</b>	<b>564,432.68</b>	<b>564,432.68</b>
<b>LOCAL AGENCY INVESTMENT FUND</b>						
90LAIF\$00	Local Agency Investment Fund State Pool	Various Various 38,526,879.61	49,776,879.61 15,750,000.00 27,000,000.00 38,526,879.61	123,659.40 0.00 242,646.47 118,987.07	0.00 0.00 0.00 118,987.07	118,987.07
<b>Total Local Agency Investment Fund</b>			<b>38,526,879.61</b>	<b>118,987.07</b>	<b>118,987.07</b>	<b>118,987.07</b>
<b>TOTAL PORTFOLIO</b>			<b>510,529,290.13</b>	<b>1,306,455.61</b>	<b>1,306,455.61</b>	<b>1,306,455.61</b>

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## Cash Flow Report



Account #10003

As of August 31, 2023

Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/01/2023	Paydown	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	407,893.94	239.77	408,133.71
09/03/2023	Interest	808513BY0	1,300,000.00	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	0.00	15,925.00	15,925.00
09/09/2023	Interest	57636QAW4	2,090,000.00	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	0.00	50,943.75	50,943.75
09/10/2023	Interest	89114TZT2	1,900,000.00	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	0.00	26,600.00	26,600.00
09/13/2023	Interest	3130A2UW4	4,000,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	57,500.00	57,500.00
09/14/2023	Interest	58989V2D5	1,715,000.00	Met Tower Global Funding Note 1.25% Due 9/14/2026	0.00	10,718.75	10,718.75
09/14/2023	Maturity	912796CQ0	21,500,000.00	US Treasury Bill 5.085% Due 9/14/2023	21,226,681.25	273,318.75	21,500,000.00
09/15/2023	Interest	880591EZ1	3,500,000.00	Tennessee Valley Authority Note 3.875% Due 3/15/2028	0.00	62,161.46	62,161.46
09/15/2023	Interest	91282CED9	7,000,000.00	US Treasury Note 1.75% Due 3/15/2025	0.00	61,250.00	61,250.00
09/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,609.92	46.20	9,656.12
09/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,106.42	15.89	2,122.31
09/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,759.75	136.47	71,896.22
09/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,154.02	7,849.00	41,003.02
09/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	0.00	2,585.00	2,585.00
09/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,674.30	521.00	49,195.30
09/15/2023	Paydown	44891VAC5	163,678.76	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	45.01	163,723.77
09/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,332.53	412.89	39,745.42

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	0.00	1,952.67	1,952.67
09/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
09/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,169.78	1,018.95	132,188.73
09/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	0.00	2,497.50	2,497.50
09/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,200.31	206.04	72,406.35
09/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,593.07	14.02	6,607.09
09/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,713.79	434.37	53,148.16
09/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,046.22	195.91	129,242.13
09/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,472.03	404.30	37,876.33
09/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,073.04	693.00	30,766.04
09/17/2023	Interest	931142ER0	785,000.00	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	0.00	4,121.25	4,121.25
09/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,029.81	77.75	84,107.56
09/19/2023	Paydown	3137FBBX3	0.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
09/19/2023	Paydown	3137BSRE5	0.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
09/19/2023	Paydown	3137BSP72	0.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
09/19/2023	Paydown	3137FEBQ2	0.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
09/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,307.44	306.12	188,613.56

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	240,331.51	3,091.31	243,422.82
09/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,468.50	38.68	5,507.18
09/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	0.00	4,189.50	4,189.50
09/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
09/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,106.77	55.33	35,162.10
09/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,051.09	688.44	52,739.53
09/23/2023	Interest	3137EAEX3	6,295,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	11,803.13	11,803.13
09/25/2023	Interest	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	234.98	234.98
09/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
09/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
09/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,089.87	17,068.34	101,158.21
09/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	89,766.78	583.63	90,350.41
09/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	0.00	2,848.88	2,848.88
09/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,017.93	73.75	67,091.68
09/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
09/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
09/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,580.23	9,000.00	60,580.23
09/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
09/30/2023	Interest	91282CCZ2	3,130,000.00	US Treasury Note 0.875% Due 9/30/2026	0.00	13,693.75	13,693.75
09/30/2023	Interest	91282CAM3	3,200,000.00	US Treasury Note 0.25% Due 9/30/2025	0.00	4,000.00	4,000.00
09/30/2023	Interest	91282CFM8	2,800,000.00	US Treasury Note 4.125% Due 9/30/2027	0.00	57,750.00	57,750.00
<b>SEP 2023</b>					<b>23,359,909.06</b>	<b>805,331.13</b>	<b>24,165,240.19</b>
10/01/2023	Interest	13063DRK6	3,385,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	40,620.00	40,620.00
10/01/2023	Paydown	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	79,949.30	47.00	79,996.30
10/07/2023	Interest	69371RR73	2,970,000.00	Paccar Financial Corp Note 2.85% Due 4/7/2025	0.00	42,322.50	42,322.50
10/14/2023	Interest	78016EZ59	1,270,000.00	Royal Bank of Canada Note 3.375% Due 4/14/2025	0.00	21,431.25	21,431.25
10/15/2023	Interest	3135G0W66	3,500,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	28,437.50	28,437.50
10/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,595.65	11.22	6,606.87
10/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,718.62	411.53	53,130.15
10/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
10/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,107.86	14.45	2,122.31
10/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,338.12	892.15	132,230.27
10/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	0.00	2,497.50	2,497.50

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,339.09	388.63	39,727.72
10/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,465.57	1,952.67	43,418.24
10/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,575.17	43.40	9,618.57
10/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,088.16	167.95	129,256.11
10/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,117.15	2,585.00	81,702.15
10/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,703.10	492.20	49,195.30
10/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,786.06	113.74	71,899.80
10/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,223.77	183.18	72,406.95
10/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,292.44	7,710.58	41,003.02
10/16/2023	Dividend	90LAIF\$00	2,636,178,367.46	Local Agency Investment Fund State Pool	0.00	243,173.08	243,173.08
10/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,480.78	383.06	37,863.84
10/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,204.87	661.42	30,866.29
10/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,055.72	51.84	84,107.56
10/19/2023	Maturity	912797FB8	50,750,000.00	US Treasury Bill 5.252% Due 10/19/2023	50,076,248.64	673,751.36	50,750,000.00
10/20/2023	Interest	4581X0DV7	6,390,000.00	Inter-American Dev Bank Note 0.875% Due 4/20/2026	0.00	27,956.25	27,956.25
10/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,345.10	244.92	188,590.02
10/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	241,384.96	2,710.79	244,095.75

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,470.64	36.54	5,507.18
10/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	0.00	4,189.50	4,189.50
10/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
10/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,115.25	47.43	35,162.68
10/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,063.24	650.27	52,713.51
10/22/2023	Interest	3135G03U5	5,270,000.00	FNMA Note 0.625% Due 4/22/2025	0.00	16,468.75	16,468.75
10/22/2023	Interest	46647PBK1	1,000,000.00	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	0.00	10,415.00	10,415.00
10/23/2023	Interest	06051GHT9	3,000,000.00	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	0.00	53,385.01	53,385.01
10/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
10/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,160.25	501.35	90,661.60
10/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,034.12	55.32	67,089.44
10/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
10/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,398.21	16,798.54	101,196.75
10/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	112,900.38	2,848.88	115,749.26
10/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
10/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,762.05	8,845.26	60,607.31
10/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
10/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
10/28/2023	Interest	459058JL8	6,300,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	15,750.00	15,750.00
10/28/2023	Interest	6174468Q5	1,600,000.00	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	0.00	17,504.00	17,504.00
10/31/2023	Interest	912828X70	3,150,000.00	US Treasury Note 2% Due 4/30/2024	0.00	31,500.00	31,500.00
10/31/2023	Interest	91282CDG3	3,120,000.00	US Treasury Note 1.125% Due 10/31/2026	0.00	17,550.00	17,550.00
10/31/2023	Interest	9128283D0	4,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	45,000.00	45,000.00
10/31/2023	Interest	91282CAT8	6,000,000.00	US Treasury Note 0.25% Due 10/31/2025	0.00	7,500.00	7,500.00
<b>OCT 2023</b>					<b>51,953,924.27</b>	<b>1,414,581.23</b>	<b>53,368,505.50</b>
11/01/2023	Interest	78015K7C2	3,600,000.00	Royal Bank of Canada Note 2.25% Due 11/1/2024	0.00	40,500.00	40,500.00
11/01/2023	Interest	06367WB85	2,761,000.00	Bank of Montreal Note 1.85% Due 5/1/2025	0.00	25,539.25	25,539.25
11/07/2023	Interest	3135G06G3	6,300,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	15,750.00	15,750.00
11/08/2023	Interest	14913Q3B3	2,593,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	27,874.75	27,874.75
11/10/2023	Interest	037833ET3	1,650,000.00	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	0.00	33,000.00	33,000.00
11/10/2023	Interest	665859AW4	1,345,000.00	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	0.00	26,900.00	26,900.00
11/12/2023	Interest	023135BX3	4,865,000.00	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	0.00	24,325.00	24,325.00

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11/15/2023	Interest	91324PEC2	805,000.00	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	0.00	4,628.75	4,628.75
11/15/2023	Interest	91324PEG3	1,365,000.00	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	0.00	25,252.50	25,252.50
11/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,812.38	91.01	71,903.39
11/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,506.67	765.19	132,271.86
11/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,680.76	2,497.50	69,178.26
11/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,247.25	160.30	72,407.55
11/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,731.92	463.38	49,195.30
11/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
11/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,463.94	2,461.05	81,924.99
11/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,598.23	8.42	6,606.65
11/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,723.46	388.68	53,112.14
11/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,130.12	139.98	129,270.10
11/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,109.30	13.01	2,122.31
11/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,345.65	364.37	39,710.02
11/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,518.79	1,872.50	43,391.29
11/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,431.43	7,571.59	41,003.02

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,540.07	40.60	9,580.67
11/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,337.26	629.71	30,966.97
11/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,489.52	361.82	37,851.34
11/17/2023	Interest	58933YBH7	1,975,000.00	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	0.00	39,993.75	39,993.75
11/18/2023	Interest	22160KAM7	2,500,000.00	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	0.00	37,500.00	37,500.00
11/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,081.63	25.93	84,107.56
11/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
11/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	319,187.63	4,189.50	323,377.13
11/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,472.78	34.40	5,507.18
11/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,382.77	183.71	188,566.48
11/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	242,443.03	2,328.60	244,771.63
11/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,075.39	612.09	52,687.48
11/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,123.74	39.53	35,163.27
11/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
11/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,944.52	8,689.97	60,634.49
11/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	106,757.51	2,546.87	109,304.38
11/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
11/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,555.46	418.70	90,974.16
11/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,050.33	36.88	67,087.21
11/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
11/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
11/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,707.67	16,527.77	101,235.44
11/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
11/30/2023	Interest	91282CHE4	7,000,000.00	US Treasury Note 3.625% Due 5/31/2028	0.00	126,875.00	126,875.00
11/30/2023	Interest	91282CET4	2,400,000.00	US Treasury Note 2.625% Due 5/31/2027	0.00	31,500.00	31,500.00
11/30/2023	Interest	91282CAZ4	3,800,000.00	US Treasury Note 0.375% Due 11/30/2025	0.00	7,125.00	7,125.00
11/30/2023	Interest	91282CFZ9	4,600,000.00	US Treasury Note 3.875% Due 11/30/2027	0.00	89,125.00	89,125.00
<b>NOV 2023</b>					<b>2,180,449.21</b>	<b>675,632.27</b>	<b>2,856,081.48</b>
12/01/2023	Interest	46647PCH7	3,295,000.00	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	0.00	13,575.40	13,575.40
12/06/2023	Interest	74153WCQ0	1,975,000.00	Pricoa Global Funding Note 1.15% Due 12/6/2024	0.00	11,356.25	11,356.25
12/08/2023	Interest	89115A2C5	1,400,000.00	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	0.00	28,756.00	28,756.00
12/10/2023	Interest	40139LBC6	3,000,000.00	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	0.00	13,125.00	13,125.00

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12/14/2023	Interest	3130A1XJ2	5,500,000.00	FHLB Note 2.875% Due 6/14/2024	0.00	79,062.51	79,062.51
12/14/2023	Maturity	912797FU6	30,000,000.00	US Treasury Bill 5.132% Due 12/14/2023	29,225,923.33	774,076.67	30,000,000.00
12/15/2023	Interest	63743HFE7	940,000.00	National Rural Utilities Note 3.45% Due 6/15/2025	0.00	16,215.00	16,215.00
12/15/2023	Interest	74340XCG4	4,000,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	0.00	90,458.34	90,458.34
12/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,110.74	11.57	2,122.31
12/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,812.26	2,336.56	82,148.82
12/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,504.60	37.82	9,542.42
12/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,172.09	112.00	129,284.09
12/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,571.01	7,432.01	41,003.02
12/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,675.43	638.07	132,313.50
12/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,766.33	2,374.14	69,140.47
12/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,352.20	340.11	39,692.31
12/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,572.07	1,792.23	43,364.30
12/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,760.75	434.55	49,195.30
12/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
12/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,838.71	68.27	71,906.98
12/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,270.72	137.43	72,408.15

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,600.82	5.61	6,606.43
12/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,728.29	365.83	53,094.12
12/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,498.27	340.58	37,838.85
12/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,470.24	597.85	31,068.09
12/17/2023	Interest	3135G04Z3	6,200,000.00	FNMA Note 0.5% Due 6/17/2025	0.00	15,500.00	15,500.00
12/18/2023	Interest	89236TJK2	3,325,000.00	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	0.00	18,703.13	18,703.13
12/19/2023	Interest	06051GJD2	3,000,000.00	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	0.00	19,785.00	19,785.00
12/20/2023	Interest	3130AQF40	4,300,000.00	FHLB Note 1% Due 12/20/2024	0.00	21,500.00	21,500.00
12/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,420.44	122.49	188,542.93
12/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	243,505.74	1,944.73	245,450.47
12/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,474.93	32.25	5,507.18
12/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
12/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	319,597.25	3,668.16	323,265.41
12/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,132.22	31.63	35,163.85
12/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,087.54	573.90	52,661.44
12/23/2023	Interest	46647PCK0	1,565,000.00	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	0.00	7,582.43	7,582.43
12/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,018.26	16,256.00	101,274.26
12/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	100,602.77	2,261.29	102,864.06
12/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
12/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
12/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,952.39	335.69	91,288.08
12/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,066.54	18.44	67,084.98
12/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,127.62	8,534.14	60,661.76
12/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
12/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
12/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
12/30/2023	Interest	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	0.00	55,333.33	55,333.33
12/31/2023	Interest	91282CEW7	6,650,000.00	US Treasury Note 3.25% Due 6/30/2027	0.00	108,062.50	108,062.50
12/31/2023	Interest	912828XX3	4,900,000.00	US Treasury Note 2% Due 6/30/2024	0.00	49,000.00	49,000.00
12/31/2023	Interest	91282CGC9	7,000,000.00	US Treasury Note 3.875% Due 12/31/2027	0.00	135,625.00	135,625.00
<b>DEC 2023</b>					<b>31,319,613.56</b>	<b>1,574,800.12</b>	<b>32,894,413.68</b>
01/01/2024	Interest	66815L2J7	2,350,000.00	Northwestern Mutual Gbl Note 4% Due 7/1/2025	0.00	47,000.00	47,000.00
01/07/2024	Interest	3135G0X24	4,220,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	34,287.50	34,287.50

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/11/2024	Interest	59217GER6	2,485,000.00	Metlife Note 1.875% Due 1/11/2027	0.00	23,296.88	23,296.88
01/13/2024	Interest	89236TJT3	2,700,000.00	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	0.00	19,575.00	19,575.00
01/14/2024	Interest	66815L2A6	1,870,000.00	Northwestern Mutual Glbl Note 0.8% Due 1/14/2026	0.00	7,480.00	7,480.00
01/14/2024	Interest	64952WEK5	3,745,000.00	New York Life Global Note 1.45% Due 1/14/2025	0.00	27,151.25	27,151.25
01/15/2024	Interest	756109AU8	4,500,000.00	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	0.00	82,125.00	82,125.00
01/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,214.06	84.02	129,298.08
01/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,112.19	10.12	2,122.31
01/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,733.12	342.99	53,076.11
01/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,358.76	315.84	39,674.60
01/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,711.17	7,291.85	41,003.02
01/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,468.77	35.05	9,503.82
01/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
01/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,162.10	2,211.52	82,373.62
01/15/2024	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,865.06	45.52	71,910.58
01/15/2024	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,603.40	2.81	6,606.21
01/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,789.60	405.70	49,195.30
01/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,844.42	510.78	132,355.20

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,852.02	2,250.62	69,102.64
01/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,294.22	114.54	72,408.76
01/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,625.42	1,711.86	43,337.28
01/16/2024	Interest	57629WDE7	2,272,000.00	Mass Mutual Global funding Note 1.2% Due 7/16/2026	0.00	13,632.00	13,632.00
01/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,507.02	319.33	37,826.35
01/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,603.80	565.86	31,169.66
01/20/2024	Interest	24422EWR6	2,450,000.00	John Deere Capital Corp Note 4.75% Due 1/20/2028	0.00	58,187.50	58,187.50
01/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	244,573.10	1,559.18	246,132.28
01/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	65,984.99	3,565.00	69,549.99
01/20/2024	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,458.13	61.25	188,519.38
01/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,007.41	3,146.15	323,153.56
01/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,477.07	30.11	5,507.18
01/21/2024	Interest	3137EAEU9	6,300,000.00	FHLMC Note 0.375% Due 7/21/2025	0.00	11,812.50	11,812.50
01/21/2024	Interest	90331HPL1	4,145,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	42,486.25	42,486.25
01/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,140.71	23.73	35,164.44
01/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,099.69	535.70	52,635.39
01/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	91,351.06	252.32	91,603.38
01/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
01/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,330.00	15,983.23	101,313.23
01/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
01/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,311.37	8,377.76	60,689.13
01/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
01/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
01/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	94,436.14	1,992.18	96,428.32
01/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
01/31/2024	Interest	91282CAB7	6,000,000.00	US Treasury Note 0.25% Due 7/31/2025	0.00	7,500.00	7,500.00
01/31/2024	Interest	91282CFB2	1,300,000.00	US Treasury Note 2.75% Due 7/31/2027	0.00	17,875.00	17,875.00
01/31/2024	Interest	91282CGH8	7,000,000.00	US Treasury Note 3.5% Due 1/31/2028	0.00	122,500.00	122,500.00
<b>JAN 2024</b>					<b>2,089,914.80</b>	<b>629,369.11</b>	<b>2,719,283.91</b>
02/08/2024	Maturity	912797GM3	20,500,000.00	US Treasury Bill 5.262% Due 2/8/2024	19,969,634.25	530,365.75	20,500,000.00
02/10/2024	Interest	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	0.00	41,332.50	41,332.50
02/12/2024	Interest	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	49,312.50	49,312.50
02/15/2024	Interest	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	0.00	59,375.00	59,375.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/15/2024	Interest	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.00	39,375.00	39,375.00
02/15/2024	Maturity	912828B66	5,000,000.00	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	68,750.00	5,068,750.00
02/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,013.62	383.33	132,396.95
02/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,317.71	91.65	72,409.36
02/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,365.32	291.57	39,656.89
02/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,818.47	376.83	49,195.30
02/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
02/15/2024	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,891.40	22.77	71,914.17
02/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,937.80	2,126.95	69,064.75
02/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,678.84	1,631.38	43,310.22
02/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,113.63	8.68	2,122.31
02/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,513.48	2,085.93	82,599.41
02/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,737.96	320.13	53,058.09
02/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,256.06	56.02	129,312.08
02/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,851.91	7,151.11	41,003.02
02/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,432.58	32.29	9,464.87

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,737.94	533.73	31,271.67
02/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,515.77	298.08	37,813.85
02/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,189.54	3,360.45	69,549.99
02/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,418.08	2,623.47	323,041.55
02/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	245,645.15	1,171.94	246,817.09
02/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,479.22	27.96	5,507.18
02/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,111.84	497.50	52,609.34
02/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,149.21	15.82	35,165.03
02/25/2024	Interest	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	11,784.38	11,784.38
02/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
02/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
02/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,642.88	15,709.46	101,352.34
02/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
02/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	91,751.49	168.58	91,920.07
02/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
02/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
02/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,495.77	8,220.82	60,716.59

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	88,257.61	1,739.56	89,997.17
02/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
02/29/2024	Interest	912828ZC7	7,000,000.00	US Treasury Note 1.125% Due 2/28/2025	0.00	39,375.00	39,375.00
02/29/2024	Interest	91282CFH9	3,450,000.00	US Treasury Note 3.125% Due 8/31/2027	0.00	53,906.25	53,906.25
02/29/2024	Interest	91282CGP0	7,000,000.00	US Treasury Note 4% Due 2/29/2028	0.00	140,000.00	140,000.00
02/29/2024	Interest	91282CCW9	1,570,000.00	US Treasury Note 0.75% Due 8/31/2026	0.00	5,887.50	5,887.50
<b>FEB 2024</b>					<b>26,861,957.53</b>	<b>1,151,125.10</b>	<b>28,013,082.63</b>
03/03/2024	Interest	808513BY0	1,300,000.00	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	0.00	15,925.00	15,925.00
03/09/2024	Interest	57636QAW4	2,090,000.00	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	0.00	50,943.75	50,943.75
03/10/2024	Interest	89114TZT2	1,900,000.00	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	0.00	26,600.00	26,600.00
03/13/2024	Interest	3130A2UW4	4,000,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	57,500.00	57,500.00
03/14/2024	Interest	58989V2D5	1,715,000.00	Met Tower Global Funding Note 1.25% Due 9/14/2026	0.00	10,718.75	10,718.75
03/15/2024	Interest	91282CED9	7,000,000.00	US Treasury Note 1.75% Due 3/15/2025	0.00	61,250.00	61,250.00
03/15/2024	Interest	880591EZ1	3,500,000.00	Tennessee Valley Authority Note 3.875% Due 3/15/2028	0.00	67,812.50	67,812.50
03/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,298.07	28.01	129,326.08
03/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,371.88	267.30	39,639.18
03/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,847.35	347.95	49,195.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
03/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,115.07	7.24	2,122.31
03/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,183.04	255.72	132,438.76
03/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,023.71	2,003.11	69,026.82
03/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,341.21	68.75	72,409.96
03/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,732.33	1,550.80	43,283.13
03/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,742.79	297.28	53,040.07
03/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,993.25	7,009.77	41,003.02
03/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,866.40	1,959.79	82,826.19
03/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,396.03	29.53	9,425.56
03/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,524.52	276.82	37,801.34
03/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,872.68	501.45	31,374.13
03/17/2024	Interest	931142ER0	785,000.00	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	0.00	4,121.25	4,121.25
03/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,481.36	25.82	5,507.18
03/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,829.29	2,100.12	322,929.41
03/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,394.73	3,155.26	69,549.99
03/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	246,721.89	783.00	247,504.89

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,157.70	7.91	35,165.61
03/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,124.01	459.28	52,583.29
03/23/2024	Interest	3137EAEX3	6,295,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	11,803.13	11,803.13
03/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
03/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
03/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,956.89	15,434.70	101,391.59
03/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,680.81	8,063.34	60,744.15
03/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
03/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
03/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	92,153.67	84.47	92,238.14
03/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	82,067.15	1,503.47	83,570.62
03/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
03/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
03/31/2024	Interest	91282CCZ2	3,130,000.00	US Treasury Note 0.875% Due 9/30/2026	0.00	13,693.75	13,693.75
03/31/2024	Interest	91282CFM8	2,800,000.00	US Treasury Note 4.125% Due 9/30/2027	0.00	57,750.00	57,750.00
03/31/2024	Interest	91282CAM3	3,200,000.00	US Treasury Note 0.25% Due 9/30/2025	0.00	4,000.00	4,000.00
<b>MAR 2024</b>					<b>1,817,875.83</b>	<b>491,054.23</b>	<b>2,308,930.06</b>

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/01/2024	Interest	13063DRK6	3,385,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	40,620.00	40,620.00
04/07/2024	Interest	69371RR73	2,970,000.00	Paccar Financial Corp Note 2.85% Due 4/7/2025	0.00	42,322.50	42,322.50
04/14/2024	Interest	78016EZ59	1,270,000.00	Royal Bank of Canada Note 3.375% Due 4/14/2025	0.00	21,431.25	21,431.25
04/15/2024	Interest	3135G0W66	3,500,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	28,437.50	28,437.50
04/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
04/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,116.52	5.79	2,122.31
04/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,352.67	127.94	132,480.61
04/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,364.72	45.84	72,410.56
04/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,378.44	243.02	39,621.46
04/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,747.62	274.43	53,022.05
04/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,220.86	1,833.10	83,053.96
04/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,876.25	319.05	49,195.30
04/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,109.72	1,879.12	68,988.84
04/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,785.88	1,470.12	43,256.00
04/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,135.17	6,867.85	41,003.02
04/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,359.10	26.79	9,385.89
04/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,533.28	255.55	37,788.83

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,008.00	469.04	31,477.04
04/20/2024	Interest	4581X0DV7	6,390,000.00	Inter-American Dev Bank Note 0.875% Due 4/20/2026	0.00	27,956.25	27,956.25
04/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,483.51	23.67	5,507.18
04/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	247,803.36	392.36	248,195.72
04/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	321,241.02	1,576.10	322,817.12
04/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,600.55	2,949.44	69,549.99
04/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,136.17	421.06	52,557.23
04/22/2024	Interest	3135G03U5	5,270,000.00	FNMA Note 0.625% Due 4/22/2025	0.00	16,468.75	16,468.75
04/22/2024	Interest	46647PBK1	1,000,000.00	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	0.00	10,415.00	10,415.00
04/23/2024	Interest	06051GHT9	3,000,000.00	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	0.00	53,385.01	53,385.01
04/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
04/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
04/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,272.08	15,158.91	101,430.99
04/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
04/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,866.52	7,905.29	60,771.81
04/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	75,864.74	1,283.94	77,148.68
04/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
04/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
04/28/2024	Interest	459058JL8	6,300,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	15,750.00	15,750.00
04/28/2024	Interest	6174468Q5	1,600,000.00	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	0.00	17,504.00	17,504.00
04/30/2024	Interest	91282CAT8	6,000,000.00	US Treasury Note 0.25% Due 10/31/2025	0.00	7,500.00	7,500.00
04/30/2024	Interest	91282CDG3	3,120,000.00	US Treasury Note 1.125% Due 10/31/2026	0.00	17,550.00	17,550.00
04/30/2024	Interest	9128283D0	4,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	45,000.00	45,000.00
04/30/2024	Maturity	912828X70	3,150,000.00	US Treasury Note 2% Due 4/30/2024	3,150,000.00	31,500.00	3,181,500.00
<b>APR 2024</b>					<b>4,708,256.18</b>	<b>482,083.88</b>	<b>5,190,340.06</b>
05/01/2024	Interest	06367WB85	2,761,000.00	Bank of Montreal Note 1.85% Due 5/1/2025	0.00	25,539.25	25,539.25
05/01/2024	Interest	78015K7C2	3,600,000.00	Royal Bank of Canada Note 2.25% Due 11/1/2024	0.00	40,500.00	40,500.00
05/07/2024	Interest	3135G06G3	6,300,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	15,750.00	15,750.00
05/08/2024	Interest	14913Q3B3	2,593,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	27,874.75	27,874.75
05/10/2024	Interest	037833ET3	1,650,000.00	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	0.00	33,000.00	33,000.00
05/10/2024	Interest	665859AW4	1,345,000.00	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	0.00	26,900.00	26,900.00
05/12/2024	Interest	023135BX3	4,865,000.00	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	0.00	24,325.00	24,325.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/15/2024	Interest	91324PEC2	805,000.00	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	0.00	4,628.75	4,628.75
05/15/2024	Interest	91324PEG3	1,365,000.00	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	0.00	25,252.50	25,252.50
05/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,195.85	1,754.96	68,950.81
05/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,388.25	22.92	72,411.17
05/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,839.51	1,389.33	43,228.84
05/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,277.68	6,725.34	41,003.02
05/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
05/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,576.88	1,705.86	83,282.74
05/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,905.17	290.13	49,195.30
05/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,385.01	218.73	39,603.74
05/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,321.80	24.06	9,345.86
05/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,117.97	4.34	2,122.31
05/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,752.46	251.57	53,004.03
05/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,143.92	436.48	31,580.40
05/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,542.03	234.29	37,776.32
05/17/2024	Interest	58933YBH7	1,975,000.00	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	0.00	39,993.75	39,993.75

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/18/2024	Interest	22160KAM7	2,500,000.00	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	0.00	37,500.00	37,500.00
05/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	321,653.27	1,051.41	322,704.68
05/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,485.66	21.52	5,507.18
05/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,807.02	2,742.97	69,549.99
05/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,148.34	382.82	52,531.16
05/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
05/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
05/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
05/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	69,650.37	1,081.00	70,731.37
05/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
05/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,052.87	7,746.69	60,799.56
05/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
05/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
05/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,588.41	14,882.12	101,470.53
05/31/2024	Interest	91282CFZ9	4,600,000.00	US Treasury Note 3.875% Due 11/30/2027	0.00	89,125.00	89,125.00
05/31/2024	Interest	91282CHE4	7,000,000.00	US Treasury Note 3.625% Due 5/31/2028	0.00	126,875.00	126,875.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/31/2024	Interest	91282CAZ4	3,800,000.00	US Treasury Note 0.375% Due 11/30/2025	0.00	7,125.00	7,125.00
05/31/2024	Interest	91282CET4	2,400,000.00	US Treasury Note 2.625% Due 5/31/2027	0.00	31,500.00	31,500.00
<b>MAY 2024</b>					<b>1,173,832.47</b>	<b>659,570.75</b>	<b>1,833,403.22</b>
06/01/2024	Interest	46647PCH7	3,295,000.00	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	0.00	13,575.40	13,575.40
06/06/2024	Interest	74153WCQ0	1,975,000.00	Pricoa Global Funding Note 1.15% Due 12/6/2024	0.00	11,356.25	11,356.25
06/08/2024	Interest	89115A2C5	1,400,000.00	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	0.00	28,756.00	28,756.00
06/10/2024	Interest	40139LBC6	3,000,000.00	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	0.00	13,125.00	13,125.00
06/14/2024	Maturity	3130A1XJ2	5,500,000.00	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	79,062.51	5,579,062.51
06/15/2024	Interest	63743HFE7	940,000.00	National Rural Utilities Note 3.45% Due 6/15/2025	0.00	16,215.00	16,215.00
06/15/2024	Interest	74340XCG4	4,000,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	0.00	97,500.00	97,500.00
06/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,934.11	261.19	49,195.30
06/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,391.57	194.45	39,586.02
06/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
06/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,282.09	1,630.65	68,912.74
06/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,893.21	1,308.44	43,201.65
06/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,119.41	2.90	2,122.31
06/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,934.46	1,578.05	83,512.51

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## Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,757.30	228.71	52,986.01
06/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,420.79	6,582.23	41,003.02
06/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,284.11	21.35	9,305.46
06/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,280.43	403.78	31,684.21
06/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,550.80	213.01	37,763.81
06/17/2024	Interest	3135G04Z3	6,200,000.00	FNMA Note 0.5% Due 6/17/2025	0.00	15,500.00	15,500.00
06/18/2024	Interest	89236TJK2	3,325,000.00	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	0.00	18,703.13	18,703.13
06/19/2024	Interest	06051GJD2	3,000,000.00	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	0.00	19,785.00	19,785.00
06/20/2024	Interest	3130AQF40	4,300,000.00	FHLB Note 1% Due 12/20/2024	0.00	21,500.00	21,500.00
06/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,487.81	19.37	5,507.18
06/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,014.12	2,535.87	69,549.99
06/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	322,066.06	526.04	322,592.10
06/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,160.50	344.58	52,505.08
06/23/2024	Interest	46647PCK0	1,565,000.00	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	0.00	7,582.43	7,582.43
06/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	63,424.00	894.69	64,318.69
06/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
06/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,239.89	7,587.53	60,827.42
06/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
06/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,905.90	14,604.32	101,510.22
06/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
06/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
06/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
06/30/2024	Interest	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	0.00	60,000.00	60,000.00
06/30/2024	Interest	91282CEW7	6,650,000.00	US Treasury Note 3.25% Due 6/30/2027	0.00	108,062.50	108,062.50
06/30/2024	Interest	91282CGC9	7,000,000.00	US Treasury Note 3.875% Due 12/31/2027	0.00	135,625.00	135,625.00
06/30/2024	Maturity	912828XX3	4,900,000.00	US Treasury Note 2% Due 6/30/2024	4,900,000.00	49,000.00	4,949,000.00
<b>JUN 2024</b>					<b>11,497,146.56</b>	<b>797,000.59</b>	<b>12,294,147.15</b>
07/01/2024	Interest	66815L2J7	2,350,000.00	Northwestern Mutual Gbl Note 4% Due 7/1/2025	0.00	47,000.00	47,000.00
07/07/2024	Interest	3135G0X24	4,220,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	34,287.50	34,287.50
07/11/2024	Interest	59217GER6	2,485,000.00	Metlife Note 1.875% Due 1/11/2027	0.00	23,296.88	23,296.88
07/13/2024	Interest	89236TJT3	2,700,000.00	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	0.00	19,575.00	19,575.00
07/14/2024	Interest	64952WEK5	3,745,000.00	New York Life Global Note 1.45% Due 1/14/2025	0.00	27,151.25	27,151.25
07/14/2024	Interest	66815L2A6	1,870,000.00	Northwestern Mutual Gbl Note 0.8% Due 1/14/2026	0.00	7,480.00	7,480.00

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## Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/15/2024	Interest	756109AU8	4,500,000.00	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	0.00	82,125.00	82,125.00
07/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,120.86	1.45	2,122.31
07/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,368.43	1,506.18	68,874.61
07/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,946.97	1,227.45	43,174.42
07/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,762.13	205.85	52,967.98
07/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
07/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,398.14	170.15	39,568.29
07/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,963.06	232.24	49,195.30
07/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	82,293.60	1,449.69	83,743.29
07/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,246.06	18.64	9,264.70
07/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,564.50	6,438.52	41,003.02
07/16/2024	Interest	57629WDE7	2,272,000.00	Mass Mutual Global funding Note 1.2% Due 7/16/2026	0.00	13,632.00	13,632.00
07/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,417.55	370.93	31,788.48
07/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,559.56	191.73	37,751.29
07/20/2024	Interest	24422EWR6	2,450,000.00	John Deere Capital Corp Note 4.75% Due 1/20/2028	0.00	58,187.50	58,187.50
07/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,221.86	2,328.13	69,549.99
07/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,489.95	17.23	5,507.18

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/21/2024	Interest	3137EAEU9	6,300,000.00	FHLMC Note 0.375% Due 7/21/2025	0.00	11,812.50	11,812.50
07/21/2024	Interest	90331HPL1	4,145,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	42,486.25	42,486.25
07/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,172.67	306.33	52,479.00
07/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,427.55	7,427.82	60,855.37
07/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
07/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
07/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	57,185.62	725.03	57,910.65
07/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
07/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
07/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
07/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	87,224.55	14,325.50	101,550.05
07/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
07/31/2024	Interest	91282CGH8	7,000,000.00	US Treasury Note 3.5% Due 1/31/2028	0.00	122,500.00	122,500.00
07/31/2024	Interest	91282CAB7	6,000,000.00	US Treasury Note 0.25% Due 7/31/2025	0.00	7,500.00	7,500.00
07/31/2024	Interest	91282CFB2	1,300,000.00	US Treasury Note 2.75% Due 7/31/2027	0.00	17,875.00	17,875.00
<b>JUL 2024</b>					<b>770,363.06</b>	<b>614,566.96</b>	<b>1,384,930.02</b>
08/10/2024	Interest	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	0.00	41,332.50	41,332.50

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/12/2024	Interest	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	49,312.50	49,312.50
08/15/2024	Interest	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.00	39,375.00	39,375.00
08/15/2024	Maturity	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	59,375.00	5,059,375.00
08/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,454.88	1,381.55	68,836.43
08/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,708.80	6,294.22	41,003.02
08/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,207.63	15.94	9,223.57
08/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	82,654.33	1,320.76	83,975.09
08/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,992.03	203.27	49,195.30
08/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,404.70	145.86	39,550.56
08/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	42,000.80	1,146.35	43,147.15
08/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,766.97	182.98	52,949.95
08/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
08/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,568.32	170.45	37,738.77
08/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,555.27	337.94	31,893.21
08/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,492.10	15.08	5,507.18
08/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,430.25	2,119.74	69,549.99

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## Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,184.85	268.07	52,452.92
08/25/2024	Interest	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	11,784.38	11,784.38
08/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
08/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
08/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	87,544.38	14,045.65	101,590.03
08/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
08/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,615.89	7,267.53	60,883.42
08/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
08/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	50,935.21	572.06	51,507.27
08/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
08/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
<b>AUG 2024</b>					<b>5,763,516.41</b>	<b>299,382.04</b>	<b>6,062,898.45</b>
<b>TOTAL</b>					<b>163,496,758.94</b>	<b>9,594,497.41</b>	<b>173,091,256.35</b>

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## Holdings by Maturity

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
90LAIF\$00	Local Agency Investment Fund State Pool	38,526,879.61	Various 3.48%	38,526,879.61 38,526,879.61	1.00 3.48%	38,526,879.61 242,646.47	7.87% 0.00	NR NR	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	313,727.42	08/15/2023 4.96%	313,727.42 313,727.42	1.00 4.96%	313,727.42 0.00	0.06% 0.00	Aaa AAA	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	1,513,861.61	Various 4.96%	1,513,861.61 1,513,861.61	1.00 4.96%	1,513,861.61 0.00	0.31% 0.00	Aaa AAA	0.00 0.00
3137B7M29	FHLMC K036 A2 3.527% Due 10/25/2023	487,843.24	05/16/2019 2.57%	506,861.51 506,861.51	99.58 4.95%	485,808.45 286.77	0.10% (21,053.06)	Aaa NR	0.02 0.13
912796CQ0	US Treasury Bill 5.085% Due 9/14/2023	21,500,000.00	06/15/2023 5.22%	21,226,681.25 21,226,681.25	98.73 5.22%	21,226,681.25 233,839.38	4.35% 0.00	P-1 A-1+	0.04 0.04
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	06/08/2021 0.34%	163,654.21 163,654.21	99.80 7.54%	163,357.63 24.01	0.03% (296.58)	Aaa AAA	0.04 0.03
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	252,167.16	09/22/2020 0.38%	252,130.11 252,130.11	98.99 5.89%	249,624.31 33.69	0.05% (2,505.80)	NR AAA	0.13 0.18
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	50,750,000.00	Various 5.40%	50,076,248.64 50,076,248.64	98.67 5.40%	50,076,248.64 318,366.03	10.22% 0.00	P-1 A-1+	0.13 0.13
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	268,168.92	09/08/2021 0.34%	268,141.25 268,141.25	99.23 5.88%	266,107.51 14.75	0.05% (2,033.74)	Aaa NR	0.19 0.14
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	32,991.17	07/14/2020 0.52%	32,986.14 32,986.14	99.61 6.34%	32,862.14 7.48	0.01% (124.00)	Aaa NR	0.21 0.07
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	941,913.87	08/10/2021 0.39%	941,901.06 941,901.06	99.11 6.07%	933,576.99 112.24	0.19% (8,324.07)	NR AAA	0.22 0.15
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	430,953.36	10/20/2020 0.39%	430,854.12 430,854.12	98.56 6.04%	424,765.31 72.78	0.09% (6,088.81)	NR AAA	0.25 0.25
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	30,000,000.00	06/15/2023 5.34%	29,225,923.33 29,225,923.33	97.42 5.34%	29,225,923.33 329,303.33	6.00% 0.00	P-1 A-1+	0.29 0.28
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	904,204.77	02/02/2021 0.27%	904,036.96 904,036.96	98.09 6.22%	886,954.35 104.49	0.18% (17,082.61)	Aaa NR	0.29 0.32
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	245,925.60	02/17/2021 0.27%	245,921.10 245,921.10	98.13 5.87%	241,319.90 18.44	0.05% (4,601.20)	Aaa NR	0.31 0.33

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05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	636,691.09	01/11/2022 1.11%	636,595.90 636,595.90	98.67 5.94%	628,218.64 116.73	0.13% (8,377.26)	NR AAA	0.32 0.27
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	1,054,083.77	01/11/2022 1.16%	1,054,060.47 1,054,060.47	98.68 6.12%	1,040,174.08 543.44	0.21% (13,886.39)	Aaa AAA	0.33 0.26
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	1,952,408.75	02/15/2022 1.91%	1,952,391.96 1,952,391.96	98.58 6.03%	1,924,682.59 1,133.48	0.39% (27,709.37)	Aaa NR	0.35 0.34
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	650,648.17	04/20/2021 0.38%	650,579.73 650,579.73	97.81 5.87%	636,392.47 109.89	0.13% (14,187.26)	NR AAA	0.38 0.40
912797GM3	US Treasury Bill 5.262% Due 2/8/2024	20,500,000.00	08/14/2023 5.48%	19,969,634.25 19,969,634.25	97.41 5.48%	19,969,634.25 50,939.08	4.06% 0.00	P-1 A-1+	0.44 0.43
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	23,249.98	05/18/2020 0.83%	23,248.15 23,248.15	99.81 7.85%	23,205.60 8.47	0.00% (42.55)	Aaa AAA	0.46 0.03
912828B66	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	Various 2.21%	5,121,796.88 5,121,796.88	98.80 5.44%	4,939,845.00 6,351.90	1.00% (181,951.88)	Aaa AA+	0.46 0.45
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	2,565,000.00	02/23/2022 1.98%	2,564,596.27 2,564,596.27	98.49 5.98%	2,526,332.63 1,536.15	0.51% (38,263.64)	NR AAA	0.51 0.37
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	1,065,000.00	05/10/2022 3.23%	1,064,944.62 1,064,944.62	97.47 5.83%	1,038,066.15 569.78	0.21% (26,878.47)	Aaa AAA	0.61 0.98
912828X70	US Treasury Note 2% Due 4/30/2024	3,150,000.00	Various 1.79%	3,177,480.46 3,177,480.46	97.79 5.42%	3,080,479.50 21,228.26	0.63% (97,000.96)	Aaa AA+	0.67 0.64
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	158,393.93	10/06/2020 0.36%	158,364.42 158,364.42	99.29 6.19%	157,272.33 24.64	0.03% (1,092.09)	NR AAA	0.70 0.12
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	669,545.37	11/09/2021 0.75%	669,395.92 669,395.92	96.51 5.94%	646,153.46 220.21	0.13% (23,242.46)	NR AAA	0.71 0.67
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	880,557.60	11/09/2021 0.71%	880,538.85 880,538.85	96.13 6.10%	846,501.15 277.86	0.17% (34,037.70)	NR AAA	0.75 0.72
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	98,761.27	08/04/2020 0.48%	98,740.53 98,740.53	99.71 4.38%	98,473.48 14.18	0.02% (267.05)	Aaa NR	0.76 0.07
43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	938,780.24	11/16/2021 0.89%	938,582.34 938,582.34	96.23 6.20%	903,420.14 229.48	0.18% (35,162.20)	Aaa NR	0.77 0.71

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3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	Various 1.95%	5,740,000.40 5,740,000.40	98.13 5.33%	5,396,957.50 33,821.18	1.10% (343,042.90)	Aaa AA+	0.79 0.76
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	1,002,388.75	07/13/2021 0.52%	1,002,299.34 1,002,299.34	96.42 5.86%	966,471.16 231.66	0.20% (35,828.18)	Aaa NR	0.79 0.67
362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	713,465.72	10/13/2021 0.68%	713,447.52 713,447.52	95.84 6.19%	683,811.94 202.15	0.14% (29,635.58)	Aaa AAA	0.79 0.75
912828XX3	US Treasury Note 2% Due 6/30/2024	4,900,000.00	Various 1.81%	4,942,253.91 4,942,253.91	97.25 5.42%	4,765,441.10 16,777.17	0.97% (176,812.81)	Aaa AA+	0.83 0.80
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	660,000.00	01/11/2022 1.27%	659,942.65 659,942.65	96.06 5.99%	633,963.00 346.50	0.13% (25,979.65)	NR AAA	0.89 0.84
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	1,650,000.00	02/15/2022 1.89%	1,649,751.84 1,649,751.84	96.09 5.91%	1,585,447.07 1,378.67	0.32% (64,304.77)	Aaa AAA	0.93 0.98
912828D56	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	12/12/2019 1.75%	5,140,234.38 5,140,234.38	97.18 5.44%	4,858,790.00 5,485.73	0.99% (281,444.38)	Aaa AA+	0.96 0.93
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	1,350,000.00	03/09/2022 2.23%	1,349,948.03 1,349,948.03	96.29 6.01%	1,299,863.70 1,332.00	0.26% (50,084.33)	NR AAA	1.01 0.99
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	4,000,000.00	09/13/2019 1.79%	4,206,760.00 4,206,760.00	97.46 5.43%	3,898,560.00 53,666.67	0.80% (308,200.00)	Aaa AA+	1.04 0.99
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	1,150,000.00	08/02/2022 3.75%	1,149,949.40 1,149,949.40	99.17 5.09%	1,140,414.75 1,307.17	0.23% (9,534.65)	NR AAA	1.06 0.93
13063DRK6	California State Taxable GO 2.4% Due 10/1/2024	3,385,000.00	10/16/2019 1.91%	3,462,753.45 3,462,753.45	96.77 5.52%	3,275,495.25 33,850.00	0.67% (187,258.20)	Aa2 AA-	1.09 1.04
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	1,010,000.00	03/10/2022 2.34%	1,009,776.59 1,009,776.59	96.82 5.91%	977,842.61 1,041.42	0.20% (31,933.98)	Aaa NR	1.09 0.89
3135G0W66	FNMA Note 1.625% Due 10/15/2024	3,500,000.00	Various 1.21%	3,564,275.00 3,564,275.00	96.10 5.24%	3,363,661.00 21,486.11	0.69% (200,614.00)	Aaa AA+	1.13 1.08
9128283D0	US Treasury Note 2.25% Due 10/31/2024	4,000,000.00	11/07/2019 1.77%	4,090,468.75 4,090,468.75	96.60 5.29%	3,864,064.00 30,326.09	0.79% (226,404.75)	Aaa AA+	1.17 1.12
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/1/2024	3,600,000.00	12/05/2019 2.26%	3,598,128.00 3,598,128.00	96.15 5.71%	3,461,302.80 27,000.00	0.71% (136,825.20)	A1 A	1.17 1.12

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14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/8/2024	2,593,000.00	Various 1.88%	2,624,484.21 2,624,484.21	96.29 5.42%	2,496,841.19 17,499.15	0.51% (127,643.02)	A2 A	1.19 1.14
74153WCQ0	Pricoa Global Funding Note 1.15% Due 12/6/2024	1,975,000.00	12/01/2021 1.19%	1,972,965.75 1,972,965.75	94.49 5.73%	1,866,173.55 5,362.67	0.38% (106,792.20)	Aa3 AA-	1.27 1.22
3130AQF40	FHLB Note 1% Due 12/20/2024	4,300,000.00	12/21/2021 1.02%	4,297,205.00 4,297,205.00	94.71 5.25%	4,072,422.50 8,480.56	0.83% (224,782.50)	Aaa AA+	1.31 1.26
3135G0X24	FNMA Note 1.625% Due 1/7/2025	4,220,000.00	Various 1.30%	4,282,878.20 4,282,878.20	95.45 5.16%	4,027,956.24 10,286.25	0.82% (254,921.96)	Aaa AA+	1.36 1.30
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	2,700,000.00	01/10/2022 1.50%	2,696,382.00 2,696,382.00	94.94 5.34%	2,563,434.00 5,220.00	0.52% (132,948.00)	A1 A+	1.37 1.32
64952WEK5	New York Life Global Note 1.45% Due 1/14/2025	3,745,000.00	01/11/2022 1.49%	3,740,955.40 3,740,955.40	94.49 5.69%	3,538,744.13 7,089.49	0.72% (202,211.27)	Aaa AA+	1.38 1.32
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	4,145,000.00	01/16/2020 2.10%	4,136,171.15 4,136,171.15	95.09 5.78%	3,941,422.47 9,441.39	0.80% (194,748.68)	A2 A+	1.39 1.33
3137EAEP0	FHLMC Note 1.5% Due 2/12/2025	6,575,000.00	02/13/2020 1.52%	6,569,937.25 6,569,937.25	94.96 5.16%	6,243,587.13 5,205.21	1.27% (326,350.12)	Aaa AA+	1.45 1.40
912828ZC7	US Treasury Note 1.125% Due 2/28/2025	7,000,000.00	03/18/2020 0.81%	7,108,007.81 7,108,007.81	94.32 5.10%	6,602,694.00 216.35	1.34% (505,313.81)	Aaa AA+	1.50 1.45
91282CED9	US Treasury Note 1.75% Due 3/15/2025	7,000,000.00	04/05/2022 2.65%	6,823,085.94 6,823,085.94	95.11 5.09%	6,657,658.00 56,589.67	1.36% (165,427.94)	Aaa AA+	1.54 1.48
69371RR73	Paccar Financial Corp Note 2.85% Due 4/7/2025	2,970,000.00	03/31/2022 2.86%	2,969,227.80 2,969,227.80	96.17 5.38%	2,856,349.98 33,858.00	0.59% (112,877.82)	A1 A+	1.60 1.52
78016EZ59	Royal Bank of Canada Note 3.375% Due 4/14/2025	1,270,000.00	04/07/2022 3.39%	1,269,530.10 1,269,530.10	96.74 5.51%	1,228,575.14 16,311.56	0.25% (40,954.96)	A1 A	1.62 1.53
3135G03U5	FNMA Note 0.625% Due 4/22/2025	5,270,000.00	04/22/2020 0.67%	5,259,143.80 5,259,143.80	93.14 5.03%	4,908,736.23 11,802.60	1.00% (350,407.57)	Aaa AA+	1.64 1.59
46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	1,000,000.00	05/20/2021 2.28%	1,037,330.00 1,037,330.00	94.06 5.92%	940,584.00 7,464.08	0.19% (96,746.00)	A1 A-	1.64 1.57
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	1,600,000.00	05/18/2022 4.99%	1,511,376.00 1,511,376.00	94.24 5.87%	1,507,916.80 11,961.07	0.31% (3,459.20)	A1 A-	1.66 1.58
06367WB85	Bank of Montreal Note 1.85% Due 5/1/2025	2,761,000.00	07/23/2021 0.85%	2,862,660.02 2,862,660.02	93.94 5.71%	2,593,650.27 17,026.17	0.53% (269,009.75)	A2 A-	1.67 1.59

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02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	2,765,000.00	05/17/2022 3.42%	2,764,388.38 2,764,388.38	96.75 5.46%	2,675,057.32 4,165.93	0.54% (89,331.06)	NR AAA	1.71 1.60
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	3,295,000.00	05/24/2021 2.03%	3,299,268.65 3,299,268.65	96.03 6.11%	3,164,089.65 6,787.70	0.64% (135,179.00)	A1 A-	1.75 1.67
63743HFE7	National Rural Utilities Note 3.45% Due 6/15/2025	940,000.00	04/27/2022 3.46%	939,746.20 939,746.20	96.42 5.58%	906,311.34 6,846.33	0.19% (33,434.86)	A2 A-	1.79 1.69
3135G04Z3	FNMA Note 0.5% Due 6/17/2025	6,200,000.00	Various 0.51%	6,196,731.90 6,196,731.90	92.40 4.98%	5,728,744.20 6,372.22	1.16% (467,987.70)	Aaa AA+	1.80 1.74
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	3,000,000.00	Various 2.27%	3,007,920.00 3,007,920.00	92.03 6.05%	2,760,780.00 7,914.00	0.56% (247,140.00)	A1 A-	1.80 1.73
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	1,565,000.00	Various 2.17%	1,565,968.20 1,565,968.20	95.86 6.12%	1,500,155.79 2,864.47	0.30% (65,812.41)	A1 A-	1.81 1.73
66815L2J7	Northwestern Mutual Gbl Note 4% Due 7/1/2025	2,350,000.00	06/27/2022 4.01%	2,349,201.00 2,349,201.00	97.40 5.51%	2,288,935.25 15,666.67	0.47% (60,265.75)	Aaa AA+	1.84 1.73
3137EAEU9	FHLMC Note 0.375% Due 7/21/2025	6,300,000.00	Various 0.45%	6,277,899.60 6,277,899.60	91.87 4.94%	5,787,696.60 2,625.00	1.17% (490,203.00)	Aaa AA+	1.89 1.84
91282CAB7	US Treasury Note 0.25% Due 7/31/2025	6,000,000.00	03/12/2021 0.72%	5,879,062.50 5,879,062.50	91.61 4.89%	5,496,330.00 1,304.35	1.12% (382,732.50)	Aaa AA+	1.92 1.87
3135G05X7	FNMA Note 0.375% Due 8/25/2025	6,285,000.00	Various 0.46%	6,260,439.20 6,260,439.20	91.42 4.97%	5,746,042.40 392.81	1.17% (514,396.80)	Aaa AA+	1.99 1.93
3137EAEX3	FHLMC Note 0.375% Due 9/23/2025	6,295,000.00	Various 0.44%	6,276,346.05 6,276,346.05	91.24 4.89%	5,743,803.51 10,360.52	1.17% (532,542.54)	Aaa AA+	2.07 2.00
91282CAM3	US Treasury Note 0.25% Due 9/30/2025	3,200,000.00	03/29/2021 0.74%	3,130,375.00 3,130,375.00	91.11 4.79%	2,915,500.80 3,366.12	0.59% (214,874.20)	Aaa AA+	2.08 2.03
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	6,300,000.00	Various 0.59%	6,272,360.85 6,272,360.85	91.22 4.83%	5,747,036.40 10,762.50	1.17% (525,324.45)	Aaa AAA	2.16 2.09
91282CAT8	US Treasury Note 0.25% Due 10/31/2025	6,000,000.00	02/19/2021 0.53%	5,923,125.00 5,923,125.00	90.79 4.78%	5,447,112.00 5,054.35	1.11% (476,013.00)	Aaa AA+	2.17 2.11
3135G06G3	FNMA Note 0.5% Due 11/7/2025	6,300,000.00	Various 0.57%	6,279,895.00 6,279,895.00	91.11 4.84%	5,739,785.11 9,975.00	1.17% (540,109.89)	Aaa AA+	2.19 2.12
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	1,880,000.00	02/22/2023 5.07%	1,879,657.46 1,879,657.46	99.30 5.42%	1,866,866.32 4,186.13	0.38% (12,791.14)	Aaa NR	2.20 1.93

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91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	3,800,000.00	03/26/2021 0.77%	3,731,867.19 3,731,867.19	90.77 4.75%	3,449,092.80 3,620.90	0.70% (282,774.39)	Aaa AA+	2.25 2.19
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	3,000,000.00	Various 1.12%	2,969,700.00 2,969,700.00	89.74 5.75%	2,692,092.00 5,906.26	0.55% (277,608.00)	Aa1 AA+	2.28 2.19
3137F4D41	FHLMC K074 A2 3.6% Due 1/25/2028	3,000,000.00	03/16/2023 4.93%	2,911,054.69 2,911,054.69	95.00 4.88%	2,849,871.00 1,800.00	0.58% (61,183.69)	NR NR	2.31 3.89
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 1/14/2026	1,870,000.00	12/06/2021 1.47%	1,820,388.90 1,820,388.90	89.88 5.41%	1,680,795.27 1,953.11	0.34% (139,593.63)	Aaa AA+	2.38 2.29
3137FG6X8	FHLMC K077 A2 3.85% Due 5/25/2028	5,320,000.00	Various 4.60%	5,229,125.01 5,229,125.01	95.86 4.84%	5,099,496.64 3,413.66	1.04% (129,628.37)	NR NR	2.49 4.14
3137BSRE5	FHLMC K059 A2 3.12% Due 9/25/2026	3,000,000.00	02/18/2022 1.98%	3,128,437.50 3,128,437.50	94.74 5.01%	2,842,248.00 7,800.00	0.58% (286,189.50)	NR AAA	2.57 2.76
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 4/20/2026	6,390,000.00	04/13/2021 0.97%	6,360,733.80 6,360,733.80	90.62 4.70%	5,790,918.33 20,345.94	1.18% (569,815.47)	Aaa AAA	2.64 2.54
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	3,000,000.00	Various 4.95%	2,906,255.64 2,906,255.64	94.74 5.72%	2,842,254.00 37,962.67	0.58% (64,001.64)	A1 A-	2.65 2.44
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	4,865,000.00	05/10/2021 1.09%	4,843,983.20 4,843,983.20	90.37 4.85%	4,396,510.23 14,730.14	0.89% (447,472.97)	A1 AA	2.70 2.59
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	805,000.00	Various 1.08%	807,605.05 807,605.05	90.47 4.96%	728,255.33 2,725.82	0.15% (79,349.72)	A2 A+	2.71 2.60
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	3,325,000.00	06/15/2021 1.13%	3,323,537.00 3,323,537.00	89.95 5.02%	2,990,907.33 7,585.16	0.61% (332,629.67)	A1 A+	2.80 2.69
57629WDE7	Mass Mutual Global funding Note 1.2% Due 7/16/2026	2,272,000.00	08/10/2021 1.21%	2,271,369.92 2,271,369.92	88.86 5.44%	2,019,003.72 3,408.00	0.41% (252,366.20)	Aa3 AA+	2.88 2.75
3137BSP72	FHLMC K058 A2 2.653% Due 8/25/2026	1,500,000.00	11/12/2021 1.35%	1,586,425.78 1,586,425.78	93.54 5.02%	1,403,173.50 3,316.25	0.29% (183,252.28)	NR NR	2.90 2.75
91282CCW9	US Treasury Note 0.75% Due 8/31/2026	1,570,000.00	09/23/2021 0.90%	1,558,899.61 1,558,899.61	89.53 4.52%	1,405,578.61 32.35	0.29% (153,321.00)	Aaa AA+	3.00 2.90
58989V2D5	Met Tower Global Funding Note 1.25% Due 9/14/2026	1,715,000.00	09/07/2021 1.27%	1,713,422.20 1,713,422.20	88.20 5.53%	1,512,581.98 9,944.62	0.31% (200,840.22)	Aa3 AA-	3.04 2.89

## City of Corona Consolidated

Account #10003

## Holdings by Maturity

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
931142ER0	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	785,000.00	09/08/2021 1.09%	783,516.35 783,516.35	89.62 4.75%	703,513.08 3,754.92	0.14% (80,003.27)	Aa2 AA	3.05 2.92
91282CCZ2	US Treasury Note 0.875% Due 9/30/2026	3,130,000.00	10/18/2021 1.19%	3,083,172.27 3,083,172.27	89.74 4.48%	2,808,808.79 11,523.70	0.57% (274,363.48)	Aaa AA+	3.08 2.97
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	3,120,000.00	11/15/2021 1.25%	3,100,987.50 3,100,987.50	90.15 4.50%	2,812,630.08 11,827.17	0.57% (288,357.42)	Aaa AA+	3.17 3.04
59217GER6	Metlife Note 1.875% Due 1/11/2027	2,485,000.00	01/03/2022 1.90%	2,482,167.10 2,482,167.10	89.34 5.38%	2,220,161.13 6,471.35	0.45% (262,005.97)	Aa3 AA-	3.37 3.17
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	1,300,000.00	03/09/2022 2.73%	1,282,866.00 1,282,866.00	89.99 5.64%	1,169,922.00 15,748.06	0.24% (112,944.00)	A2 A-	3.51 3.24
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	1,900,000.00	03/09/2022 2.97%	1,884,933.00 1,884,933.00	91.73 5.41%	1,742,832.00 25,270.00	0.36% (142,101.00)	A1 A	3.53 3.24
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	1,345,000.00	05/05/2022 4.04%	1,342,821.10 1,342,821.10	96.03 5.19%	1,291,655.96 16,588.33	0.27% (51,165.14)	A2 A+	3.69 3.33
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	1,365,000.00	05/17/2022 3.69%	1,365,638.40 1,365,638.40	96.13 4.85%	1,312,186.80 14,870.91	0.27% (53,451.60)	A2 A+	3.71 3.37
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	2,500,000.00	07/15/2022 3.53%	2,441,975.00 2,441,975.00	94.43 4.65%	2,360,807.50 21,458.33	0.48% (81,167.50)	Aa3 A+	3.72 3.43
3137FBBX3	FHLMC K068 A2 3.244% Due 8/25/2027	2,650,000.00	11/02/2022 4.79%	2,482,408.20 2,482,408.20	93.98 4.93%	2,490,597.20 7,163.83	0.51% 8,189.00	Aaa NR	3.73 3.57
91282CET4	US Treasury Note 2.625% Due 5/31/2027	2,400,000.00	06/09/2022 3.07%	2,351,156.25 2,351,156.25	93.87 4.42%	2,252,812.80 16,008.20	0.46% (98,343.45)	Aaa AA+	3.75 3.49
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	1,400,000.00	08/26/2022 4.18%	1,395,310.00 1,395,310.00	95.50 5.44%	1,337,029.40 13,259.71	0.27% (58,280.60)	A1 A	3.77 3.40
91282CEW7	US Treasury Note 3.25% Due 6/30/2027	6,650,000.00	Various 3.12%	6,690,380.86 6,690,380.86	96.00 4.39%	6,384,000.00 36,999.66	1.30% (306,380.86)	Aaa AA+	3.83 3.53
91282CFB2	US Treasury Note 2.75% Due 7/31/2027	1,300,000.00	08/22/2022 3.12%	1,277,960.94 1,277,960.94	94.14 4.40%	1,223,777.10 3,108.70	0.25% (54,183.84)	Aaa AA+	3.92 3.64
91282CFH9	US Treasury Note 3.125% Due 8/31/2027	3,450,000.00	Various 3.45%	3,399,517.58 3,399,517.58	95.42 4.39%	3,292,055.55 296.19	0.67% (107,462.03)	Aaa AA+	4.00 3.70

## City of Corona Consolidated

Account #10003

## Holdings by Maturity

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
91282CFM8	US Treasury Note 4.125% Due 9/30/2027	2,800,000.00	Various 4.37%	2,770,000.01 2,770,000.01	99.07 4.37%	2,774,077.60 48,598.36	0.57% 4,077.59	Aaa AA+	4.08 3.65
3137FETN0	FHLMC K073 A2 3.35% Due 1/25/2028	6,000,000.00	Various 4.55%	5,707,999.69 5,707,999.69	94.05 4.88%	5,642,988.00 3,350.00	1.15% (65,011.69)	NR NR	4.24 3.90
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	4,600,000.00	Various 3.74%	4,628,085.94 4,628,085.94	98.16 4.35%	4,515,548.60 45,293.03	0.93% (112,537.34)	Aaa AA+	4.25 3.83
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	4,690,000.00	07/20/2023 4.60%	4,471,072.27 4,471,072.27	94.44 4.89%	4,429,432.98 13,460.30	0.90% (41,639.29)	NR NR	4.32 3.84
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	7,000,000.00	Various 3.78%	7,028,945.31 7,028,945.31	98.17 4.34%	6,871,760.00 46,436.82	1.40% (157,185.31)	Aaa AA+	4.34 3.92
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	4,500,000.00	Various 4.99%	4,242,810.00 4,242,810.00	93.52 5.33%	4,208,247.00 20,987.50	0.86% (34,563.00)	A3 A-	4.38 3.94
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	1,500,000.00	02/16/2023 4.76%	1,532,220.00 1,532,220.00	101.93 4.75%	1,528,953.00 3,500.00	0.31% (3,267.00)	A2 A+	4.38 3.87
24422EWR6	John Deere Capital Corp Note 4.75% Due 1/20/2028	2,450,000.00	01/23/2023 4.40%	2,487,705.50 2,487,705.50	99.55 4.86%	2,439,068.10 13,253.82	0.50% (48,637.40)	A2 A	4.39 3.90
91282CGH8	US Treasury Note 3.5% Due 1/31/2028	7,000,000.00	02/16/2023 4.05%	6,828,007.81 6,828,007.81	96.70 4.33%	6,768,944.00 21,304.35	1.38% (59,063.81)	Aaa AA+	4.42 4.02
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	2,090,000.00	03/06/2023 4.90%	2,087,972.70 2,087,972.70	100.31 4.79%	2,096,485.27 48,679.58	0.44% 8,512.57	Aa3 A+	4.45 3.86
91282CGP0	US Treasury Note 4% Due 2/29/2028	7,000,000.00	02/23/2023 4.16%	6,949,960.94 6,949,960.94	98.77 4.30%	6,913,865.00 769.23	1.40% (36,095.94)	Aaa AA+	4.50 4.07
880591EZ1	Tennessee Valley Authority Note 3.875% Due 3/15/2028	3,500,000.00	05/04/2023 3.56%	3,548,755.00 3,548,755.00	97.97 4.37%	3,428,876.50 56,887.15	0.71% (119,878.50)	Aaa AA+	4.54 4.05
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	1,650,000.00	05/08/2023 4.01%	1,649,083.50 1,649,083.50	97.73 4.54%	1,612,596.15 20,350.00	0.33% (36,487.35)	Aaa AA+	4.70 4.17
58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	1,975,000.00	05/08/2023 4.05%	1,975,392.25 1,975,392.25	97.60 4.62%	1,927,562.48 23,107.50	0.40% (47,829.77)	A1 A+	4.72 4.18
91282CHE4	US Treasury Note 3.625% Due 5/31/2028	7,000,000.00	06/14/2023 4.00%	6,884,335.94 6,884,335.94	97.26 4.27%	6,808,046.00 64,477.46	1.39% (76,289.94)	Aaa AA+	4.75 4.27

## City of Corona Consolidated

Account #10003

## Holdings by Maturity

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	4,000,000.00	Various 5.11%	3,959,713.70 3,959,713.70	98.96 5.12%	3,958,556.00 34,125.00	0.81% (1,157.70)	A3 A	4.79 4.18
3137FGR31	FHLMC K078 A2 3.854% Due 6/25/2028	1,997,000.00	06/22/2023 4.42%	1,951,677.46 1,951,677.46	95.87 4.83%	1,914,467.98 1,282.74	0.39% (37,209.48)	NR NR	4.82 4.17
3130AWN63	FHLB Note 4% Due 6/30/2028	3,000,000.00	08/21/2023 4.53%	2,931,360.00 2,931,360.00	98.82 4.27%	2,964,705.00 15,666.67	0.60% 33,345.00	Aaa AA+	4.84 4.32
69371RS64	Paccar Financial Corp Note 4.95% Due 8/10/2028	1,670,000.00	08/03/2023 4.99%	1,667,361.40 1,667,361.40	100.44 4.85%	1,677,289.55 4,822.13	0.34% 9,928.15	A1 A+	4.95 4.33
<b>TOTAL PORTFOLIO</b>		<b>510,529,290.13</b>	<b>3.13%</b>	<b>507,164,549.21</b>	<b>5.02%</b>	<b>490,346,665.46</b>	<b>100.00%</b> <b>(16,817,883.75)</b>	<b>Aa1</b> <b>AA+</b>	<b>1.71</b> <b>1.62</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>492,920,755.29</b>			

## City of Corona Consolidated

Account #10003

## Issuer Report

As of August 31, 2023



Issue Name	Par	Cost	Market Value	MVACC	% Portfolio
Government of United States	\$234,820,000.00	\$232,117,656.25	\$227,407,398.80	\$228,796,842.73	46.42%
Federal Home Loan Mortgage Corp	\$47,814,843.24	\$47,099,245.01	\$44,933,170.99	\$44,993,235.27	9.13%
Local Agency Investment Fund	\$38,526,879.61	\$38,526,879.61	\$38,526,879.61	\$38,769,526.08	7.87%
Federal National Mortgage Association	\$31,775,000.00	\$31,843,363.10	\$29,514,925.18	\$29,575,240.17	6.00%
Federal Home Loan Bank	\$16,800,000.00	\$17,175,325.40	\$16,332,645.00	\$16,444,280.08	3.34%
Inter-American Dev Bank	\$6,390,000.00	\$6,360,733.80	\$5,790,918.33	\$5,811,264.27	1.18%
Intl Bank Recon and Development	\$6,300,000.00	\$6,272,360.85	\$5,747,036.40	\$5,757,798.90	1.17%
Bank of America Corp	\$6,000,000.00	\$5,914,175.64	\$5,603,034.00	\$5,648,910.67	1.15%
JP Morgan Chase & Co	\$5,860,000.00	\$5,902,566.85	\$5,604,829.44	\$5,621,945.69	1.14%
Toyota Motor Corp	\$6,025,000.00	\$6,019,919.00	\$5,554,341.33	\$5,567,146.49	1.13%
Royal Bank of Canada	\$4,870,000.00	\$4,867,658.10	\$4,689,877.94	\$4,733,189.50	0.96%
Paccar Financial	\$4,640,000.00	\$4,636,589.20	\$4,533,639.53	\$4,572,319.66	0.93%
Amazon.com Inc	\$4,865,000.00	\$4,843,983.20	\$4,396,510.23	\$4,411,240.37	0.89%
Realty Income Corp	\$4,500,000.00	\$4,242,810.00	\$4,208,247.00	\$4,229,234.50	0.86%
Prologis Trust	\$4,000,000.00	\$3,959,713.70	\$3,958,556.00	\$3,992,681.00	0.81%
Northwestern Mutual Gbl	\$4,220,000.00	\$4,169,589.90	\$3,969,730.52	\$3,987,350.30	0.81%
US Bancorp	\$4,145,000.00	\$4,136,171.15	\$3,941,422.47	\$3,950,863.86	0.80%
John Deere ABS	\$3,925,379.92	\$3,924,719.53	\$3,844,042.23	\$3,849,508.92	0.78%
Metlife Inc	\$4,200,000.00	\$4,195,589.30	\$3,732,743.11	\$3,749,159.08	0.76%
United Health Group Inc	\$3,670,000.00	\$3,705,463.45	\$3,569,395.13	\$3,590,491.86	0.73%
New York Life Global Funding	\$3,745,000.00	\$3,740,955.40	\$3,538,744.13	\$3,545,833.62	0.72%
Tennessee Valley Authority	\$3,500,000.00	\$3,548,755.00	\$3,428,876.50	\$3,485,763.65	0.71%
State of California	\$3,385,000.00	\$3,462,753.45	\$3,275,495.25	\$3,309,345.25	0.67%
Toronto Dominion Holdings	\$3,300,000.00	\$3,280,243.00	\$3,079,861.40	\$3,118,391.11	0.63%
Hyundai Auto Receivables	\$3,101,146.90	\$3,100,777.80	\$3,007,174.94	\$3,008,909.82	0.61%
Honda ABS	\$3,110,122.98	\$3,109,633.54	\$3,003,017.02	\$3,004,685.77	0.61%
GM Financial Automobile Leasing Trust	\$2,894,322.62	\$2,894,293.02	\$2,858,259.58	\$2,859,505.30	0.58%
Guardian Life Global Funding	\$3,000,000.00	\$2,969,700.00	\$2,692,092.00	\$2,697,998.26	0.55%
American Express ABS	\$2,765,000.00	\$2,764,388.38	\$2,675,057.32	\$2,679,223.25	0.54%
Bank of Montreal Chicago	\$2,761,000.00	\$2,862,660.02	\$2,593,650.27	\$2,610,676.44	0.53%

## City of Corona Consolidated

Account #10003

## Issuer Report

As of August 31, 2023



Issue Name	Par	Cost	Market Value	MVACC	% Portfolio
Toyota Lease Owner Trust	\$2,565,000.00	\$2,564,596.27	\$2,526,332.63	\$2,527,868.78	0.51%
Caterpillar Inc	\$2,593,000.00	\$2,624,484.21	\$2,496,841.19	\$2,514,340.34	0.51%
Deere & Company	\$2,450,000.00	\$2,487,705.50	\$2,439,068.10	\$2,452,321.92	0.50%
Costco Wholesale Corporation	\$2,500,000.00	\$2,441,975.00	\$2,360,807.50	\$2,382,265.83	0.48%
MasterCard Inc	\$2,090,000.00	\$2,087,972.70	\$2,096,485.27	\$2,145,164.85	0.44%
Mass Mutual Insurance	\$2,272,000.00	\$2,271,369.92	\$2,019,003.72	\$2,022,411.72	0.41%
Merck & Company	\$1,975,000.00	\$1,975,392.25	\$1,927,562.48	\$1,950,669.98	0.40%
Toyota ABS	\$1,943,156.30	\$1,942,940.23	\$1,890,727.83	\$1,891,134.82	0.38%
Prudential Financial Inc	\$1,975,000.00	\$1,972,965.75	\$1,866,173.55	\$1,871,536.22	0.38%
Federated Govt Obligation Money Market Fund	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	0.37%
Apple Inc	\$1,650,000.00	\$1,649,083.50	\$1,612,596.15	\$1,632,946.15	0.33%
Morgan Stanley	\$1,600,000.00	\$1,511,376.00	\$1,507,916.80	\$1,519,877.87	0.31%
GM Financial Securitized Term Auto Trust	\$1,373,465.72	\$1,373,390.17	\$1,317,774.94	\$1,318,323.59	0.27%
Northern Trust Corp	\$1,345,000.00	\$1,342,821.10	\$1,291,655.96	\$1,308,244.29	0.27%
Hyundai Auto Lease Securitization	\$1,217,762.53	\$1,217,714.68	\$1,203,531.71	\$1,204,099.16	0.24%
Charles Schwab Corp/The	\$1,300,000.00	\$1,282,866.00	\$1,169,922.00	\$1,185,670.06	0.24%
Verizon Master Trust	\$1,150,000.00	\$1,149,949.40	\$1,140,414.75	\$1,141,721.92	0.23%
BMW ABS	\$1,065,000.00	\$1,064,944.62	\$1,038,066.15	\$1,038,635.93	0.21%
National Rural Utilities	\$940,000.00	\$939,746.20	\$906,311.34	\$913,157.67	0.19%
BMW Vehicle Lease Trust	\$904,860.01	\$904,737.15	\$894,326.15	\$894,457.63	0.18%
Wal-Mart Stores	\$785,000.00	\$783,516.35	\$703,513.08	\$707,268.00	0.14%
Verizon Owner Trust	\$98,761.27	\$98,740.53	\$98,473.48	\$98,487.66	0.02%
<b>TOTAL</b>	<b>\$510,529,290.13</b>	<b>\$507,164,549.21</b>	<b>\$490,346,665.46</b>	<b>\$492,920,755.29</b>	<b>100.00%</b>



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**Custody:** Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

**Valuation:** Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

**Performance:** Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

Source ice Data Indices, LLC ("ICE"), used with permission. ICE PERMITS USE OF THE ICE INDICES AND RELATED DATA ON AN "AS IS" BASIS; ICE, ITS AFFILIATES AND THEIR RESPECTIVE THIRD PARTY SUPPLIERS DISCLAIM ANY AND ALL WARRANTIES AND REPRESENTATIONS, EXPRESS AND/OR IMPLIED, INCLUDING ANY WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR USE, INCLUDING THE INDICES, INDEX DATA AND ANY DATA INCLUDED IN, RELATED TO, OR DERIVED THEREFROM. NEITHER ICE DATA, ITS AFFILIATES OR THEIR RESPECTIVE THIRD PARTY PROVIDERS GUARANTEE THE QUALITY, ADEQUACY, ACCURACY, TIMELINESS OR COMPLETENESS OF THE INDICES OR THE INDEX DATA OR ANY COMPONENT THEREOF, AND THE INDICES AND INDEX DATA AND ALL COMPONENTS THEREOF ARE PROVIDED ON AN "AS IS" BASIS AND LICENSEE'S USE IS AT LICENSEE'S OWN RISK. ICE DATA, ITS AFFILIATES AND THEIR RESPECTIVE THIRD PARTY DO NOT SPONSOR, ENDORSE, OR RECOMMEND CHANDLER, OR ANY OF ITS PRODUCTS OR SERVICES.

Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

**Ratings:** Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
ICE BofA 1-5 Yr US Treasury & Agency Index	The ICE BofA 1-5 Year US Treasury & Agency Index tracks the performance of US dollar denominated US Treasury and nonsubordinated US agency debt issued in the US domestic market. Qualifying securities must have an investment grade rating (based on an average of Moody's, S&P and Fitch). Qualifying securities must have at least one year remaining term to final maturity and less than five years remaining term to final maturity, at least 18 months to maturity at time of issuance, a fixed coupon schedule, and a minimum amount outstanding of \$1 billion for sovereigns and \$250 million for agencies.

## Portfolio Performance

*August 31, 2023*

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
7/31/2006			
8/31/2006	0.744%	0.530%	0.633%
9/30/2006	0.559%	0.506%	0.533%
10/31/2006	0.585%	0.445%	0.517%
10/31/2006	0.585%	0.445%	0.517%
11/30/2006	0.655%	0.488%	0.573%
12/31/2006	-0.102%	0.203%	0.044%
1/31/2007	0.159%	0.350%	0.250%
2/28/2007	1.021%	0.604%	0.798%
3/31/2007	0.334%	0.407%	0.372%
4/30/2007	0.403%	0.415%	0.409%
5/31/2007	-0.330%	0.247%	-0.031%
6/30/2007	0.360%	0.413%	0.390%
7/31/2007	0.984%	0.429%	0.672%
8/31/2007	0.940%	0.464%	0.809%
9/30/2007	0.824%	0.415%	0.728%
10/31/2007	0.451%	0.410%	0.443%
11/30/2007	1.608%	0.401%	1.392%
12/31/2007	0.414%	0.404%	0.413%
1/31/2008	1.952%	0.388%	1.600%
2/29/2008	0.908%	0.329%	0.730%
3/31/2008	0.102%	0.321%	0.164%
4/30/2008	-0.683%	0.278%	-0.482%
5/31/2008	-0.519%	0.263%	-0.340%
6/30/2008	0.310%	0.237%	0.288%
7/31/2008	0.468%	0.237%	0.406%
8/31/2008	0.494%	0.232%	0.431%
9/30/2008	-0.920%	0.227%	-0.704%
10/31/2008	0.620%	0.231%	0.549%
11/30/2008	2.483%	0.208%	2.067%
12/31/2008	2.145%	0.206%	1.875%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2009	-0.248%	0.175%	-0.192%
2/28/2009	0.166%	0.141%	0.160%
3/31/2009	0.639%	0.153%	0.555%
4/30/2009	0.500%	0.132%	0.454%
5/31/2009	0.334%	0.134%	0.307%
6/30/2009	-0.121%	0.114%	-0.067%
7/31/2009	0.518%	0.089%	0.428%
8/31/2009	0.662%	0.074%	0.544%
9/30/2009	0.353%	0.062%	0.301%
10/31/2009	0.444%	0.054%	0.386%
11/30/2009	0.866%	0.050%	0.775%
12/31/2009	-1.061%	0.049%	-0.960%
1/31/2010	0.957%	0.048%	0.855%
2/28/2010	0.398%	0.041%	0.322%
3/31/2010	-0.270%	0.046%	-0.218%
4/30/2010	0.514%	0.048%	0.446%
5/31/2010	0.464%	0.047%	0.419%
6/30/2010	0.895%	0.042%	0.743%
7/31/2010	0.670%	0.049%	0.548%
8/31/2010	0.389%	0.043%	0.329%
9/30/2010	0.322%	0.041%	0.285%
10/31/2010	0.490%	0.041%	0.444%
11/30/2010	-0.447%	0.037%	-0.400%
12/31/2010	-0.424%	0.038%	-0.381%
1/31/2011	0.246%	0.038%	0.227%
2/28/2011	-0.060%	0.034%	-0.044%
3/31/2011	0.030%	0.037%	0.031%
4/30/2011	0.678%	0.050%	0.599%
5/31/2011	0.519%	0.036%	0.459%
6/30/2011	-0.059%	0.032%	-0.040%
7/31/2011	0.658%	0.046%	0.536%
8/31/2011	0.423%	0.031%	0.354%
9/30/2011	-0.178%	0.031%	-0.143%
10/31/2011	0.293%	0.035%	0.254%
11/30/2011	-0.053%	0.031%	-0.038%
12/31/2011	0.307%	0.030%	0.264%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2012	0.542%	0.035%	0.466%
2/29/2012	-0.011%	0.030%	-0.002%
3/31/2012	-0.125%	0.032%	-0.095%
4/30/2012	0.449%	0.029%	0.372%
5/31/2012	0.072%	0.031%	0.064%
6/30/2012	0.107%	0.030%	0.089%
7/31/2012	0.431%	0.029%	0.332%
8/31/2012	0.181%	0.030%	0.151%
9/30/2012	0.046%	0.028%	0.042%
10/31/2012	-0.035%	0.028%	-0.024%
11/30/2012	0.243%	0.026%	0.210%
12/31/2012	-0.043%	0.028%	-0.034%
1/31/2013	-0.100%	0.023%	-0.084%
2/28/2013	0.218%	0.022%	0.185%
3/31/2013	0.056%	0.024%	0.052%
4/30/2013	0.225%	0.020%	0.213%
5/31/2013	-0.474%	0.022%	-0.442%
6/30/2013	-0.505%	0.020%	-0.424%
7/31/2013	0.280%	0.022%	0.240%
8/31/2013	-0.238%	0.023%	-0.200%
9/30/2013	0.493%	0.021%	0.438%
10/31/2013	0.339%	0.021%	0.305%
11/30/2013	0.150%	0.022%	0.137%
12/31/2013	-0.423%	0.025%	-0.379%
1/31/2014	0.483%	0.018%	0.434%
2/28/2014	0.175%	0.018%	0.148%
3/31/2014	-0.271%	0.020%	-0.228%
4/30/2014	0.274%	0.017%	0.239%
5/31/2014	0.380%	0.020%	0.338%
6/30/2014	-0.058%	0.019%	-0.047%
7/31/2014	-0.200%	0.019%	-0.157%
8/31/2014	0.289%	0.021%	0.242%
9/30/2014	-0.151%	0.020%	-0.124%
10/31/2014	0.392%	0.020%	0.333%
11/30/2014	0.320%	0.021%	0.273%
12/31/2014	-0.210%	0.023%	-0.175%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2015	0.961%	0.023%	0.800%
2/28/2015	-0.419%	0.020%	-0.297%
3/31/2015	0.404%	0.024%	0.325%
4/30/2015	0.035%	0.021%	0.032%
5/31/2015	0.082%	0.025%	0.071%
6/30/2015	-0.143%	0.024%	-0.105%
7/31/2015	0.166%	0.026%	0.131%
8/31/2015	-0.009%	0.028%	0.000%
9/30/2015	0.490%	0.027%	0.401%
10/31/2015	-0.089%	0.027%	-0.069%
11/30/2015	-0.240%	0.030%	-0.201%
12/31/2015	-0.085%	0.034%	-0.070%
1/31/2016	0.917%	0.034%	0.836%
2/29/2016	0.206%	0.036%	0.180%
3/31/2016	0.383%	0.043%	0.333%
4/30/2016	0.044%	0.041%	0.043%
5/31/2016	-0.121%	0.073%	-0.097%
6/30/2016	0.796%	0.048%	0.683%
7/31/2016	0.045%	0.020%	0.040%
8/31/2016	-0.215%	0.052%	-0.185%
9/30/2016	0.105%	0.053%	0.099%
10/31/2016	-0.128%	0.054%	-0.099%
11/30/2016	-0.814%	0.055%	-0.696%
12/31/2016	0.063%	0.074%	0.064%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2017	0.177%	0.065%	0.163%
2/28/2017	0.203%	0.059%	0.175%
3/31/2017	0.092%	0.062%	0.086%
4/30/2017	0.330%	0.071%	0.282%
5/31/2017	0.236%	0.079%	0.205%
6/30/2017	-0.062%	0.081%	-0.036%
7/31/2017	0.305%	0.086%	0.259%
8/31/2017	0.304%	0.092%	0.269%
9/30/2017	-0.233%	0.092%	-0.181%
10/31/2017	-0.032%	0.094%	-0.007%
11/30/2017	-0.269%	0.096%	-0.213%
12/31/2017	0.026%	0.105%	0.036%
1/31/2018	-0.471%	0.123%	-0.382%
2/28/2018	-0.167%	0.108%	-0.108%
3/31/2018	0.219%	0.129%	0.201%
4/30/2018	-0.200%	0.154%	-0.132%
5/31/2018	0.467%	0.151%	0.405%
6/30/2018	-0.007%	0.151%	0.030%
7/31/2018	-0.005%	0.218%	0.043%
8/31/2018	0.458%	0.169%	0.419%
9/30/2018	-0.167%	0.168%	-0.123%
10/31/2018	0.039%	0.232%	0.062%
11/30/2018	0.384%	0.180%	0.357%
12/31/2018	0.968%	0.196%	0.882%
1/31/2019	0.514%	0.241%	0.477%
2/28/2019	0.166%	0.185%	0.169%
3/31/2019	0.774%	0.206%	0.670%
4/30/2019	0.192%	0.233%	0.200%
5/31/2019	0.822%	0.208%	0.704%
6/30/2019	0.687%	0.201%	0.612%
7/31/2019	-0.046%	0.235%	0.008%
8/31/2019	1.074%	0.199%	0.993%
9/30/2019	-0.144%	0.186%	-0.113%
10/31/2019	0.331%	0.218%	0.320%
11/30/2019	-0.005%	0.169%	0.008%
12/31/2019	0.194%	0.177%	0.193%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2020	0.845%	0.202%	0.804%
2/29/2020	1.016%	0.150%	0.911%
3/31/2020	0.494%	0.151%	0.461%
4/30/2020	0.757%	0.172%	0.707%
5/31/2020	0.513%	0.115%	0.480%
6/30/2020	0.284%	0.102%	0.272%
7/31/2020	0.259%	0.098%	0.238%
8/31/2020	0.049%	0.066%	0.051%
9/30/2020	0.012%	0.056%	0.016%
10/31/2020	-0.041%	0.060%	-0.034%
11/30/2020	0.137%	0.048%	0.133%
12/31/2020	0.164%	0.047%	0.159%
1/31/2021	-0.022%	0.049%	-0.018%
2/28/2021	-0.335%	0.031%	-0.299%
3/31/2021	-0.129%	0.031%	-0.117%
4/30/2021	0.212%	0.035%	0.195%
5/31/2021	0.228%	0.027%	0.213%
6/30/2021	-0.209%	0.022%	-0.181%
7/31/2021	0.329%	0.024%	0.283%
8/31/2021	-0.040%	0.019%	-0.029%
9/30/2021	-0.253%	0.017%	-0.208%
10/31/2021	-0.464%	0.026%	-0.378%
11/30/2021	-0.053%	0.017%	-0.041%
12/31/2021	-0.152%	0.018%	-0.120%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2022	-0.932%	0.025%	-0.739%
2/28/2022	-0.504%	0.021%	-0.372%
3/31/2022	-1.740%	0.031%	-1.247%
4/30/2022	-0.885%	0.048%	-0.635%
5/31/2022	0.580%	0.059%	0.460%
6/30/2022	-0.619%	0.070%	-0.430%
7/31/2022	0.761%	0.111%	0.607%
8/31/2022	-1.094%	0.109%	-0.816%
9/30/2022	-1.623%	0.125%	-1.178%
10/31/2022	-0.274%	0.160%	-0.152%
11/30/2022	1.162%	0.165%	0.888%
12/31/2022	0.154%	0.182%	0.162%
1/31/2023	0.976%	0.228%	0.757%
2/28/2023	-0.913%	0.193%	-0.550%
3/31/2023	1.599%	0.243%	1.183%
4/30/2023	0.454%	0.265%	0.398%
5/31/2023	-0.352%	0.249%	-0.184%
6/30/2023	-0.477%	0.258%	-0.387%
7/31/2023	0.352%	0.391%	0.356%
8/31/2023	0.286%	0.282%	0.286%

## City of Corona Pension Stabilization Trust

Account #10952

## Portfolio Summary

As of August 31, 2023



## PORTFOLIO CHARACTERISTICS

Average Modified Duration	0.00
Average Coupon	0.00%
Average Purchase YTM	2.30%
Average Market YTM	0.00%
Average S&P/Moody Rating	NR/NR
Average Final Maturity	0.00 yrs
Average Life	0.00 yrs

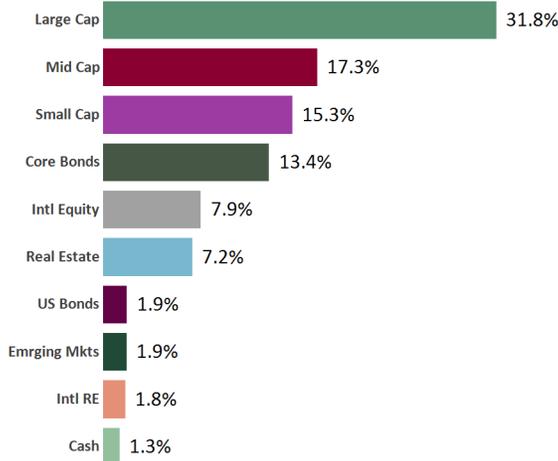
## ACCOUNT SUMMARY

	Beg. Values as of 7/31/23	End Values as of 8/31/23
Market Value	36,456,721	35,564,623
Accrued Interest	0	0
<b>Total Market Value</b>	<b>36,456,721</b>	<b>35,564,623</b>
Income Earned	45,033	16,852
Cont/WD		0
Par	733,173	750,025
Book Value	32,766,094	32,782,946
Cost Value	32,766,094	32,782,946

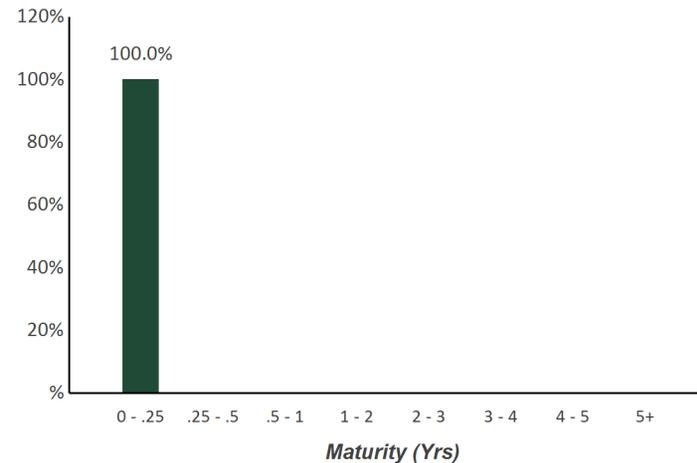
## TOP ISSUERS

iShares Core S&P 500 ETF	31.8%
iShares Core S&P Mid-Cap ETF	17.3%
Vanguard Small-Cap ETF	15.3%
Vanguard Total Bond Market	13.4%
Vanguard FTSE Developed Markets	7.9%
Vanguard Real Estate ETF	7.2%
SPDR Barclays Capital High Yield	1.9%
Vanguard FTSE Emerging Markets	1.9%
<b>Total</b>	<b>96.9%</b>

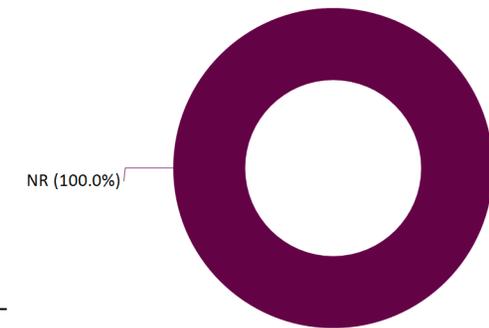
## SECTOR ALLOCATION



## MATURITY DISTRIBUTION



## CREDIT QUALITY (S&amp;P)



## PERFORMANCE REVIEW

TOTAL RATE OF RETURN	Annualized									
	1M	3M	YTD	1YR	2YRS	3YRS	5YRS	10YRS	7/31/2022	
City of Corona Pension Stabilization Trust	-2.45%	6.46%	10.35%	12.20%	N/A	N/A	N/A	N/A	N/A	8.72%
Multi Asset Class Index*	-1.46%	2.90%	8.70%	7.51%	N/A	N/A	N/A	N/A	N/A	3.43%

\*35% S&amp;P 500, 15% MSCI EAFE (Europe, Australasia, Israel and the Far East), 50% ICE BofA US Corporate, Government &amp; Mortgage Index

# Statement of Compliance

As of August 31, 2023



## City of Corona Pension Stabilization Trust

Assets managed by Chandler Asset Management are in full compliance with state law and the Client's investment policy.

Category	Standard	Comment
<b>Fixed Income</b>	10%-30% acceptable range (combined Cash & Fixed Income); Excluding Treasury, Agency obligations, Exchange Traded Funds (ETFs), and Mutual Fund holdings, no individual security holding shall exceed 5% of the portfolio at time of purchase; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix; Individually purchased fixed income securities must, at the time of purchase, have a credit rating of at least "Investment Grade" by one of more NRSRO;  Permitted: Fixed-income securities of U.S. and non-U.S. issuers including governments, quasi-government entities, and corporations; Mortgage-backed securities, Asset-backed securities, Money Market Instruments	<i>Complies</i>
<b>Global Equities</b>	50%-80% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix;  Permitted: Equity securities of U.S. and non-U.S. issuers	<i>Complies</i>
<b>Commodities (not direct investment)</b>	0%-5% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix	<i>Complies</i>
<b>Real Estate Investment Trusts (REITs)</b>	0%-15% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix; Publicly traded Real Estate Investment Trusts (REITs)	<i>Complies</i>
<b>Commingled Funds</b>	Funds that are consistent with the policy's investment objectives	<i>Complies</i>
<b>Mutual Funds</b>	Mutual Funds that invest in securities permitted by the Investment Policy	<i>Complies</i>
<b>Exchange Traded Funds (ETFs)</b>	ETFs that invest in securities permitted by the Investment Policy	<i>Complies</i>
<b>Cash</b>	10%-30% acceptable range (combined Cash & Fixed Income); The allocation of Trust assets shall be maintained within the acceptable range and the policy mix	<i>Complies</i>
<b>Credit Rating</b>	Individually purchased fixed income securities must, at the time of purchase, have a credit rating of at least "Investment Grade" by one of more NRSRO	<i>Complies</i>
<b>Max per Security Holding</b>	Excluding Treasury, Agency obligations, Exchange Traded Funds (ETFs), and Mutual Fund holdings, no individual security holding shall exceed 5% of the portfolio at time of purchase	<i>Complies</i>

## City of Corona Pension Stabilization Trust

Account #10952

## Reconciliation Summary

As of August 31, 2023



BOOK VALUE RECONCILIATION		
<b>BEGINNING BOOK VALUE</b>		<b>\$32,766,093.93</b>
<b>Acquisition</b>		
+ Security Purchases	\$0.00	
+ Money Market Fund Purchases	\$16,852.22	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
<b>Total Acquisitions</b>		<b>\$16,852.22</b>
<b>Dispositions</b>		
- Security Sales	\$0.00	
- Money Market Fund Sales	\$0.00	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$0.00	
- Calls	\$0.00	
- Principal Paydowns	\$0.00	
<b>Total Dispositions</b>		<b>\$0.00</b>
<b>Amortization/Accretion</b>		
+/- Net Accretion	\$0.00	
		\$0.00
<b>Gain/Loss on Dispositions</b>		
+/- Realized Gain/Loss	\$0.00	
		\$0.00
<b>ENDING BOOK VALUE</b>		<b>\$32,782,946.15</b>

CASH TRANSACTION SUMMARY		
<b>BEGINNING BALANCE</b>		<b>\$458,568.09</b>
<b>Acquisition</b>		
Contributions	\$0.00	
Security Sale Proceeds	\$0.00	
Accrued Interest Received	\$0.00	
Interest Received	\$0.00	
Dividend Received	\$16,852.22	
Principal on Maturities	\$0.00	
Interest on Maturities	\$0.00	
Calls/Redemption (Principal)	\$0.00	
Interest from Calls/Redemption	\$0.00	
Principal Paydown	\$0.00	
<b>Total Acquisitions</b>	<b>\$16,852.22</b>	
<b>Dispositions</b>		
Withdrawals	\$0.00	
Security Purchase	\$0.00	
Accrued Interest Paid	\$0.00	
<b>Total Dispositions</b>	<b>\$0.00</b>	
<b>ENDING BOOK VALUE</b>		<b>\$475,420.31</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>CASH</b>									
90CASH\$00	Cash Custodial Cash Account	475,420.31	Various 0.00%	475,420.31 475,420.31	1.00 0.00%	475,420.31 0.00	1.34% 0.00	NR / NR NR	0.00 0.00
<b>Total Cash</b>		<b>475,420.31</b>	<b>N/A</b>	<b>475,420.31</b>	<b>0.00%</b>	<b>475,420.31</b> <b>0.00</b>	<b>1.34%</b> <b>0.00</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>EMERGING MARKET EQUITY</b>									
922042858	Vanguard FTSE Emerging Markets ETF Due 8/31/2023	16,720.00	Various 3.69%	643,691.94 643,691.94	40.53 0.00%	677,661.60 0.00	1.91% 33,969.66	NR / NR NR	0.00 0.00
<b>Total Emerging Market Equity</b>		<b>16,720.00</b>	<b>3.69%</b>	<b>643,691.94</b>	<b>0.00%</b>	<b>677,661.60</b> <b>0.00</b>	<b>1.91%</b> <b>33,969.66</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>INTERNATIONAL EQUITY</b>									
921943858	Vanguard ETF FTSE Developed Mkts ETF Due 8/31/2023	61,510.00	Various 3.91%	2,420,843.73 2,420,843.73	45.75 0.00%	2,814,082.50 0.00	7.91% 393,238.77	NR / NR NR	0.00 0.00
<b>Total International Equity</b>		<b>61,510.00</b>	<b>3.91%</b>	<b>2,420,843.73</b>	<b>0.00%</b>	<b>2,814,082.50</b> <b>0.00</b>	<b>7.91%</b> <b>393,238.77</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>INTERNATIONAL REAL ESTATE</b>									
922042676	Vanguard Vanguard GBL Ex-US Real Est Due 8/31/2023	15,775.00	05/15/2023 0.00%	655,924.50 655,924.50	40.64 0.00%	641,096.00 0.00	1.80% (14,828.50)	NR / NR NR	0.00 0.00
<b>Total International Real Estate</b>		<b>15,775.00</b>	<b>N/A</b>	<b>655,924.50</b>	<b>0.00%</b>	<b>641,096.00</b> <b>0.00</b>	<b>1.80%</b> <b>(14,828.50)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>LARGE CAP US EQUITY</b>									
464287200	ISHARES S&P 500 Index ETF	25,000.00	Various N/A	9,761,289.05 9,761,289.05	452.69 N/A	11,317,250.00 0.00	31.82% 1,555,960.95	NR / NR NR	0.00 0.00
<b>Total Large Cap US Equity</b>		<b>25,000.00</b>	<b>N/A</b>	<b>9,761,289.05</b>	<b>N/A</b>	<b>11,317,250.00</b> <b>0.00</b>	<b>31.82%</b> <b>1,555,960.95</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>MID CAP US EQUITY</b>									
464287507	ISHARES S&P Midcap ETF Due 8/31/2023	23,300.00	Various 1.59%	5,536,825.68 5,536,825.68	264.30 0.00%	6,158,190.00 0.00	17.32% 621,364.32	NR / NR NR	0.00 0.00
<b>Total Mid Cap US Equity</b>		<b>23,300.00</b>	<b>1.59%</b>	<b>5,536,825.68</b>	<b>0.00%</b>	<b>6,158,190.00</b>	<b>17.32%</b> <b>621,364.32</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>REAL ESTATE</b>									
922908553	Vanguard ETF REIT Due 8/31/2023	31,200.00	Various 3.46%	2,726,488.43 2,726,488.43	82.38 0.00%	2,570,256.00 0.00	7.23% (156,232.43)	NR / NR NR	0.00 0.00
<b>Total Real Estate</b>		<b>31,200.00</b>	<b>3.46%</b>	<b>2,726,488.43</b>	<b>0.00%</b>	<b>2,570,256.00</b>	<b>7.23%</b> <b>(156,232.43)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>SMALL CAP US EQUITY</b>									
922908751	Vanguard ETF Small Cap Due 8/31/2023	27,100.00	Various 1.54%	5,022,975.48 5,022,975.48	201.03 0.00%	5,447,913.00 0.00	15.32% 424,937.52	NR / NR NR	0.00 0.00
<b>Total Small Cap US Equity</b>		<b>27,100.00</b>	<b>1.54%</b>	<b>5,022,975.48</b>	<b>0.00%</b>	<b>5,447,913.00</b>	<b>15.32%</b> <b>424,937.52</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>US CORE BONDS</b>									
921937835	Vanguard ETF Total Bond Index Due 8/31/2023	66,600.00	Various 2.36%	4,862,778.58 4,862,778.58	71.75 0.00%	4,778,550.00 0.00	13.44% (84,228.58)	NR / NR NR	0.00 0.00
<b>Total US Core Bonds</b>		<b>66,600.00</b>	<b>2.36%</b>	<b>4,862,778.58</b>	<b>0.00%</b>	<b>4,778,550.00</b>	<b>13.44%</b> <b>(84,228.58)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US HIGH YIELD BONDS</b>									
78468R622	SPDR Bloomberg High Yield Bond ETF Due 8/31/2023	7,400.00	Various 5.50%	676,708.45 676,708.45	92.46 0.00%	684,204.00 0.00	1.92% 7,495.55	NR / NR NR	0.00 0.00
<b>Total US High Yield Bonds</b>		<b>7,400.00</b>	<b>5.50%</b>	<b>676,708.45</b> <b>676,708.45</b>	<b>0.00%</b>	<b>684,204.00</b> <b>0.00</b>	<b>1.92%</b> <b>7,495.55</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>TOTAL PORTFOLIO</b>		<b>750,025.31</b>	<b>2.30%</b>	<b>32,782,946.15</b> <b>32,782,946.15</b>	<b>0.00%</b>	<b>35,564,623.41</b> <b>0.00</b>	<b>100.00%</b> <b>2,781,677.26</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>35,564,623.41</b>			

## City of Corona Pension Stabilization Trust

Account #10952

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/04/2023	90CASH\$00	12,831.16	Cash Custodial Cash Account	1.000		12,831.16	0.00	12,831.16	0.00
Purchase	08/07/2023	90CASH\$00	3,844.36	Cash Custodial Cash Account	1.000		3,844.36	0.00	3,844.36	0.00
Purchase	08/15/2023	90CASH\$00	176.70	Cash Custodial Cash Account	1.000		176.70	0.00	176.70	0.00
<b>Subtotal</b>			<b>16,852.22</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>TOTAL ACQUISITIONS</b>			<b>16,852.22</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>OTHER TRANSACTIONS</b>										
Dividend	08/04/2023	921937835	66,600.00	Vanguard ETF Total Bond Index	0.000		12,831.16	0.00	12,831.16	0.00
Dividend	08/07/2023	78468R622	7,400.00	SPDR Bloomberg High Yield Bond ETF	0.000		3,844.36	0.00	3,844.36	0.00
Dividend	08/15/2023	90CASH\$00	475,243.61	Cash Custodial Cash Account	0.000		176.70	0.00	176.70	0.00
<b>Subtotal</b>			<b>549,243.61</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>TOTAL OTHER TRANSACTIONS</b>			<b>549,243.61</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
<b>EQUITY</b>						
464287200	ISHARES S&P 500 Index ETF	Various Various 25,000.00	9,761,289.05 0.00 0.00 9,761,289.05	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
464287507	ISHARES S&P Midcap ETF	Various Various 23,300.00	5,536,825.68 0.00 0.00 5,536,825.68	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
78468R622	SPDR Bloomberg High Yield Bond ETF	Various Various 7,400.00	676,708.45 0.00 0.00 676,708.45	0.00 3,844.36 0.00 3,844.36	0.00 0.00 0.00 3,844.36	3,844.36
921937835	Vanguard ETF Total Bond Index	Various Various 66,600.00	4,862,778.58 0.00 0.00 4,862,778.58	0.00 12,831.16 0.00 12,831.16	0.00 0.00 0.00 12,831.16	12,831.16
921943858	Vanguard ETF FTSE Developed Mkts ETF	Various Various 61,510.00	2,420,843.73 0.00 0.00 2,420,843.73	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922042676	Vanguard Vanguard GBL Ex-US Real Est	05/15/2023 05/17/2023 15,775.00	655,924.50 0.00 0.00 655,924.50	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922042858	Vanguard FTSE Emerging Markets ETF	Various Various 16,720.00	643,691.94 0.00 0.00 643,691.94	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922908553	Vanguard ETF REIT	Various Various 31,200.00	2,726,488.43 0.00 0.00 2,726,488.43	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00

## City of Corona Pension Stabilization Trust

Account #10952

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
922908751	Vanguard ETF Small Cap	Various Various 27,100.00	5,022,975.48 0.00 0.00 5,022,975.48	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
			<b>32,307,525.84</b>	<b>0.00</b>	<b>0.00</b>	
			<b>0.00</b>	<b>16,675.52</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total Equity</b>		<b>274,605.00</b>	<b>32,307,525.84</b>	<b>16,675.52</b>	<b>16,675.52</b>	<b>16,675.52</b>
<b>CASH &amp; EQUIVALENT</b>						
90CASH\$00	Cash Custodial Cash Account	Various Various 475,420.31	458,568.09 16,852.22 0.00 475,420.31	0.00 176.70 0.00 176.70	0.00 0.00 0.00 176.70	176.70
			<b>458,568.09</b>	<b>0.00</b>	<b>0.00</b>	
			<b>16,852.22</b>	<b>176.70</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total Cash &amp; Equivalent</b>		<b>475,420.31</b>	<b>475,420.31</b>	<b>176.70</b>	<b>176.70</b>	<b>176.70</b>
			<b>32,766,093.93</b>	<b>0.00</b>	<b>0.00</b>	
			<b>16,852.22</b>	<b>16,852.22</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>TOTAL PORTFOLIO</b>		<b>750,025.31</b>	<b>32,782,946.15</b>	<b>16,852.22</b>	<b>16,852.22</b>	<b>16,852.22</b>





Chandler Asset Management, Inc. ("Chandler") is an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at [www.chandlerasset.com](http://www.chandlerasset.com).

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**Custody:** Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

**Valuation:** Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

**Performance:** Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

**Ratings:** Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
Multi Asset Class Index*	<p>The S&amp;P 500 is a market value weighted index of 500 large-capitalization stocks. The 500 companies included in the index capture approximately 80% of available US market capitalization.</p> <p>The MSCI EAFE International Equity Index is a market capitalization weighted index that captures international equity performance of large- and mid-cap stocks in the developed stock markets of Europe, Australasia, and the Far East.</p> <p>The ICE BofA US Corporate, Government &amp; Mortgage Index tracks the performance of US dollar denominated investment grade debt publicly issued in the US domestic market, including US Treasuries, quasi-governments, corporates, covered bonds and residential mortgage pass-throughs. Non-residential mortgage collateralized debt such as commercial mortgage backed securities and asset backed securities are excluded from this index, as are all collateralized mortgage obligations. Most qualifying securities must have an investment grade rating (based on an average of Moody's, S&amp;P and Fitch). They must also have at least one year remaining term to final maturity, at least 18 months to final maturity at point of issuance and a fixed coupon schedule. Amounts outstanding for qualifying US Treasuries must be at least \$1 billion; US agencies, foreign governments, supranationals, corporates and covered bonds must have at least \$250 million outstanding. Qualifying residential mortgage pass-through securities include fixed rate securities publicly issued by US agencies. 30-year, 20-year and 15-year fixed rate mortgage pools must have at least one year remaining term to final maturity and a minimum amount outstanding of at least \$5 billion per generic coupon and \$250 million per production year within each generic coupon.</p>

## City of Corona Consolidated

Account #10003

## Corona Supplemental

As of August 31, 2023



Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM
ABS	\$26,113,978.25	\$25,497,198.73	\$26,110,825.32	5.15%			
Agency	\$71,245,000.00	\$67,051,533.92	\$71,691,626.40	14.14%	1,559	1,011	3.761
CMO	\$28,644,843.24	\$27,158,083.75	\$27,975,062.11	5.52%			
Corporate	\$93,276,000.00	\$88,064,531.64	\$92,819,062.39	18.30%			
LAIF	\$38,526,879.61	\$38,526,879.61	\$38,526,879.61	7.60%	1	1	0.680
Money Market Fund	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	0.36%			
Municipal Bonds	\$3,385,000.00	\$3,275,495.25	\$3,462,753.45	0.68%			
Supranational	\$12,690,000.00	\$11,537,954.73	\$12,633,094.65	2.49%			
US Treasury	\$234,820,000.00	\$227,407,398.80	\$232,117,656.25	45.77%	1,699	864	4.337
<b>TOTAL PORTFOLIO</b>	<b>\$510,529,290.13</b>	<b>\$490,346,665.46</b>	<b>\$507,164,549.21</b>	<b>100.00%</b>	<b>1341</b>	<b>836</b>	<b>1.958</b>
<b>AVERAGE DAILY BALANCE</b>			<b>\$303,261,387.00</b>				



**MEMORANDUM**

**October 18, 2023**

**TO: City Clerk**  
**FROM: Kim Sitton  
Finance Director**  
**SUBJECT: Monthly Fiscal Report, August 2023**

Pursuant to CMC Section 2.28.010 (D) the attached report provides an accounting of all receipts, disbursements, and fund balances for the month of August 2023, subject to final audit.

Prepared By:

Reviewed By:

DocuSigned by:  
*Lillian Davis*  
6313B2A43E4243A...

DS  
*EN*  
DocuSigned by:  
*Christine Thompson*  
6477487B2FB3438...

Financial Analyst

Accounting Manager

Respectfully submitted:

DocuSigned by:  
*Kim Sitton*  
AF6AA49B0BD44E7...

DocuSigned by:  
*Brett Channing*  
B677651CB5E54C9...

Finance Director

Assistant City Manager

DocuSigned by:  
*Chad Willardson*  
AC0F9B0DE02B4FC...

City Treasurer

## CITY OF CORONA



## Receipts and Disbursements\*

August 31, 2023

DESCRIPTION	BEGINNING BALANCE	RECEIPTS	DISBURSEMENTS	ENDING BALANCE
Balance Per Bank - Bank of America	\$ 3,797,693.63	\$ 56,240,075.59	\$ 51,985,024.79	\$ 8,052,744.43
Deposits in Transit	1,870,840.33	2,147,079.11	1,870,840.33	2,147,079.11
Outstanding Checks	(2,551,026.63)	2,551,026.63	2,741,716.72	(2,741,716.72)
Fiscal Agents	13,277,755.69	3,389,638.16	606.84	16,666,787.01
Investments				
Chandler Investment- City Account	467,951,739.80	52,882,300.72	52,196,370.87	468,637,669.65
Chandler Investment -Pension Trust Fund	32,766,093.93	16,852.22		32,782,946.15
LAIF	49,776,879.56	15,750,000.00	27,000,000.00	38,526,879.68
Investments Subtotal	550,494,713.29	68,649,152.94	79,196,370.87	539,947,495.48
Change Fund	10,248.00	0.00	0.00	10,248.00
Returned Checks	11,348.51	44,562.70	54,033.52	1,877.69
Credit Card Reconciling Items- Fees	(2,220.87)	2,220.87	2,423.39	(2,423.39)
<b>TOTAL</b>	<b>\$ 566,909,351.95</b>	<b>\$ 133,023,756.00</b>	<b>\$ 135,851,016.46</b>	<b>\$ 564,082,091.61</b>

\* For informational purpose only



**CITY OF CORONA**  
**Cash and Investment Status Report**  
**August 31, 2023**

ACCOUNTS	ENDING BANK BAL	DEPOSITS IN TRANSIT	OUTSTANDING CHECKS	MISCELLANEOUS RECONCILING ITEMS	RECONCILED BALANCES
LAIF	\$ 38,526,879.68				\$ 38,526,879.68
Chandler Investment- City Account	\$ 468,637,669.65				\$ 468,637,669.65
Chandler Investment -Pension Trust Fund	\$ 32,782,946.15				\$ 32,782,946.15
<b>Investment Total</b>	<b>539,947,495.48</b>				<b>539,947,495.48</b>
General Account - Bank of America	7,632,317.46	1,913,989.35	(2,588,199.99)	(545.70)	6,957,561.12
Worker's Comp Account - Bank of America	420,426.97	233,089.76	(153,516.73)		500,000.00
Fiscal Agent Accounts	16,666,787.01				16,666,787.01
Cashiers Change	10,248.00				10,248.00
<b>BALANCE PER BOOKS OF CITY TREASURER &amp; FINANCE DIRECTOR</b>	<b>\$ 564,677,274.92</b>	<b>\$ 2,147,079.11</b>	<b>\$ (2,741,716.72)</b>	<b>\$ (545.70)</b>	<b>\$ 564,082,091.61</b>

*Subject to final audit*



**CITY OF CORONA**  
**Cash Balance By Fund**  
**August 31, 2023**

General Fund		\$ 169,795,825.72
Special Revenue Funds		
Gas Tax	10,377,511.07	
Measure A	18,037,257.29	
Trip Reduction	1,778,125.98	
Asset Forfeiture	355,523.23	
Development	30,475,140.84	
Residential Refuse/Recycling	76,163.89	
Landscape and Streetlight Maintenance	25,472,108.26	
Other Grants and Endowments	29,344,580.79	115,916,411.35
Debt Service Funds		
Public Financing Authority	19.79	19.79
Capital Project Funds		
Housing/Community Development	187,877.35	
Planned Local Drainage	490,821.87	
Other Grants	21,132,894.37	
Public Facility Project	(3,875,769.61)	
Corona Housing Authority	28,184,903.15	46,120,727.13
Enterprise Funds		
Water Utility	52,401,552.94	
Water Reclamation Utility	76,823,029.40	
Transit	764,026.73	
Electric Utility	20,089,495.16	
Airport	1,645,859.20	151,723,963.43
Internal Service Funds		
Fleet Operations	6,202,423.29	
Workers' Compensation	24,276,338.73	
Liability Risk	(469,265.20)	
Warehouse Services	835,153.06	
Information Technology	9,109,576.51	39,954,226.39
Fiduciary Funds		
AD & CFD Bond Funds	30,571,146.44	
AB109 PACT	-	
Successor Agency	9,999,771.36	40,570,917.80
Total All Funds		\$ 564,082,091.61

*Subject to final audit*



**CITY OF CORONA**  
**Investment Portfolio Report**  
**August 31, 2023**

INVESTMENTS	PAR VALUE	MARKET VALUE	BOOK VALUE	PERCENT OF PORTFOLIO
ABS	26,113,978.25	25,497,198.73	26,110,825.32	4.84%
Agency	71,245,000.00	67,051,533.92	71,691,626.40	13.28%
CMO	28,644,843.24	27,158,083.75	27,975,062.11	5.18%
Pension Trust	750,025.31	32,766,093.93	32,782,946.15	6.07%
Corporate	93,276,000.00	88,064,531.64	92,819,062.39	17.19%
LAIF	38,526,879.61	38,526,879.61	38,526,879.73	7.14%
Money Market Fund FI	1,827,589.03	1,827,589.03	1,827,589.03	0.34%
Municipal Bonds	3,385,000.00	3,275,495.25	3,462,753.45	0.64%
Supranational	12,690,000.00	11,537,954.73	12,633,094.65	2.34%
US Treasury	234,820,000.00	227,407,398.80	232,117,656.25	42.99%
<b>TOTAL PORTFOLIO</b>	<b>\$ 511,279,315.44</b>	<b>\$ 523,112,759.39</b>	<b>\$ 539,947,495.48</b>	<b>100.00%</b>

Average Daily Balance

*Subject to final audit*

**Certificate Of Completion**

Envelope Id: 36C69E4772B349DD9EF90AF12DDCE164	Status: Completed
Subject: Complete with DocuSign: Monthly Fiscal Report August 2023 unsigned.pdf, Aug backup.pdf	
Source Envelope:	
Document Pages: 5	Signatures: 5
Certificate Pages: 2	Initials: 1
AutoNav: Enabled	Envelope Originator:
Envelopeld Stamping: Enabled	Lillian Davis
Time Zone: (UTC-08:00) Pacific Time (US & Canada)	400 S Vicentia Ave
	Corona, CA 92882
	Lillian.Davis@CoronaCA.gov
	IP Address: 64.29.226.10

**Record Tracking**

Status: Original	Holder: Lillian Davis	Location: DocuSign
10/9/2023 5:13:31 PM	Lillian.Davis@CoronaCA.gov	
Security Appliance Status: Connected	Pool: StateLocal	
Storage Appliance Status: Connected	Pool: City of Corona, CA	Location: DocuSign

**Signer Events**

Lillian Davis  
 lillian.davis@coronaca.gov  
 Financial Analyst I  
 City of Corona, CA  
 Security Level: Email, Account Authentication (None)

**Signature**

DocuSigned by:  
  
 6313B2A43E4243A...  
 Signature Adoption: Pre-selected Style  
 Using IP Address: 64.29.226.10

**Timestamp**

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 Viewed: 10/9/2023 5:16:27 PM  
 Signed: 10/9/2023 5:16:46 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

Eliana Nguyen  
 Eliana.Nguyen@CoronaCA.gov  
 Security Level: Email, Account Authentication (None)

  
 Signature Adoption: Pre-selected Style  
 Using IP Address: 64.29.226.10

Sent: 10/9/2023 5:16:47 PM  
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 Signed: 10/9/2023 5:37:32 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

Christine Thompson  
 Christine.Thompson@CoronaCA.gov  
 Accounting Manager  
 Security Level: Email, Account Authentication (None)

DocuSigned by:  
  
 C477487B2FB3438...  
 Signature Adoption: Pre-selected Style  
 Using IP Address: 64.29.226.10

Sent: 10/9/2023 5:37:33 PM  
 Viewed: 10/10/2023 1:32:44 PM  
 Signed: 10/10/2023 1:33:00 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

Kim Sitton  
 Kim.Sitton@CoronaCA.gov  
 Finance Director  
 City of Corona, CA  
 Security Level: Email, Account Authentication (None)

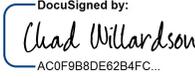
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 Using IP Address: 64.29.226.10

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 Signed: 10/10/2023 4:37:20 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

Signer Events	Signature	Timestamp
Brett Channing Brett.Channing@CoronaCA.gov Security Level: Email, Account Authentication (None)	 Signature Adoption: Pre-selected Style Using IP Address: 64.29.226.10	Sent: 10/10/2023 4:37:22 PM Viewed: 10/11/2023 11:10:27 AM Signed: 10/11/2023 11:10:55 AM

**Electronic Record and Signature Disclosure:**  
Not Offered via DocuSign

Chad Willardson Chad.Willardson@CoronaCA.gov Security Level: Email, Account Authentication (None)	 Signature Adoption: Pre-selected Style Using IP Address: 174.211.100.3 Signed using mobile	Sent: 10/11/2023 11:10:56 AM Viewed: 10/11/2023 2:28:06 PM Signed: 10/11/2023 2:28:14 PM
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**Electronic Record and Signature Disclosure:**  
Not Offered via DocuSign

In Person Signer Events	Signature	Timestamp
<b>Editor Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Agent Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Intermediary Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Certified Delivery Events</b>	<b>Status</b>	<b>Timestamp</b>
<b>Carbon Copy Events</b>	<b>Status</b>	<b>Timestamp</b>
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<b>Notary Events</b>	<b>Signature</b>	<b>Timestamp</b>
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Completed	Security Checked	10/11/2023 2:28:14 PM
<b>Payment Events</b>	<b>Status</b>	<b>Timestamps</b>



Staff Report

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**File #:** 23-0768

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/04/2023

**TO:** Honorable Mayor and City Council Members

**FROM:** Communications Division

**SUBJECT:**

ORDINANCE AMENDING [1.04.160](#) AND [1.04.170](#) OF THE CORONA MUNICIPAL CODE TO ADOPT A NEW CITY CORPORATE SEAL

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to approve an ordinance of the City of Corona to amend section [1.04.160](#) and [1.04.170](#) of the Corona Municipal Code to adopt a new City Corporate Seal.

**RECOMMENDED ACTION:**

**That the City Council** introduce by title only, and waive full reading of Ordinance No. 3379, first reading of an ordinance approving amendments to Corona Municipal Code Chapter 1.04.160 and 1.04.170 to adopt a new City Corporate Seal.

**BACKGROUND & HISTORY:**

The City of Corona's 2021-2026 Strategic Plan Goal 5 is Sense of Place and includes the reimagining of the City of Corona's brand, identity, and story. A primary component of the City's identity is represented in the City Seal. Thus, the City Council provided direction to redesign the City Seal.

The current City Seal was first adopted in 1991. Since that time, much has changed in strategies for communications and best practices for design. A new City Seal was necessary to better represent the City's history and aspirational goals laid out in the Strategic Plan. With the current City Seal being the ideation of a single resident, it was imperative that the new City Seal be developed through a consultative process that included as many perspectives and residents as possible. This is why we embarked on a robust community engagement rebranding process that included over 2,200 participants. Through this community engagement process, we were able to identify a consensus on key landmarks, imagery and motifs that best represent our City. These key elements include: Lemons, Mountains, Grand Boulevard, Circle, and Crown.

A brand is more than a logo, tagline, and some colors. It's our story. It's our heart. It's the personality of the city. An honest brand can help unite our community around, both our history and who we aspire to be.

**ANALYSIS:**

On May 16, 2022, the Communications Division, in partnership with Pastilla, Inc. kicked off the branding project. The team successfully and thoroughly performed discovery research including audience research, competitive analysis, and a SWOT analysis of the current brand. The robust community engagement included a webpage, focus interviews, community survey, workshops, and pop-up engagements at City events. A total of over 2,200 residents provided their feedback on reimagining the City's brand.

On February 15, 2023, Pastilla presented the City Brand Strategy and Brand Identity System. On May 17, 2023, the Corona City Council approved the implementation of the new brand including the new Wordmark and logo as well as provided their feedback on the development of the new City Seal draft.

The City Seal uses the visual elements, colors, and fonts of the brand identity system and incorporates the feedback shared by the community, including:

- a. Reflecting and honoring Corona's rich lemon history. The five ripe lemons and two lemon blossoms highlight that the City is known as "Lemon Capital of the World."
- b. Drawing inspiration from Corona's unique mountain landscape. The clear blue sky and three mountain peaks represent Corona's Cleveland National Forest.
- c. Continuing the tradition of the Circle, a key city symbol. The line art in the seal depicts Grand Boulevard, a unique feature of the city with a one-mile circular street diameter and from which the City's name "Corona" and nickname "The Circle City" derived.
- d. Exploring the historic crown. Corona was first named South Riverside. The colony was nicknamed Queen Colony. Baron Hickey wrote a letter to City Founder Robert B. Taylor and emphasized the City should be named Corona because the circular Grand Boulevard is the city's crown. Corona is the Spanish word for crown.
- e. Drawing inspiration from classic, historical typefaces, reminiscent of Corona's history.

On September 20, 2023, Staff presented updated draft options of the City Seal and Council provided their collective desired art direction.

**FINANCIAL IMPACT:**

There is no fiscal impact to the City as a result of this Ordinance.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the commonsense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is

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**File #:** 23-0768

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no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action is merely amending section [1.04.160](#) and [1.04.170](#) of the Corona Municipal Code to adopt a new City Corporate Seal

**PREPARED BY:** CINDY SOLIS, PUBLIC INFORMATION OFFICER

**REVIEWED BY:** SHAUGHN HULL, CHIEF COMMUNICATIONS OFFICER

**Attachments:**

1. Exhibit 1- Ordinance No. 3379
2. Exhibit 2- Ordinance No. 3379 (Redline)

**ORDINANCE NO. 3379**

**AN ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, AMENDING SECTIONS 1.04.160 AND  
1.04.170 OF THE CORONA MUNICIPAL CODE TO ADOPT  
A NEW CITY CORPORATE SEAL**

**WHEREAS**, based on historical records, the City of Corona (“City”) was established on May 4, 1886 and incorporated on July 13, 1896; and

**WHEREAS**, on June 5, 1991, the City Council enacted Ordinance No. 2052, which added Section 1.04.160 to the Corona Municipal Code (“CMC”) and officially adopted the City’s corporate seal; and

**WHEREAS**, on September 6, 2015, the City Council enacted Ordinance No. 3217 amending CMC Section 1.04.160 and updating the City’s corporate seal to reflect the City’s establishment date of May 4, 1886; and

**WHEREAS**, the City Council desires to amend CMC Section 1.04.160 to adopt a new City corporate seal.

**NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA,  
CALIFORNIA, DOES ORDAIN AS FOLLOWS:**

**SECTION 1.** Incorporation of Recitals. The City Council finds and determines that the foregoing Recitals are true and correct and incorporates the Recitals herein.

**SECTION 2.** CEQA Findings. This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action merely updates the City’s corporate seal, and there is no possibility that adopting this

Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3.** Amendment to Section 1.04.160. Section 1.04.160 (City seal – Adoption – Description) of Chapter 1.04 (General Provisions) of Title 1 (General Provisions) of the Corona Municipal Code is hereby amended in its entirety to read as follows:

**“1.04.160 City seal – Adoption – Description.**

Pursuant to the provisions of Section 34501 of the Government Code Annotated, as the same presently provides, the City Council does hereby approve and adopt as and for the corporate seal of the city that certain impression and engraving which is generally described and depicted as follows:

New corporate seal (effective November 17, 2023): a die of one and one-half inches in diameter and circular in shape. Around the upper outer edge shall be engraved the words “CITY OF CORONA,” and around the lower outer edge shall be engraved the words “EST. 1886.” At the top shall appear a clear blue sky with three mountain peaks representing Corona’s Cleveland National Forest. At the bottom, five ripe lemons and two blossoms shall be depicted, representing Corona’s history as the “Lemon Capital of the World.” In the center of the seal shall appear line art depicting Grand Boulevard, a unique feature of the city with a one-mile circular street diameter and from which the City’s name “Corona” and nickname “The Circle City” derived. The seal may be depicted in black & white or any colors chosen by the City.



(B) Prior corporate seal (effective November 1, 2015 to November 17, 2023): a die of one and one-half inches in diameter and circular in shape. Around the outer edge shall be engraved the words, "To Cherish Our Past - To Plan Our Future," and in the center shall appear the inscription: "CORONA, The Circle City, Established May 4, 1886," which inscription shall run through the center of the seal. In the upper left hand corner shall

be depicted a ripe orange on a branch which is indicative of the past citrus industry in the City of Corona. The leaves of such orange shall extend past the edge of the circumference on the upper left corner. In the upper right corner of said seal shall be depicted a crown; which is derived from the Spanish word "Corona."



(C) Prior corporate seal (effective prior to November 1, 2015): a die of one and one-half inches in diameter and circular in shape. Around the outer edge shall be engraved the words, "To Cherish Our Past - To Plan Our Future," and in the center shall appear the inscription: "CORONA, The Circle City, Incorporated July 13, 1896," which inscription shall run through the center of the seal. In the upper left hand corner shall be depicted a ripe orange on a branch which is indicative of the past citrus industry in the City of Corona. The leaves of such orange shall extend past the edge of the circumference on the upper left corner. In the upper right corner of said seal shall be depicted a crown; which is derived from the Spanish word "Corona." This prior corporate seal shall still be protected and enforced, as provided for in this chapter and applicable law, and may be maintained by the city wherever already in use (e.g. on uniforms, vehicles, buildings and other things, as well as in publications or for other uses of any kind). City staff is authorized to replace the prior corporate seal with the new corporate seal provided for in subsection (A) above when deemed necessary by the city manager."



**SECTION 4.** Amendment to Section 1.04.170. Section 1.04.170 (City seal – Unlawful uses) of Chapter 1.04 (General Provisions) of Title 1 (General Provisions) of the Corona Municipal Code is hereby amended in its entirety to read as follows:

**“1.04.170 City seal – Unlawful uses.**

It shall be unlawful for any person to make or use the seal of the city, either in its current or prior forms as described in Section 1.04.160 above, or any cut, facsimile or reproduction of the seal, or to make or use any seal or any design which is an imitation of the seal, or of the design thereof, of which may be mistaken for the seal of the city, or the design thereof, for any purpose other than for city purposes without the express consent of the City Council.”

**SECTION 5.** Severability. If any provision or clause of this Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Ordinance which can be given effect without the invalid provision or application. To this end, the provisions of this Ordinance are declared to be severable.

**SECTION 6.** Conflicting Ordinances. This Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Ordinance.

**SECTION 7.** Effective Date. The Mayor shall sign this Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a general circulation newspaper published and circulated in the City of Corona. This Ordinance shall take effect and be in force on the 30<sup>th</sup> day after its adoption.

**PASSED, APPROVED AND ADOPTED** this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

CERTIFICATION

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Ordinance was regularly introduced at a regular meeting of the City Council of the City of Corona, California, duly held on the 4<sup>th</sup> day of October 2023, and thereafter at a regular meeting held on the 18<sup>th</sup> day of October 2023, it was duly passed and adopted by the following vote of the City Council:

**AYES:**

**NOES:**

**ABSTAINED:**

**ABSENT:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October 2023.

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City Clerk of the City of Corona, California

(SEAL)



Staff Report

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**File #:** 23-0691

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**REQUEST FOR CITY COUNCIL AND  
CORONA UTILITY AUTHORITY ACTION**

**DATE:** 10/18/2023

**TO:** Honorable Mayor and City Council Members  
Honorable President and Board Members

**FROM:** Utilities Department

**SUBJECT:**  
WATER CONNECTION FEE AGREEMENT WITH CELIA GODSEY, OWNER OF 3702 HOWE STREET

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to approve the Water Connection Fee Agreement with Celia Godsey, owner of 3702 Howe Street. The property at 3702 Howe Street is currently served by a deficient private well system and requires a water connection to City water. The owner has requested a payment plan to pay for the Water Connection Fees due to hardship and has agreed to enter into an agreement with the City.

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Authorize the City Manager, or his designee, to execute the Water Connection Fee Agreement in the amount of \$10,680.68.
- b. Authorize an estimated revenue increase in the amount of \$1,115.53 in the Water Capacity Fund (507) and \$70.24 in the Water Utility Fund (570) for the remainder of Fiscal Year 2024.

**That the Corona Utility Authority** review, ratify, and to the extent necessary, direct the City Council to take the above actions.

**BACKGROUND & HISTORY:**

Celia Godsey, owner of 3702 Howe Street, notified the City that her private water well system was deficient and inquired about the process on how to connect her property to the City's water distribution system. The City provided the requirements and process on how to obtain a new water

service. Obtaining a new water service consists of applying for a construction permit for the installation of a new water service connected to a public water main and a building permit for the installation of the new water pipe connections from the residential structure to the new water service.

**ANALYSIS:**

The owner obtained a construction permit for the new water service and has constructed a new water service. The owner notified the City that she had allocated most of the funds for the construction of the water service but did not have sufficient funds to cover Water Connection Fees for a ¾-inch water meter in the amount of \$10,680.68, due prior to building permit issuance. Water Connection Fees are required to be paid as part of the new water service connection application as set forth per Corona Municipal Code (CMC) [Section 13.14.050](#). Per CMC [Section 13.14.060](#), an existing single-family property that is connected to an alternate water source is eligible for a payment plan approved by the Director of Utilities. The owner has agreed to enter into a 10-year payment plan with the City to cover the cost of the Water Connection Fee. Approving the Water Connection Fee Agreement would allow the owner to cover the Water Connection Fee and proceed with the connection to City's water system.

**FINANCIAL IMPACT:**

The City would finance a \$10,680.68 payment plan with a fixed annual interest rate of 6%. The City would receive 120 payments of \$118.58 over a period of 10 years for a total of \$14,229.29.

Approval of the recommended actions will result in an estimated revenue increase of \$1,115.53 in the Water Capacity Fund (507) and \$70.24 in the Water Utility Fund (570) for Fiscal Year 2024. Future estimated revenue will be included in the annual budget request process.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the commonsense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action is to approve a payment plan agreement. There is no possibility that this action will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** NOE HERRERA, P.E., SENIOR ENGINEER

**REVIEWED BY:** TOM MOODY, DIRECTOR OF UTILITIES

**Attachments:**

1. Exhibit 1 - Site Plan
2. Exhibit 2 - Agreement

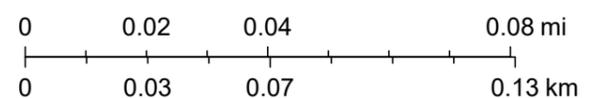
# EXHIBIT 1 - SITE PLAN



8/24/2023, 2:44:24 PM

- Parcels (Dark Outline)
- Municipal Boundaries
- Corona
- Building Addresses
- Street Polygons

1:2,257



Esri Community Maps Contributors, City of Corona, County of Riverside, California State Parks, © OpenStreetMap, Microsoft, Esri, HERE, Garmin, SafeGraph, GeoTechnologies, Inc, METI/NASA, USGS, Bureau of Land Management, EPA, NPS, US Census Bureau, USDA

RECORDED AT REQUEST OF  
AND WHEN RECORDED RETURN TO:  
City of Corona  
400 S. Vicentia Ave  
Corona, California 92882  
Attn: City Clerk (CUD)

Fee Exempt - Gov't Code §27383

APN: 116-151-011

(Space above for Recorder's Use)

**CITY OF CORONA  
NEW WATER SERVICE FEE AGREEMENT  
(RESIDENTIAL USER)**

This New Water Service Fee Agreement (“Agreement”) is made and entered into this \_\_\_\_ day of October, 2023, (“Effective Date”) by and between the City of Corona, a California municipal corporation (“City”), and Celia Godsey, (“Owner”). All parties are at times referred to collectively as “Parties” and individually as “Party” herein.

**RECITALS**

**WHEREAS**, the City imposes several types of fees in relation to connection of new customers to the City’s potable water system, comprised of a new service setup fee, meter and service installation fee, and development fee for water system improvements (collectively, the “New Water Service Fees”); and

**WHEREAS**, pursuant to Section 13.14.050, the New Water Service Fees are ordinarily due in full prior to the commencement of water service but Section 13.14.060 of the Corona Municipal Code allows for New Water Service Fees to be paid over time in certain circumstances; and

**WHEREAS**, Owner owns real property located at 3702 Howe Street, Corona, California (“Property”), which is currently served by a private water well system; and

**WHEREAS**, Owner wishes to obtain water services from the City ; and

**WHEREAS**, it is to the benefit of the Owner that the Owner pay the New Water Service Fees over time in installments; and

**WHEREAS**, the City is willing to allow Owner to pay the New Water Service Fees in monthly installments per the terms of this Agreement.

## AGREEMENT

**NOW THEREFORE**, in consideration of the preceding recitals and the mutual covenants and consideration contained herein, the Parties agree as follows:

1. **Incorporation of Recitals.** The Parties acknowledge that the above recitals are true and correct, and incorporate those recitals by reference into this Agreement.

2. **Property Location.** The Property is located at 3702 Howe Street, Corona, California and more particularly described in Exhibit “A” attached hereto and incorporated herein by reference. All references to the Property in this Agreement shall include the parcel or parcels of real property upon which the Property is situated.

3. New **Water Service Fee.** The Owner shall pay the New Water Service Fees required by the City for the requested 3/4-inch service to the Property, consisting of ten thousand six hundred eighty dollars and sixty-eight cents (\$10,680.68) plus interest at the rate of six percent (6%) in the sum of three thousand five hundred forty-eight dollars and sixty-one cents (\$3,548.61), for a total sum of fourteen thousand two hundred twenty-nine dollars and twenty-nine cents (\$14,229.29). This amount shall be paid in monthly payments of one hundred eighteen dollars and fifty-eight cents (\$118.58) for a duration of one hundred twenty (120) months.

4. **Monthly Fee Installment Schedule.** Owner agrees that the New Water Service Fee, plus interest, in the monthly amount of one hundred eighteen dollars and fifty-eight cents (\$118.58), shall be collected as a City of Corona Billing Invoice sent by the City of Corona Finance Department to commence September 30, 2023, except to the extent that payment becomes due sooner pursuant to Paragraph 12 herein. Owner further agrees and acknowledges that in addition to the City’s right to discontinue water service for non-payment of the New Water Service Fee, the City may take such other measures as it deems necessary to collect the fee in the event of non-payment, including tendering the debt to a collection agency and/or initiating legal action for collection.

5. **Non-Transferable.** Owner understands and agrees that the connection rights supplied by the City are not transferable and that these rights shall remain with the Property, and that neither Owner, or any other person or party shall be entitled to a refund of any amounts paid under this Agreement, for any reason.

6. **Discontinuation of Water Service.** Owner agrees that City shall reserve the right to discontinue water service to the Property, if any monthly payment of the New Water Service Fee installment is not timely made in accordance with Paragraph 4 of this Agreement. City shall have the right to completely discontinue water service to the Property until the unpaid balance of the total amount specified in Paragraph 3 has been paid, and shall not be required to provide limited service according to a pro rata formula. Furthermore, if Owner requests to discontinue water service, Owner agrees to continue paying the monthly installment payments of the New Water Service Fee pursuant to Section 4 of this Agreement. Owner expressly waives

any and all rights it may have under any uniform codes (including, but not limited to, the California Building Code), or under any other applicable law(s), to receive water service, except in compliance with this Agreement.

7. **Monthly Water Rates and Ready to Serve Charge.** Owner understands Monthly Water Rates and Ready to Serve Charges are separate fees from the New Water Service Fee and shall be paid to the Corona Utilities Department as set forth in the City's applicable Rules and Regulations as established pursuant to Chapter 13.14 of the Corona Municipal Code.

8. **Contractual Lien.** Owner further agrees for itself, its heirs, successors and assigns, that effective upon the execution of this Agreement, City shall have a lien upon the Property to guarantee the full and timely performance by Owner of its obligations under this Agreement. The lien upon the Property shall be in an amount equal to the unpaid portion of the New Water Service Fee, plus any costs incurred by the City to enforce this Agreement. Such lien may be enforced in the manner provided by law. This lien is in addition to any rights or remedies which the City may have which may arise by operation of any applicable law, including, without implied limitation, the Corona Municipal Code. The lien created pursuant to this Agreement shall occupy a priority position against the Property senior to all other non-statutory monetary liens and encumbrances against the Property, except to the extent that Owner lacks the right to grant the lien priority over other liens and encumbrances against the Property existing as of the date of this Agreement.

9. **Recordation and Enforcement.** City may record this Agreement in the official records of the County of Riverside, and may take such action in law, equity, or otherwise, as City deems necessary to enforce the provisions of this Agreement, including but not limited to actions for injunctive relief. This Agreement shall run with the land. The obligations of and the lien created by this Agreement shall run with the Property, and the requirements imposed by this Agreement shall bind the heirs, successors and assigns of Owner as owner of the Property until satisfied in full.

10. **Attorneys' Fees.** If any legal action, or any arbitration or other proceeding is initiated for the enforcement of this Agreement or because of any alleged dispute, breach, default or misrepresentation in connection with any of the provisions of this Agreement, the successful or prevailing party shall be entitled to recover reasonable attorneys' fees, witness fees and other costs incurred in that action or proceeding, in addition to any other relief to which it may be entitled.

11. **Indemnity.** Owner shall indemnify, defend and hold harmless City, its elected officials, board members, officers, agents, employees and authorized volunteers from and against any and all claims, damages, demands, liability, costs, losses and expenses, including, without limitation, court costs, reasonable attorneys' fees, and expert witness fees, arising out of, in connection with or in any way related to the negligence or misconduct of Owner relating to this Agreement, including but not limited to any breach of this Agreement by Owner, and including, without limitation, all costs of collection, including attorneys' fees and all costs of suit, in the event any payment required under this Agreement is not made when due.

12. **Term.** This Agreement shall be in full force and effect from the Effective Date and shall continue in full force and effect until Owner has paid all money due to City, as specified in Paragraphs 3, 4 and 12 of this Agreement.

13. **Events of Default.** Upon the occurrence of any of the events listed below, an “Event of Default” shall be deemed to have occurred and City may, at City’s option, without prior notice, (i) declare the then-unpaid principal amount of the New Water Service Fee, plus any accrued interest (collectively, the “Payment Amount”) to be immediately due and payable, and the same shall immediately become due and payable; and (ii) exercise all rights and remedies provided in this Agreement:

13.1 Owner shall fail to make any payment under this Agreement when due or within ten (10) days following written notice of such failure from City; or

13.2 There shall occur any breach of this Agreement by Owner; or

13.3 Owner shall (i) become insolvent or unable to pay Owner’s debts generally as they mature, (ii) make a general assignment for the benefit of creditors, (iii) admit in writing Owner’s inability to pay Owner’s debts generally as they mature, (iv) file or have filed against it a petition in bankruptcy or a petition or answer seeking a reorganization, arrangement with creditors or other similar relief under the Federal bankruptcy laws or under any other applicable law of the United States of America or any state thereof, or (v) consent to the appointment of a trustee or receiver for it or for a substantial part of Owner’s property; or

13.4 Any order, judgment or decree shall be entered appointing, without Owner’s consent, a trustee or receiver for it or for a substantial part of Owner’s property that is not removed within sixty (60) days from such entry; or

13.5 A judgment against Owner for the payment of money totaling in excess of \$10,000 shall be outstanding for a period of sixty (60) days without a stay of execution thereof; or

13.6 The holder of any senior or junior encumbrance on the personal property collateral encumbered by this Agreement shall institute foreclosure or other proceedings for the enforcement of its remedies thereunder; or

13.7 Owner permits or suffers Owner’s leasehold or other interest in the Property to be divested, sold, transferred, terminated or otherwise conveyed, whether voluntarily or involuntarily. This provision shall apply to each and every sale, transfer or conveyance, regardless of whether or not City has consented to, or waived, City’s right hereunder, whether by action or nonaction, in connection with any previous sale, transfer, or conveyance, whether one or more.

Notwithstanding the above, in the event of an actual or deemed entry of an order for relief with respect to Owner under the United States Bankruptcy Code, this Agreement and all interest and other amounts due hereon shall automatically become and be due and payable, without presentment, demand, protest or any notice of any kind, all of which are hereby

expressly waived by Owner. City may exercise its option to accelerate after any Event of Default, regardless of any prior forbearance.

14. **Entire Agreement.** This Agreement contains the entire Agreement of the parties with respect to the subject matter hereof, and supersedes all prior negotiations, understandings or agreements.

15. **Modification.** This Agreement may be modified only in writing, signed by both parties.

16. **Notice.** Written notice, whenever required by this Agreement, shall become effective upon personal service or deposit in the United States mail, postage prepaid, addressed to the following:

CITY:  
Attn: Tom Moody  
Director of Utilities  
City of Corona  
755 Public Safety Way  
Corona, CA 92880

OWNER:  
Celia Godsey  
3702 Howe Street  
Corona, CA 92881

16. **Venue.** This Agreement shall be interpreted according to the laws of the State of California. Venue shall be in Riverside County, California.

17. **Counterparts.** This Agreement may be executed in counterparts, all of which, taken together, shall be deemed one original.

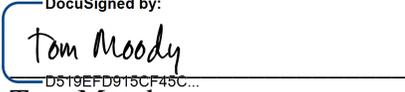
18. **Corona Utility Authority.** Owner understands that the City has entered into a Water Enterprise Management Agreement and a Wastewater Enterprise Management Agreement, both dated as of February 6, 2002, with the Corona Utility Authority ('CUA') for the maintenance, management and operation of those utility systems (collectively, the 'CUA Management Agreements'). To the extent that this Agreement is deemed to be a "material contract" under either of the CUA Management Agreements, the following provisions shall apply: (1) City enters into this Agreement on behalf of the CUA and subject to the terms of the applicable CUA Management Agreement(s); and (2) Owner has no right to terminate this Agreement, either with or without cause, based upon the existence or non-existence of either or both of the CUA Management Agreements. Therefore, if an applicable CUA Management Agreement expires or terminates for any reason, Owner shall remain fully obligated to perform under this Agreement on behalf of the CUA or another third party contracted by the CUA for the maintenance, management and operation of the applicable utility system.

In recognition of the foregoing, the parties hereto have executed this New Water Service Fee Agreement the day and year first stated above.

**[SIGNATURES ON NEXT PAGE]**

**SIGNATURE PAGE TO**  
**CITY OF CORONA**  
**NEW WATER SERVICE FEE AGREEMENT**  
**(RESIDENTIAL USER –3702 HOWE STREET)**  
**WITH CELIA GODSEY**

**CITY OF CORONA**

By:    
DocuSigned by:  
*Tom Moody*  
DS19EFD915CF45C...  
Tom Moody  
Director of Utilities

Approved as to Form:

By: \_\_\_\_\_  
Dean Derleth  
City Attorney

**CELIA GODSEY an unmarried woman**

By:    
DocuSigned by:  
*Celia Godsey*  
4154038F5EC744B...  
Celia Godsey  
Owner

EXHIBIT "A"  
LEGAL DESCRIPTION/DEPICTION OF PROPERTY

[SEE ATTACHED ONE (1) PAGE]

LEGAL DESCRIPTION

LOTS 28, 29 AND 30, BLOCK E, OVERLOOK ADDITION TO CORONA. IN THE CITY OF CORONA, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA, AS SHOWN BY MAP ON FILE IN BOOK 13, PAGES 65, 66, AND 67 OF MAPS. IN THE OFFICE OF THE COUNTY RECORDER OF SAID COUNTY.

MORE commonly known as: 3702 Howe Street  
Corona, CA 92881



Staff Report

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**File #:** 23-0846

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/18/2023

**TO:** Honorable Mayor and City Council Members

**FROM:** Fire Department

**SUBJECT:**

PURCHASE OF ONE (1) KOVATCH MOBILE EQUIPMENT TYPE 3 WILDLAND FIRE APPARATUS FROM FIRE APPARATUS SOLUTIONS UTILIZING THE SOURCEWELL COOPERATIVE PURCHASING PROGRAM, CONTRACT NUMBER 113021-RVG-1

**EXECUTIVE SUMMARY:**

This staff report asks the City Council for authorization to issue a purchase order to Fire Apparatus Solutions (FAS) in the amount of \$715,031 for the purchase of one (1) Kovatch Mobile Equipment (KME) type 3 wildland fire apparatus utilizing the Sourcewell Cooperative Purchasing Program. This purchase would replace an existing type 3 wildland fire apparatus that meets the parameters for replacement based on Administrative Policy No. 09100.508 "Vehicle Equipment Replacement."

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Approve the use of the Sourcewell Master Price Agreement Number #113021-RVG-3 for the purchase of one (1) Kovatch Mobile Equipment (KME) type 3 wildland fire apparatus.
- b. Make a determination under Corona Municipal Code [Section 3.08.140\(C\)](#) that competitive bidding is not required for this purchase based upon the reasons provided in this staff report.
- c. Authorize the City Manager, or his designee, to issue a purchase order to Fire Apparatus Solutions in the amount of \$715,031.
- d. Authorize the City Manager, or his designee, to negotiate and execute non-substantive extensions, change orders and amendments up to the amount authorized by Corona Municipal Code [Section 3.08.080\(I\)](#), which is equivalent to 10% or \$71,503.

- e. Authorize a one-time appropriation in the amount of \$786,534 from the Public Safety Reserve within Measure X Fund 120 to Vehicle Procurement Operating & Maintenance Project No. 68342.

**BACKGROUND & HISTORY:**

Annually, the Fire Department selects vehicles for replacement based on Administrative Policy No. 09100.508 "Vehicle Equipment Replacement" and the department's operational needs. Unit 62039, known as Brush 1, is a 2002 Type 3 Wildland Fire Apparatus. This unit is a four-wheel drive capable unit specially designed to attack wildland fires and fires within the wildland and wildland-urban interface. Wildland fires are an enduring threat to the city of Corona due to its proximity to the Cleveland National Forest and other interface areas historically prone to frequent fires. The unit can be dispatched within the city, region, and across the state through the California Mutual Aid System.

At 21 years old, Unit 62039 is due for replacement according to Administrative Policy No. 09100.508, which stipulates a 15-year replacement period. Moreover, it scored 43 points on the American Public Works Association's (APWA) assessment, qualifying it for immediate replacement, as anything above 28 points merits such action. The APWA assessment considers six factors: age, mileage/hours, reliability, maintenance/repair costs, condition, and service type. Unit 62039 service type is classified by APWA as severe duty. Severe duty equipment, as defined by APWA refers to vehicles and machinery designed and built to withstand harsh and demanding operating conditions. These conditions could include heavy usage, extreme weather, rough terrain, and other challenging environments. Type 3 wildland apparatus operates in an off-road setting traversing a variety of challenging terrain for long periods of time often in extreme heat and wind pushing the apparatus to its operational limits.

Unit 62039 suffers from frequent mechanical problems. These mechanical problems often lead to the unit being placed out of service leaving the Fire Department with a reduced capability when responding to wildland fires. In recent years, the unit has required significant repairs to the braking systems, electrical system, drive line and communications equipment.

This unit also uses outdated technology and safety features. The unit was constructed under a legacy National Fire Protection Association Standard for Automotive Fire Apparatus (NFPA 1901) which did not include the safety features, capabilities, and reliability of the current standard. Its safety restraint devices do not meet the current standard and are equipped with a single-stage fire pump which is ineffective at pumping extended fire attack operations that are commonly encountered in wildland firefighting.

**ANALYSIS:**

The Fire Department proposes a new unit as a replacement, built on the same chassis and drivetrain as the current Fire Department Type 3 wildland fire apparatus. This uniformity benefits the operation, maintenance, and repair of the apparatus. This proposed unit is built to the current NFPA 1901 affording it the latest technology leading to a more capable and safer apparatus. This proposed unit is the same model currently used by the California Department of Forestry and Fire Protection (CAL FIRE). CAL FIRE is a recognized state fire agency that is highly regarded in its response and mitigation of wildland fires within California further validating the proposed unit.

In summary, Unit 62039, a legacy unit, lacks the standard safety and operational features of newer units and does not meet current NFPA 1901 requirements. The proposed replacement, with its current operational and safety features, will allow the Fire Department to protect the City of Corona effectively and safely.

Staff believes that an exception to competitive bidding is warranted for this purchase pursuant to Corona Municipal Code (CMC) [Section 3.08.140\(C\)](#), which states as follows:

“Competitive bidding already completed. When the purchasing agent and the authorized contracting party, with the approval of the City Manager, determine that:

- 1) A competitive bid procedure has been conducted by another public agency including, but not limited to, another local agency, the state through the California Multiple Award Schedule (CMAS), the federal government through the General Services Administration (GSA), the U.S. Communities Government Purchasing Alliance, or the Western States Contracting Alliance (WSCA); and
  
- 2) The price to the City is equal to or better than the price to that public agency.”

On October 12, 2021, a Request for Proposals (“RFP”) #113021, was published on the Cooperative Purchasing Program, Sourcwell website inviting interested parties to submit proposals for Firefighting Apparatus and Fire Service Vehicles. Sourcwell received and opened thirty-three proposals by the proposal due date of November 30, 2021. All responses were evaluated by Sourcwell staff. Nine proposals were recommended and approved for award on February 7, 2022.

The City seeks out cooperative purchasing agreements because they allow the City to save time, cut administrative costs, improve agency efficiency, and maximize city budgets by securing collective competitive pricing. By utilizing cooperative purchasing, the City will leverage a previous purchasing solicitation that another government agency performed.

Based on this previously conducted bid process by Sourcwell, the Corona Fire Department requests approval to purchase the KME type 3 wildland fire apparatus for \$715,031.

**FINANCIAL IMPACT:**

Approval of the recommended actions will result in a one-time appropriation in the amount of \$786,534 from the Public Safety Reserve within the Measure X Fund 120 to the Vehicle Procurement Operating & Maintenance Project No. 68342.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the commonsense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the

activity is not subject to CEQA. This action involves the purchase of a vehicle that meets California and Federal emissions standards for heavy-duty vehicles and there is no possibility that approving this project will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** JUSTIN DETMER, FIRE CAPTAIN

**REVIEWED BY:** BRIAN YOUNG, FIRE CHIEF

**REVIEWED BY:** SAVAT KHAMPHOU, PUBLIC WORKS DIRECTOR

**Attachments:**

1. Exhibit 1 - REV Group Sourcewell Contract
2. Exhibit 2 - REV Group Contract Awarded
3. Exhibit 3 - Sourcewell Request for Proposal
4. Exhibit 4 - Sourcewell Proposal Opening Record
5. Exhibit 5 - Sourcewell Proof of Publication
6. Exhibit 6 - Sourcewell Comment and Review
7. Exhibit 7 - Fire Apparatus Solutions Price Letter
8. Exhibit 8 - Kovatch Mobile Equipment Type 3 Chassie Specification
9. Exhibit 9 - Kovatch Mobile Equipment Type 3 Body Specification
10. Exhibit 10 - Johnson Equipment Company Quote 5323
11. Exhibit 11 - American Public Works Association Evaluation

**Solicitation Number: RFP #113021****CONTRACT**

This Contract is between Sourcewell, 202 12th Street Northeast, P.O. Box 219, Staples, MN 56479 (Sourcewell) and Rev Group, Inc., 245 S. Executive Dr., Suite 100, Brookfield, WI 53005 (Supplier).

Sourcewell is a State of Minnesota local government unit and service cooperative created under the laws of the State of Minnesota (Minnesota Statutes Section 123A.21) that offers cooperative procurement solutions to government entities. Participation is open to eligible federal, state/province, and municipal governmental entities, higher education, K-12 education, nonprofit, tribal government, and other public entities located in the United States and Canada. Sourcewell issued a public solicitation for Firefighting Apparatus and Fire Service Vehicles from which Supplier was awarded a contract.

Supplier desires to contract with Sourcewell to provide equipment, products, or services to Sourcewell and the entities that access Sourcewell's cooperative purchasing contracts (Participating Entities).

**1. TERM OF CONTRACT**

- A. **EFFECTIVE DATE.** This Contract is effective upon the date of the final signature below.
- B. **EXPIRATION DATE AND EXTENSION.** This Contract expires February 10, 2026, unless it is cancelled sooner pursuant to Article 22. This Contract may be extended one additional year upon the request of Sourcewell and written agreement by Supplier.
- C. **SURVIVAL OF TERMS.** Notwithstanding any expiration or termination of this Contract, all payment obligations incurred prior to expiration or termination will survive, as will the following: Articles 11 through 14 survive the expiration or cancellation of this Contract. All other rights will cease upon expiration or termination of this Contract.

**2. EQUIPMENT, PRODUCTS, OR SERVICES**

- A. **EQUIPMENT, PRODUCTS, OR SERVICES.** Supplier will provide the Equipment, Products, or Services as stated in its Proposal submitted under the Solicitation Number listed above.

Supplier's Equipment, Products, or Services Proposal (Proposal) is attached and incorporated into this Contract.

All Equipment and Products provided under this Contract must be new and the current model. Supplier may offer close-out, refurbished, or remounted Equipment or Products if they are clearly indicated in Supplier's product and pricing list. Unless agreed to by the Participating Entities in advance, Equipment or Products must be delivered as operational to the Participating Entity's site.

This Contract offers an indefinite quantity of sales, and while substantial volume is anticipated, sales and sales volume are not guaranteed.

B. **WARRANTY.** Supplier warrants that all Equipment, Products, and Services furnished are free from liens and encumbrances, and are free from defects in design, materials, and workmanship. In addition, Supplier warrants the Equipment, Products, and Services are suitable for and will perform in accordance with the ordinary use for which they are intended. Supplier's dealers and distributors must agree to assist the Participating Entity in reaching a resolution in any dispute over warranty terms with the manufacturer. Any manufacturer's warranty that extends beyond the expiration of the Supplier's warranty will be passed on to the Participating Entity.

C. **DEALERS, DISTRIBUTORS, AND/OR RESELLERS.** Upon Contract execution and throughout the Contract term, Supplier must provide to Sourcewell a current means to validate or authenticate Supplier's authorized dealers, distributors, or resellers relative to the Equipment, Products, and Services offered under this Contract, which will be incorporated into this Contract by reference. It is the Supplier's responsibility to ensure Sourcewell receives the most current information.

### **3. PRICING**

All Equipment, Products, or Services under this Contract will be priced at or below the price stated in Supplier's Proposal.

When providing pricing quotes to Participating Entities, all pricing quoted must reflect a Participating Entity's total cost of acquisition. This means that the quoted cost is for delivered Equipment, Products, and Services that are operational for their intended purpose, and includes all costs to the Participating Entity's requested delivery location.

Regardless of the payment method chosen by the Participating Entity, the total cost associated with any purchase option of the Equipment, Products, or Services must always be disclosed in the pricing quote to the applicable Participating Entity at the time of purchase.

A. **SHIPPING AND SHIPPING COSTS.** All delivered Equipment and Products must be properly packaged. Damaged Equipment and Products may be rejected. If the damage is not readily

apparent at the time of delivery, Supplier must permit the Equipment and Products to be returned within a reasonable time at no cost to Sourcewell or its Participating Entities. Participating Entities reserve the right to inspect the Equipment and Products at a reasonable time after delivery where circumstances or conditions prevent effective inspection of the Equipment and Products at the time of delivery. In the event of the delivery of nonconforming Equipment and Products, the Participating Entity will notify the Supplier as soon as possible and the Supplier will replace nonconforming Equipment and Products with conforming Equipment and Products that are acceptable to the Participating Entity.

Supplier must arrange for and pay for the return shipment on Equipment and Products that arrive in a defective or inoperable condition.

Sourcewell may declare the Supplier in breach of this Contract if the Supplier intentionally delivers substandard or inferior Equipment or Products.

B. SALES TAX. Each Participating Entity is responsible for supplying the Supplier with valid tax-exemption certification(s). When ordering, a Participating Entity must indicate if it is a tax-exempt entity.

C. HOT LIST PRICING. At any time during this Contract, Supplier may offer a specific selection of Equipment, Products, or Services at discounts greater than those listed in the Contract. When Supplier determines it will offer Hot List Pricing, it must be submitted electronically to Sourcewell in a line-item format. Equipment, Products, or Services may be added or removed from the Hot List at any time through a Sourcewell Price and Product Change Form as defined in Article 4 below.

Hot List program and pricing may also be used to discount and liquidate close-out and discontinued Equipment and Products as long as those close-out and discontinued items are clearly identified as such. Current ordering process and administrative fees apply. Hot List Pricing must be published and made available to all Participating Entities.

#### **4. PRODUCT AND PRICING CHANGE REQUESTS**

Supplier may request Equipment, Product, or Service changes, additions, or deletions at any time. All requests must be made in writing by submitting a signed Sourcewell Price and Product Change Request Form to the assigned Sourcewell Supplier Development Administrator. This approved form is available from the assigned Sourcewell Supplier Development Administrator. At a minimum, the request must:

- Identify the applicable Sourcewell contract number;
- Clearly specify the requested change;
- Provide sufficient detail to justify the requested change;

- Individually list all Equipment, Products, or Services affected by the requested change, along with the requested change (e.g., addition, deletion, price change); and
- Include a complete restatement of pricing documentation in Microsoft Excel with the effective date of the modified pricing, or product addition or deletion. The new pricing restatement must include all Equipment, Products, and Services offered, even for those items where pricing remains unchanged.

A fully executed Sourcewell Price and Product Change Request Form will become an amendment to this Contract and will be incorporated by reference.

## **5. PARTICIPATION, CONTRACT ACCESS, AND PARTICIPATING ENTITY REQUIREMENTS**

A. PARTICIPATION. Sourcewell's cooperative contracts are available and open to public and nonprofit entities across the United States and Canada; such as federal, state/province, municipal, K-12 and higher education, tribal government, and other public entities.

The benefits of this Contract should be available to all Participating Entities that can legally access the Equipment, Products, or Services under this Contract. A Participating Entity's authority to access this Contract is determined through its cooperative purchasing, interlocal, or joint powers laws. Any entity accessing benefits of this Contract will be considered a Service Member of Sourcewell during such time of access. Supplier understands that a Participating Entity's use of this Contract is at the Participating Entity's sole convenience and Participating Entities reserve the right to obtain like Equipment, Products, or Services from any other source.

Supplier is responsible for familiarizing its sales and service forces with Sourcewell contract use eligibility requirements and documentation and will encourage potential participating entities to join Sourcewell. Sourcewell reserves the right to add and remove Participating Entities to its roster during the term of this Contract.

B. PUBLIC FACILITIES. Supplier's employees may be required to perform work at government-owned facilities, including schools. Supplier's employees and agents must conduct themselves in a professional manner while on the premises, and in accordance with Participating Entity policies and procedures, and all applicable laws.

## **6. PARTICIPATING ENTITY USE AND PURCHASING**

A. ORDERS AND PAYMENT. To access the contracted Equipment, Products, or Services under this Contract, a Participating Entity must clearly indicate to Supplier that it intends to access this Contract; however, order flow and procedure will be developed jointly between Sourcewell and Supplier. Typically, a Participating Entity will issue an order directly to Supplier or its authorized subsidiary, distributor, dealer, or reseller. If a Participating Entity issues a purchase order, it may use its own forms, but the purchase order should clearly note the applicable Sourcewell

contract number. All Participating Entity orders under this Contract must be issued prior to expiration or cancellation of this Contract; however, Supplier performance, Participating Entity payment obligations, and any applicable warranty periods or other Supplier or Participating Entity obligations may extend beyond the term of this Contract.

Supplier's acceptable forms of payment are included in its attached Proposal. Participating Entities will be solely responsible for payment and Sourcewell will have no liability for any unpaid invoice of any Participating Entity.

B. **ADDITIONAL TERMS AND CONDITIONS/PARTICIPATING ADDENDUM.** Additional terms and conditions to a purchase order, or other required transaction documentation, may be negotiated between a Participating Entity and Supplier, such as job or industry-specific requirements, legal requirements (e.g., affirmative action or immigration status requirements), or specific local policy requirements. Some Participating Entities may require the use of a Participating Addendum; the terms of which will be negotiated directly between the Participating Entity and the Supplier. Any negotiated additional terms and conditions must never be less favorable to the Participating Entity than what is contained in this Contract.

C. **SPECIALIZED SERVICE REQUIREMENTS.** In the event that the Participating Entity requires service or specialized performance requirements not addressed in this Contract (such as e-commerce specifications, specialized delivery requirements, or other specifications and requirements), the Participating Entity and the Supplier may enter into a separate, standalone agreement, apart from this Contract. Sourcewell, including its agents and employees, will not be made a party to a claim for breach of such agreement.

D. **TERMINATION OF ORDERS.** Participating Entities may terminate an order, in whole or in part, immediately upon notice to Supplier in the event of any of the following events:

1. The Participating Entity fails to receive funding or appropriation from its governing body at levels sufficient to pay for the equipment, products, or services to be purchased; or
2. Federal, state, or provincial laws or regulations prohibit the purchase or change the Participating Entity's requirements.

E. **GOVERNING LAW AND VENUE.** The governing law and venue for any action related to a Participating Entity's order will be determined by the Participating Entity making the purchase.

## **7. CUSTOMER SERVICE**

A. **PRIMARY ACCOUNT REPRESENTATIVE.** Supplier will assign an Account Representative to Sourcewell for this Contract and must provide prompt notice to Sourcewell if that person is changed. The Account Representative will be responsible for:

- Maintenance and management of this Contract;
- Timely response to all Sourcwell and Participating Entity inquiries; and
- Business reviews to Sourcwell and Participating Entities, if applicable.

B. BUSINESS REVIEWS. Supplier must perform a minimum of one business review with Sourcwell per contract year. The business review will cover sales to Participating Entities, pricing and contract terms, administrative fees, sales data reports, supply issues, customer issues, and any other necessary information.

## **8. REPORT ON CONTRACT SALES ACTIVITY AND ADMINISTRATIVE FEE PAYMENT**

A. CONTRACT SALES ACTIVITY REPORT. Each calendar quarter, Supplier must provide a contract sales activity report (Report) to the Sourcwell Supplier Development Administrator assigned to this Contract. Reports are due no later than 45 days after the end of each calendar quarter. A Report must be provided regardless of the number or amount of sales during that quarter (i.e., if there are no sales, Supplier must submit a report indicating no sales were made).

The Report must contain the following fields:

- Participating Entity Name (e.g., City of Staples Highway Department);
- Participating Entity Physical Street Address;
- Participating Entity City;
- Participating Entity State/Province;
- Participating Entity Zip/Postal Code;
- Participating Entity Contact Name;
- Participating Entity Contact Email Address;
- Participating Entity Contact Telephone Number;
- Sourcwell Assigned Entity/Participating Entity Number;
- Item Purchased Description;
- Item Purchased Price;
- Sourcwell Administrative Fee Applied; and
- Date Purchase was invoiced/sale was recognized as revenue by Supplier.

B. ADMINISTRATIVE FEE. In consideration for the support and services provided by Sourcwell, the Supplier will pay an administrative fee to Sourcwell on all Equipment, Products, and Services provided to Participating Entities. The Administrative Fee must be included in, and not added to, the pricing. Supplier may not charge Participating Entities more than the contracted price to offset the Administrative Fee.

The Supplier will submit payment to Sourcwell for the percentage of administrative fee stated in the Proposal multiplied by the total sales of all Equipment, Products, and Services purchased

by Participating Entities under this Contract during each calendar quarter. Payments should note the Supplier's name and Sourcewell-assigned contract number in the memo; and must be mailed to the address above "Attn: Accounts Receivable" or remitted electronically to Sourcewell's banking institution per Sourcewell's Finance department instructions. Payments must be received no later than 45 calendar days after the end of each calendar quarter.

Supplier agrees to cooperate with Sourcewell in auditing transactions under this Contract to ensure that the administrative fee is paid on all items purchased under this Contract.

In the event the Supplier is delinquent in any undisputed administrative fees, Sourcewell reserves the right to cancel this Contract and reject any proposal submitted by the Supplier in any subsequent solicitation. In the event this Contract is cancelled by either party prior to the Contract's expiration date, the administrative fee payment will be due no more than 30 days from the cancellation date.

#### **9. AUTHORIZED REPRESENTATIVE**

Sourcewell's Authorized Representative is its Chief Procurement Officer.

Supplier's Authorized Representative is the person named in the Supplier's Proposal. If Supplier's Authorized Representative changes at any time during this Contract, Supplier must promptly notify Sourcewell in writing.

#### **10. AUDIT, ASSIGNMENT, AMENDMENTS, WAIVER, AND CONTRACT COMPLETE**

A. **AUDIT.** Pursuant to Minnesota Statutes Section 16C.05, subdivision 5, the books, records, documents, and accounting procedures and practices relevant to this Agreement are subject to examination by Sourcewell or the Minnesota State Auditor for a minimum of six years from the end of this Contract. This clause extends to Participating Entities as it relates to business conducted by that Participating Entity under this Contract.

B. **ASSIGNMENT.** Neither party may assign or otherwise transfer its rights or obligations under this Contract without the prior written consent of the other party and a fully executed assignment agreement. Such consent will not be unreasonably withheld. Any prohibited assignment will be invalid.

C. **AMENDMENTS.** Any amendment to this Contract must be in writing and will not be effective until it has been duly executed by the parties.

D. **WAIVER.** Failure by either party to take action or assert any right under this Contract will not be deemed a waiver of such right in the event of the continuation or repetition of the circumstances giving rise to such right. Any such waiver must be in writing and signed by the parties.

E. **CONTRACT COMPLETE.** This Contract represents the complete agreement between the parties. No other understanding regarding this Contract, whether written or oral, may be used to bind either party. For any conflict between the attached Proposal and the terms set out in Articles 1-22 of this Contract, the terms of Articles 1-22 will govern.

F. **RELATIONSHIP OF THE PARTIES.** The relationship of the parties is one of independent contractors, each free to exercise judgment and discretion with regard to the conduct of their respective businesses. This Contract does not create a partnership, joint venture, or any other relationship such as master-servant, or principal-agent.

### **11. INDEMNITY AND HOLD HARMLESS**

Supplier must indemnify, defend, save, and hold Sourcewell and its Participating Entities, including their agents and employees, harmless from any claims or causes of action, including attorneys' fees incurred by Sourcewell or its Participating Entities, arising out of any act or omission in the performance of this Contract by the Supplier or its agents or employees; this indemnification includes injury or death to person(s) or property alleged to have been caused by some defect in the Equipment, Products, or Services under this Contract to the extent the Equipment, Product, or Service has been used according to its specifications. Sourcewell's responsibility will be governed by the State of Minnesota's Tort Liability Act (Minnesota Statutes Chapter 466) and other applicable law.

### **12. GOVERNMENT DATA PRACTICES**

Supplier and Sourcewell must comply with the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13, as it applies to all data provided by or provided to Sourcewell under this Contract and as it applies to all data created, collected, received, stored, used, maintained, or disseminated by the Supplier under this Contract.

### **13. INTELLECTUAL PROPERTY, PUBLICITY, MARKETING, AND ENDORSEMENT**

#### **A. INTELLECTUAL PROPERTY**

1. *Grant of License.* During the term of this Contract:
  - a. Sourcewell grants to Supplier a royalty-free, worldwide, non-exclusive right and license to use the trademark(s) provided to Supplier by Sourcewell in advertising and promotional materials for the purpose of marketing Sourcewell's relationship with Supplier.
  - b. Supplier grants to Sourcewell a royalty-free, worldwide, non-exclusive right and license to use Supplier's trademarks in advertising and promotional materials for the purpose of marketing Supplier's relationship with Sourcewell.
2. *Limited Right of Sublicense.* The right and license granted herein includes a limited right of each party to grant sublicenses to their respective subsidiaries, distributors, dealers,

resellers, marketing representatives, and agents (collectively “Permitted Sublicensees”) in advertising and promotional materials for the purpose of marketing the Parties’ relationship to Participating Entities. Any sublicense granted will be subject to the terms and conditions of this Article. Each party will be responsible for any breach of this Article by any of their respective sublicensees.

*3. Use; Quality Control.*

- a. Neither party may alter the other party’s trademarks from the form provided and must comply with removal requests as to specific uses of its trademarks or logos.
- b. Each party agrees to use, and to cause its Permitted Sublicensees to use, the other party’s trademarks only in good faith and in a dignified manner consistent with such party’s use of the trademarks. Upon written notice to the breaching party, the breaching party has 30 days of the date of the written notice to cure the breach or the license will be terminated.

4. As applicable, Supplier agrees to indemnify and hold harmless Sourcewell and its Participating Entities against any and all suits, claims, judgments, and costs instituted or recovered against Sourcewell or Participating Entities by any person on account of the use of any Equipment or Products by Sourcewell or its Participating Entities supplied by Supplier in violation of applicable patent or copyright laws.

*5. Termination.* Upon the termination of this Contract for any reason, each party, including Permitted Sublicensees, will have 30 days to remove all Trademarks from signage, websites, and the like bearing the other party’s name or logo (excepting Sourcewell’s pre-printed catalog of suppliers which may be used until the next printing). Supplier must return all marketing and promotional materials, including signage, provided by Sourcewell, or dispose of it according to Sourcewell’s written directions.

B. **PUBLICITY.** Any publicity regarding the subject matter of this Contract must not be released without prior written approval from the Authorized Representatives. Publicity includes notices, informational pamphlets, press releases, research, reports, signs, and similar public notices prepared by or for the Supplier individually or jointly with others, or any subcontractors, with respect to the program, publications, or services provided resulting from this Contract.

C. **MARKETING.** Any direct advertising, marketing, or offers with Participating Entities must be approved by Sourcewell. Send all approval requests to the Sourcewell Supplier Development Administrator assigned to this Contract.

D. **ENDORSEMENT.** The Supplier must not claim that Sourcewell endorses its Equipment, Products, or Services.

#### **14. GOVERNING LAW, JURISDICTION, AND VENUE**

The substantive and procedural laws of the State of Minnesota will govern this Contract. Venue for all legal proceedings arising out of this Contract, or its breach, must be in the appropriate state court in Todd County, Minnesota or federal court in Fergus Falls, Minnesota.

#### **15. FORCE MAJEURE**

Neither party to this Contract will be held responsible for delay or default caused by acts of God or other conditions that are beyond that party's reasonable control. A party defaulting under this provision must provide the other party prompt written notice of the default.

#### **16. SEVERABILITY**

If any provision of this Contract is found by a court of competent jurisdiction to be illegal, unenforceable, or void then both parties will be relieved from all obligations arising from that provision. If the remainder of this Contract is capable of being performed, it will not be affected by such determination or finding and must be fully performed.

#### **17. PERFORMANCE, DEFAULT, AND REMEDIES**

A. **PERFORMANCE.** During the term of this Contract, the parties will monitor performance and address unresolved contract issues as follows:

1. *Notification.* The parties must promptly notify each other of any known dispute and work in good faith to resolve such dispute within a reasonable period of time. If necessary, Sourcewell and the Supplier will jointly develop a short briefing document that describes the issue(s), relevant impact, and positions of both parties.
2. *Escalation.* If parties are unable to resolve the issue in a timely manner, as specified above, either Sourcewell or Supplier may escalate the resolution of the issue to a higher level of management. The Supplier will have 30 calendar days to cure an outstanding issue.
3. *Performance while Dispute is Pending.* Notwithstanding the existence of a dispute, the Supplier must continue without delay to carry out all of its responsibilities under the Contract that are not affected by the dispute. If the Supplier fails to continue without delay to perform its responsibilities under the Contract, in the accomplishment of all undisputed work, the Supplier will bear any additional costs incurred by Sourcewell and/or its Participating Entities as a result of such failure to proceed.

B. **DEFAULT AND REMEDIES.** Either of the following constitutes cause to declare this Contract, or any Participating Entity order under this Contract, in default:

1. Nonperformance of contractual requirements, or
2. A material breach of any term or condition of this Contract.

The party claiming default must provide written notice of the default, with 30 calendar days to cure the default. Time allowed for cure will not diminish or eliminate any liability for liquidated or other damages. If the default remains after the opportunity for cure, the non-defaulting party may:

- Exercise any remedy provided by law or equity, or
- Terminate the Contract or any portion thereof, including any orders issued against the Contract.

## 18. INSURANCE

A. REQUIREMENTS. At its own expense, Supplier must maintain insurance policy(ies) in effect at all times during the performance of this Contract with insurance company(ies) licensed or authorized to do business in the State of Minnesota having an "AM BEST" rating of A- or better, with coverage and limits of insurance not less than the following:

1. *Workers' Compensation and Employer's Liability.*

Workers' Compensation: As required by any applicable law or regulation.

Employer's Liability Insurance: must be provided in amounts not less than listed below:

Minimum limits:

\$500,000 each accident for bodily injury by accident

\$500,000 policy limit for bodily injury by disease

\$500,000 each employee for bodily injury by disease

2. *Commercial General Liability Insurance.* Supplier will maintain insurance covering its operations, with coverage on an occurrence basis, and must be subject to terms no less broad than the Insurance Services Office ("ISO") Commercial General Liability Form CG0001 (2001 or newer edition), or equivalent. At a minimum, coverage must include liability arising from premises, operations, bodily injury and property damage, independent contractors, products-completed operations including construction defect, contractual liability, blanket contractual liability, and personal injury and advertising injury. All required limits, terms and conditions of coverage must be maintained during the term of this Contract.

Minimum Limits:

\$1,000,000 each occurrence Bodily Injury and Property Damage

\$1,000,000 Personal and Advertising Injury

\$2,000,000 aggregate for Products-Completed operations

\$2,000,000 general aggregate

3. *Commercial Automobile Liability Insurance.* During the term of this Contract, Supplier will maintain insurance covering all owned, hired, and non-owned automobiles in limits of liability not less than indicated below. The coverage must be subject to terms

no less broad than ISO Business Auto Coverage Form CA 0001 (2010 edition or newer), or equivalent.

Minimum Limits:

\$1,000,000 each accident, combined single limit

4. *Umbrella Insurance*. During the term of this Contract, Supplier will maintain umbrella coverage over Employer's Liability, Commercial General Liability, and Commercial Automobile.

Minimum Limits:

\$2,000,000

5. *Network Security and Privacy Liability Insurance*. During the term of this Contract, Supplier will maintain coverage for network security and privacy liability. The coverage may be endorsed on another form of liability coverage or written on a standalone policy. The insurance must cover claims which may arise from failure of Supplier's security resulting in, but not limited to, computer attacks, unauthorized access, disclosure of not public data – including but not limited to, confidential or private information, transmission of a computer virus, or denial of service.

Minimum limits:

\$2,000,000 per occurrence

\$2,000,000 annual aggregate

Failure of Supplier to maintain the required insurance will constitute a material breach entitling Sourcewell to immediately terminate this Contract for default.

B. CERTIFICATES OF INSURANCE. Prior to commencing under this Contract, Supplier must furnish to Sourcewell a certificate of insurance, as evidence of the insurance required under this Contract. Prior to expiration of the policy(ies), renewal certificates must be mailed to Sourcewell, 202 12th Street Northeast, P.O. Box 219, Staples, MN 56479 or sent to the Sourcewell Supplier Development Administrator assigned to this Contract. The certificates must be signed by a person authorized by the insurer(s) to bind coverage on their behalf.

Failure to request certificates of insurance by Sourcewell, or failure of Supplier to provide certificates of insurance, in no way limits or relieves Supplier of its duties and responsibilities in this Contract.

C. ADDITIONAL INSURED ENDORSEMENT AND PRIMARY AND NON-CONTRIBUTORY INSURANCE CLAUSE. Supplier agrees to list Sourcewell and its Participating Entities, including their officers, agents, and employees, as an additional insured under the Supplier's commercial general liability insurance policy with respect to liability arising out of activities, "operations," or "work" performed by or on behalf of Supplier, and products and completed operations of Supplier. The policy provision(s) or endorsement(s) must further provide that coverage is

primary and not excess over or contributory with any other valid, applicable, and collectible insurance or self-insurance in force for the additional insureds.

D. **WAIVER OF SUBROGATION.** Supplier waives and must require (by endorsement or otherwise) all its insurers to waive subrogation rights against Sourcewell and other additional insureds for losses paid under the insurance policies required by this Contract or other insurance applicable to the Supplier or its subcontractors. The waiver must apply to all deductibles and/or self-insured retentions applicable to the required or any other insurance maintained by the Supplier or its subcontractors. Where permitted by law, Supplier must require similar written express waivers of subrogation and insurance clauses from each of its subcontractors.

E. **UMBRELLA/EXCESS LIABILITY/SELF-INSURED RETENTION.** The limits required by this Contract can be met by either providing a primary policy or in combination with umbrella/excess liability policy(ies), or self-insured retention.

## **19. COMPLIANCE**

A. **LAWS AND REGULATIONS.** All Equipment, Products, or Services provided under this Contract must comply fully with applicable federal laws and regulations, and with the laws in the states and provinces in which the Equipment, Products, or Services are sold.

B. **LICENSES.** Supplier must maintain a valid and current status on all required federal, state/provincial, and local licenses, bonds, and permits required for the operation of the business that the Supplier conducts with Sourcewell and Participating Entities.

## **20. BANKRUPTCY, DEBARMENT, OR SUSPENSION CERTIFICATION**

Supplier certifies and warrants that it is not in bankruptcy or that it has previously disclosed in writing certain information to Sourcewell related to bankruptcy actions. If at any time during this Contract Supplier declares bankruptcy, Supplier must immediately notify Sourcewell in writing.

Supplier certifies and warrants that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from programs operated by the State of Minnesota; the United States federal government or the Canadian government, as applicable; or any Participating Entity. Supplier certifies and warrants that neither it nor its principals have been convicted of a criminal offense related to the subject matter of this Contract. Supplier further warrants that it will provide immediate written notice to Sourcewell if this certification changes at any time.

## **21. PROVISIONS FOR NON-UNITED STATES FEDERAL ENTITY PROCUREMENTS UNDER UNITED STATES FEDERAL AWARDS OR OTHER AWARDS**

Participating Entities that use United States federal grant or FEMA funds to purchase goods or services from this Contract may be subject to additional requirements including the procurement standards of the Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards, 2 C.F.R. § 200. Participating Entities may have additional requirements based on specific funding source terms or conditions. Within this Article, all references to “federal” should be interpreted to mean the United States federal government. The following list only applies when a Participating Entity accesses Supplier’s Equipment, Products, or Services with United States federal funds.

A. **EQUAL EMPLOYMENT OPPORTUNITY.** Except as otherwise provided under 41 C.F.R. § 60, all contracts that meet the definition of “federally assisted construction contract” in 41 C.F.R. § 60-1.3 must include the equal opportunity clause provided under 41 C.F.R. §60-1.4(b), in accordance with Executive Order 11246, “Equal Employment Opportunity” (30 FR 12319, 12935, 3 C.F.R. §, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” and implementing regulations at 41 C.F.R. § 60, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor.” The equal opportunity clause is incorporated herein by reference.

B. **DAVIS-BACON ACT, AS AMENDED (40 U.S.C. § 3141-3148).** When required by federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. § 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 C.F.R. § 5, “Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction”). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-federal entity must report all suspected or reported violations to the federal awarding agency. The contracts must also include a provision for compliance with the Copeland “Anti-Kickback” Act (40 U.S.C. § 3145), as supplemented by Department of Labor regulations (29 C.F.R. § 3, “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States”). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-federal entity must report all suspected or reported violations to the federal awarding agency. Supplier must be in compliance with all applicable Davis-Bacon Act provisions.

C. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT (40 U.S.C. § 3701-3708). Where applicable, all contracts awarded by the non-federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations (29 C.F.R. § 5). Under 40 U.S.C. § 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence. This provision is hereby incorporated by reference into this Contract. Supplier certifies that during the term of an award for all contracts by Sourcewell resulting from this procurement process, Supplier must comply with applicable requirements as referenced above.

D. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT. If the federal award meets the definition of “funding agreement” under 37 C.F.R. § 401.2(a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of 37 C.F.R. § 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency. Supplier certifies that during the term of an award for all contracts by Sourcewell resulting from this procurement process, Supplier must comply with applicable requirements as referenced above.

E. CLEAN AIR ACT (42 U.S.C. § 7401-7671Q.) AND THE FEDERAL WATER POLLUTION CONTROL ACT (33 U.S.C. § 1251-1387). Contracts and subgrants of amounts in excess of \$150,000 require the non-federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. § 7401- 7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. § 1251- 1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA). Supplier certifies that during the term of this Contract will comply with applicable requirements as referenced above.

F. DEBARMENT AND SUSPENSION (EXECUTIVE ORDERS 12549 AND 12689). A contract award (see 2 C.F.R. § 180.220) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 C.F.R. §180 that implement Executive Orders 12549 (3 C.F.R. § 1986 Comp., p. 189) and 12689 (3 C.F.R. § 1989 Comp., p. 235), “Debarment and Suspension.” SAM Exclusions contains the names

of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549. Supplier certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by any federal department or agency.

G. BYRD ANTI-LOBBYING AMENDMENT, AS AMENDED (31 U.S.C. § 1352). Suppliers must file any required certifications. Suppliers must not have used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Suppliers must disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures are forwarded from tier to tier up to the non-federal award. Suppliers must file all certifications and disclosures required by, and otherwise comply with, the Byrd Anti-Lobbying Amendment (31 U.S.C. § 1352).

H. RECORD RETENTION REQUIREMENTS. To the extent applicable, Supplier must comply with the record retention requirements detailed in 2 C.F.R. § 200.333. The Supplier further certifies that it will retain all records as required by 2 C.F.R. § 200.333 for a period of 3 years after grantees or subgrantees submit final expenditure reports or quarterly or annual financial reports, as applicable, and all other pending matters are closed.

I. ENERGY POLICY AND CONSERVATION ACT COMPLIANCE. To the extent applicable, Supplier must comply with the mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

J. BUY AMERICAN PROVISIONS COMPLIANCE. To the extent applicable, Supplier must comply with all applicable provisions of the Buy American Act. Purchases made in accordance with the Buy American Act must follow the applicable procurement rules calling for free and open competition.

K. ACCESS TO RECORDS (2 C.F.R. § 200.336). Supplier agrees that duly authorized representatives of a federal agency must have access to any books, documents, papers and records of Supplier that are directly pertinent to Supplier's discharge of its obligations under this Contract for the purpose of making audits, examinations, excerpts, and transcriptions. The right also includes timely and reasonable access to Supplier's personnel for the purpose of interview and discussion relating to such documents.

L. PROCUREMENT OF RECOVERED MATERIALS (2 C.F.R. § 200.322). A non-federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation

and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 C.F.R. § 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

M. FEDERAL SEAL(S), LOGOS, AND FLAGS. The Supplier not use the seal(s), logos, crests, or reproductions of flags or likenesses of Federal agency officials without specific pre-approval.

N. NO OBLIGATION BY FEDERAL GOVERNMENT. The U.S. federal government is not a party to this Contract or any purchase by an Participating Entity and is not subject to any obligations or liabilities to the Participating Entity, Supplier, or any other party pertaining to any matter resulting from the Contract or any purchase by an authorized user.

O. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS OR RELATED ACTS. The Contractor acknowledges that 31 U.S.C. 38 (Administrative Remedies for False Claims and Statements) applies to the Supplier's actions pertaining to this Contract or any purchase by a Participating Entity.

P. FEDERAL DEBT. The Supplier certifies that it is non-delinquent in its repayment of any federal debt. Examples of relevant debt include delinquent payroll and other taxes, audit disallowance, and benefit overpayments.

Q. CONFLICTS OF INTEREST. The Supplier must notify the U.S. Office of General Services, Sourcewell, and Participating Entity as soon as possible if this Contract or any aspect related to the anticipated work under this Contract raises an actual or potential conflict of interest (as described in 2 C.F.R. Part 200). The Supplier must explain the actual or potential conflict in writing in sufficient detail so that the U.S. Office of General Services, Sourcewell, and Participating Entity are able to assess the actual or potential conflict; and provide any additional information as necessary or requested.

R. U.S. EXECUTIVE ORDER 13224. The Supplier, and its subcontractors, must comply with U.S. Executive Order 13224 and U.S. Laws that prohibit transactions with and provision of resources and support to individuals and organizations associated with terrorism.

S. PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT. To the extent applicable, Supplier certifies that during the term of this Contract it will comply with applicable requirements of 2 C.F.R. § 200.216.

T. DOMESTIC PREFERENCES FOR PROCUREMENTS. To the extent applicable, Supplier certifies that during the term of this Contract will comply with applicable requirements of 2 C.F.R. § 200.322.

**22. CANCELLATION**

Sourcwell or Supplier may cancel this Contract at any time, with or without cause, upon 60 days' written notice to the other party. However, Sourcwell may cancel this Contract immediately upon discovery of a material defect in any certification made in Supplier's Proposal. Cancellation of this Contract does not relieve either party of financial, product, or service obligations incurred or accrued prior to cancellation.

Sourcwell

Rev Group, Inc.

DocuSigned by:  
*Jeremy Schwartz*  
By: C0FD2A139D06489...  
\_\_\_\_\_  
Jeremy Schwartz  
Title: Chief Procurement Officer  
Date: 2/7/2022 | 9:18 PM CST

DocuSigned by:  
*Mike Virnig*  
By: F3DD8D32408047A...  
\_\_\_\_\_  
Mike Virnig  
Title: Vice President Sales, REV Fire Group  
Date: 2/24/2022 | 3:15 PM CST

Approved:

DocuSigned by:  
*Chad Coauette*  
By: 7E42B8F817A64CC...  
\_\_\_\_\_  
Chad Coauette  
Title: Executive Director/CEO  
Date: 2/24/2022 | 3:17 PM CST

# RFP 113021 - Firefighting Apparatus and Fire Service Vehicles

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## Vendor Details

Company Name: E-ONE, Inc.  
Does your company conduct business under any other name? If yes, please state: REV Fire Group  
Address: 1601 SW 37th AVE  
Ocala, FL 34474  
Contact: Fred Cureton  
Email: fred.cureton@revfiregroup.com  
Phone: 352-895-0783  
HST#: 59-1515283

## Submission Details

Created On: Tuesday November 02, 2021 04:14:12  
Submitted On: Tuesday November 30, 2021 16:23:32  
Submitted By: Fred Cureton  
Email: fred.cureton@revfiregroup.com  
Transaction #: 95acac42-31d9-4719-9cb7-33441ff906f7  
Submitter's IP Address: 192.222.31.157

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## Specifications

**Table 1: Proposer Identity & Authorized Representatives**

**General Instructions** (applies to all Tables) Sourcewell prefers a brief but thorough response to each question. Do not merely attach additional documents to your response without also providing a substantive response. Do not leave answers blank; respond "N/A" if the question does not apply to you (preferably with an explanation).

Line Item	Question	Response *
1	Proposer Legal Name (one legal entity only): (In the event of award, will execute the resulting contract as "Supplier")	REV GROUP, INC. (REV FIRE GROUP Division).
2	Identify all subsidiary entities of the Proposer whose equipment, products, or services are included in the Proposal.	E-ONE, INC., FERRARA FIRE APPARATUS, INC., KOVATCH MOBILE EQUIPMENT CORP., LADDER TOWER, SMEAL HOLDING LLC., SPARTAN FIRE, LLC., SPARTAN CHASSIS.
3	Identify all applicable assumed names or DBA names of the Proposer or Proposer's subsidiaries in Line 1 or Line 2 above.	REV FIRE GROUP, E-ONE, INC., FERRARA FIRE APPARATUS, KME FIRE APPARATUS, KOVATCH MOBILE EQUIPMENT CORP, LADDER TOWER, LTI, SMEAL HOLDING LLC., SMEAL FIRE APPARATUS, SPARTAN EMERGENCY VEHICLES, SPARTAN FIRE APPARATUS, SPARTAN FIRE, LLC., SPARTAN CHASSIS.
4	Proposer Physical Address:	REV Group, Inc. -245 S. EXECUTIVE DR., SUITE 100, BROOKFIELD, WI 53005 E-ONE, Inc. - 1601 SW 37th Ave., Ocala, FL. 34474 Ferrara Fire Apparatus - 27855 James Chapel Rd., Holden, LA 70744 KME Fire Apparatus - One Industrial Complex Nesquehoning, PA 18240 Spartan Fire, LLC. - 907 7th Ave North, Brandon, SD 57005 Smeal Holding LLC. - 610 W 4th St., Snyder, NE 68664 Ladder Tower - 68 Cocalico Creek Road, Ephrata, PA 17522 Spartan Chassis - 1541 Reynolds Rd, Charlotte, MI 48813
5	Proposer website address (or addresses):	REV Group, Inc. - www.revgroup.com REV FIRE GROUP - www.revgroup.com/rev-group-best-fire-truck-manufacturers E-ONE, Inc. - www.e-one.com Ferrara Fire Apparatus - www.ferrarafire.com KME Fire Apparatus - www.kmefire.com Spartan Fire, LLC, Smeal Holding LLC., Ladder Tower, and Spartan Chassis - www.spartaner.com
6	Proposer's Authorized Representative (name, title, address, email address & phone) (The representative must have authority to sign the "Proposer's Assurance of Compliance" on behalf of the Proposer and, in the event of award, will be expected to execute the resulting contract):	Mike Virnig, Vice President Sales, REV Fire Group 1601 SW 37th Ave., Ocala, FL 34474 mike.virnig@revfiregroup.com 352-861-3542 (Office), 562-587-1600 (Mobile)
7	Proposer's primary contact for this proposal (name, title, address, email address & phone):	Fred Cureton, National Contract Manager, REV Fire Group 1601 SW 37th Ave., Ocala, FL 34474 fred.cureton@revfiregroup.com 205-680-4468 (Office), 352-895-0783 (Mobile)

8	<p>Proposer's other contacts for this proposal, if any (name, title, address, email address &amp; phone):</p>	<p>For E-ONE, Inc.;</p> <p>1601 SW 37th Ave., Ocala, FL 34474</p> <p>Fred Cureton, National Contract Manager, REV Fire Group fred.cureton@revfiregroup.com 205-680-4468 (Office), 352-895-0783 (Mobile)</p> <p>Larry Daniels, Director of Sales, ldaniels@revgroup.com, 352-861-3541</p> <p>For Ferrara Fire Apparatus:</p> <p>27855 James Chapel Rd., Holden, LA 70744</p> <p>Eric Adams, Apparatus Sales, erica@ferrarafire.com, 225-567-7100</p> <p>Jason Louque, Director of Sales, jasonl@ferrarafire.com, 225-567-7100</p> <p>For KME Fire Apparatus:</p> <p>One Industrial Complex Nesquehoning, PA 18240</p> <p>Anthony Maff, Senior Sales Support, tmaff@kmefire.com, 570-669-5595</p> <p>Chris McClung, Director of Sales, cmclung@kmefire.com, 352-502-6881</p> <p>For Spartan Fire LLC., Smeal Holdings, LLC., and Ladder Towers:</p> <p>907 7th Ave North, Brandon, SD 57005</p> <p>Nicole Sateran, Sales Assistant, nicole.sateran@spartanmotors.com, 402-218-2762</p> <p>Chris Wade, Director of Sales, chris.wade@spartanmotors.com, 404-218-2762</p> <p>For Spartan Chassis:</p> <p>Stephen Carleton, Manager of Sales and Training, Spartan Motors 1541 Reynolds Rd, Charlotte, MI 48813 stephen.carleton@spartanmotors.com 517-588-4704 (Office), 570-657-5557 (Mobile)</p>
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**Table 2: Company Information and Financial Strength**

Line Item	Question	Response *
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<p>9</p>	<p>Provide a brief history of your company, including your company's core values, business philosophy, and industry longevity related to the requested equipment, products or services.</p>	<p>REV Group® companies are leading designers and manufacturers of specialty vehicles and related aftermarket parts and services. Our companies serve a diversified customer base, primarily in the United States, through three segments: Fire &amp; Emergency, Commercial, and Recreation. They provide customized vehicle solutions for applications, including essential needs for public services (ambulances, fire apparatus, school buses, and transit buses), commercial infrastructure (terminal trucks and industrial sweepers) and consumer leisure (recreational vehicles). REV's diverse portfolio is made up of well-established principal vehicle brands, including many of the most recognizable names within their industry. Several of our brands pioneered their specialty vehicle product categories and date back more than 50 years. REV Group trades on the NYSE under the symbol REVG.</p> <p>REV Group manufactures more than 20,000 specialty vehicles every year — a testament to the trust placed in our products.</p> <p>E-ONE, Inc. has been in business since 1974.          Ferrara Fire Apparatus has been in business since 1987.          KME Fire Apparatus has been in business since 1980 but it's founders had been in the transportation business since 1948.          Spartan Fire, LLC./Spartan Chassis has been in business since 1975.</p> <p>Values:          DO WHAT'S RIGHT - We act with integrity and transparency, always. We keep our commitments and earn trust through our actions.          SAFETY IS LIFE - The safety of our people and those who travel in our vehicles is our top priority. It's more than the way we work, it's a way of life. For our employees this includes weekly safety bulletins, required protective clothing (steel toe shoes, safety classes, ear plugs, etc.), fall restraints, wellness health screenings, optional vision and dental coverage, optional long term illness coverage, and even optional pet coverage. For our end users, our products must be designed and built to meet current industry standard, must provide the level of firefighter safety in their design, must be of the highest quality offered in the industry, and must provide value for Sourcewell members.          BUILD LASTING TRUST - Customers depend on our vehicles and support through the entire lifecycle of their purchase. We will build that trust through transparency and respect and by providing quality vehicles and attentive service.          THINK LIKE AN OWNER - Each of us plays a vital role in our success. We innovate, execute and use our resources wisely to create value for our stakeholders.          WIN AS ONE - We value diversity in our teams, respect alternative perspectives and are accountable to each other to fulfill our goals. We recognize employees for performance excellence by promoting from within when possible, recognizing our Veterans during annual luncheon, recognizing excellence thru attendance, and performing annual evaluations.</p> <p>Our brands are social responsible companies in that we support local community initiatives (parades, marathons, civic activities, fundraisers), and responding to emergencies caused by natural disasters.</p>
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<p>10</p>	<p>What are your company's expectations in the event of an award?</p>	<p>The REV Group's diversified portfolio of specialty products connects and protects communities around the clock and around the world with a lineup of vehicles that have long served the fire and emergency, commercial infrastructure and consumer leisure markets. Together, these vehicle brands have manufactured more than 300,000+ vehicles in service today — a bumper-to-bumper feat that stretches from New York City to Dallas. Among REV's lineup of brands, you'll find vehicles that help fight fires, transport patients to emergency rooms, move freight in the world's ports, offer mobility to people with disabilities, carry children safely from home to school and unite families across the country. It is the goal of the REV Group and our many specialty brands to provide Sourcewell members the largest selection of quality vehicles, options, and services of anyone in the industry. We will provide a working partnership with Sourcewell where together we can provide a one stop shop for purchase and servicing of critical safety and support vehicles.</p> <p>We welcome the opportunity to work with Sourcewell members to understand both current and future needs. This includes but is not limited to apparatus requirements, service requirements, training requirements, and parts/equipment requirements.</p> <p>We welcome the opportunity to work with Sourcewell members to develop custom designed value packages to meet/exceed communicated requirements from our diverse selection of proposed solutions.</p> <p>We commit to provide Sourcewell members timely responses to inquiries and follow up inquiries, to provide Sourcewell members high quality vehicle solutions designed to meet and/or exceed industry standards, to provide Sourcewell members products and services which are competitively priced, provide exceptional value, and extends the life cycle of their purchase, and to provide Sourcewell members unsurpassed "after the sale" service utilizing our worldwide dealer distribution network and supplier partners for parts, service, training, and sales support.</p>
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11	<p>Demonstrate your financial strength and stability with meaningful data. This could include such items as financial statements, SEC filings, credit and bond ratings, letters of credit, and detailed reference letters. Upload supporting documents (as applicable) in the document upload section of your response.</p>	<p>See attached PDF named REV GROUP (REV Fire Group) Financial Strength and Stability.</p> <p>Press Release on financials (see also attached SEC filing and investor presentation).</p> <p>ROOKFIELD, Wis., September 08, 2021--(BUSINESS WIRE)--REV Group, Inc. (NYSE: REVG), a manufacturer of industry-leading specialty vehicles, today reported results for the three months ended July 31, 2021 ("third quarter 2021"). Consolidated net sales in the third quarter 2021 were \$593.3 million, representing an increase of 1.9% compared to \$582.2 million for the three months ended July 31, 2020 ("third quarter 2020"). The increase in consolidated net sales was primarily due to an increase in net sales in the Recreation and Commercial segments partially offset by a decrease in net sales in the Fire and Emergency ("F&amp;E") segment.</p> <p>The company's third quarter 2021 net income was \$23.7 million, or \$0.36 per diluted share. Adjusted Net Income for the third quarter 2021 was \$24.5 million, or \$0.37 per diluted share, compared to Adjusted Net Income of \$6.3 million, or \$0.10 per diluted share, in the third quarter 2020. Adjusted EBITDA in the third quarter 2021 was \$41.6 million, compared to \$21.4 million in the third quarter 2020. The increase in Adjusted EBITDA during the quarter was driven by increased contribution from the F&amp;E and Recreation segments partially offset by a decrease in the Commercial segment.</p> <p>Fire &amp; Emergency Segment</p> <p>F&amp;E segment net sales were \$269.5 million in the third quarter 2021, a decrease of \$37.2 million, or 12.1%, from \$306.7 million in the third quarter 2020. The decrease in net sales compared to the prior year quarter was primarily due to decreased shipments of fire apparatus and ambulances units compared to the prior year quarter related to supply chain disruption and labor constraints. F&amp;E segment backlog at the end of the third quarter 2021 was \$1,229.5 million, an increase of \$189.8 million compared to \$1,039.7 million at the end of the third quarter 2020. The increase was primarily the result of continued strong demand and order intake for fire apparatus and ambulance units.</p> <p>F&amp;E segment Adjusted EBITDA was \$15.8 million in the third quarter 2021, an increase of \$2.9 million, or 22.5%, from \$12.9 million in the third quarter 2020. Profitability within the segment benefited primarily from cost and efficiency improvements and lower selling, general and administrative ("SG&amp;A") costs, partially offset by lower sales volume and inefficiencies resulting from supply chain disruptions and labor constraints.</p> <p>Working Capital, Liquidity, and Capital Allocation</p> <p>Cash and cash equivalents totaled \$9.2 million as of July 31, 2021. Net debt<sup>2</sup> was \$240.8 million, and the company had \$276.8 million available under its ABL revolving credit facility as of July 31, 2021, an increase of \$53.1 million as compared to the April 30, 2021 availability of \$223.1 million. Trade working capital<sup>3</sup> for the company as of July 31, 2021 was \$405.5 million, compared to \$449.9 million as of July 31, 2020. The decrease was primarily due to decreased accounts receivable and decreased inventory partially offset by decreased payables. Capital expenditures in the third quarter 2021 were \$5.3 million compared to \$2.0 million in the third quarter 2020.</p> <p>Share Repurchase Program</p> <p>On September 2, 2021, the company's board of directors approved the authorization of a new share repurchase program that allows the repurchase of up to \$150.0 million of the company's outstanding common stock, effective immediately. The share repurchase authorization expires in 24 months and gives management the flexibility to determine conditions under which shares may be purchased.</p> <p>Quarterly Dividend</p> <p>The company's board of directors declared a quarterly cash dividend in the amount of \$0.05 per share of common stock, which equates to a rate of \$0.20 per share of common stock on an annualized basis, payable on October 15, 2021, to shareholders of record on September 30, 2021.</p>
12	<p>What is your US market share for the solutions that you are proposing?</p>	<p>REV Fire Group = 25.86% U.S, 25.39% North America</p>
13	<p>What is your Canadian market share for the solutions that you are proposing?</p>	<p>REV Fire Group = 20.00% Canada</p>

14	Has your business ever petitioned for bankruptcy protection? If so, explain in detail.	NO.	*
15	How is your organization best described: is it a manufacturer, a distributor/dealer/reseller, or a service provider? Answer whichever question (either a) or b) just below) best applies to your organization. a) If your company is best described as a distributor/dealer/reseller (or similar entity), provide your written authorization to act as a distributor/dealer/reseller for the manufacturer of the products proposed in this RFP. If applicable, is your dealer network independent or company owned? b) If your company is best described as a manufacturer or service provider, describe your relationship with your sales and service force and with your dealer network in delivering the products and services proposed in this RFP. Are these individuals your employees, or the employees of a third party?	We are best described as a manufacturer and service provider.  In most cases dealers are contracted to represent our brands in designated/agreed territories (U.S., Canada, and International locations). These territories differ in size based on each dealership's capability. Dealers then provide sales, service, and parts support. Dealers issue purchase orders for requested products/services and resell these to the end user/member. Each dealer is an independent business and each have their own employees/facilities. Where dealers are under contract to represent our brands it is our expectation the Sourcewell member will issue purchase orders directly to our authorized dealer. These dealers are listed on the dealer list for each respective brand.  There are two additional scenarios: 1. In cases where there is no dealer coverage each brand provides a direct company employee to manage the sales and service of customers/members in these areas. In these cases the contract will be thru the respective brand and the Sourcewell member would issue the purchase order directly to the brand. 2. In cases where the REV Group has local facilities, these employees are company employees who provide sales, service, and parts to customers/members within their area of responsibility. The Sourcewell member would issue their purchase order to the REV RTC facility just as it were an approved dealer.	*
16	If applicable, provide a detailed explanation outlining the licenses and certifications that are both required to be held, and actually held, by your organization (including third parties and subcontractors that you use) in pursuit of the business contemplated by this RFP.	License requirements vary across North America. The REV Group and its dealer representatives are expected to adhere to all requirements be it a business license, insurance requirements, sales representative licensing, or service technician certification.	*
17	Provide all "Suspension or Debarment" information that has applied to your organization during the past ten years.	N/A	*

**Table 3: Industry Recognition & Marketplace Success**

Line Item	Question	Response *	
18	Describe any relevant industry awards or recognition that your company has received in the past five years	E-ONE currently holds a Notice of ULC and/or NFPA Audit Completion and Authorization to Apply VIP Sticker issued December 30, 2015 for both the Ocala and Hamburg facilities, is ANAB accredited for International Management Systems certificate number IMS-0020, is ISO 9001:2015 certified with a certificate valid thru July 30 2024, and holds a 2021 FAMA Certificate of Membership. See the attached for additional detail.  Gary Pacilio (E-ONE, Inc.) is on the 2021 FAMA Board of Directors as the Treasurer, Bert McCutcheon (Ferrara Fire Apparatus) is on the 2021 FAMA Board of Directors as the Director-at-Large, Roger Lackore (Spartan Fire, LLC) is the FAMA committee chair of the Technical Committee.	*
19	What percentage of your sales are to the governmental sector in the past three years	As it relates to fire apparatus, a very high percentage (probably 90% +). Most apparatus are sold to government, municipal, city, and county entities.	*
20	What percentage of your sales are to the education sector in the past three years	As it relates to fire apparatus; None in the formal education sector. We sometimes sell fire apparatus to support fire training academies but these are typically purchased through the municipal side of the business.	*

<p>21</p>	<p>List any state, provincial, or cooperative purchasing contracts that you hold. What is the annual sales volume for each of these contracts over the past three years?</p>	<p>As it relates to fire apparatus: Totals for REV Fire Group = \$555,579,245</p> <p>Sourcwell (Based on delivered units - E-ONE 2018=\$768,854, 2019 = \$1,604,379; E-ONE 2020 = \$7,314,034; E-ONE 2021 YTD = \$11,372,360 E-ONE has quoted a total of \$71,643,085 and booked \$58,812,849 or 82.09% of quoted.                  Ferrara has not delivered any apparatus sold thru Sourcwell.                  KME has not delivered any apparatus sold thru Sourcwell                  Spartan reports Sourcwell sales as follows: 2019 = \$28,992,914; 2020 = \$31,246,024; and 2021YTD = \$53,117,860</p> <p>Florida Sheriffs - Totals for REV Fire Group: 2019 = \$21,701,882; 2020 = \$13,332,720; 2021 YTD = \$1,460,807</p> <p>FCAM/MAPC - Totals for REV Fire Group: 2020 = \$6,348,619; 2021 YTD = \$4,385,656</p> <p>HGAC - Totals for REV Fire Group: 2019 = \$59,700,417; 2020 = \$66,851,936; 2021 YTD = \$37,905,966</p> <p>LaMAS (Ferrara Only) - Totals for REV Fire Group - 2019 = \$16,697,950; 2020 = \$39,945,556; 2021 YTD = \$16,584,214</p> <p>NASPO - Totals for REV Fire Group: 2019 = \$7,160,834; 2020 = \$7,357,335; 2021 YTD = \$3,647,860</p> <p>North Carolina Sheriffs - Totals for REV Fire Group - No sales</p> <p>NJ Start - Totals for REV Fire Group: 2019 = \$0.00; 2020 = \$873,240; 2021 YTD = \$5,283,681</p> <p>NPPGOV - Totals for REV Fire Group - No sales</p> <p>Ohio STS - Totals for REV Fire Group - 2019 = \$10,021,008; 2020 = \$4,573,412; 2021 YTD = \$7,485,321</p> <p>PA Costars - Totals for REV Fire Group - 2019 = \$528,482; 2020 = \$4,927,186; 2021 YTD = \$1,620,885</p> <p>Texas Buy Board - Totals for REV Fire Group: 2019 = \$3,831,135; 2020 = Zero Sales; 2021 YTD = No Sales</p>
<p>22</p>	<p>List any GSA contracts or Standing Offers and Supply Arrangements (SOSA) that you hold. What is the annual sales volume for each of these contracts over the past three years?</p>	<p>Not all brands participate in GSA pricing. Ferrara and KME currently have GSA schedules while E-ONE and KME have DLA Troop contracts.</p> <p>For E-ONE:                  USAF, Georgia, Fire Apparatus, 16 vehicles on 5 separate PO, \$8,635,579 total;                  US Army, Washington DC, Fire Apparatus, 9 vehicles on 2 separate PO, \$4,160,375 total; US Navy, Washington DC, Fire Apparatus. 2 vehicles on 1 PO, \$1,532,942 total; New Hampshire Training Academy, NH, 1 vehicle, \$845,726.00</p> <p>For KME:                  US Army National Guard, Military, Washington, DC, (20) Type 3 Wildland vehicles \$359,052 each or \$7,181,055.92 total; US Army, Military, Washington, DC, (7) Water Tankers \$317,153.89 each or \$2,220,077.29 total; USMC, Military, Washington, DC, (5) Water Tankers \$313,626.60 each or \$1,568,183.00 total; US Army National Guard, Military, Washington, DC. (8) Wildland vehicles \$296,264.50 each or \$2,370,116.00 total; USMC, Military, Washington, DC. (4) Wildland vehicles, \$496.260.00 each or \$1,985,040.00 total.</p> <p>For Spartan (Top States);                  State of TX (pumpers, aerials, platforms) = 43 Units/ \$24,158,085                  State of PA (pumpers, tillers, water towers) = 27 Units/\$18,451,964                  State of NC (pumpers, aerials, platforms) = 23 Units/\$17,218,037                  State of CA (pumpers) = 24 Units/\$14,684,004                  State of MI (pumpers, aerials) = \$13,731,604</p>

**Table 4: References/Testimonials**

**Line Item 23.** Supply reference information from three customers who are eligible to be Sourcewell participating entities.

Entity Name *	Contact Name *	Phone Number *
City of Albuquerque, NM	Nathaniel Meisner	505-934-8721
County of San Diego, CA	Jimmy Steel	619-851-5722
Fire Department of New York, NY	Mark Aronberg	718-784-6500
City of Asheville Fire Department, NC	Jeremy Knighton	828-552-2071
City of Atlanta Fire Department, GA	Derek Harris	404-597-0418

**Table 5: Top Five Government or Education Customers**

**Line Item 24.** Provide a list of your top five government, education, or non-profit customers (entity name is optional), including entity type, the state or province the entity is located in, scope of the project(s), size of transaction(s), and dollar volumes from the past three years.

Entity Name	Entity Type *	State / Province *	Scope of Work *	Size of Transactions *	Dollar Volume Past Three Years *
City of Baton Rouge	Government	Louisiana - LA	Pumpers, Rescues, and Aerials	10 Units	\$7,270,787.00
City of Birmingham	Government	Alabama - AL	Pumper and Aerials	12 Units	\$8,647,814.00
City of San Francisco	Government	California - CA	Pumpers and Aerials	8 Units	\$6,144,801.00
U. S. Army	Government	District of Columbia - DC	Wildlands	20 Units	\$7,181,055.92
U. S. Air Force	Government	Georgia - GA	Misc Vehicles	16 Units	\$8,635,579.00

**Table 6: Ability to Sell and Deliver Service**

Describe your company's capability to meet the needs of Sourcewell participating entities across the US and Canada, as applicable. Your response should address in detail at least the following areas: locations of your network of sales and service providers, the number of workers (full-time equivalents) involved in each sector, whether these workers are your direct employees (or employees of a third party), and any overlap between the sales and service functions.

Line Item	Question	Response *
25	Sales force.	The REV Fire Group have a confirmed 533 full time sales associates and another 223 part time sales associates. There were however several dealers who did not provide this additional information so the number would be higher. This accounts for all reported brands, dealers, service centers, and Spartan OEMs within the U.S. and Canada.
26	Dealer network or other distribution methods.	<p>The REV Fire Group has 36 dealers representing the E-ONE brand, 20 dealer representing the Ferrara Fire brand, 30 dealers representing the KME Fire brand, 28 dealers representing the Spartan Fire, LLC brand, and 37 OEMs representing the Spartan Chassis brand. Several dealers represent two or more brands but usually specialize in one brand over the other due to their past experience.</p> <p>The 37 OEMs will play a key part in adding Spartan chassis as a new product offering for the REV Group/REV Fire Group. Spartan chassis has never been offered on any other cooperative purchasing contract. These 37 OEMs will be able to work with members to provide chassis pricing for apparatus re-chassis, accident repairs, apparatus upgrades, or body remounts.</p> <p>See the attached dealer list/dealer survey results.</p>
27	Service force.	The REV Fire Group have a confirmed 519 Emergency Vehicle Technicians (EVTs) and another 665 non-EVT technicians. As stated above, not all dealers responded to our survey so the number is actually higher. This accounts for all reported brands, dealers, service centers, and Spartan OEMs within the U.S. and Canada.

28	Describe the ordering process. If orders will be handled by distributors, dealers or others, explain the respective roles of the Proposer and others.	The typical process if for the member to work with the local brand dealer/representative to configure the apparatus to meet their mission, their design requirements, and their budget. The configuration is normally sent for review by the engineering team for approval/changes. Once the member agrees on the configuration and the quoted amount they place the order with the brand dealer/representative. The order is accepted, the member works with the brand and dealer representative to set up a date for a pre-build conference. During the pre-build conference the member can voice concerns or needed changes. The configuration is tweaked to meet any required changes. The updated configuration/price/drawings are presented to the member for his approval. A purchase order is issued by the member to the brand dealer and the dealer submits the order to the brand manufacturer. If there is no dealer involved, then the transaction would be the same except directly with the brand manufacturer/sales representative. Terms are COD when the completed apparatus leaves the manufacturer to be delivered.	*
29	Describe in detail the process and procedure of your customer service program, if applicable. Include your response-time capabilities and commitments, as well as any incentives that help your providers meet your stated service goals or promises.	For service, parts, or customer service needs the member is always encouraged to first contact their local dealer representative. Most dealers and REV Group brands are open during normal daily business hours and respond quickly. Most offer after hour customer support should the member have an issue which needs to be addressed after normal business hours. Each brand and dealer have either toll free customer service numbers or an answer service able to get the correct person to respond.	*
30	Describe your ability and willingness to provide your products and services to Sourcewell participating entities in the United States.	The REV Group/REV Fire Group has a distribution channel eager to service Sourcewell members anywhere in North America. Our 151 dealers/OEMs are ready and willing to work the the members to meet apparatus and apparatus support needs. With out vast array of available products, we are sure we can match our offerings to the members wants and needs.	*
31	Describe your ability and willingness to provide your products and services to Sourcewell participating entities in Canada.	During our last contract with Sourcewell we have eagerly supported the Canadian market by attending Canadian conferences and meeting with procurement professionals at the Ontario/Sourcewell joint conference. We support the CANOE initiative to promote Sourcewell's U S contracts and to adopt them as theirs. Business wise, we will need to adjust our U. S. pricing to reflect differences in currency, provide the additional testing for Canadian compliance, and collect for recycle fees imposed on batteries and tires.	*
32	Identify any geographic areas of the United States or Canada that you will NOT be fully serving through the proposed contract.	While some areas can be very remote, we have always entertained answering the call where there is a need.	*
33	Identify any Sourcewell participating entity sectors (i.e., government, education, not-for-profit) that you will NOT be fully serving through the proposed contract. Explain in detail. For example, does your company have only a regional presence, or do other cooperative purchasing contracts limit your ability to promote another contract?	There are no entity sectors we will not serve and we have nothing limiting our participation in the Sourcewell contract.	*
34	Define any specific contract requirements or restrictions that would apply to our participating entities in Hawaii and Alaska and in US Territories.	We currently serve both areas. One key difference would be delivery cost when shipment of an apparatus is needed. Our estimated driveway cost is to deliver the vehicle to the port. Cost to prepare and ship the vehicle over water or via airlines is at the members expense in addition to our normal driveway expense.	*

**Table 7: Marketing Plan**

Line Item	Question	Response *
35	Describe your marketing strategy for promoting this contract opportunity. Upload representative samples of your marketing materials (if applicable) in the document upload section of your response.	<p>The REV Fire Group recently hired Natalie Childress as Senior Director of Marketing. She will partner with REV Fire Group's Vice President of Sales, Mike Virnig, and divisional teams to evolve brand strategy and consumer experience for the group's fire truck brands. Childress has more than nine years of marketing and brand experience, including five years of marketing and business development leadership experience.</p> <p>She most recently served as the Business Strategy Manager for Mastercraft Boat Company, driving global brand building and strategy. Prior to, Childress held progressive roles with a focus on cross-functional branding, product marketing and owners' experience within Sea Ray, part of Brunswick Corporation. She was recognized as a 40 Under 40 Marine Industry Leader this year by Boating Industry.</p> <p>She will be instrumental in leading us during our transition from a single brand within the Sourcewell contract to a multi-brand contractor. It will be important Sourcewell members understand REV Fire Groups capabilities and how each brand has responded as part of the RFP. Our goal would be for each brand to be linked from the Sourcewell awarded contract so members can view each brands product and service offerings versus only seeing an overview of all the brands as one.</p>
36	Describe your use of technology and digital data (e.g., social media, metadata usage) to enhance marketing effectiveness.	Social media and digital advertising will be key to communicating to Sourcewell members our capabilities, our products, our services, and our brands.
37	In your view, what is Sourcewell's role in promoting contracts arising out of this RFP? How will you integrate a Sourcewell-awarded contract into your sales process?	It is important Sourcewell and the REV Fire Group brands work jointly to promote our partnership to serve the needs of the member base, and to recruit new members as we go forward. We currently promote Sourcewell and encourage our dealer partners to actively discuss all the opportunities for providing products and services to their organization under a single contract umbrella.
38	Are your products or services available through an e-procurement ordering process? If so, describe your e-procurement system and how governmental and educational customers have used it.	At this time only our parts procurement system provides e-procurement. The complexity of custom designing a fire apparatus is a programmers nightmare as the number of engineering rules has to change as each option is chosen. The programming must look for multiple options being selected for the same space, components hitting each other due to their movement, and another set of complicated rules to govern operation safety.

**Table 8: Value-Added Attributes**

Line Item	Question	Response *
39	Describe any product, equipment, maintenance, or operator training programs that you offer to Sourcewell participating entities. Include details, such as whether training is standard or optional, who provides training, and any costs that apply.	<p>As part the REV Group/REV Fire Group proposal, we are offering the following solutions in addition to our fire apparatus:</p> <p>Leasing - with REV Finance, other third party leasing companies within our industry, local banks or other third party financial institutions the member wishes to pursue.</p> <p>Parts contracts.</p> <p>Service contracts.</p> <p>Accident repairs.</p> <p>Apparatus refurb/upgrades.</p> <p>Vehicle trade-ins. This could be thru REV, the local dealer, or a third party vendor willing to purchase the vehicle.</p> <p>Maintenance contracts.</p> <p>Brand specific extended warranties.</p> <p>Vendor specific extended warranties.</p> <p>Training located at the brand's facilities or remotely offered at a location designated by the member. Training can be based on maintenance of the vehicle, operation of the vehicle, or as a refresher course where maintenance, safety, and operation are covered. Three days of training is standard and required to be performed by factory trainers on all aerials, industrial pumpers, and ARFF vehicles.</p>

40	Describe any technological advances that your proposed products or services offer.	<p>As part of the REV Group our other divisions are making great strides in electric vehicle (EV). For example:</p> <p>ENC® DEBUTS THE AXESS BATTERY ELECTRIC BUS (BEB) AT APTA EXPO THE FIRST EV BUS THAT IS ZERO EMISSIONS AND ZERO CORROSION. October 25, 2021 Link: <a href="https://www.revgroup.com/blog-single/enc-debuts-the-axess-battery-electric-bus-beb-at-apta-expo-the-first-ev-bus-that-is-zero-emissions-and-zero-corrosion">https://www.revgroup.com/blog-single/enc-debuts-the-axess-battery-electric-bus-beb-at-apta-expo-the-first-ev-bus-that-is-zero-emissions-and-zero-corrosion</a></p> <p>REV GROUP'S COLLINS BUS ENTERS MULTIYEAR AGREEMENT WITH LIGHTNING EMOTORS FOR ELECTRIC SCHOOL BUSES. August 31st, 2021 Link: <a href="https://www.revgroup.com/blog-single/rev-groups-collins-bus-enters-multiyear-agreement-with-lightning-emotors-for-electric-school-buses">https://www.revgroup.com/blog-single/rev-groups-collins-bus-enters-multiyear-agreement-with-lightning-emotors-for-electric-school-buses</a></p> <p>CAPACITY TRUCKS® INTRODUCES FIRST NORTH AMERICAN HYDROGEN FUEL CELL ELECTRIC HYBRID TRUCK BUILT FROM THE GROUND UP. August 26th, 2021 Link: <a href="https://www.revgroup.com/blog-single/capacity-trucks-introduces-first-north-american-hydrogen-fuel-cell-electric-hybrid-truck-built-from-the-ground-up">https://www.revgroup.com/blog-single/capacity-trucks-introduces-first-north-american-hydrogen-fuel-cell-electric-hybrid-truck-built-from-the-ground-up</a></p> <p>REV FIRE GROUP® TO SHOWCASE LATEST IN FIRE APPARATUS AND UNVEIL WORLD OF INNOVATION AND PROTECTION AT FDIC 2021. August 5th, 2021 Link: <a href="https://www.revgroup.com/blog-single/rev-fire-group-to-showcase-latest-in-fire-apparatus-and-unveil-world-of-innovation-and-protection-at-fdic-2021">https://www.revgroup.com/blog-single/rev-fire-group-to-showcase-latest-in-fire-apparatus-and-unveil-world-of-innovation-and-protection-at-fdic-2021</a></p> <p>This showcase included the following:</p> <ol style="list-style-type: none"> <li>1. Smart Reach™ Multi-Stance™ System: Through augmented reality, attendees can experience firsthand this patented new Smeal ladder and outrigger control system that offers 360-degree, no dead-zone functionality on aerials that can be adapted to the scene while maintaining full reach. The Smart Reach control console provides real-time data, and its electric and hydraulic aerial controls offer the ultimate in smooth operation. It also offers Advance Rung Lighting, a series of RGB LED light strips that can have customized patterns running the length of the ladder.</li> <li>2. Smart Flo™: This integrated pressure governor system for fire apparatus allows control of both water flow and foam from one location. It features a one-button pump shift for ease of operation, automatic pump protection and a camera display of the officer's side, offering firefighters total control at any fire scene.</li> <li>3. ECO IDLE-TEC™: This idle reduction system specifically designed for fire apparatus shuts off the main engine during EMS and rescue calls, eliminating loud diesel engine noise and exhaust while maintaining power for lighting, air conditioning and more. This reduces operating costs, protects the environment, and improves driver safety and comfort.</li> <li>4. Active Air Purification System: Designed with advanced Photohydroionization® (PHI Cell) and UV technology, these units kill 99 percent of pathogens, including the virus which causes COVID-19, in the air and on surfaces delivering better air quality and a safer cab environment for your crew.</li> </ol> <p>This technology has been recently expanded with the introduction of E-ONE's newest product, the industries first all electric fire truck. Press release link: <a href="https://www.revgroup.com/blog-single/rev-fire-group-receives-order-from-the-mesa-fire-and-medical-department-for-an-eone-vector-north-americas-first-fully-electric-fire-truck-">https://www.revgroup.com/blog-single/rev-fire-group-receives-order-from-the-mesa-fire-and-medical-department-for-an-eone-vector-north-americas-first-fully-electric-fire-truck-</a></p>
41	Describe any "green" initiatives that relate to your company or to your products or services, and include a list of the certifying agency for each.	<p>The REV Group is a leader in providing new products and technology which contribute to the green footprint needed to protect our environment. We promote recycling of all that is possible. REV recently released an occupant air purification and filtration systems to help reduce risk to toxins and viruses. This can be retrofitted to any vehicle manufactured. It removes 99% of impurities and treats the air for bacteria such as Covid 19.</p>
42	Identify any third-party issued eco-labels, ratings or certifications that your company has received for the equipment or products included in your Proposal related to energy efficiency or conservation, life-cycle design (cradle-to-cradle), or other green/sustainability factors.	<p>We do not have any 3rd party issued eco-labels. We do not see much of this in our industry even though you may provide the latest in technology such as our all electric fire truck.</p>
43	Describe any Women or Minority Business Entity (WMBE), Small Business Entity (SBE), or veteran owned business certifications that your company or hub partners have obtained. Upload documentation of certification (as applicable) in the document upload section of your response.	<p>We do not tract this. We do however look to do business with those companies which provide value for our customers. REV Group rewards women for doing a great job by promoting them as managers. REV Group honors our vets by having yearly luncheons and acknowledging their service to our country.</p>

44	What unique attributes does your company, your products, or your services offer to Sourcewell participating entities? What makes your proposed solutions unique in your industry as it applies to Sourcewell participating entities?	I think we have the best cradle to grave offering for your members. With the REV Group/REV Fire Group providing options from 7 fire apparatus brands, we are sure your members can find what they need. As custom builders we pride ourselves in exceeding the members expectations. Our total solutions include parts contracts, service contracts, training, accident repairs, apparatus refurb, upgrades, and remount. The addition of adding the Spartan chassis separately from a complete apparatus should provide your members one additional solution if they are looking to extend the life expectancy of their current vehicles.	*
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**Table 9: Warranty**

**Describe in detail your manufacturer warranty program, including conditions and requirements to qualify, claims procedure, and overall structure. You may upload representative samples of your warranty materials (if applicable) in the document upload section of your response in addition to responding to the questions below.**

Line Item	Question	Response *	
45	Do your warranties cover all products, parts, and labor?	Yes with limited time periods	*
46	Do your warranties impose usage restrictions or other limitations that adversely affect coverage?	Since warranty is a legal document with legal responsibilities, we do provide specifics related to lack of maintenance, abuse, neglect, misuse, and/or failure to notify. In some cases there may be certain inspections which must be performed to keep the warranty in force.	*
47	Do your warranties cover the expense of technicians' travel time and mileage to perform warranty repairs?	Yes	*
48	Are there any geographic regions of the United States or Canada (as applicable) for which you cannot provide a certified technician to perform warranty repairs? How will Sourcewell participating entities in these regions be provided service for warranty repair?	Typically the selling dealer will service their sales territories. Each brand does support the distribution channel with factory based technicians. In cases where a problem is hard to remedy, both the dealer and the manufacture will team up to resolve the issue.	*
49	Will you cover warranty service for items made by other manufacturers that are part of your proposal, or are these warranties issues typically passed on to the original equipment manufacturer?	It depends on the severity and the approval extended by the supplier. We prefer to be a one stop shop for the member if he has a problem. In many cases the supplier requires the failure to be repaired by their technicians at their location.	*
50	What are your proposed exchange and return programs and policies?	Please see the attached document for this section.	*
51	Describe any service contract options for the items included in your proposal.	Service contract will normally be between the member and the selling dealer. In some cases service contracts can be thru the manufacturer. This scenario could be an option where there is a highly technical component of the apparatus the dealer may not have the expertise to correct. We offer extended warranties, drive train warranties, vendor component extended warranties, and third party extended warranties.	*

**Table 10: Payment Terms and Financing Options**

Line Item	Question	Response *	
52	Describe your payment terms and accepted payment methods.	Payment terms are COD at the time the apparatus leaves the plant for delivery to the customer. The selling dealer however may provide additional times based on the contract with the member. This could range from COD to 30+ days.	*
53	Describe any leasing or financing options available for use by educational or governmental entities.	The REV Group has our own internal finance company. We also use third part providers when asked to provide leasing quotes. We also recommend the member check with local lending institutions to make sure they are taking advantage of all resources to obtain the most competitive rates.	*
54	Describe any standard transaction documents that you propose to use in connection with an awarded contract (order forms, terms and conditions, service level agreements, etc.). Upload a sample of each (as applicable) in the document upload section of your response.	All brands would provide a quote to the member based on their custom configured apparatus. There would be contract between the selling dealer/manufacture and the member. Worksheets are typically used to calculate the agreed discount and the customer should receive a customer summary sheet if requested.	*
55	Do you accept the P-card procurement and payment process? If so, is there any additional cost to Sourcewell participating entities for using this process?	Most of our brands do accept P cards with no additional cost involved. We do however have some brands who do not.	*

**Table 11: Pricing and Delivery**

Provide detailed pricing information in the questions that follow below. Keep in mind that reasonable price and product adjustments can be made during the term of an awarded Contract as described in the RFP, the template Contract, and the Sourcewell Price and Product Change Request Form.

Line Item	Question	Response *
56	Describe your pricing model (e.g., line-item discounts or product-category discounts). Provide detailed pricing data (including standard or list pricing and the Sourcewell discounted price) on all of the items that you want Sourcewell to consider as part of your RFP response. If applicable, provide a SKU for each item in your proposal. Upload your pricing materials (if applicable) in the document upload section of your response.	Our pricing model discounts are based off MSRP minus a percentage or a flat discount on certain market specific products where discount are not normally offered. The pricing includes up to \$5,000 for driveway, PDI, and dealer delivery and training. Taxes, trips, pre-pay discounts, multiple quantity discounts, and trade-ins are not included in these prices but will change the price to the member if part of the order.
57	Quantify the pricing discount represented by the pricing proposal in this response. For example, if the pricing in your response represents a percentage discount from MSRP or list, state the percentage or percentage range.	Pricing discounts range from 3% to 20% depending on the brand and the product offered. In some cases a flat fee discount is offered which ranges from \$5,000 to \$15,000.
58	Describe any quantity or volume discounts or rebate programs that you offer.	Depending on the model of the apparatus and the overall deal, multiple unit discounts could be offered starting at around 1% more per unit. This would be affected by the mix and the quantity of the apparatus purchased.
59	Propose a method of facilitating "sourced" products or related services, which may be referred to as "open market" items or "nonstandard options". For example, you may supply such items "at cost" or "at cost plus a percentage," or you may supply a quote for each such request.	If the sourced items are items supplied by the brand, these would be treated as a part of the apparatus and the same discount would apply based on the model of the apparatus. For items purchased as loose equipment or customer requested items, these would be provide at cost with a 30% or less markup.
60	Identify any element of the total cost of acquisition that is NOT included in the pricing submitted with your response. This includes all additional charges associated with a purchase that are not directly identified as freight or shipping charges. For example, list costs for items like pre-delivery inspection, installation, set up, mandatory training, or initial inspection. Identify any parties that impose such costs and their relationship to the Proposer.	Driveway up to \$5,000 is included and will be adjusted up or down based on the actual cost plus a \$200 admin fee. For shipments where the apparatus has to be shipped or flown to it's destination, these additional cost over and above getting the vehicle to the port would be the responsibility of the member. Pre-build and inspection trips are not included. Taxes are not included. NFPA requires we provide 3 days of training on all aerials, industrial pumpers, and ARFFs which is include in the quote. All other training is provided by the selling dealer.
61	If freight, delivery, or shipping is an additional cost to the Sourcewell participating entity, describe in detail the complete freight, shipping, and delivery program.	As stated above, we have included up to \$5,000 allowance for delivery on each unit. If the actual price to deliver is less, the member will receive a credit. If the actual price is more, the member will be responsible for the added expense. This is also true for PDI and Dealer Delivery and training. We have included values within the quote for different models but the member could be billed more or provided a credit depending on the actual cost.
62	Specifically describe freight, shipping, and delivery terms or programs available for Alaska, Hawaii, Canada, or any offshore delivery.	As stated above, our standard quote includes delivering the apparatus to the port. Cost associated with completing the delivery is on a case by case basis depending on the additional requirements set by the member. We would provide a quote for their approval.
63	Describe any unique distribution and/or delivery methods or options offered in your proposal.	Nothing unique. We provide delivery of the vehicle as requested by the end user. If the apparatus is being shipped on a boat, the member might request the apparatus be wrapped and/or loaded in a container. The expense for these items would be based on a case by case basis and would differ based on the type vehicle, weight, size, and market price at the time of the shipment.

**Table 12: Pricing Offered**

Line Item	The Pricing Offered in this Proposal is: *	Comments
64	b. the same as the Proposer typically offers to GPOs, cooperative procurement organizations, or state purchasing departments.	While we must be competitive on all cooperative procurement contracts, ultimately the market and the competition drive our pricing to your members. The key is which contract you use, its simplicity, and the support from the management team with the respective contract. Sourcewell is our go to contract.

**Table 13: Audit and Administrative Fee**

Line Item	Question	Response *
65	Specifically describe any self-audit process or program that you plan to employ to verify compliance with your proposed Contract with Sourcewell. This process includes ensuring that Sourcewell participating entities obtain the proper pricing, that the Vendor reports all sales under the Contract each quarter, and that the Vendor remits the proper administrative fee to Sourcewell. Provide sufficient detail to support your ability to report quarterly sales to Sourcewell as described in the Contract template.	We use a self audit spreadsheet which gives the selling dealer a go/no go visual indicator of their compliance to the contract. The goal of the spreadsheet was to communicate how the numbers were calculated and to show the customer we met or exceeded the contracted discounts within the RFP. *
66	If you are awarded a contract, provide a few examples of internal metrics that will be tracked to measure whether you are having success with the contract.	We track sales proposed as Sourcewell contracted business versus actual booked business. Currently this information can be sorted by quote number, truck number, customer, state/province, apparatus model, date sold, delivered/not delivered, and/or selling dealer. *
67	Identify a proposed administrative fee that you will pay to Sourcewell for facilitating, managing, and promoting the Sourcewell Contract in the event that you are awarded a Contract. This fee is typically calculated as a percentage of Vendor's sales under the Contract or as a per-unit fee; it is not a line-item addition to the Member's cost of goods. (See the RFP and template Contract for additional details.)	.75% of the contracted amount with admin fee ceilings based on the following: All commercial chassis products (except aerials) = \$1500.00 max All custom chassis products (except aerials) = \$1750.00 max All aerials = \$2000.00 max All apparatus accident repairs/re-chassis/body remount, apparatus upgrades, and Spartan chassis quotes = \$1750.00 max Combinations of multiple apparatus purchased via the same purchase order = \$2000.00 max. * The above admin fees were chosen to compete with the many other cooperative contracts the member would have at their disposal. If you use a straight percentage of 1% the admin fee will be too high and the member will move to the more competitive contract. Thus, the purchase will not be booked thru Sourcewell. Parts and service programs/contracts as per the following:

**Table 14A: Depth and Breadth of Offered Equipment Products and Services**

Line Item	Question	Response *
68	Provide a detailed description of the equipment, products, and services that you are offering in your proposal.	<p>As it relates to fire apparatus: The REV Group and its multiple fire apparatus brands (REV Fire Group) offer Sourcewell members a wide variety of models. These include brush trucks, urban interface units, crew haulers, air and light units, light rescues, medium rescues, heavy rescues, combo rescues, walk-in/walk around rescues, command vehicles, hazmat vehicles, pumpers, rescue pumpers, wet side tankers, dry side tankers, vacuum tankers, elliptical tankers, aerial booms, aerial ladders, aerial platforms, ARFF units, ARFF foam testing units, and Rhino turrets. In addition to apparatus, we offer service/maintenance, parts, training, refurbishment/upgrades, accident repair services, and any other new/product or service agreed upon by the member and fits within the scope of the RFP.</p> <p>As it relates to Spartan Chassis - these chassis' are offered by many fire apparatus manufacturers which are not part of the REV GROUP/REV Fire Group. In most cases they are competitors of the REV Fire Group. That said, within the scope of the RFP, we wanted to provide our dealer partners and Spartan Chassis' OEMs the option to offer chassis upgrades, re-chassis options, wreck repairs, and body remounts on a new Spartan chassis via the Sourcewell contract, thus eliminate the requirement of going out to bid.. The agreed discount and admin fee would only be based on the chassis quote. All other expenses, labor, parts, and loose equipment would be listed separately from the chassis line item quote, must be approved by the Sourcewell member, and fit within the scope of the RFP.</p>
69	Within this RFP category there may be subcategories of solutions. List subcategory titles that best describe your products and services.	<p>For fire apparatus - we offer leasing, trade-ins, pre-pay discounts, and multiple unit discounts. For leasing this would be handled thru REV Leasing, one of many third party leasing companies within the industry, or through local institutions agreed upon by the member and fits within the scope of the RFP.</p> <p>For service/parts purchases - these would brand specific new parts, vendor supplied parts, brand/dealer supplied parts/loose equipment, brand/dealer supplied PPE/fire fighting support equipment, services (other-TBD) where the need expressed by the member fits within the scope of the RFP, and any volume discounts which may apply.</p> <p>For Product Life Cycle Extension Services - these would include wreck repairs, apparatus upgrades, apparatus refurb, dealer/third party annual aerial inspection/certification, dealer/third party annual pump testing, and dealer/third party contracted services (other-TBD) where the need expressed by the member fits within the scope of the RFP.</p> <p>For Extended Warranties - these would included warranties offered specifically by the brand (base warranty extensions and/or bumper to bumper warranties, third party drivetrain warranties, vendor supplied extended warranties, or additional warranties requested by the member and falls within the scope of the RFP.</p> <p>Contract Services - these would be brand provided service contracts, training offered by each brand, service contracts negotiated with our dealer partners, parts contracts negotiated with our dealer partners, training services negotiated with our dealer partners, or any added contract services agreed upon by the member and fits within the scope of the RFP.</p>

**Table 14B: Depth and Breadth of Offered Equipment Products and Services**

Indicate below if the listed types or classes of equipment, products, and services are offered within your proposal. Provide additional comments in the text box provided, as necessary.

Line Item	Category or Type	Offered *	Comments	
70	Firefighting apparatus and service vehicles, of all types, such as: pumper/engine, initial attack, mobile water supply, aerial, quint, special service, mobile foam, command and communications, and purpose-built firefighting or rescue trailers	<input checked="" type="radio"/> Yes <input type="radio"/> No	Do not offer rescue trailers.	*
71	Wildland fire apparatus, of all types, such as: fire suppression equipment, mobile water supply, and crew carriers	<input checked="" type="radio"/> Yes <input type="radio"/> No	All of the mentioned including brush trucks and initial attack vehicles.	*
72	Aircraft rescue and firefighting vehicles	<input checked="" type="radio"/> Yes <input type="radio"/> No	Also providing a mobile foam tester.	*
73	Purpose-built chassis and vehicle components for firefighting apparatus and fire service vehicles	<input checked="" type="radio"/> Yes <input type="radio"/> No	Offering brand specific chassis for wreck repair, refurb, and chassis upgrades. Also offering Spartan custom built chassis as additional option for re-chassis solutions.	*
74	Equipment, options, accessories, and supplies complementary or incidental to the purchase of a turnkey or complete unit of the types described in Lines 70-72 above	<input checked="" type="radio"/> Yes <input type="radio"/> No	Offered thru the manufacturing brand or selling dealer.	
75	Services related to the offering of the solutions described in Lines 70-74, including installation, customization, remount, refurbishment, inspection, repair, maintenance, training, and support	<input checked="" type="radio"/> Yes <input type="radio"/> No	All of the items are offered. Also offering accident repairs.	*

**Table 15: Industry Specific Questions**

Line Item	Question	Response *
76	Describe available service and repair options for the equipment and products offered in your proposal.	A list of dealers and service locations is provided. Depending on the dealer's capabilities added services may be available. This could include pump testing, aerial testing, air pack testing/repair, upfitting, and/or wreck repair.
77	Describe available remount or refurbishing services included within your proposal, the pricing method for such services, and any related order processes.	A list of dealers and service locations is provided. Depending on the dealer's capabilities these added services may be available. If not available at the dealer location the manufacturing brand could provide labor and material quotes based on the requested repairs.
78	Describe your compliance with US standards for the equipment and products offered in your proposal, including applicable federal and state requirements.	<p>Most of our manufacturing facilities are ISO9001 Quality Certified</p> <p>All vehicles must be built to NFPA 1901 or 1906. We provide the member a Letter of Compliance and any item requested not meeting these standards is listed as non-compliant whereas the member and/or dealer must sign and dictate who is responsible for correcting the deficiency prior to putting vehicle in service. See attachments.</p> <p>All ARFFs must meet NFPA 414 and NFPA 412 Standards.</p> <p>Some facilities undergo and pass the UL Inspection Program (VIP) for NFPA 1901. See attachments.</p> <p>Must meet FMVSS—Federal Motor Vehicle Safety Standards</p> <p>All custom cabs must meet the Economic Commission for Europe (ECE) R-29 cab crash testing.</p> <p>Society of Automotive Engineers (SAE) Standards where specified.</p> <p>Underwriters Laboratories (UL &amp; ULC)</p> <p>Federal Aviation Administration (FAA) AC 150/5220-10 for ARFF</p>
79	Describe your compliance with Canadian standards for the equipment and products offered in your proposal, including applicable federal and provincial requirements.	<p>The same as U.S. built units but with the following additional testing requirements:</p> <p>Must meet ULC S515 for all apparatus sold in Canada.</p> <p>Must meet CMVSS—Canadian Motor Vehicle Safety Standards</p> <p>International Civil Aviation Organization (ICAO)</p> <p>Units delivered in Canada must also pass a required motor vehicle test prior to unit being put into service.</p>

**Table 16: Exceptions to Terms, Conditions, or Specifications Form**

**Line Item 80. NOTICE:** To identify any exception, or to request any modification, to the Sourcwell template Contract terms, conditions, or specifications, a Proposer must submit the exception or requested modification on the **Exceptions to Terms, Conditions, or Specifications Form** immediately below. The contract section, the specific text addressed by the exception or requested modification, and the proposed modification must be identified in detail. Proposer's exceptions and proposed modifications are subject to review and approval of Sourcwell and will not automatically be included in the contract.

Contract Section	Term, Condition, or Specification	Exception or Proposed Modification

**Documents**

**Ensure your submission document(s) conforms to the following:**

1. Documents in PDF format are preferred. Documents in Word, Excel, or compatible formats may also be provided.
2. Documents should NOT have a security password, as Sourcewell may not be able to open the file. It is your sole responsibility to ensure that the uploaded document(s) are not either defective, corrupted or blank and that the documents can be opened and viewed by Sourcewell.
3. Sourcewell may reject any response where any document(s) cannot be opened and viewed by Sourcewell.
4. If you need to upload more than one (1) document for a single item, you should combine the documents into one zipped file. If the zipped file contains more than one (1) document, ensure each document is named, in relation to the submission format item responding to. For example, if responding to the Marketing Plan category save the document as "Marketing Plan."
  - [Pricing](#) - REV Group\_ E-ONE Apparatus Models\_Pricing\_Discounts Updated.pdf - Tuesday November 30, 2021 15:44:05
  - [Financial Strength and Stability](#) - REV GROUP (REV Fire Group) Financial Strength and Stability.pdf - Tuesday November 30, 2021 15:15:51
  - Marketing Plan/Samples (optional)
  - [WMBE/MBE/SBE or Related Certificates](#) - REV Fire Group Certifications.pdf - Tuesday November 30, 2021 15:47:00
  - [Warranty Information](#) - REV Fire Group Warranties.pdf - Tuesday November 30, 2021 16:05:38
  - [Standard Transaction Document Samples](#) - 20211110 Q113020 Mesa AZ, H & E (AZ) Custom Summary Report - Amended.pdf - Tuesday November 30, 2021 16:20:31
  - [Upload Additional Document](#) - REV Group Misc Files.pdf - Tuesday November 30, 2021 16:18:34

## Addenda, Terms and Conditions

### PROPOSER AFFIDAVIT AND ASSURANCE OF COMPLIANCE

I certify that I am the authorized representative of the Proposer submitting the foregoing Proposal with the legal authority to bind the Proposer to this Affidavit and Assurance of Compliance:

1. The Proposer is submitting this Proposal under its full and complete legal name, and the Proposer legally exists in good standing in the jurisdiction of its residence.
2. The Proposer warrants that the information provided in this Proposal is true, correct, and reliable for purposes of evaluation for contract award.
3. The Proposer, including any person assisting with the creation of this Proposal, has arrived at this Proposal independently and the Proposal has been created without colluding with any other person, company, or parties that have or will submit a proposal under this solicitation; and the Proposal has in all respects been created fairly without any fraud or dishonesty. The Proposer has not directly or indirectly entered into any agreement or arrangement with any person or business in an effort to influence any part of this solicitation or operations of a resulting contract; and the Proposer has not taken any action in restraint of free trade or competitiveness in connection with this solicitation. Additionally, if Proposer has worked with a consultant on the Proposal, the consultant (an individual or a company) has not assisted any other entity that has submitted or will submit a proposal for this solicitation.
4. To the best of its knowledge and belief, and except as otherwise disclosed in the Proposal, there are no relevant facts or circumstances which could give rise to an organizational conflict of interest. An organizational conflict of interest exists when a vendor has an unfair competitive advantage or the vendor's objectivity in performing the contract is, or might be, impaired.
5. The contents of the Proposal have not been communicated by the Proposer or its employees or agents to any person not an employee or legally authorized agent of the Proposer and will not be communicated to any such persons prior to Due Date of this solicitation.
6. If awarded a contract, the Proposer will provide to Sourcewell Participating Entities the equipment, products, and services in accordance with the terms, conditions, and scope of a resulting contract.
7. The Proposer possesses, or will possess before delivering any equipment, products, or services, all applicable licenses or certifications necessary to deliver such equipment, products, or services under any resulting contract.
8. The Proposer agrees to deliver equipment, products, and services through valid contracts, purchase orders, or means that are acceptable to Sourcewell Members. Unless otherwise agreed to, the Proposer must provide only new and first-quality products and related services to Sourcewell Members under an awarded Contract.
9. The Proposer will comply with all applicable provisions of federal, state, and local laws, regulations, rules, and orders.
10. The Proposer understands that Sourcewell will reject RFP proposals that are marked "confidential" (or "nonpublic," etc.), either substantially or in their entirety. Under Minnesota Statutes Section 13.591, subdivision 4, all proposals are considered nonpublic data until the evaluation is complete and a Contract is awarded. At that point, proposals become public data. Minnesota Statutes Section 13.37 permits only certain narrowly defined data to be considered a "trade secret," and thus nonpublic data under Minnesota's Data Practices Act.
11. Proposer its employees, agents, and subcontractors are not:
  1. Included on the "Specially Designated Nationals and Blocked Persons" list maintained by the Office of Foreign Assets Control of the United States Department of the Treasury found at: <https://www.treasury.gov/ofac/downloads/sdnlist.pdf>;
  2. Included on the government-wide exclusions lists in the United States System for Award Management found at: <https://sam.gov/SAM/>; or
  3. Presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from programs operated

by the State of Minnesota; the United States federal government or the Canadian government, as applicable; or any Participating Entity. Vendor certifies and warrants that neither it nor its principals have been convicted of a criminal offense related to the subject matter of this solicitation.

By checking this box I acknowledge that I am bound by the terms of the Proposer's Affidavit, have the legal authority to submit this Proposal on behalf of the Proposer, and that this electronic acknowledgment has the same legal effect, validity, and enforceability as if I had hand signed the Proposal. This signature will not be denied such legal effect, validity, or enforceability solely because an electronic signature or electronic record was used in its formation. - Fred Cureton, National Contract Manager, REV Group, INC.

The Proposer declares that there is an actual or potential Conflict of Interest relating to the preparation of its submission, and/or the Proposer foresees an actual or potential Conflict of Interest in performing the contractual obligations contemplated in the bid.

Yes  No

The Bidder acknowledges and agrees that the addendum/addenda below form part of the Bid Document.

Check the box in the column "I have reviewed this addendum" below to acknowledge each of the addenda.

File Name	I have reviewed the below addendum and attachments (if applicable)	Pages
<b>Addendum_4_Fire_Apparatus_RFP_113021</b> Sat November 20 2021 12:53 PM	<input checked="" type="checkbox"/>	5
<b>Addendum_3_Fire_Apparatus_RFP_113021</b> Thu November 11 2021 02:09 PM	<input checked="" type="checkbox"/>	1
<b>Addendum_2_Fire_Apparatus_RFP_113021</b> Fri October 29 2021 09:20 AM	<input checked="" type="checkbox"/>	1
<b>Addendum_1_Fire_Apparatus_RFP_113021</b> Fri October 29 2021 09:20 AM	<input checked="" type="checkbox"/>	1



**SOURCEWELL  
STATE OF MINNESOTA**

Member Thiel moved the adoption of the following Resolution:

**RESOLUTION TO APPROVE SOLICITATION AND/OR RE-SOLICITATION OF CATEGORIES**

**Resolution No. 2021-22**

**WHEREAS**, Sourcewell desires to issue a solicitation, and is seeking permission from the Board to issue a solicitation, for the categories listed on Appendix A, which is attached and incorporated.

**WHEREAS**, through the Sourcewell Procurement Policy, the Board designated the Chief Procurement Officer to administer Sourcewell’s cooperative purchasing and contracting program; and

**WHEREAS**, the Chief Procurement Officer recommends approval of categories detailed above.

**NOW THEREFORE BE IT RESOLVED** that the Board of Directors hereby approves the solicitation of categories.

The motion for the adoption of the foregoing resolution was duly seconded by Member Thomas

and the following voted in favor: (list names here)  
wilson, Zylka, Veronen, Nagel, Thomas, Thiel, Arts and Kircher

and the following voted against: (list names here or “NONE”)  
None

whereupon said resolution was declared duly passed and adopted.

ATTEST:

DocuSigned by:  
*Sara Nagel*  
CF62F09F8AFC4BB...

**Clerk to the Board of Directors**

**APPENDIX A**

**SOURCEWELL PROCUREMENT DEPARTMENT**  
**BOARD ITEMS - September 2021**

**CONSENT AGENDA ITEMS**

**Requesting Board permission to Solicit the following categories:**

Underground Infrastructure Inspection and Rehabilitation Equipment with Related Services
Private Exchange Technology and Services
Used Firefighting Apparatus and Fire Service Vehicle Solutions

**Requesting Board permission to Re-Solicit the following categories:**

Firefighting Apparatus and Fire Service Vehicles with Related Equipment, Options, and Services
State of Florida - Indefinite Delivery Indefinite Quantity Construction

**NEW CONTRACTS**

Supplier Name	Contract Number	Solicitation Title
Carrier Global Corporation	070121-CAR	"HVAC Systems and Related Services"
HMI Industries, Inc.	070121-HMI	"HVAC Systems and Related Services"
Honeywell International, Inc.	070121-HNY	"HVAC Systems and Related Services"
Johnson Controls, Inc.	070121-JHN	"HVAC Systems and Related Services"
Juice Technologies, Inc.	070121-JUC	"HVAC Systems and Related Services"
Siemens Industry, Inc.	070121-SIE	"HVAC Systems and Related Services"
22nd Century Technologies, Inc.	071321-CEN	"IT Managed Service and Staff Augmentation Solutions"
CDW Government, LLC	071321-CDW	"IT Managed Service and Staff Augmentation Solutions"
Computer Aid, Inc.	071321-CAI	"IT Managed Service and Staff Augmentation Solutions"
Infojini, Inc.	071321-INF	"IT Managed Service and Staff Augmentation Solutions"
Judge Technical Services	071321-JDG	"IT Managed Service and Staff Augmentation Solutions"

**CONTRACT EXTENSIONS**

Supplier Name	Contract Number	Solicitation Title
Alamo Group, Inc.	052417-AGI	"Roadway Maintenance Equipment with Related Accessories, Attachments, Materials and Supplies"

**NEW ezIQ CONTRACTS**

Company Name	Contract Number	State - Region - Type of Work

**ezIQ RENEWALS**

Company Name	Contract Number	
PRIDE Industries One, Inc.	CA04-NCC-GB03-080719-PIO	
PRIDE Industries One, Inc.	CA03-NCV-E01-080719-PIO	
American Chiller Service, Inc.	CA03-NCV-M03-080719-ACH	
Staples Construction Company	CA03-NCV-GB04-080719-STA	
PUB Construction, Inc.	CA08-SCI-GB01-080719-PUB	
PRIDE Industries One, Inc.	CA03-NCV-GB03-080719-PIO	
PRIDE Industries One, Inc.	CA03-NCV-GEP01-080719-PIO	
Vincor Construction, Inc.	CA08-SCI-GB02-080719-VCI	
Mark Scott Construction	CA04-NCC-GB02-080719-MS	
ACCO Engineered Systems, Inc.	CA03-NCV-M02-080719-AES	
PRIDE Industries One, Inc.	CA03-NCV-M01-080719-PIO	
Rite-Way Roof Corporation	CA08-SCI-R01-080719-RWR	
Aztec Consultants	CA04-NCC-GB04-080719-AZC	
PRIDE Industries One, Inc.	CA04-NCC-E02-080719-PIO	
Vincor Construction, Inc.	CA09-SDI-GB02-080719-VCI	
Sylvester Roofing Co., Inc.	CA09-SDI-R01-080719-SRC	
All Source Tile, Inc.	CA09-SDI-F02-080719-AST	
PUB Construction, Inc.	CA09-SDI-F01-080719-PUB	
G Team Landscape Construction, Inc.	CA08-SCI-L01-080719-GTL	
Horizons Construction Co. Int'l, Inc.	CA08-SCI-DA01-080719-HCC	
Sol Construction	CA08-SCI-GEP02-080719-SOL	
PRIDE Industries One, Inc.	CA08-SCI-GB10-080719-PIO	

APPENDIX A Continued

PRIDE Industries One, Inc.	CA04-NCC-GEP01-080719-PIO	
All Source Coatings, Inc.	CA09-SDI-P02-080719-ASC	
Ausonio, Inc.	CA04-NCC-GB05-080719-AUS	
Horizons Construction Co. Int'l, Inc.	CA08-SCI-GB03-080719-HCC	
Angeles Contractor, Inc.	CA08-SCI-F02-080719-ACI	
All Source Coatings, Inc.	CA09-SDI-GB03-080719-ASC	
US National Corp dba Jimenez Painting Company	CA09-SDI-P03-080719-USN	
Harry H. Joh Construction, Inc.	CA08-SCI-E02-080719-HJC	
Gkkworks Construction Services	CA08-SCI-GB06-080719-GKK	
J & H Engineering General Contracts, Inc.	CA08-SCI-GEP03-080719-JHE	
Facility Solutions Group	CA08-SCI-E01-080719-FSG	
Angeles Contractor, Inc.	CA08-SCI-GB05-080719-ACI	
Horizons Construction Co. Int'l, Inc.	CA08-SCI-GEP01-080719-HCC	
PUB Construction, Inc.	CA08-SCI-P01-080719-PUB	
Angeles Contractor, Inc.	CA09-SDI-GB06-080719-ACI	
PUB Construction, Inc.	CA09-SDI-GB01-080719-PUB	
Harry H. Joh Construction, Inc.	CA09-SDI-GB05-080719-HJC	
Angeles Contractor, Inc.	CA09-SDI-F03-080719-ACI	
Harry H. Joh Construction, Inc.	CA08-SCI-GB04-080719-HJC	
PUB Construction, Inc.	CA08-SCI-F01-080719-PUB	
PUB Construction, Inc.	CA09-SDI-P01-080719-PUB	
Harry H. Joh Construction, Inc.	CA08-SCI-P02-080719-HJC	
LDCo., Inc.	CA09-SDI-GB04-080719-LDC	
<b>eziQC CONTRACT EXTENSIONS</b>		
<b>Company Name</b>	<b>Contract Number</b>	

**SOURCEWELL  
STATE OF MINNESOTA**



Member Kircher moved the adoption of the following Resolution:

**RESOLUTION TO RATIFY COOPERATIVE CONTRACTING AWARDS**

**2/15/2022**

**Resolution No. 2022-06**

**WHEREAS**, the Sourcewell Board of Directors previously authorized the solicitations for the cooperative categories listed on Appendix A, which is attached and incorporated; and

**WHEREAS**, Sourcewell issued the cooperative contracting solicitations for the authorized categories; and

**WHEREAS**, through the Sourcewell Procurement Policy, the Board designated the Chief Procurement Officer to administer Sourcewell's cooperative purchasing and contracting program and to award all competitively solicited contracts, without limitation; and

**WHEREAS**, the Chief Procurement Officer made the awards listed based on the results of the competitive solicitation process; and

**WHEREAS**, the Board acknowledges that the awards made by the Chief Procurement Officer are valid and binding; however, based upon some members' legal requirements the Chief Procurement Official is required to seek subsequent Board ratification of all cooperative purchasing awards.

**NOW THEREFORE BE IT RESOLVED** by the Board of Directors ratifies the cooperative contracting awards made by the Chief Procurement Officer listed on Appendix A.

The motion for the adoption of the foregoing resolution was duly seconded by Member Thiel and the following voted in favor: (list names here)

Veronen, Thiel, Nagel, Arts, Kircher, Thomas, Zylka, Barrows

and the following voted against: (list names here or "NONE")

None

whereupon said resolution was declared duly passed and adopted.

ATTEST:

DocuSigned by:  
Sara Nagel  
CF62F09F8AFC4BB...  
**Clerk to the Board of Directors**

## APPENDIX A

**SOURCEWELL PROCUREMENT DEPARTMENT**  
**BOARD ITEMS - February 2022**

<b>CONSENT AGENDA ITEMS</b>	<b>Requesting Board permission to Solicit the following categories:</b>		
	Swimming Pool Renovation and Repair with Related Equipment, Supplies, and Services		
	<b>Requesting Board permission to Re-Solicit the following categories:</b>		
	Indefinite Delivery Indefinite Quantity Construction - Missouri		
	Wellness Engagement Program Solutions and Related Services		
<b>CONSENT AGENDA ITEMS</b>	<b>NEW CONTRACTS</b>		
	<b>Supplier Name</b>	<b>Contract Number</b>	<b>Solicitation Title</b>
	Gold Star Foods, Inc.	111621-GSF	"Food Products and Distribution for School Food Authorities with Related Supplies, Technology and Services"
	Sysco Corporation	111621-SYC	"Food Products and Distribution for School Food Authorities with Related Supplies, Technology and Services"
	US Foods, Inc.	111621-USF	"Food Products and Distribution for School Food Authorities with Related Supplies, Technology and Services"
	Custom Fire Apparatus, Inc.	113021-CSM	"Firefighting Apparatus and Fire Service Vehicles"
	HME, Inc.	113021-HME	"Firefighting Apparatus and Fire Service Vehicles"
	Maxi-Metal Inc.	113021-MAX	"Firefighting Apparatus and Fire Service Vehicles"
	Oshkosh Corporation	113021-OKC	"Firefighting Apparatus and Fire Service Vehicles"
	P.L. Custom Body and Equipment Co., Inc.	113021-PLC	"Firefighting Apparatus and Fire Service Vehicles"
	Rev Group, Inc.	113021-RCG	"Firefighting Apparatus and Fire Service Vehicles"
	Rock River Industries, LLC	113021-RCK	"Firefighting Apparatus and Fire Service Vehicles"
	Rosenbauer America, LLC	113021-RSD	"Firefighting Apparatus and Fire Service Vehicles"
	The Sutphen Corporation	113021-SUT	"Firefighting Apparatus and Fire Service Vehicles"
	Redwood Toxicology Laboratory, Inc.	011222-RTL	"Lab Services and Testing with Related Products and Supplies"
	Sterling Healthcare Opco, dba Cordant Health Solutions	011222-CDT	"Lab Services and Testing with Related Products and Supplies"
	<b>CONTRACT EXTENSIONS</b>		
	<b>Supplier Name</b>	<b>Contract Number</b>	<b>Solicitation Title</b>
	Hi-Vac Corporation	122017-HVC	"Sewer Vacuum, Hydro-Excavation, and Street Sweeper Equipment with Related Accessories and Supplies"
	NiteHawk Sweepers, LLC	122017-NHK	"Sewer Vacuum, Hydro-Excavation, and Street Sweeper Equipment with Related Accessories and Supplies"
	Schwarze Industries, Inc.	122017-SWZ	"Sewer Vacuum, Hydro-Excavation, and Street Sweeper Equipment with Related Accessories and Supplies"
	AstroTurf Corporation	060518-AST	"Athletic Surfacing with Related Materials, Supplies, Installation and Services"
	Shaw Sports Turf	060518-SII	"Athletic Surfacing with Related Materials, Supplies, Installation and Services"
	<b>NEW ezIQC CONTRACTS</b>		
	<b>Company Name</b>	<b>Contract Number</b>	<b>State - Region - Type of Work</b>
Staples Construction	CA-R1-GB01-123021-STA	California - Region 1 - General Building	
Mesa Energy Systems, Inc. dba EMCOR Services	CA-R1-HVAC01-123021-ES	California - Region 1 - HVAC/Mechanical	
ACCO Engineered Systems, Inc.	CA-R2-HVAC01-123021-AES	California - Region 2 - HVAC/Mechanical	
T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R3-E01-123021-TSW	California - Region 3 - Electrical	
ABM Industries, Inc.	CA-R3-E02-123021-ABM	California - Region 3 - Electrical	
T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R3-GB01-123021-TSW	California - Region 3 - General Building	
North Star Construction and Engineering, Inc.	CA-R3-GB02-123021-NSC	California - Region 3 - General Building	
North Star Construction and Engineering, Inc.	CA-R3-GS01-123021-NSC	California - Region 3 - Geotechnical Stabilization	
ABM Industries, Inc.	CA-R3-HVAC01-123021-ABM	California - Region 3 - HVAC/Mechanical	
ACCO Engineered Systems, Inc.	CA-R3-HVAC02-123021-AES	California - Region 3 - HVAC/Mechanical	
T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R3-PAV01-123021-TSW	California - Region 3 - Paving	
North Star Construction and Engineering, Inc.	CA-R3-PAV02-123021-NSC	California - Region 3 - Paving	
Mark Scott Construction, Inc.	CA-R4-GB01-123021-MSC	California - Region 4 - General Building	
ABM Industries, Inc.	CA-R4-HVAC01-123021-ABM	California - Region 4 - HVAC/Mechanical	
T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R5-E01-123021-TSW	California - Region 5 - Electrical	

## APPENDIX A Continued

T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R5-GB01-123021-TSW	California - Region 5 - General Building
Newton Construction & Management, Inc.	CA-R5-GB02-123021-NCM	California - Region 5 - General Building
Durham Construction Company, Inc.	CA-R5-GB03-123021-DCC	California - Region 5 - General Building
Mark Scott Construction, Inc.	CA-R5-GB04-123021-MSD	California - Region 5 - General Building
Mesa Energy Systems, Inc. dba EMCOR Services	CA-R5-HVAC01-123021-ES	California - Region 5 - HVAC/Mechanical
ABM Industries, Inc.	CA-R5-HVAC02-123021-ABM	California - Region 5 - HVAC/Mechanical
T&S Intermodal Maintenance, Inc. DBA T&S West	CA-R5-PAV01-123021-TSW	California - Region 5 - Paving
ABM Industries, Inc.	CA-R6-E01-123021-ABM	California - Region 6 - Electrical
Newton Construction & Management, Inc.	CA-R6-GB01-123021-NCM	California - Region 6 - General Building
Angeles Contractor, Inc.	CA-R6-GB02-123021-ACI	California - Region 6 - General Building
ABM Industries, Inc.	CA-R6-HVAC01-123021-ABM	California - Region 6 - HVAC/Mechanical
ACCO Engineered Systems, Inc.	CA-R6-HVAC02-123021-AES	California - Region 6 - HVAC/Mechanical
Angeles Contractor, Inc.	CA-R6-PAV01-123021-ACI	California - Region 6 - Paving
Newton Construction & Management, Inc.	CA-R7-E01-123021-NCM	California - Region 7 - Electrical
Newton Construction & Management, Inc.	CA-R7-GB01-123021-NCM	California - Region 7 - General Building
MDJ Management, LLC	CA-R7-GB06-123021-MDJ	California - Region 7 - General Building
ACCO Engineered Systems, Inc.	CA-R7-HVAC01-123021-AES	California - Region 7 - HVAC/Mechanical
Mesa Energy Systems, Inc. dba EMCOR Services	CA-R7-HVAC02-123021-ES	California - Region 7 - HVAC/Mechanical
Horizons Construction Company Int'l, Inc.	CA-R7-PAV01-123021-HCC	California - Region 7 - Paving
J & H Engineering General Contractors, Inc.	CA-R7-PAV02-123021-JHE	California - Region 7 - Paving
ABM Industries, Inc.	CA-R8-E01-123021-ABM	California - Region 8 - Electrical
Facility Solutions Group	CA-R8-E02-123021-FSG	California - Region 8 - Electrical
Pacific Lighting Mgt, Inc.	CA-R8-E03-123021-PLM	California - Region 8 - Electrical
Exbon Development, Inc.	CA-R8-E04-123021-EXB	California - Region 8 - Electrical
PUB Construction, Inc.	CA-R8-F01-123021-PUB	California - Region 8 - Flooring
California Coast Carpet & Flooring	CA-R8-F02-123021-CCF	California - Region 8 - Flooring
Angeles Contractor, Inc.	CA-R8-F03-123021-ACI	California - Region 8 - Flooring
Horizons Construction Company Int'l, Inc.	CA-R8-GB01-123021-HCC	California - Region 8 - General Building
Exbon Development, Inc.	CA-R8-GB02-123021-EXB	California - Region 8 - General Building
PUB Construction, Inc.	CA-R8-GB03-123021-PUB	California - Region 8 - General Building
Harry H. Joh Construction, Inc.	CA-R8-GB04-123021-HJC	California - Region 8 - General Building
Vincor Construction, Inc.	CA-R8-GB05-123021-VCI	California - Region 8 - General Building
Angeles Contractor, Inc.	CA-R8-GB06-123021-ACI	California - Region 8 - General Building
Mackone Development, Inc.	CA-R8-GB07-123021-MDI	California - Region 8 - General Building
Good-Men Roofing & Construction, Inc.	CA-R8-GB08-123021-GMR	California - Region 8 - General Building
MDJ Management, LLC	CA-R8-GB13-123021-MDJ	California - Region 8 - General Building
ABM Industries, Inc.	CA-R8-HVAC01-123021-ABM	California - Region 8 - HVAC/Mechanical
ACCO Engineered Systems, Inc.	CA-R8-HVAC02-123021-AES	California - Region 8 - HVAC/Mechanical
Exbon Development, Inc.	CA-R8-HVAC03-123021-EXB	California - Region 8 - HVAC/Mechanical
Harry H. Joh Construction, Inc.	CA-R8-HVAC04-123021-HJC	California - Region 8 - HVAC/Mechanical
PUB Construction, Inc.	CA-R8-P01-123021-PUB	California - Region 8 - Painting
Harry H. Joh Construction, Inc.	CA-R8-P02-123021-HJC	California - Region 8 - Painting
Angeles Contractor, Inc.	CA-R8-P03-123021-ACI	California - Region 8 - Painting
Mackone Development, Inc.	CA-R8-P04-123021-MDI	California - Region 8 - Painting
Horizons Construction Company Int'l, Inc.	CA-R8-PAV01-123021-HCC	California - Region 8 - Paving
Angeles Contractor, Inc.	CA-R8-PAV02-123021-ACI	California - Region 8 - Paving
Mackone Development, Inc.	CA-R8-PAV03-123021-MDI	California - Region 8 - Paving
Bitech Construction Co., Inc.	CA-R8-PAV04-123021-BIT	California - Region 8 - Paving
ACCO Engineered Systems, Inc.	CA-R8-PLUM01-123021-AES	California - Region 8 - Plumbing
Oscalibur Plumbing, Inc.	CA-R8-PLUM02-123021-OPI	California - Region 8 - Plumbing
Angeles Contractor, Inc.	CA-R8-RW01-123021-ACI	California - Region 8 - Roofing/Waterproofing
Exbon Development, Inc.	CA-R8-RW02-123021-EXB	California - Region 8 - Roofing/Waterproofing
Good-Men Roofing & Construction, Inc.	CA-R8-RW03-123021-GMR	California - Region 8 - Roofing/Waterproofing
ACCO Engineered Systems, Inc.	CA-R8-SS01-123021-AES	California - Region 8 - Sanitation Systems
ABM Industries, Inc.	CA-R9-E01-123021-ABM	California - Region 9 - Electrical
Pacific Lighting Mgt, Inc.	CA-R9-E02-123021-PLM	California - Region 9 - Electrical
Horizons Construction Company Int'l, Inc.	CA-R9-GB01-123021-HCC	California - Region 9 - General Building
PUB Construction, Inc.	CA-R9-GB02-123021-PUB	California - Region 9 - General Building
Harry H. Joh Construction, Inc.	CA-R9-GB03-123021-HJC	California - Region 9 - General Building
Pacific Building Group	CA-R9-GB04-123021-PBG	California - Region 9 - General Building
Vincor Construction, Inc.	CA-R9-GB05-123021-VCI	California - Region 9 - General Building
Good-Men Roofing & Construction, Inc.	CA-R9-GB06-123021-GMR	California - Region 9 - General Building
All Source Coatings, Inc.	CA-R9-GB08-123021-ASC	California - Region 9 - General Building
GeoStabilization International, LLC	CA-R9-GS01-123021-GSI	California - Region 9 - Geotechnical Stabilization
ABM Industries, Inc.	CA-R9-HVAC01-123021-ABM	California - Region 9 - HVAC/Mechanical

ACCO Engineered Systems, Inc.	CA-R9-HVAC02-123021-AES	California - Region 9 - HVAC/Mechanical
Harry H. Joh Construction, Inc.	CA-R9-HVAC03-123021-HJC	California - Region 9 - HVAC/Mechanical
Mesa Energy Systems, Inc. dba EMCOR Services	CA-R9-HVAC04-123021-ES	California - Region 9 - HVAC/Mechanical
Horizons Construction Company Int'l, Inc.	CA-R9-PAV01-123021-HCC	California - Region 9 - Paving
ACCO Engineered Systems, Inc.	CA-R9-PLUM01-123021-AES	California - Region 9 - Plumbing
Good-Men Roofing & Construction, Inc.	CA-R9-RW01-123021-GMR	California - Region 9 - Roofing/Waterproofing
<b>ezIQC RENEWALS</b>		
<b>Company Name</b>	<b>Contract Number</b>	
Amstar, Inc.	TX-PB-GC-121819-AMS	
CORE Construction Services of Texas, Inc.	TX-WT-GC-121819-CCT	
TMG Contracting, LLC	TX-CT-GC-121819-TCL	
Sullivan Contracting Services	TX-CT-GC-121819-SUL	
Amstar, Inc.	TX-WT-GC-121819-AMS	
CORE Construction Services of Texas, Inc.	TX-PB-GC-121819-CCT	
Amstar, Inc.	TX-CT-GC-121819-AMS	
F.H. Paschen, S.N. Nielsen & Associates, LLC	TX-PB-GC-121819-FHP	
Tommy Klein Construction, Inc.	TX-PB-GC-121819-TKL	
Doyle Electric, LLC	TX-CT-GC-121819-DEL	
Veliz Company, LLC	TX-PB-GC-121819-VCL	
RoofConnect Logistics, Inc.	TX-CT-R-121819-RCL	
Platinum Roofing, Inc.	TX-CT-R-121819-PLR	
F.H. Paschen, S.N. Nielsen & Associates, LLC	TX-WT-GC-121819-FHP	
JAMCO Ventures, LLC	TX-CT-GC-121819-JAM	
CORE Construction Services of Texas, Inc.	TX-CT-GC-121819-CCT	
JAMCO Ventures, LLC	TX-CT-F-121819-JAM	
Veliz Company, LLC	TX-CT-GC-121819-VCL	
F.H. Paschen, S.N. Nielsen & Associates, LLC	TX-CT-GC-121819-FHP	
Doyle Electric, LLC	TX-CT-E-121819-DEL	
Veliz Company, LLC	TX-WT-GC-121819-VCL	
Centennial Contractors Enterprises, Inc.	TX-CT-GC-121819-CCE	
RoofConnect Logistics, Inc.	TX-WT-R-121819-RCL	
JAMCO Ventures, LLC	TX-CT-W-121819-JAM	
JAMCO Ventures, LLC	TX-CT-RC-121819-JAM	
JAMCO Ventures, LLC	TX-CT-APC-121819-JAM	
JAMCO Ventures, LLC	TX-CT-P-121819-JAM	



**RFP #113021**  
**REQUEST FOR PROPOSALS**  
**for**  
**Firefighting Apparatus and Fire Service Vehicles**

**Proposal Due Date: November 30, 2021, 4:30 p.m., Central Time**

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://proportal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

**Solicitation Schedule**

Public Notice of RFP Published:	October 12, 2021
Pre-proposal Conference:	November 2, 2021, 10:00 a.m., Central Time
Question Submission Deadline:	November 19, 2021, 4:30 p.m., Central Time
<b>Proposal Due Date:</b>	<b>November 30, 2021, 4:30 p.m., Central Time</b> Late responses will not be considered.
Opening:	November 30, 2021, 6:30 p.m., Central Time See RFP Section V.G. "Opening"

## I. ABOUT SOURCEWELL

### A. SOURCEWELL

Sourcewell is a State of Minnesota local government unit and service cooperative created under the laws of the State of Minnesota (Minnesota Statutes Section 123A.21) that facilitates a competitive public solicitation and contract award process for the benefit of its 50,000+ participating entities across the United States and Canada. Sourcewell's solicitation process complies with State of Minnesota law and policies, conforms to Canadian trade agreements, and results in cooperative purchasing solutions from which Sourcewell's Participating Entities procure equipment, products, and services.

Cooperative purchasing provides participating entities and suppliers increased administrative efficiencies and the power of combined purchasing volume that result in overall cost savings. At times, Sourcewell also partners with other purchasing cooperatives to combine the purchasing volume of their membership into a single solicitation and contract expanding the reach of contracted suppliers' potential pool of end users.

Sourcewell uses a website-based platform, the Sourcewell Procurement Portal, through which all proposals to this RFP must be submitted.

### B. USE OF RESULTING CONTRACTS

In the United States, Sourcewell's contracts are available for use by:

- Federal and state government entities<sup>1</sup>;
- Cities, towns, and counties/parishes;
- Education service cooperatives;
- K-12 and higher education entities;
- Tribal government entities;
- Some nonprofit entities; and
- Other public entities.

In Canada, Sourcewell's contracts are available for use by:

- Provincial and territorial government departments, ministries, agencies, boards, councils, committees, commissions, and similar agencies;
- Regional, local, district, and other forms of municipal government, municipal organizations, school boards, and publicly-funded academic, health, and social service entities referred to as MASH sector (this should be construed to include but not be

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<sup>1</sup> Pursuant to HAR §3-128-2, the State of Hawaii, Department of Accounting and General Services, State Procurement Office, on behalf of the State of Hawaii and participating jurisdictions, has provided notice of its Intent to Participate in the solicitation as a participating entity.

limited to the Cities of Calgary, Edmonton, Toronto, Ottawa, and Winnipeg), as well as any corporation or entity owned or controlled by one or more of the preceding entities;

- Crown corporations, government enterprises, and other entities that are owned or controlled by these entities through ownership interest;
- Members of the Rural Municipalities of Alberta (RMA) and their represented Associations: MASH (municipalities, academic institutions, schools and hospitals) and MUSH (municipalities, universities, schools and hospitals) sectors, and other governmental agencies eligible to use the Sourcewell contracts. MASH and MUSH sector refers to regional, local, district or other forms of municipal government, school boards, publicly-funded academic, health and social service entities, as well as any corporation or entity owned or controlled by one or more of the preceding entities, including but not limited to represented associations, Saskatchewan Association of Rural Municipalities ("SARM"), Association of Manitoba Municipalities ("AMM"), Local Authorities Services/Association of Municipalities Ontario ("LAS/AMO", excluding the cities of Toronto and Ottawa), Nova Scotia Federation of Municipalities ("NSFM"), Federation of Prince Edward Island Municipalities ("FPEIM"), Municipalities Newfoundland Labrador ("MNL"), Union of New Brunswick Municipalities ("UNBM"), North West Territories Association of Communities ("NWTAC") and their members. RMA Participants may include all not-for-profit agencies for Canadian provinces and territories.

For a listing of current United States and Canadian Participating Entities visit Sourcewell's website (note: there is a tab for each country): <https://www.sourcewell-mn.gov/sourcewell-for-vendors/agency-locator>.

Participating Entities typically access contracted equipment, products, or services through a purchase order issued directly to the contracted supplier. A Participating Entity may request additional terms or conditions related to a purchase. Use of Sourcewell contracts is voluntary and Participating Entities retain the right to obtain similar equipment, products, or services from other sources.

To meet Participating Entities' needs, Sourcewell broadly publishes public notice of all solicitation opportunities, including this RFP. In the United States each state-level procurement department receives notice for possible re-posting.

Proof of publication will be available at the conclusion of the solicitation process.

## II. SOLICITATION DETAILS

### A. SOLUTIONS-BASED SOLICITATION

This RFP and contract award process is a solutions-based solicitation; meaning that Sourcewell is seeking equipment, products, or services that meet the general requirements of the scope of this RFP and that are commonly desired or are required by law or industry standards.

### B. REQUESTED EQUIPMENT, PRODUCTS, OR SERVICES

It is expected that proposers will offer a wide array of equipment, products, or services at lower prices and with better value than what they would ordinarily offer to a single government entity, a school district, or a regional cooperative.

1. Sourcewell is seeking proposals for Firefighting Apparatus and Fire Service Vehicles, including:
  - a. New, remount, or refurbished:
    - i. Firefighting apparatus and service vehicles, of all types, such as: pumper/engine, initial attack, mobile water supply, aerial, quint, special service, mobile foam, command and communications, and purpose-built firefighting or rescue trailers;
    - ii. Wildland fire apparatus, of all types, such as: fire suppression equipment, mobile water supply, and crew carriers; and,
    - iii. Aircraft rescue and firefighting vehicles.
  - b. Purpose-built chassis and vehicle components for firefighting apparatus and fire service vehicles;
  - c. Equipment, options, accessories, and supplies complementary or incidental to the purchase of a turnkey or complete unit of the types described in Sections 1. a. i. – iii. above.
  - d. Services related to the offering of the solutions described in Sections 1. a. - c. above, including installation, customization, remount, refurbishment, inspection, repair, maintenance, training, and support.
2. This solicitation does not include those equipment, products, or services covered under categories included in contracts currently maintained by Sourcewell:
  - a. Health & Safety, Medical, Surgical, and First Aid Related Equipment, Supplies, Accessories, and Services (RFP #061417);
  - b. Trailers with Related Equipment, Accessories, and Services (RFP #121918), with the limited exception of the purpose-built firefighting or rescue trailers identified in Section 1. a. i. above;
  - c. Firefighting Personal Protective Equipment, Apparel, and Accessories, with Related Cleaning and Maintenance Equipment (RFP #032620);

- d. Firefighting Equipment and Rescue Tools with Related Supplies and Accessories (RFP #040220), with the limited exception of the equipment, options, accessories, and supplies identified in Section 1. c. above;
- e. Class 4-8 Chassis with Related Equipment, Accessories, and Services (RFP #060920), with the limited exception of the purpose-built chassis identified in Section 1. b. above;
- f. Public Safety Communications Technology and Hardware Solutions (RFP #042021);
- g. Automobiles, SUVs, Vans, and Light Trucks with Related Equipment and Accessories (RFP #091521); and,
- h. Ambulance and Emergency Medical Service Vehicles (RFP #110921).

Generally, the solutions for Participating Entities are turn-key solutions, providing a combination of equipment, products and services, delivery, and installation to a properly operating status. However, equipment or products only solutions may be appropriate for situations where Participating Entities possess the ability, either in-house or through local third-party contractors, to properly install and bring to operation the equipment or products being proposed.

Sourcewell prefers suppliers that provide a sole source of responsibility for the equipment, products, and services provided under a resulting contract. If proposer is including the equipment, products, and services of its subsidiary entities, the proposer must also identify all included subsidiaries in its proposal. If proposer requires the use of distributors, dealers, resellers, or subcontractors to provide the equipment, products, or services, the proposal must address how the equipment, products or services will be provided to Participating Entities, and describe the network of distributors, dealers, resellers, and/or subcontractors that will be available to serve Participating Entities under a resulting contract.

Sourcewell desires the broadest possible selection of equipment, products, and services being proposed over the largest possible geographic area and to the largest possible cross-section of Sourcewell current and future Participating Entities.

### C. REQUIREMENTS

It is expected that proposers have knowledge of all applicable industry standards, laws, and regulations and possess an ability to market and distribute the equipment, products, or services to Participating Entities.

1. Safety Requirements. All items proposed must comply with current applicable safety or regulatory standards or codes.
2. Deviation from Industry Standard. Deviations from industry standards must be identified with an explanation of how the equipment, products, and services will provide equivalent function, coverage, performance, and/or related services.

3. New Equipment and Products. Proposed equipment and products must be for new, current model; however, proposer may offer certain close-out equipment or products if it is specifically noted in the Pricing proposal.
4. Delivered and operational. Unless clearly noted in the proposal, equipment and products must be delivered to the Participating Entity as operational.
5. Warranty. All equipment, products, supplies, and services must be covered by a warranty that is the industry standard or better.

#### D. ANTICIPATED CONTRACT TERM

Sourcewell anticipates that the term of any resulting contract(s) will be four years, with an optional one-year extension that may be offered based on the best interests of Sourcewell and its Participating Entities.

#### E. ESTIMATED CONTRACT VALUE AND USAGE

Based on past volume of similar contracts, the estimated annual value of all transactions from contracts resulting from this RFP are anticipated to be USD \$200 Million; therefore, proposers are expected to propose volume pricing. Sourcewell anticipates considerable activity under the contract(s) awarded from this RFP; however, sales and sales volume from any resulting contract are not guaranteed.

#### F. MARKETING PLAN

Proposer's sales force will be the primary source of communication with Participating Entities. The proposer's Marketing Plan should demonstrate proposer's ability to deploy a sales force or dealer network to Participating Entities, as well as proposer's sales and service capabilities. It is expected that proposer will promote and market any contract award.

#### G. ADDITIONAL CONSIDERATIONS

1. Contracts will be awarded to proposers able to best meet the need of Participating Entities. Proposers should submit their complete line of equipment, products, or services that are applicable to the scope of this RFP.
2. Proposers should include all relevant information in its proposal, since Sourcewell cannot consider information that is not included in the proposal. Sourcewell reserves the right to verify proposer's information and may request clarification from a proposer, including samples of the proposed equipment or products.
3. Depending upon the responses received in a given category, Sourcewell may need to organize responses into subcategories in order to provide the broadest coverage of the requested equipment, products, or services to Participating Entities. Awards may be based on a subcategory.

4. A proposer's documented negative past performance with Sourcewell or its Participating Entities occurring under a previously awarded Sourcewell contract may be considered in the evaluation of a proposal.

### **III. PRICING**

#### **A. REQUIREMENTS**

All proposed pricing must be:

1. Either Line-Item Pricing or Percentage Discount from Catalog Pricing, or a combination of these:
  - a. **Line-item Pricing** is pricing based on each individual product or services. Each line must indicate the proposer's published "List Price," as well as the "Contract Price."
  - b. **Percentage Discount from Catalog or Category** is based on a percentage discount from a catalog or list price, defined as a published Manufacturer's Suggested Retail Price (MSRP) for the products or services. Individualized percentage discounts can be applied to any number of defined product groupings. Proposers will be responsible for providing and maintaining current published MSRP with Sourcewell, and this pricing must be included in its proposal and provided throughout the term of any Contract resulting from this RFP.
2. The proposer's not to exceed price. A not to exceed price is the highest price for which equipment, products, or services may be billed to a Participating Entity. However, it is permissible for suppliers to sell at a price that is lower than the contracted price.
3. Stated in U.S. and Canadian dollars (as applicable).
4. Clearly understandable, complete, and fully describe the total cost of acquisition (e.g., the cost of the proposed equipment, products, and services delivered and operational for its intended purpose in the Participating Entity's location).

Proposers should clearly identify any costs that are NOT included in the proposed product or service pricing. This may include items such as installation, set up, mandatory training, or initial inspection. Include identification of any parties that impose such costs and their relationship to the proposer. Additionally, proposers should clearly describe any unique distribution and/or delivery methods or options offered in the proposal.

#### **B. ADMINISTRATIVE FEES**

Proposers are expected to pay to Sourcewell an administrative fee in exchange for Sourcewell facilitating the resulting contracts. The administrative fee is normally calculated as a percentage of the total sales to Participating Entities for all contracted equipment, products, or services made during a calendar quarter, and is typically one percent (1%) to two percent (2%). In some categories, a flat fee may be an acceptable alternative.

#### **IV. CONTRACT**

Proposers awarded a contract will be required to execute a contract with Sourcewell (see attached template). Only those modifications the proposer indicates in its proposal will be available for discussion. Much of the language in the Contract reflects Minnesota legal requirements and cannot be altered. Numerous and/or onerous exceptions that contradict Minnesota law may result in the proposal being disqualified from further review and evaluation.

To request a modification to the template Contract, a proposer must submit the Exceptions to Terms, Conditions, or Specifications table with its proposal. Only those exceptions noted at the time of the proposal submission will be considered.

Exceptions must:

1. Clearly identify the affected article and section.
2. Clearly note the requested modification; and as applicable, provide requested alternative language.

Unclear requests will be automatically denied.

Only those exceptions that have been accepted by Sourcewell will be included in the contract document provided to the awarded supplier for signature.

If a proposer receives a contract award resulting from this solicitation it will have up to 30 days to sign and return the contract. After that time, at Sourcewell's sole discretion, the contract award may be revoked.

#### **V. RFP PROCESS**

##### **A. PRE-PROPOSAL CONFERENCE**

Sourcewell will hold an optional, non-mandatory pre-proposal conference via webcast on the date and time noted in the Solicitation Schedule for this RFP and on the Sourcewell Procurement Portal. The purpose of this conference is to allow potential proposers to ask questions regarding this RFP and Sourcewell's competitive contracting process. Information about the webcast will be sent to all entities that have registered for this solicitation opportunity through their Sourcewell Procurement Portal Supplier Account. Pre-proposal conference attendance is optional.

##### **B. QUESTIONS REGARDING THIS RFP AND ORAL COMMUNICATION**

All questions regarding this RFP must be submitted through the Sourcewell Procurement Portal. The deadline for submission of questions is found in the Solicitation Schedule and on the Sourcewell Procurement Portal. Answers to questions will be issued through an addendum to

this RFP. Repetitive questions will be summarized into a single answer and identifying information will be removed from the submitted questions.

All questions, whether specific to a proposer or generally related to the RFP, must be submitted using this process. Do not contact individual Sourcewell staff to ask questions or request information as this may disqualify the proposer from responding to this RFP. Sourcewell will not respond to questions submitted after the deadline.

### C. ADDENDA

Sourcewell may modify this RFP at any time prior to the proposal due date by issuing an addendum. Addenda issued by Sourcewell become a part of the RFP and will be delivered to potential proposers through the Sourcewell Procurement Portal. Sourcewell accepts no liability in connection with the delivery of any addenda.

Before a proposal will be accepted through the Sourcewell Procurement Portal, all addenda, if any, must be acknowledged by the proposer by checking the box for each addendum. It is the responsibility of the proposer to check for any addenda that may have been issued up to the solicitation due date and time.

If an addendum is issued after a proposer submitted its proposal, the Sourcewell Procurement Portal will WITHDRAW the submission and change the proposer's proposal status to INCOMPLETE. The proposer can view this status change in the "MY BIDS" section of the Sourcewell Procurement Portal Supplier Account. The proposer is solely responsible to check the "MY BIDS" section of the Sourcewell Procurement Portal Supplier Account periodically after submitting its proposal (and up to the Proposal Due Date). If the proposer's proposal status has changed to INCOMPLETE, the proposer is solely responsible to:

- i) make any required adjustments to its proposal;
- ii) acknowledge the addenda; and
- iii) ensure the re-submitted proposal is received through the Sourcewell Procurement Portal no later than the Proposal Due Date and time shown in the Solicitation Schedule above.

### D. PROPOSAL SUBMISSION

Proposer's complete proposal must be submitted through the Sourcewell Procurement Portal no later than the date and time specified in the Solicitation Schedule. Any other form of proposal submission, whether electronic, paper, or otherwise, will not be considered by Sourcewell. **Late proposals will not be considered.** It is the proposer's sole responsibility to ensure that the proposal is received on time.

It is recommended that proposers allow sufficient time to upload the proposal and to resolve any issues that may arise. The time and date that a proposal is received by Sourcewell is solely determined by the Sourcewell Procurement Portal web clock.

In the event of problems with the Sourcewell Procurement Portal, follow the instructions for technical support posted in the portal. It may take up to 24 hours to respond to certain issues.

Upon successful submission of a proposal, the Sourcewell Procurement Portal will automatically generate a confirmation email to the proposer. If the proposer does not receive a confirmation email, contact Sourcewell's support provider at [support@bidsandtenders.ca](mailto:support@bidsandtenders.ca).

To ensure receipt of the latest information and updates via email regarding this solicitation, or if the proposer has obtained this solicitation document from a third party, the onus is on the proposer to create a Sourcewell Procurement Portal Supplier Account and register for this solicitation opportunity.

Within the Sourcewell Procurement Portal, all proposals must be digitally acknowledged by an authorized representative of the proposer attesting that the information contained in the proposal is true and accurate. By submitting a proposal, proposer warrants that the information provided is true, correct, and reliable for purposes of evaluation for potential contract award. The submission of inaccurate, misleading, or false information is grounds for disqualification from a contract award and may subject the proposer to remedies available by law.

#### E. GENERAL PROPOSAL REQUIREMENTS

Proposals must be:

- In substantial compliance with the requirements of this RFP or it will be considered nonresponsive and be rejected.
- Complete. A proposal will be rejected if it is conditional or incomplete.
- Submitted in English.
- Valid and irrevocable for 90 days following the Proposal Due Date.

Any and all costs incurred in responding to this RFP will be borne by the proposer.

#### F. PROPOSAL WITHDRAWAL

Prior to the proposal deadline, a proposer may withdraw its proposal.

#### G. OPENING

The Opening of proposals will be conducted electronically through the Sourcewell Procurement Portal. A list of all proposers will be made publicly available in the Sourcewell Procurement Portal after the Proposal Due Date, but no later than the Opening time listed in the Solicitation Schedule.

To view the list of proposers, verify that the Sourcwell Procurement Portal opportunities list search is set to “All” or “Closed.” The solicitation status will automatically change to “Closed” after the Proposal Due Date and Time.

## **VI. EVALUATION AND AWARD**

### **A. EVALUATION**

It is the intent of Sourcwell to award one or more contracts to responsive and responsible proposers offering the best overall quality, selection of equipment, products, and services, and price that meet the commonly requested specifications of Sourcwell and its Participating Entities. The award(s) will be limited to the number of proposers that Sourcwell determines is necessary to meet the needs of its Participating Entities. Factors to be considered in determining the number of contracts to be awarded in any category may include the following:

- The number of and geographic location of:
  - Proposers necessary to offer a comprehensive selection of equipment, products, or services for Participating Entities’ use.
  - A proposer’s sales and service network to assure availability of product supply and coverage to meet Participating Entities’ anticipated needs.
- Total evaluation scores.
- The attributes of proposers, and their equipment, products, or services, to assist Participating Entities achieve environmental and social requirements, preferences, and goals.

Information submitted as part of a proposal should be as specific as possible when responding to the RFP. Do not assume Sourcwell has any knowledge about a specific supplier or product.

### **B. AWARD(S)**

Award(s) will be made to the proposer(s) whose proposal conforms to all conditions and requirements of the RFP, and consistent with the award criteria defined in this RFP.

Sourcwell may request written clarification of a proposal at any time during the evaluation process.

Proposal evaluation will be based on the following scoring criteria and the Sourcwell Evaluator Scoring Guide (a copy is available in the Sourcwell Procurement Portal):

Conformance to RFP Requirements	50
Financial Viability and Marketplace Success	75
Ability to Sell and Deliver Service	100
Marketing Plan	50
Value Added Attributes	75

Warranty	50
Depth and Breadth of Offered Equipment, Products, or Services	200
Pricing	400
<b>TOTAL POINTS</b>	<b>1000</b>

C. PROTESTS OF AWARDS

Any protest made under this RFP by a proposer must be in writing, addressed to Sourcewell’s Executive Director, and delivered to the Sourcewell office located at 202 12th Street NE, P.O. Box 219, Staples, MN 56479. All documents that comprise the complete protest package must be received no later than 10 calendar days’ following Sourcewell’s notice of contract award(s) or non-award and must be time stamped by Sourcewell no later than 4:30 p.m., Central Time. A protest must allege a procedural, technical, or legal defect, with supporting documentation. A protest that merely requests a re-evaluation of a proposal’s content will not be entertained

A protest must include the following items:

- The name, address, and telephone number of the protester;
- Identification of the solicitation by RFP number;
- A precise statement of the relevant facts;
- Identification of the alleged procedural, technical, or legal defect;
- Analysis of the basis for the protest;
- Any additional supporting documentation;
- The original signature of the protester or its representative; and
- Protest bond in the amount of \$20,000 (except where prohibited by law or treaty).

Protests that do not address these elements will not be reviewed.

D. RIGHTS RESERVED

This RFP does not commit Sourcewell to award any contract, and a proposal may be rejected if it is nonresponsive, conditional, incomplete, conflicting, or misleading. Proposals that contain false statements or do not support an attribute or condition stated by the proposer may be rejected.

Sourcewell reserves the right to:

- Modify or cancel this RFP at any time;
- Reject any and all proposals received;
- Reject proposals that do not comply with the provisions of this RFP;
- Select, for contracts or for discussion, a proposal other than that with the lowest cost;
- Independently verify any information provided in a proposal;
- Disqualify any proposer that does not meet the requirements of this RFP, is debarred or suspended by the United States or Canada, State of Minnesota, Participating Entity’s

state or province; has an officer, or other key personnel, who have been charged with a serious crime; or is bankrupt, insolvent, or where bankruptcy or insolvency are a reasonable prospect;

- Waive or modify any informalities, irregularities, or inconsistencies in the proposals received;
- Clarify any part of a proposal and discuss any aspect of the proposal with any proposer; and negotiate with more than one proposer;
- Award a contract if only one responsive proposal is received if it is in the best interest of Participating Entities; and
- Award a contract to one or more proposers if it is in the best interest of Participating Entities.

#### E. DISPOSITION OF PROPOSALS

All materials submitted in response to this RFP will become property of Sourcewell and will become public record in accordance with Minnesota Statutes Section 13.591, after negotiations are complete. Sourcewell considers that negotiations are complete upon execution of a resulting contract. It is the proposer's responsibility to clearly identify any data submitted that it considers to be protected. Proposer must also include a justification for the classification citing the applicable Minnesota law. Sourcewell may reject proposals that are marked confidential or nonpublic, either substantially or in their entirety.

Sourcewell will not consider the prices submitted by the proposer to be confidential, proprietary, or trade secret materials. Financial information, including financial statements, provided by a proposer is not considered trade secret under the statutory definition.



10/20/2021

Addendum No. 1

Solicitation Number: RFP 113021

Solicitation Name: Firefighting Apparatus and Fire Service Vehicles

Consider the following Question and Answer to be part of the above-titled solicitation documents. The remainder of the documents remain unchanged.

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**Question 1:**

Is the PDF in the zipped file on the bid details page supposed to be editable?

**Answer 1:**

No, the file is not designed for edits by a proposer. Refer to RFP Section IV. – Contract, for additional detail related to the Sourcewell template contract posted to the bid details page for this solicitation.

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End of Addendum

Acknowledgement of this Addendum to RFP 113021 posted to the Sourcewell Procurement Portal on 10/20/2021 is required at the time of proposal submittal.



10/29/2021

Addendum No. 2

Solicitation Number: RFP 113021

Solicitation Name: Firefighting Apparatus and Fire Service Vehicles

Consider the following Question and Answer to be part of the above-titled solicitation documents. The remainder of the documents remain unchanged.

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**Question 1:**

Are we required to have a vehicle dealer license in the state of Minnesota in order to be an awarded supplier?

**Answer 1:**

It is left to the discretion of each proposer to determine the licensures and supporting documentation necessary to best demonstrate their ability to serve Sourcewell and Sourcewell participating entities and to satisfy all requirements included in the RFP, contract template, and the Sourcewell Procurement Portal questionnaire tables.

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End of Addendum

Acknowledgement of this Addendum to RFP 113021 posted to the Sourcewell Procurement Portal on 10/29/2021, is required at the time of proposal submittal.



11/11/2021

Addendum No. 3

Solicitation Number: RFP 113021

Solicitation Name: Firefighting Apparatus and Fire Service Vehicles

Consider the following Question and Answer to be part of the above-titled solicitation documents. The remainder of the documents remain unchanged.

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**Question 1:**

In regard to the administrative fee, what percentage amount is assessed on each opportunity to be paid to Sourcewell? Or is it a one-time fee per acquisition?

**Answer 1:**

Refer to RFP Section III. B. – Administrative Fees, for directions on proposing an administrative fee. It is left to the discretion of each proposer to determine and propose an administrative fee that is consistent with its business and its industry.

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End of Addendum

Acknowledgement of this Addendum to RFP 113021 posted to the Sourcewell Procurement Portal on 11/11/2021, is required at the time of proposal submittal.



11/20/2021

Addendum No. 4

Solicitation Number: RFP 113021

Solicitation Name: Firefighting Apparatus and Fire Service Vehicles

Consider the following Questions and Answers to be part of the above-titled solicitation documents. The remainder of the documents remain unchanged.

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**Question 1:**

The RFP states Sourcewell is seeking proposals for new, remount or refurbished. Is Sourcewell looking for us to acknowledge we offer remount or refurb or are we expected to provide pricing?

**Answer 1:**

In the competitive process, Sourcewell will not advise a proposer on the content of the proposal. It is left to the discretion of each proposer to determine how to best articulate its offering of equipment, products, and services, propose the pricing approach that aligns with its business methods, and satisfy all requirements of the RFP.

**Question 2:**

Line items #1 - 3 of the Portal questionnaire tables address legal entity and subsidiary or assumed names. Clarify whether the subsidiary names will be the brand visible to the participating entity for an awarded supplier.

**Answer 2:**

Determinations related to the identification of an awarded supplier's contract on the Sourcewell website, and in related communications to participating entities, will be made after the competitive process is complete in consultation with an awarded supplier.

**Question 3:**

Section 18 Insurance - Network Security and Privacy Liability Insurance – The entity under this response has had to provide cyber liability what is the significance to this requirement?

**Answer 3:**

Refer to RFP Section IV. – Contract for additional detail related to the Sourcewell template contract. An awarded vendor will be expected to carry the insurance coverages as stated in the contract upon execution. A request for modification to the Sourcewell contract template may only be submitted with a proposal. To request a modification to the template Contract terms, conditions, or specifications, a proposer must complete and submit the Exceptions to Terms, Conditions, or Specifications table, which is found as the final Table of Step 1 in the proposal submission process within the Sourcewell Procurement Portal.

**Question 4:**

Section D Waiver of Subrogation- How does this apply to this RFP?

**Answer 4:**

Refer to Answer 3 above.

**Question 5:**

Is delivery required to be included in pricing or are we able to provide pricing and state that delivery is not included.

**Answer 5:**

Refer to Answer 1 above.

**Question 6:**

Section 2B of the template contract states that Supplier warrants all Equipment, Products and Services. Is it required or is it an option to include service? If service is not included in pricing is additional information necessary?

**Answer 6:**

Refer to Answer 1 above.

**Question 7:**

Template contract section 3. A. - Shipping and Shipping Cost – states that a Supplier must arrange for and pay for the return shipment on equipment and products that arrive in a defective or inoperable condition. Would this incorporate supplier or subcontractor?

**Answer 7:**

In the competitive process, Sourcewell will not advise a proposer on the content of the proposal. It is left to the discretion of each proposer to determine how to best articulate its ability to serve Sourcewell participating entities.

**Question 8:**

Line Item 24 in questionnaire table 5 includes a request for size of transaction? What is this referring to is this a unit quantity?

**Answer 8:**

It is left to the discretion of each proposer to determine the information necessary to best demonstrate their marketplace success and satisfy all the requirements included in the questionnaire tables.

**Question 9:**

Is an Entity/Subsidiary allowed to list a product on Sourcewell product list that is sold and distributed by the Entity/Subsidiary, but is produced by a contract manufacturer?

**Answer 9:**

The Sourcewell RFP is an open and competitive solicitation process. A proposer is allowed to propose the entire line of equipment, products, and services falling within the requested equipment, products, or services of the subject solicitation. Proposals are evaluated based on the criteria stated in the RFP.

**Question 10:**

In Table 11, because of some supplier's difficulties to confirm pricing, can we list a product and confirm the price only after the awarding?

**Answer 10:**

It is left to the discretion of each proposer to determine and propose the pricing approach that aligns with its business methods and satisfies all the requirements of RFP Article III - Pricing. Proposals are evaluated based on the criteria stated in the RFP.

**Question 11:**

If a supplier is successful with this RFP, the contract would be between the supplier and Sourcewell. If the supplier as an independent dealer network, do the Participating Entities have the obligations to contract with the supplier or can they contract directly with the dealer which in turn the dealer contract with the supplier?

**Answer 11:**

Refer to RFP Section II. B. – Requested Equipment, Products, or Services – “... If proposer requires the use of distributors, dealers, resellers, or subcontractors to provide the equipment, products, or services, the proposal must address how the equipment, products or services will be provided to Participating Entities, and describe the network of distributors, dealers, resellers, and/or subcontractors that will be available to serve Participating Entities under a resulting contract.”

**Question 12:**

Does the contract allow a line-item surcharge vs as part of the quote vs having it included in publish base pricing? Surcharges can fluctuate so looking to understand if there will be flexibility with the new contract.

**Answer 12:**

Refer to Answer 10 above.

**Question 13:**

Can a vendor be awarded contracts from different Sourcewell RFPs?

**Answer 13:**

Each Sourcewell opportunity represents a separate and distinct open and competitive solicitation. The outcome of an earlier solicitation, including a contract award, has no bearing on the evaluation of a proposer’s subsequent proposal.

**Question 14:**

In regards to table 2, item 11: If financial statements are provided to Sourcewell for the proposal do those statements in turn become public knowledge?

**Answer 14:**

Refer to RFP Section VI. E. – Disposition of Proposals related to the treatment of materials submitted in response to the RFP.

**Question 15:**

Pricing is very volatile currently with component shortages. Pricing for each model and options offered within our current quoting system could significantly fluctuate by the time this proposal is reviewed. Would offering a percentage off our current quoting MSRP be acceptable with a few examples at the time of this bid?

**Answer 15:**

Refer to Answer 10 above.

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End of Addendum

Acknowledgement of this Addendum to RFP 113021 posted to the Sourcewell Procurement Portal on 11/20/2021, is required at the time of proposal submittal.



## Proposal Opening Record

Date of opening: November 30, 2021

Sourcewell posted Request for Proposal #113021, for the procurement of Firefighting Apparatus and Fire Service Vehicles, on the Sourcewell Procurement Portal [[portal.sourcewell-mn.gov](http://portal.sourcewell-mn.gov)] on Tuesday, October 12, 2021, and the solicitation remained in an open status within the portal until November 30, 2021, at 4:30 pm CT. The RFP required that all proposals be submitted through the Sourcewell Procurement Portal no later than 4:30 pm CT on November 30, 2021, the date and time specified in the Solicitation Schedule.

The undersigned certify that all responses received on Request for Proposal #113021 were submitted through the Sourcewell Procurement Portal, and that each Proposer's response material was digitally sealed upon submission and remained inaccessible until the due date and time specified in the Solicitation Schedule.

Responses were received from the following:

Acres Industries, Inc. - Submitted 11/30/21 at 12:48:29 PM  
Alexis Fire Equipment Company - Submitted 11/30/21 at 4:27:23 PM  
BME Fire Trucks, LLC - Submitted 11/30/21 at 9:37:36 AM  
Brindlee Mountain Fire Apparatus, LLC - Submitted 11/30/21 at 12:59:24 PM  
CAMIONS CARL THIBAUT, INC. - Submitted 11/21/21 at 3:03:05 PM  
CET Fire Pumps MFG - Submitted 11/30/21 at 3:18:40 PM  
Chemical Containers, Inc. - Submitted 11/22/21 at 8:59:01 AM  
Custom Fire Apparatus, Inc. - Submitted 11/29/21 at 4:20:32 PM  
Dependable Truck & Tank, Limited - Submitted 11/30/21 at 1:30:44 PM  
EJ Metals, LLC - Submitted 11/30/21 at 12:29:54 PM  
E-ONE, Inc. - Submitted 11/30/21 at 4:23:32 PM  
FireStopper USA MD - Submitted 11/22/21 at 11:37:18 AM  
Fort Garry Fire Trucks, Ltd. - Submitted 11/29/21 at 11:48:34 AM  
Fouts Brothers, Inc. - Submitted 11/30/21 at 10:27:18 AM  
Hi-Tech Emergency Vehicle Service, Inc. - Submitted 11/30/21 at 3:51:27 PM  
HME, Incorporated - Submitted 11/29/21 at 2:42:09 PM  
HUB Fire Engines & Equipment, Ltd. - Submitted 11/30/21 at 10:50:26 AM  
Laszlo Corporation - Submitted 11/30/21 at 12:55:22 PM  
Marion Body Works, Inc. - Submitted 11/23/21 at 9:03:20 AM  
MAXIMETAL, INC. - Submitted 11/27/21 at 8:17:02 AM  
Metalfab, LTD - Submitted 11/29/21 at 11:44:08 AM  
P.L. Custom Body and Equipment Co., Inc. - Submitted 11/29/21 at 3:15:41 PM  
Pierce Manufacturing - Submitted 11/30/21 at 4:18:31 PM  
Rock River Industries, LLC - Submitted 11/29/21 at 11:40:39 AM  
Rosenbauer South Dakota, LLC - Submitted 11/30/21 at 8:35:18 AM

Skeeter Brush Trucks, LLC - Submitted 11/30/21 at 12:29:14 PM  
Spencer Manufacturing, Inc. - Submitted 11/30/21 at 2:53:05 PM  
Super Vacuum Manufacturing CO., Inc. - Submitted 11/30/21 at 2:46:11 PM  
The Sutphen Corporation - Submitted 11/30/21 at 12:43:06 PM  
Toyne, Inc. - Submitted 11/29/21 at 1:19:30 PM  
Ty Parker & Son, Inc. - Submitted 11/30/21 at 2:14:55 PM  
US Fire Equipment, LLC - Submitted 11/30/21 at 9:12:36 AM  
Ward Apparatus, LLC - Submitted 11/29/21 at 4:19:07 PM

The Proposals were opened electronically, and a list of all Proposers was made publicly available in the Sourcwell Procurement Portal, on November 30, 2021, at 4:32:09 PM CT. All responsive proposals were then submitted for review by the Sourcwell Evaluation Committee.

DocuSigned by:

*James Voelker*

15F6CCFFA61E4A0...

James Voelker, CPCM, CFCM, Procurement Lead Analyst

DocuSigned by:

*Carol Jackson*

6EE63AEDED5F46E...

Carol Jackson, Procurement Analyst



# The New York State Contract Reporter

This document printed  
Monday, 10/11/2021

*NYS' official source of contracting opportunities  
Bringing business and government together*

## Contracting Opportunity

\*\*\* This ad has not been published. It has been reviewed and pending publication. \*\*\*

**Title:** Firefighting Apparatus and Fire Service Vehicles  
**Agency:** Sourcewell  
**Division:** Procurement Department  
**Contract Number:** 113021  
**Contract Term:** 4 years, with potential 1 year extension  
**Date of Issue:** 10/12/2021  
**Due Date/Time:** 11/30/2021 4:30 PM  
Central Time  
**County(ies):** All NYS counties  
**Classification:** Safety & Security - *Commodities*  
**Opportunity Type:** General  
**Entered By:** Chris Robinson  
**Description:** Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://proportal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.  
**Service-Disabled Veteran-Owned Set Aside:** No

## Contact Information

**Primary contact:** Sourcewell  
Procurement Department  
Chris Robinson  
Procurement Manager  
202 12th Street NE  
P.O. Box 219  
Staples, MN 56479  
United States  
Ph: 218-895-4168  
rfp@sourcewell-mn.gov

**Submit to contact:** Sourcewell  
Procurement Department  
Chris Robinson  
Procurement Manager  
202 12th Street NE  
P.O. Box 219  
Staples, MN 56479  
United States  
Ph: 218-895-4168  
rfp@sourcewell-mn.gov

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AFFIDAVIT OF PUBLICATION



# DJCOREGON

11 NE Martin Luther King Jr. Blvd. Suite 201 / Portland, OR 97232-3579  
(503) 226-1311

STATE OF OREGON, COUNTY OF MULTNOMAH--ss.

I, **Nick Bjork**, being first duly sworn, depose and say that I am a **Publisher** of the **Daily Journal of Commerce**, a newspaper of general circulation in the counties of CLACKAMAS, MULTNOMAH, and WASHINGTON as defined by ORS 193.010 and 193.020; published at Portland in the aforesaid County and State; that I know from my personal knowledge that the Goods and Services notice described as

**Case Number: NOT PROVIDED**  
**FIREFIGHTING APPARATUS AND FIRE SERVICE VEHICLES**  
**Sourcewell; Bid Location Staples, MN, Todd County; Due 11/30/2021 at 04:30 AM**

a printed copy of which is hereto annexed, was published in the entire issue of said newspaper for 1 time(s) in the following issues:

10/13/2021

State of Oregon  
County of Multnomah

SIGNED OR ATTESTED BEFORE ME  
ON THE 13th DAY OF October, 2021

Nick Bjork

Notary Public-State of Oregon



**SOURCEWELL**  
**FIREFIGHTING APPARATUS AND**  
**FIRE SERVICE VEHICLES**  
**Proposals due 4:30 pm,**  
**November 30, 2021**  
**REQUEST FOR PROPOSALS**

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://portal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

Published Oct. 13, 2021. 12044537

**Carol Jackson**  
**Sourcewell**  
202 12th St NE  
Staples, MN 56479-2438

Order No.: 12044537  
Client Reference No:

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for **Firefighting Apparatus and Fire Service Vehicles** to result in a contracting solution for use by its Participating Entities.

Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada.

A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal <https://proportal.sourcewell-mn.gov>

Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

OC-000664371-01

STATE OF OKLAHOMA, } SS.  
COUNTY OF OKLAHOMA }

# Affidavit of Publication

Bryan Miller, of lawful age, being first duly sworn, upon oath deposes and says that she/he is the Classified Legal Notice Admin, of GateHouse Media Oklahoma Holdings, Inc, a corporation, which is the publisher of *The Oklahoman* which is a daily newspaper of general circulation in the State of Oklahoma, and which is a daily newspaper published in Oklahoma County and having paid general circulation therein; that said newspaper has been continuously and uninterruptedly published in said county and state for a period of more than one hundred and four consecutive weeks next prior to the first publication of the notice attached hereto, and that said notice was published in the following issues of said newspaper, namely:

SOURCEWELL  
103734

<u>AdNumber</u>	<u>Publication</u>	<u>Page</u>	<u>Date</u>
0000664371-01	OC- The Oklahoman	B10	10/12/2021
0000664371-01	OC- The Oklahoman	B11	10/19/2021

Agent: Bryan Miller

Date: 10/19/2021

Subscribed and sworn to be me before this date : 10/19/2021

Notary: Terri Roberts

Date: 10/19/2021



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**ORDER DETAILS****Order Number:**

IPL0044376

**Order Status:**

Submitted

**Classification:**

Legals &amp; Public Notices

**Package:**

COL - Legal Ads

**Final Cost:**

132.13

**Payment Type:**

Account Billed

**User ID:**

IPL0024154

**PREVIEW FOR AD NUMBER IPL00443760****REQUEST FOR PROPOSALS**

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for **Firefighting Apparatus and Fire Service Vehicles** to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [https://proportal.sourcewell-mn.gov]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

W00000000  
Publication Dates

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**ACCOUNT INFORMATION**

SOURCEWELL IP

PO BOX 219

STAPLES, MN 56479

218-894-1930

carol.jackson@sourcewell-mn.gov

SOURCEWELL

**TRANSACTION REPORT****Date**

October 7, 2021 10:26:08 AM EDT

**Amount:**

132.13

**SCHEDULE FOR AD NUMBER IPL00443760**

October 12, 2021

The State (Columbia)

## NFL WEEK 5 WINNERS AND LOSERS

## Chargers bolting up, Jaguars spiraling

Lorenzo Reyes  
USA TODAY

Week 5 in the NFL was a wild one. Three of the early-window games – not counting the Falcons topping the Jets in the really early window in London – came down to electric finishes. And the Packers, Patriots and Vikings won their games on field goals at or near the end of regulation or in overtime, on a day in which placekickers struggled at historic levels.

We also saw an upstart team with a rookie coach, the Chargers, outlast another powerful AFC opponent in the Browns in what could end up being a potential playoff preview. The same went for a rematch of last year's AFC championship game, though this time it was the Bills who prevailed over the Chiefs in convincing fashion.

Elsewhere, a rough week got tougher for embattled Jaguars coach Urban Meyer, who saw his team drop to 0-5. The Week 5 winners and losers:

## WINNERS

## The (AFC West favorite?) Chargers

In the track meet that was a 47-42 victory, the Chargers outslugged a worthy contender in the AFC, the Browns. Questions about defensive lapses abound for both teams, but that the Chargers had to battle back from a 14-point, third-quarter deficit shows how far Los Angeles (4-1) has come in Brandon Staley's first season as head coach.

First, let's highlight what the Chargers *did* do well on defense. One of the main reasons Los Angeles was able to keep the deficit manageable was because in three of Cleveland's first five trips inside the red zone, it clamped down and forced the Browns to settle for two short field goals and forced one turnover on downs. But quarterback Justin Herbert is quickly becoming one of the young star passers in the entire NFL. Herbert is on a historic pace in his young career, bolstered by his 26 of 43 performance against the Browns for 398 yards with four touchdowns. He also added a rushing score. He could be on a track to insert himself in the MVP conversation. More important, with the Chiefs looking vulnerable – especially on defense – the Chargers could even threaten for the division crown.

## Not only offense in Arizona

A team coached by Kliff Kingsbury always was going to have dynamic playmakers on offense. But the Cardinals have remained the lone undefeated team in the NFL also because of a really talented and efficient defense. Going up against a dynamic athlete and passer in 49ers rookie Trey Lance, who was making his first career start, the Cardinals prevailed 17-10.

Arizona was excellent in high-leverage situations. The Cardinals limited the 49ers to 3 of 11 conversions on third downs, kept the Niners out of the end zone in their only goal-to-go scenario of the game and stopped San Francisco on fourth downs on four out of five tries. According to Elias Sports Bureau, it was the only time in at least the last 40 seasons that a team recorded four fourth-



First-year NFL head coach Urban Meyer's Jaguars fell to 0-5 on Sunday and Jacksonville has lost 20 consecutive games. BOB SELF/THE FLORIDA TIMES-UNION

down stops in a game. The Cardinals will start to have a target on their backs, but having a now-improved defense makes this team that much more dangerous because it won't be incumbent on quarterback Kyler Murray and the Arizona offense to carry the team.

## The losing Lions

Yes, they fell in heartbreak, again. And players and coaches in the NFL almost never want to hear about silver linings. But what rookie coach Dan Campbell is doing in Detroit, getting his team to punch above its weight and nearly pull off two improbable upsets in two weeks, should be admired. The Lions lost to the Vikings 19-17 on a crushing game-winning field goal after Detroit had battled back from a 10-point deficit with a little more than five minutes left to play. This came one week after the Lions lost (also 19-17) when Ravens kicker Justin Tucker set an NFL record with a 66-yard, game-winning field goal.

In both cases, the Lions gave up big passing plays to put their opponents near field goal range. Those are issues the team needs to clean up. This Lions roster is still young and has a lot of holes. Jared Goff is not a long-term answer at quarterback. But Detroit ranks second in third-down defense (27.78%) and has become a high-effort team. That has kept it in these games. If Campbell can keep developing the talent the Lions have and if the Lions improve their roster over the next couple of seasons, Detroit could be a team to watch in the near future.

## LOSERS

## Kickers

The NFL, when it changed its rule in 2015 to push back the extra point, wanted the play to have more drama. In Week 5, the league got its wish. There were 12 missed extra points before Monday's game. Extra points weren't the only kicking struggle. There have also been 12 missed field goals. This shouldn't necessarily be chalked up to any big-picture issues or problematic trend; every now and then, a week comes by

where this just seems to happen. This one, however, was the worst kicking performance since the rule change.

What makes this case interesting, though, is that weather typically plays a factor in poor kicking. This week, games were not affected by inclement weather. In particular, the Packers' 25-22 overtime victory against the Bengals offered a study of kicking comedy. At one point, Mason Crosby of the Packers and Evan McPherson of the Bengals combined for five consecutive missed field goal kicks in a stretch of fewer than eight minutes of game time – and it happened in the fourth quarter and overtime. And, if there were a missed extra point in Monday's game between the Colts and Ravens, it will set a record for the most combined missed extra points across the entire league in a week.

## Urban Meyer

With each passing day, Urban Meyer is showing that the head coaching job of the Jaguars is too big for him. It wasn't so much that the Jaguars lost to the AFC South rival Titans 37-19. It was the effort they showed. Early in the third quarter, with Tennessee holding an 11-point lead, the Jaguars defense did not look interested in tackling Titans running back Derrick Henry on a 9-yard TD rush.

It's no surprise that effort was an issue. This entire week was shrouded in controversy over Meyer's decision to stay in Ohio after a loss to the Bengals, missing the team flight. He was later filmed at a bar, where a woman who was not his wife danced on him. It would be natural for players to question his commitment.

After the incident in Ohio, owner Shad Khan issued a strong statement and said Meyer "must regain our trust and respect." Yet Meyer has repeatedly failed to hold himself accountable and routinely blames others for mistakes, even his players. After Sunday's loss, reporters asked him about a 4th-and-1 call and why Trevor Lawrence didn't keep it on a quarterback sneak. Meyer said Lawrence was "not quite comfortable with that yet" and added "I don't micro-manage who is in the game." Minutes later, Lawrence disagreed and said that while it wasn't something they had done

in a game yet, that he was "comfortable." This team has had bouts of mental mistakes and communications issues, careless penalties, carelessness with the ball. And it all falls on Meyer.

## Brian Flores and Chris Grier

Memories of Miami's surprise 10-win season in coach Brian Flores' second season with the Dolphins feel so long ago. And after the defending Super Bowl champion Buccaneers throttled the Dolphins 45-17, both Flores and general manager Chris Grier have some significant questions to answer. The Tampa Bay roster is better than Miami's. It might be the best in the entire league. But Flores, a coach with a specialty on defense, spent 15 seasons on the Patriots coaching staff, each of those when Tom Brady played in New England. He should have at least a decent idea of how to fluster Brady.

Instead, Brady completed 30 of 41 passes for 411 yards with four TDs. The Bucs failed to convert only three of their 11 third-down attempts. And Tampa Bay gained 558 yards of total offense in what was simply a defensive collapse for Miami (1-4). While Grier has hit on some of his recent draft picks, his inability to fix holes at running back, linebacker, offensive line and quarterback are concerning. Though second-year passer Tua Tagovailoa has been sidelined with broken ribs, Grier will always have to face questions of how Miami passed on Justin Herbert, who has been a revelation for the Chargers and was taken one pick after Tagovailoa was. It's too early for a total cleaning house, but if more performances like this follow, that time may be quickly nearing.

## The Panthers as NFC disruptors

They had a hot start to the season, but after consecutive losses Carolina has regressed to the mean. Granted, last week's defeat came against a powerful Cowboys team and both have been with star running back Christian McCaffrey sidelined. But Carolina (3-2) blew a 12-point lead it held in the second quarter and squandered several chances to extend their lead in a disappointing 21-18 loss against the Eagles.

Carolina's defense held its end of the bargain early in the game. Other than the three first downs the unit gave up on Philadelphia's end-of-half drive in the second quarter, the Panthers had allowed the Eagles to move the chains just twice in their other 10 possessions to start the game. The Panthers defense constantly put the offense in great field position, but Carolina stalled when it got into plus territory. Quarterback Sam Darnold has now thrown five interceptions in the last two weeks after tossing three against the Eagles. Though there were other shortcomings, his inability to move the ball when Philly brought pressure doomed the Panthers. The special teams unit allowing the Eagles to block a punt with four minutes left in the game set up the game-winning touchdown. But don't lose sight of the throw Darnold missed to receiver Robby Anderson on third down. These are the games Carolina needs to win to be a contender in the conference. This loss shows the Panthers still have some work to do to get there.

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**Opportunity Notice**  
**Firefighting Apparatus and Fire Service Vehicles**

Category: Goods

This opportunity is now closed.

**Opportunity Information**

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**Organization:** Canoe Procurement Group of Canada (RMA)

**Organization Address:**

**Reference Number:** AB-2021-05980

**Solicitation Number:** AB-2021-05980

**Solicitation Type:** Request for Proposal

**Posting (MM/dd/yyyy):** 10/12/2021  
03:00:00 PM Alberta Time

**Closing (MM/dd/yyyy):** 11/30/2021  
03:30:00 PM Alberta Time

**Last Update (MM/dd/yyyy):** 10/12/2021  
02:45:11 PM Alberta Time

**Agreement Type:** NWPTA/TILMA & CFTA & CETA & TCA

**Region of Opportunity:** Open

**Region of Delivery:** Alberta

**Opportunity Type:** Open & Competitive

**Commodity Codes:**  
 N4210PF: Truck, Fire, Crash, Rapid Intervention Vehicle, Medium Size (RIV)(B)  
 5169M: Fire Suppression/Extinguishing Systems - Dry Chemical  
 N4210Y: Firefighting Equipment, Armoured Fighting Vehicle, S.M.P.  
 N4210PD: Truck, Firefighting  
 N4210PC: Truck, Fire, Crash  
 N4210PE: Truck, Fire, Crash, Rapid Intervention Vehicle, Commercial (RIV)(C)  
 N4210P: Firefighting Equipment - Complete Fire Trucks and Trailers Only  
 N4210B: Ground Fire Fighting Equipment  
 N4210X: Firefighting Equipment (Marine)  
 N4210PB: Trailer, Fire Pumper  
 N4210PA: Tracked Vehicle, Firefighting  
 N4210: Fire Fighting Equipment  
 N4210K: Pumping Equipment, Portable (Firefighting, Ground)  
 N4210G: Extinguisher, Fire (Aircraft Type)  
 N4210W: Firefighting Equipment, Special, Customer or Systems Engineered Products

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Click [here](#) to return to the Sourcewell Procurement Portal home page.

**Bid Details**

<p><b>Bid Classification:</b></p> <p><b>Bid Type:</b></p> <p><b>Bid Number:</b></p> <p><b>Bid Name:</b></p> <p><b>Bid Status:</b></p> <p><b>Bid Closing Date:</b></p> <p><b>Question Deadline:</b></p> <p><b>Time-frame for delivery or the duration of the contract:</b></p> <p><b>Negotiation Type:</b></p> <p><b>Condition for Participation:</b></p> <p><b>Electronic Auctions:</b></p> <p><b>Language for Bid Submissions:</b></p> <p><b>Submission Type:</b></p> <p><b>Submission Address:</b></p> <p><b>Public Opening:</b></p> <p><b>Description:</b></p>	<p>Goods</p> <p>RFP - General</p> <p>RFP 113021</p> <p>Firefighting Apparatus and Fire Service Vehicles</p> <p><b>Closed</b></p> <p>Tue Nov 30, 2021 4:30:00 PM (CST)</p> <p>Fri Nov 19, 2021 4:30:00 PM (CST)</p> <p>Refer to project document</p> <p>Refer to project document</p> <p>Refer to project document</p> <p>Not Applicable</p> <p>English unless specified in the bid document</p> <p>Online Submissions Only</p> <p>Online Submissions Only</p> <p>No</p> <p>Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for <b>Firefighting Apparatus and Fire Service Vehicles</b> to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<a href="https://proportal.sourcewell-mn.gov">https://proportal.sourcewell-mn.gov</a>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than <b>November 30, 2021, at 4:30 p.m. Central Time</b>, and late proposals will not be considered.</p>
<p><b>Bid Document Access:</b></p>	<p>Bid Opportunity notices and awards and a free preview of the bid documents is available on this site free of charge without registration. Please note, some documents may be secured and you will be required to register for the bid to download and view the documents. There is no cost to obtain an unsecured version of the document and /or to participate in this solicitation.</p>
<p><b>Categories:</b></p>	<p><a href="#">Show Categories [+]</a></p>

Register for this Bid
 Download Bid Documents

## Meeting Locations

The following are the meeting times and locations for the opportunity:

Meeting Location	Description	Date / Time	Mandatory Me...	Meeting Document
Online Pre-Proposal Conference	Login instructions will be posted to the "Documents" section and available to registered suppliers two business days prior to the web conference.	Tuesday November 2, 2021 10:00 AM (CDT)	No	

**Documents**

File Name	Pages	
<b>RFP_113021_Fire_Apparatus</b> Monday October 11, 2021 07:43 AM	13	Download
<b>RFP_113021_Fire_Apparatus_Contract_Template</b> Monday October 11, 2021 07:43 AM	18	Download
<b>RFP_113021_Fire_Apparatus_Pre-Proposal_Login_Instructions</b> Friday October 29, 2021 08:48 AM	1	Download
<b>RFP_113021_Fire_Apparatus_Pre-Proposal_Login_Instructions_Updated_111221</b> Friday November 12, 2021 02:49 PM	--	Download



**Bid RFP #113021 - Firefighting Apparatus and Fire Service Vehicles**

[Switch to Vendor View]

**Bid Type** RFP  
**Bid Number** 113021  
**Title** Firefighting Apparatus and Fire Service Vehicles  
**Start Date** Oct 12, 2021 8:29:59 AM CDT  
**End Date** Nov 30, 2021 4:30:00 PM CST  
**Agency** Sourcewell  
**Bid Contact** Chris Robinson  
 (218) 895-4168  
 rfp@sourcewell-mn.gov  
 202 12th Street NE  
 P.O. Box 219  
 Staples, MN 56479-0219

**Access Reports**  
 View reports on who has been notified of the bid or accessed it.  
[\[Notification report\]](#) [\[Access report\]](#)

**Questions**  
 0 Questions  
 0 Unanswered  
[\[View/Ask Questions\]](#)

**Edit Bid**  
[\[Create Addendum\]](#)

**Description**  
 Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://portal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

**Pre-Bid Conference**  
**Date** Nov 2, 2021 10:00:00 AM CDT  
**Location** Online Conference  
**Notes** Login information will be emailed two business days prior to the event.

**Documents**  
 No Documents for this bid



Solicitations *Firefighting Apparatus and Fire Service Vehicles* has been created.

View Edit Delete Revisions

OPEN

### Firefighting Apparatus and Fire Service Vehicles

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [https://proportal.sourcewell-mn.gov]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

#### Important Dates

Pre-Proposal Conference:  
**November 2, 2021 at 10:00 am CDT**

Proposals Due:  
**November 30, 2021 at 4:30 pm CST**

To obtain a copy of the complete RFP, ask questions related to the RFP, or submit a proposal, please use the link below.

[Sourcewell Procurement Portal](#)



## Bidding

Type 1 Bid Notice Only

## Publish /Verify Contents

Save as Template

## Solicitation Setting

- ✓ Invite Bidders No
- ✓ Evaluate Response online No
- ✓ Internal Approval No
- ✓ Enable Collaboration with other Users No

## Solicitation Details

## Mandatory Information

Solicitation Type	RFP	Solicitation Number	113021
Solicitation Name	Firefighting Apparatus and Fire Service Vehicles	Procurement Type	Goods , Services
Country & Province/State	Canada / Ontario	Published By	Sourcewell
Accept Questions	Not Applicable		

## Internal Information (For Internal Use Only)

Procurement Title/Project Name	113021 Firefighting Apparatus and Fire Service Vehicles
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## Advertisement

## Basic Settings

Solicitation Type	Open to all suppliers	Estimated Contract Amount	\$800,000,000.00
Publish Date	10/12/2021	Closing Date & Time	11/30/2021 16:30:00 CT
Publish Option		Value Range for this Solicitation	10,000,001 over

## Selected Categories

## Automotive/ Industrial

**Industrial Vehicles/ Equipment** Fire trucks, Ambulances, Transport Trucks, Garbage Trucks, Buses (school and commercial), Utility Trucks, Ride-on Lawn Mowers, decontamination trailers, streetcars, ice-resurfacers, office trailers, trains etc



## Solicitation Overview



## Firefighting Apparatus and Fire Service Vehicles

113021

Closing Date: 11/30/2021 04:30:00 PM CT

## Detail:

Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://portal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

## Notice

### Basic Information

**Estimated Contract Value (CAD)** \$800,000,000.00 (Not shown to suppliers)  
**Reference Number** 0000210048  
**Issuing Organization** Sourcewell  
**Owner Organization**  
**Solicitation Type** RFP - Request for Proposal (Formal)  
**Solicitation Number** 113021  
**Title** Firefighting Apparatus and Fire Service Vehicles  
**Source ID** PP.CO.USA.868485

### Details

**Location** All of Canada, All of Canada  
**Purchase Type** Duration:4 years  
**Description** Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://proportal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.

### Dates

**Publication** Manual publication  
**Question Acceptance Deadline** 2021/11/19 05:30:00 PM EST  
**Questions are submitted online** No  
**Bid Intent** Not Available  
**Closing Date** 2021/11/30 05:30:00 PM EST

**Prebid Conference** 2021/11/02 11:00:00 AM EDT

### Contact Information

Procurement Department  
 218-894-1930  
[rfp@sourcewell-mn.gov](mailto:rfp@sourcewell-mn.gov)

### Pre-Bidding Events

**Event Type** Prebid Conference  
**Attendance** Recommended  
**Event date** 2021/11/02 11:00:00 AM EDT  
**Location** Online Conference  
**Event Note** Login information will be emailed two business days prior to the event.

### Bid Submission Process

**Bid Submission Type** Electronic Bid Submission  
**Pricing** In attached document  
**Pricing** In attached document  
**Bid Documents List**

Item Name	Description	Mandatory
Bid Documents	Documents defining the proposal	Yes

## Categories

### Selected Categories

GSIN Categories (8)	
G	<b>Goods</b> Goods
N42	<b>Firefighting, Rescue, And Safety Equipment</b> Firefighting, Rescue, And Safety Equipment
N4210P	<b>FIREFIGHTING EQUIPMENT - COMPLETE FIRE TRUCKS AND TRAILERS ONLY</b> FIREFIGHTING EQUIPMENT - COMPLETE FIRE TRUCKS AND TRAILERS ONLY
N4210K	<b>PUMPING EQUIPMENT, PORTABLE (FIREFIGHTING, GROUND)</b> PUMPING EQUIPMENT, PORTABLE (FIREFIGHTING, GROUND)
N42	<b>Fire Fighting Equipment</b> Fire Fighting Equipment
N4210	<b>FIRE FIGHTING EQUIPMENT</b> FIRE FIGHTING EQUIPMENT
N4210W	<b>FIREFIGHTING EQUIPMENT, SPECIAL, CUSTOMER OR SYSTEMS ENGINEERED PRODUCTS</b> FIREFIGHTING EQUIPMENT, SPECIAL, CUSTOMER OR SYSTEMS ENGINEERED PRODUCTS
N4210B	<b>GROUND FIRE FIGHTING EQUIPMENT</b> GROUND FIRE FIGHTING EQUIPMENT
N4200	<b>FIREFIGHTING, RESCUE AND SAFETY EQUIPMENT</b> Firefighting, rescue and safety equipment
N4210PD	<b>TRUCK, FIREFIGHTING</b> TRUCK, FIREFIGHTING
MERX Category (1)	
G	<b>Goods</b> Goods
G14	<b>Fire Fighting, Security and Safety Equipment</b> Fire Fighting, Security and Safety Equipment
UNSPSC Category (1)	
25000000	<b>Commercial and Military and Private Vehicles and their Accessories and Components</b>
25100000	<b>Motor vehicles</b>
25101700	<b>Safety and rescue vehicles</b>



**COMMENT AND REVIEW**  
to the  
REQUEST FOR PROPOSAL (RFP) #113021  
Entitled  
**Firefighting Apparatus and Fire Service Vehicles**

The following advertisement was placed October 12, 2021 in *USA Today*, in South Carolina's *The State*, in *The Oklahoman* and on the Sourcewell website [www.sourcewell-mn.gov](http://www.sourcewell-mn.gov), Sourcewell Procurement Portal <https://proportal.sourcewell-mn.gov>, Biddingo, Merx, The New York State Contract Reporter [www.nyscr.ny.gov](http://www.nyscr.ny.gov), PublicPurchase.com, and October 13, 2021 in Oregon's *Daily Journal of Commerce*:

*Sourcewell, a State of Minnesota local government unit and service cooperative, is requesting proposals for Firefighting Apparatus and Fire Service Vehicles to result in a contracting solution for use by its Participating Entities. Sourcewell Participating Entities include thousands of governmental, higher education, K-12 education, nonprofit, tribal government, and other public agencies located in the United States and Canada. A full copy of the Request for Proposals can be found on the Sourcewell Procurement Portal [<https://proportal.sourcewell-mn.gov>]. Only proposals submitted through the Sourcewell Procurement Portal will be considered. Proposals are due no later than November 30, 2021, at 4:30 p.m. Central Time, and late proposals will not be considered.*

The solicitation process was conducted through the Sourcewell Procurement Portal. The following parties expressed interest in the solicitation by registering for this opportunity within the portal:

10-8 Emergency Vehicle Service	Kovatch Mobile Equipment Corp.
11193422 Canada, INC.	Kyrish Government Group
Acres Industries, Inc.	Laszlo Corporation
Alexis Fire Equipment Company	Marion Body Works, Inc.
Allied Restoration	MAXIMETAL INC.
Blanchat Mfg., Inc.	Metalfab, LTD
BME Fire Trucks, LLC	MSA Safety Sales, LLC
Brindlee Mountain Fire Apparatus, LLC	North America Fire Equipment Co., Inc.
CAMIONS CARL THIBAUT, INC.	O'RIELLY CHEVROLET, INC.
Carrier Truck Centers	Oshkosh Airport Products, LLC
CET Fire Pumps MFG	P.L. Custom Body and Equipment Co., Inc.
Chemical Containers, Inc.	PECS Electromechanical
Commercial Emergency Equipment	Pierce Manufacturing (Oshkosh Corporation)
Cooperative Services, LLC	Rock River Industries, LLC

Custom Fire Apparatus, Inc.	Rosenbauer South Dakota, LLC
D-Lux Screen Printing	Sea Hawk
Danko Emergency Equipment	Servco Pacific, Inc.
Dependable Truck & Tank, Limited	Skeeter Brush Trucks, LLC
Draeger Safety Canada, Ltd.	Southern Fire Apparatus, LLC
E-ONE, Inc. (REV Group, Inc.) <small>Due to clerical error, amended to read REV Group, Inc. on June 1, 2023</small>	Spartan Fire, LLC
Ed M. Feld Equipment Co., Inc. DBA Feld Fire	Spencer Manufacturing, Inc.
EJ Metals, LLC	SPI Health and Safety, Inc.
Ferrara Fire Apparatus, Inc.	Stainless and Repair, Inc.
Fire & Safety Services, Ltd.	Sub Aquatics, Inc.
FIRE AND MARINE, INC.	Super Vacuum Manufacturing CO., Inc.
FireStopper USA MD	System One Manufacturing, Inc.
First Priority Emergency Vehicles, Inc.	The Sutphen Corporation
Fisher Tech Services, LLC	Tipton Ford
Fort Garry Fire Trucks, Ltd.	Toyne, Inc.
Four Guys Stainless Tank & Equipment, Inc.	Ty Parker & Son, Inc.
Fouts Brothers, Inc.	Unifire, Inc.
Hi-Tech Emergency Vehicle Service, Inc.	US Fire Equipment, LLC
HME, Incorporated	Ward Apparatus, LLC
Holland Pump Company	Warren Anderson Ford dba Fritts Ford
HUB Fire Engines & Equipment, Ltd.	Watts Manufacturing, LLC
HydeInfoTech	WBM GP., INC.
Intercontinental Truck Body, Ltd.	Westvac Industrial, Ltd.

All Proposals remained sealed within the Sourcewell Procurement Portal until the scheduled due date and time. Proposals were electronically opened, and the list of all Proposers was made publicly available on the Sourcewell Procurement Portal, on November 30, 2021, at 4:32:09 pm CT. Proposals were received from the following:

Acres Industries, Inc.  
 Alexis Fire Equipment Company  
 BME Fire Trucks, LLC  
 Brindlee Mountain Fire Apparatus, LLC  
 CAMIONS CARL THIBAUT, INC.  
 CET Fire Pumps MFG  
 Chemical Containers, Inc.  
 Custom Fire Apparatus, Inc.  
 Dependable Truck & Tank, Limited  
 EJ Metals, LLC  
 FireStopper USA MD  
 Fort Garry Fire Trucks, Ltd.  
 Fouts Brothers, Inc.  
 Hi-Tech Emergency Vehicle Service, Inc.  
 HME, Inc.  
 HUB Fire Engines & Equipment, Ltd.  
 Laszlo Corporation  
 Marion Body Works, Inc.

MAXIMETAL INC.  
Metalfab, LTD  
Oshkosh Corporation (Pierce Manufacturing)  
P.L. Custom Body and Equipment Co., Inc.  
REV Group, Inc. (~~E-One, Inc.~~)  
Rock River Industries, LLC  
Rosenbauer America, LLC (Rosenbauer South Dakota, LLC)  
Skeeter Brush Trucks, LLC  
Spencer Manufacturing, Inc.  
Super Vacuum Manufacturing Co., Inc.  
The Sutphen Corporation  
Toyne, Inc.  
Ty Parker & Son, Inc.  
US Fire Equipment, LLC  
Ward Apparatus, LLC

Proposals were reviewed by the Proposal Evaluation Committee:

Kim Austin, MBA, CPPB, Procurement Lead Analyst  
Stephanie Haataja, CPIM, Procurement Analyst  
Craig West, Procurement Analyst  
Tom Sharbonno, Procurement Analyst

**The findings of the Proposal Evaluation Committee are summarized as follows:**

The Proposal Evaluation Committee applied the Sourcewell RFP evaluation criteria and determined that all proposal responses met the scope and mandatory submittal requirements and were evaluated.

Custom Fire Apparatus, Inc., provides a wide variety of fire service vehicles including initial attack/wildland, pumpers, rescue, and mobile water supply/pumper tender firefighting apparatus. They will provide sales and service in the United States and Canada. Their price list includes many common options when customizing base model vehicles. Custom Fire Apparatus, Inc. is offering Sourcewell participating entities a solid discount off list pricing.

HME, Inc., manufactures aerials, pumpers, tankers, and wildland firefighting apparatus. Their sales and dealer network are available to Sourcewell participating entities throughout the United States and Canada. Dealers are available for 24-hour emergency service and mobile repair. HME is offering a considerable discount off MSRP. Pre-pay and quantity discounts are also available.

MAXIMETAL Inc. offers a product line that includes pumpers, tankers, rescues, command vehicles, and wildland firefighting apparatus. Their sales representatives and dealer network can provide sales and service to all Sourcewell participating entities in the United States and Canada. They are able to offer 24–48-hour critical parts delivery to their customers. MAXIMETAL is offering a competitive discount off list pricing and an additional volume discount for two or more identical units on a single purchase order.

Oshkosh Corporation is offering a wide variety of products including custom and commercial pumper products, aerial products, and emergency response vehicles. They will serve Sourcewell participating entities in the United States and Canada through their regional sales and service model. Oshkosh Corporation is providing a combination

of line item, percentage, multi-unit, pre-pay and progress payment discounts (as applicable) on their fire apparatus offering.

P.L. Custom Body and Equipment Co., Inc., manufactures custom extreme duty rescue trucks, command centers, hazmat, and other specialty vehicles. Numerous chassis platforms are available to meet varying needs and specifications. They have a sizeable sales force and dealer network throughout the United States. P.L. Custom is a woman-owned entity (WMBE). They proposed a strong discount to Sourcewell participating entities.

REV Group, Inc., is a manufacturer and service provider of fire apparatus that includes pumpers, rescues, tankers, aerials, hazmat, command vehicles, ARFF units, Spartan Chassis, and wildland fire service vehicles. Their sales representatives and robust dealer network offers sales and service to all Sourcewell participating entities in the United States and Canada. They offer leasing, trade-ins, pre-pay discounts and multiple unit discounts. REV Group offers a sizeable discount off their list pricing.

Rock River Industries, LLC, is offering a full line of all-poly tankers, pumpers, tactical tenders, brush trucks, and quick attack vehicles under the Midwest Fire brand name. Midwest Fire has a sales staff able to serve participating entities in the United States and Canada through a direct sales strategy. They provide no cost training on new apparatus during the delivery process and equipment pricing reflects a considerable discount off list.

Rosenbauer America LLC is a manufacturer of aerials, pumpers, tankers, rescue vehicles, wildland, ARFF, and a fully electric fire truck. Rosenbauer also manufactures their own custom chassis, the Commander, Warrior and Avenger. Their sales force, consisting of 32 dealers in the United States and Canada, is prepared to serve Sourcewell participating entities. Rosenbauer is providing competitive discounts from MSRP.

The Sutphen Corporation is a manufacturer of custom fire apparatus. Their product line includes a variety of specialty fire apparatus including industrial pumpers, heavy rescues, and hazmat units. Sutphen's offering also includes aerial devices constructed solely of aluminum which reduces weight and improves handling. Their large dealer network spans the United States and Canada. Sutphen Corporation is offering participating entities a notable discount from MSRP.

For these reasons, the Sourcewell Proposal Evaluation Committee recommends award of Sourcewell Contract #113021 to:

Custom Fire Apparatus, Inc.	#113021-CSM
HME, Inc.	#113021-HME
MAXIMETAL Inc.	#113021-MAX
Oshkosh Corporation	#113021-PMI
P.L. Custom Body and Equipment Co., Inc.	#113021-PLC
Rev Group (E-One, Inc.)	#113021-EON <b>RVG</b>
Rock River Industries	#113021-RCK
Rosenbauer America LLC	#113021-RSD
The Sutphen Corporation	#113021-SUT

The preceding recommendations were approved on February 7, 2022.

DocuSigned by:  
*Kim Austin*  
6830543C58384D1...

Kim Austin, MBA, CPPB, Procurement Lead Analyst

DocuSigned by:  
*Steff Haataja*  
755BA3F379B5409...

Stephanie Haataja, CPIM, Procurement Analyst

DocuSigned by:  
*Craig West*  
7F41572C858B4BA...

Craig West, Procurement Analyst

DocuSigned by:  
*Tom Sharbonno*  
D12CB58EFE9146E...

Tom Sharbonno, Procurement Analyst

**STATEMENT OF COMPLIANCE**

As Chief Procurement Officer for Sourcewell, I have reviewed the recommendation of the Evaluation Committee and the accompanying support materials documenting the process followed for **RFP #113021 for Firefighting Apparatus and Fire Service Vehicles**.

The committee accepted, deemed responsive, evaluated, and recommended proposals for award. Under authority granted to the Chief Procurement Officer in Sourcewell’s bylaws, the recommendations set forth above are approved.

I hereby certify:

1. Sourcewell is a government agency, created and authorized by Minnesota law to provide cooperative procurement contracts.
2. The procurement process and resulting contracts have been awarded in compliance with the laws of the State of Minnesota (Minnesota Statutes Chapter 471 and Minnesota Statutes Section 123A.21), and in conformity to Sourcewell’s Procurement Policy.

DocuSigned by:  
*Jeremy Schwartz*  
C0FD2A139D06489...

Jeremy Schwartz, CSSBB, CPPO  
Chief Procurement Officer



\*1762 S. Sycamore Ave., Rialto, CA 92376 • 14562 Manzanita Drive, Fontana, CA 92335\*

Rialto Office No.: (909) 879-7354 • Fontana Office No.: 800-328-1033 • Website: FASService.Org

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August 30, 2023

City of Corona Fire Department  
735 Public Safety Way  
Corona, CA 02879

Captain Detmer:

KME Fire Apparatus is pleased to provide you with this proposal for one (1) KME Type 3 apparatus per the attached specification. This proposal is offered as a cooperative purchasing agreement per Sourcewell Contract #113021-RVG-3. The price, terms and conditions for the proposed apparatus are as follows:

Type 3 Base Price (includes 5% Sourcewell Discount):	\$657,500.00
California Sales Tax @8.75%:	\$57,531.25
<b>Total Price:</b>	<b>\$715,031.25</b>

This price is valid until October 31, 2023.

**100% Prepayment Discount: -\$20,100.00**

**Contingency: \$18,000 INCLUDED in bid price**

**Factory Trips: Two (2) factory trips for 3 Corona personnel included.**

Terms: Net 30 days

Delivery: 220 days after receipt of chassis. Chassis expected 12-15 months from order based on allocated chassis to KME.

Radio installation is included in this proposal.

If you have any questions pertaining to this proposal, please contact me at (909) 917-4339 or [brandonfasfire@gmail.com](mailto:brandonfasfire@gmail.com).

Respectfully,

*Brandon Gomez* - California Sales



**Prepared For:**

E-ONE; Corona, CA - Rev. 8/15  
BID GROUP DEPT EMERGENCY ONE  
1601 SW 37th Ave.  
Ocala, FL 34474-2829  
(352)237 - 1122  
Reference ID: HV507 4x4 4-DR

**Presented By:**

CUMBERLAND INTERNATIONAL TRUCKS, FL  
Sally Wilson  
5221 WEST HIGHWAY 40  
OCALA FL 34482 -  
(352)732-8151

Thank you for the opportunity to provide you with the following quotation on a new International truck. I am sure the following detailed specification will meet your operational requirements, and I look forward to serving your business needs.

**Model Profile  
2025 HV507 SFA (HV507)**

**AXLE CONFIG:** 4X4  
**APPLICATION:** Tank (Emergency)  
**MISSION:** Requested GVWR: 35000. Calc. GVWR: 35000. Calc. GCWR: 80000  
Calc. Start / Grade Ability: 30.94% / 4.39% @ 55 MPH  
Calc. Geared Speed: 73.3 MPH

**DIMENSION:** Wheelbase: 183.00, CA: 64.10, Axle to Frame: 51.00  
**ENGINE, DIESEL:** {Cummins L9 360} EPA 2024, 360HP @ 2200 RPM, 1150 lb-ft Torque @ 1200 RPM, 2200 RPM  
Governed Speed, 359 Peak HP (Max)  
**TRANSMISSION, AUTOMATIC:** {Allison 3000 EVS} 6th Generation Controls, Close Ratio, 5-Speed with Overdrive, with PTO  
Provision, Less Retarder, Includes Oil Level Sensor, Max, GVW N/A  
**CLUTCH:** Omit Item (Clutch & Control)  
**AXLE, FRONT DRIVING:** {Meritor MX-12-120 EVO} Single Reduction, 12,000-lb Capacity, with Hub Piloted Wheel Mounting  
**AXLE, REAR, SINGLE:** {Meritor RS-23-160} Single Reduction, 23,000-lb Capacity, 200 Wheel Ends Gear Ratio: 4.89  
**CAB:** Conventional 6-Man Crew Cab  
**TIRE, FRONT:** (2) 11R22.5 Load Range H HDR2+ (CONTINENTAL), 491 rev/mile, 75 MPH, Drive  
**TIRE, REAR:** (4) 11R22.5 Load Range H HDR2+ (CONTINENTAL), 491 rev/mile, 75 MPH, Drive  
**SUSPENSION, REAR, SINGLE:** 23,500-lb Capacity, Vari-Rate Springs  
**FRAME REINFORCEMENT:** Full Outer C-Channel, Heat Treated Alloy Steel (120,000 PSI Yield), 10.813" x 3.892" x  
0.312" (274.6mm x 98.8mm x 7.9mm), 480.0" (12192mm) OAL  
**PAINT:** Cab schematic 21GWK  
Location 1: 2303, Red (Std)  
Location 2: 9219, Winter White (Std)  
Chassis schematic 946WK  
Frame: 2303, Red (Std)  
Wheel: 2303, Red (Std)

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
HV50700	Base Chassis, Model HV507 SFA with 183.00 Wheelbase, 64.10 CA, and 51.00 Axle to Frame.	5783/3857	9640
1ANB	AXLE CONFIGURATION {Navistar} 4x4  <u>Notes</u> : Pricing may change if axle configuration is changed.	184/-107	77
1CBU	FRAME RAILS Heat Treated Alloy Steel (120,000 PSI Yield); 10.125" x 3.580" x 0.312" (257.2mm x 90.9mm x 8.0mm); 480.0" (12192) Maximum OAL	36/88	124
1GBP	FRAME REINFORCEMENT Full Outer C-Channel, Heat Treated Alloy Steel (120,000 PSI Yield), 10.813" x 3.892" x 0.312" (274.6mm x 98.8mm x 7.9mm), 480.0" (12192mm) OAL	375/467	842
1MBP	BUMPER, FRONT Swept Back 15-Degrees, Steel, for use with Front Frame Extensions, Heavy Duty	5/0	5
1MEJ	FRAME DIMPLE Dimple on Left and Right Top Flange of Frame Rail to Reference Rear Axle Centerline	0/0	0
1WDT	FRAME EXTENSION, FRONT Integral; 20" In Front of Grille, with Outer C-Channel Reinforcement	163/-17	146
1WGG	WHEELBASE RANGE 181" (460cm) Through and Including 205" (520cm)	183/-183	0
2GAB	AXLE, FRONT DRIVING {Meritor MX-12-120 EVO} Single Reduction, 12,000-lb Capacity, with Hub Piloted Wheel Mounting  <u>Notes</u> : Axle Lead Time is 90 Days	737/0	737
2WLC	AXLE, FRONT DRIVING, LUBE {EmGard FE-75W-90} Synthetic Oil; 1 thru 29.99 Pints	0/0	0
3ADC	SUSPENSION, FRONT, SPRING Parabolic Taper Leaf, Shackle Type, 12,000-lb Capacity, with Shock Absorbers	0/0	0
4091	BRAKE SYSTEM, AIR Dual System for Straight Truck Applications  <u>Includes</u> : BRAKE LINES Color and Size Coded Nylon : DRAIN VALVE Twist-Type : GAUGE, AIR PRESSURE (2) Air 1 and Air 2 Gauges; Located in Instrument Cluster : PARKING BRAKE CONTROL Yellow Knob, Located on Instrument Panel : PARKING BRAKE VALVE For Truck : QUICK RELEASE VALVE On Rear Axle for Spring Brake Release: 1 for 4x2, 2 for 6x4 : SPRING BRAKE MODULATOR VALVE R-7 for 4x2, SR-7 with relay valve for 6x4/8x6	0/0	0
4AZA	AIR BRAKE ABS {Bendix AntiLock Brake System} 4-Channel (4 Sensor/4 Modulator) Full Vehicle Wheel Control System	0/0	0
4EBT	AIR DRYER {Bendix AD-IP} with Heater	11/16	27
4ERD	BRAKE CHAMBERS, POSITION Rotated Forward and Up For Maximum Ground Clearance with 4x4	0/0	0
4ETD	BRAKE CHAMBERS, FRONT AXLE {MGM} 20 Sqli	-1/0	-1
4EVH	BRAKE CHAMBERS, REAR AXLE {MGM TR3030LP3TSHD} 30/30 Sqli Spring Brake	0/9	9

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
4GBM	BRAKE, PARKING Manual Push-Pull Pneumatic Parking Brake	1/0	1
4LAA	SLACK ADJUSTERS, FRONT {Haldex} Automatic	14/0	14
4LGA	SLACK ADJUSTERS, REAR {Haldex} Automatic	0/16	16
4SPA	AIR COMPRESSOR {Cummins} 18.7 CFM	0/0	0
4VGG	AIR DRYER LOCATION Mounted Inside Left Rail, Behind Transfer Case Mounting	4/6	10
4VKJ	AIR TANK LOCATION (2) Mounted Under Battery Box, Outside Left Rail, Back of Cab, Perpendicular to Rail	-17/25	8
4WBX	DUST SHIELDS, FRONT BRAKE for Air Cam Brakes	10/0	10
4WDA	DRAIN VALVE (3) Petcocks, for Air Tanks	0/0	0
4WDM	DUST SHIELDS, REAR BRAKE for Air Cam Brakes	0/10	10
4XDP	BRAKES, FRONT {Meritor 16.5X5 Q-PLUS CAST} Air S-Cam Type, Cast Spider, Fabricated Shoe, Double Anchor Pin, Size 16.5" X 5", 14,700-lb Capacity	-23/0	-23
4XDR	BRAKES, REAR {Meritor 16.5X7 Q-PLUS CAST} Air S-Cam Type, Cast Spider, Fabricated Shoe, Double Anchor Pin, Size 16.5" X 7", 23,000-lb Capacity per Axle	0/52	52
5710	STEERING COLUMN Tilting and Telescoping	16/3	19
5CAW	STEERING WHEEL 4-Spoke; 18" Dia., Black	0/0	0
5PSA	STEERING GEAR {Sheppard M100} Power	0/0	0
6DHK	DRIVELINE SYSTEM {Dana Spicer} SPL170 Main Driveline, 1710 Driveline to Transfer Case, SPL140 Driveline to Front Axle, for 4x4	8/30	38
7BES	AFTERTREATMENT COVER Polished Aluminum	8/3	11
7BMH	EXHAUST SYSTEM Horizontal Aftertreatment System, Frame Mounted Right Side Under Cab, for Single Short Horizontal Tail Pipe, Frame Mounted Right Side Back of Cab, for All-Wheel Drive	57/-1	56
7SDP	ENGINE COMPRESSION BRAKE {Jacobs} for Cummins ISL/L9 Engines; with Selector Switch and On/Off Switch	40/8	48
7WZX	SWITCH, FOR EXHAUST 3 Position, Momentary, Lighted Momentary, ON/CANCEL, Center Stable, INHIBIT REGEN, Mounted in IP Inhibits Diesel Particulate Filter Regeneration When Switch is Moved to ON While Engine is Running, Resets When Ignition is Turned OFF	2/0	2
8000	ELECTRICAL SYSTEM 12-Volt, Standard Equipment	0/0	0

Includes

: DATA LINK CONNECTOR For Vehicle Programming and Diagnostics In Cab  
 : HAZARD SWITCH Push On/Push Off, Located on Instrument Panel to Right of Steering Wheel  
 : HEADLIGHT DIMMER SWITCH Integral with Turn Signal Lever  
 : PARKING LIGHT Integral with Front Turn Signal and Rear Tail Light  
 : STARTER SWITCH Electric, Key Operated  
 : STOP, TURN, TAIL & B/U LIGHTS Dual, Rear, Combination with Reflector  
 : TURN SIGNAL SWITCH Self-Cancelling for Trucks, Manual Cancelling for Tractors, with Lane Change Feature  
 : WINDSHIELD WIPER SWITCH 2-Speed with Wash and Intermittent Feature (5 Pre-Set Delays), Integral with Turn Signal Lever  
 : WINDSHIELD WIPERS Single Motor, Electric, Cowl Mounted  
 : WIRING, CHASSIS Color Coded and Continuously Numbered

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
8518	CIGAR LIGHTER Includes Ash Cup	1/0	1
8541	HORN, ELECTRIC (2) Disc Style	1/0	1
8585	FOG LIGHTS Prewire; Includes Auxiliary Switch and Wiring to Front Bumper, for Driving Lights or Fog Lights Mounted by Customer	1/0	1
8718	POWER SOURCE Cigar Type Receptacle without Plug and Cord	1/0	1
8GXX	ALTERNATOR {Leece-Neville BLP4006HN} Brushless, 12 Volt, 325 Amp Capacity, Pad Mount, with Remote Sense	17/0	17
8HAB	BODY BUILDER WIRING Back of Day Cab at Left Frame or Under Sleeper, Extended or Crew Cab at Left Frame; Includes Sealed Connectors for Tail/Amber Turn/Marker/ Backup/Accessory Power/Ground and Sealed Connector for Stop/Turn	2/0	2
8MJU	BATTERY SYSTEM {Fleetrite} Maintenance-Free, (3) 12-Volt 2850CCA Total, Top Threaded Stud	35/40	75
8RMZ	SPEAKERS (2) 6.5" Dual Cone Mounted in Both Doors, (2) 5.25" Dual Cone Mounted in Both B-Pillars	5/3	8
8RPR	ANTENNA for Increased Roof Clearance Applications	1/0	1
8RPS	RADIO AM/FM/WB/Clock/Bluetooth/USB Input/Auxiliary Input	3/0	3
8TKD	STOP, TURN, TAIL & B/U LIGHTS Omit Item, Does Not Omit Cable to End of Frame, DOES NOT INCLUDE LICENSE PLATE LIGHT	0/-5	-5
8TNR	BATTERY CABLES with 36" of Extra Length Coiled and Strapped Near Battery Box	2/0	2
8VTV	STOP-LIGHT WIRING MODIFIED Stop-Lights Turned on When Engine Compression Brake, Exhaust Brake or Retarder is Activated	0/0	0
8WGL	WINDSHIELD WIPER SPD CONTROL Force Wipers to Slowest Intermittent Speed When Park Brake Set and Wipers Left on for a Predetermined Time	0/0	0
8WHE	HORN, AIR Accommodation Package, Less Horn	2/0	2
8WJJ	BATTERY BOX Steel, with Fiberglass Cover, 2-4 Battery Capacity, Mounted Left Side Perpendicular to Frame Rail, 53" Back of Cab	-51/28	-23
8WPH	CLEARANCE/MARKER LIGHTS (5) {Truck Lite} Amber LED Lights, Flush Mounted on Cab or Sunshade	0/0	0
8WPZ	TEST EXTERIOR LIGHTS Pre-Trip Inspection will Cycle all Exterior Lamps Except Back-up Lights	0/0	0
8WRB	HEADLIGHTS ON W/WIPERS Headlights Will Automatically Turn on if Windshield Wipers are turned on	0/0	0
8WTK	STARTING MOTOR {Delco Remy 38MT Type 300} 12 Volt, Less Thermal Over-Crank Protection	8/1	9
8WTR	COURTESY LIGHT (4) Mounted In Front & Rear Map Pocket Left and Right Side	2/0	2
8WWJ	INDICATOR, LOW COOLANT LEVEL with Audible Alarm	0/0	0
8WXD	ALARM, PARKING BRAKE Electric Horn Sounds in Repetitive Manner When Vehicle Park Brake is "NOT" Set, with Ignition "OFF" and any Door Opened	0/0	0
8WZP	INDICATOR, BATTERY WARNING Green BATTERY ON Indicator, Mounted on Left Side of Instrument Panel, To be Used with Factory Installed or Customer Mounted Battery Disconnect Switch	1/0	1

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
8XAH	CIRCUIT BREAKERS Manual-Reset (Main Panel) SAE Type III with Trip Indicators, Replaces All Fuses	0/0	0
8XBK	SWITCH, AUXILIARY Switch 40 amp Circuit for Customer Use; Includes Wiring Connection at Power Distribution Center (PDC) and Control in Cab	2/0	2
8XGT	TURN SIGNALS, FRONT Includes LED Side Turn Lights Mounted on Fender	0/0	0
8XHV	BATTERY DISCONNECT SWITCH for Cab Power Disconnect Switch, Disconnects Power to Power Distribution Center (PDC) and Body Builder Through Solenoid, Does Not Disconnect Charging Circuits, Locks with Padlock, Cab Mounted	11/2	13
8XNY	HEADLIGHTS Halogen	0/0	0
9593	FENDER EXTENSIONS Omit	0/0	0
9AAC	LOGOS EXTERIOR Model Badges, Shipped Loose, Located in Cab	0/0	0
9AAH	LOGOS EXTERIOR, ENGINE Badge Shipped Loose	0/0	0
9HAN	INSULATION, UNDER HOOD for Sound Abatement	10/0	10
9HBM	GRILLE Stationary, Chrome	0/0	0
9HBN	INSULATION, SPLASH PANELS for Sound Abatement	2/0	2
9WAC	BUG SCREEN Mounted Behind Grille	5/0	5
9WBC	FRONT END Tilting, Fiberglass, with Three Piece Construction, for WorkStar/HV	0/0	0
9WBT	GRILLE EMBER SCREEN Mounted to Grille and Cowl Tray to Keep Hot Embers out of Engine and HVAC Air Intake System	3/0	3
10646	PAINT IDENTITY, PT-2 Two Tone, Instruction No. 946. Frame/Running Gear and Wheels, Less Fuel Tank	0/0	0
	<u>Includes</u> : NOTE: Battery Box, Air Tanks, Fuel Tanks, Steps and Straps NOT Painted		
10761	PAINT TYPE Base Coat/Clear Coat, 1-2 Tone	0/0	0
10AGB	COMMUNICATIONS MODULE Telematics Device with Over the Air Programming; Includes Five Year Data Plan and International 360	1/0	1
10SLV	PROMOTIONAL PACKAGE Government Silver Package	0/0	0
10WKJ	KEYS - ALL ALIKE, ID I-1003 Compatible with Z-001	0/0	0
11001	CLUTCH Omit Item (Clutch & Control)	0/0	0
12703	ANTI-FREEZE Red, Extended Life Coolant; To -40 Degrees F/ -40 Degrees C, Freeze Protection	0/0	0
12849	BLOCK HEATER, ENGINE 120V/1000W, for Cummins ISB/B6.7/ISL/L9 Engines	3/0	3
	<u>Includes</u> : BLOCK HEATER SOCKET Receptacle Type; Mounted below Drivers Door		
12EYZ	ENGINE, DIESEL {Cummins L9 360} EPA 2024, 360HP @ 2200 RPM, 1150 lb-ft Torque @ 1200 RPM, 2200 RPM Governed Speed, 359 Peak HP (Max)	560/4	564
12THT	FAN DRIVE {Horton Drivemaster} Two-Speed Type, Direct Drive, with Residual Torque Device for Disengaged Fan Speed	0/0	0
	<u>Includes</u>		

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
	: FAN Nylon		
12UWZ	RADIATOR Aluminum, Cross Flow, Front to Back System, 1228 SqIn, with 1167 SqIn Charge Air Cooler, Includes In-Tank Oil Cooler  <u>Includes</u> : DEAERATION SYSTEM with Surge Tank : HOSE CLAMPS, RADIATOR HOSES Gates Shrink Band Type; Thermoplastic Coolant Hose Clamps : RADIATOR HOSES Premium, Rubber	23/-5	18
12VBB	AIR CLEANER Dual Element	4/0	4
12VJS	EMISSION, CALENDAR YEAR {Cummins L9} EPA, OBD and GHG Certified for Calendar Year 2024	0/0	0
12VXT	THROTTLE, HAND CONTROL Engine Speed Control; Electronic, Stationary, Variable Speed; Mounted on Steering Wheel	0/0	0
12WBR	FAN OVERRIDE Manual; with Electric Switch on Instrument Panel, (Fan On with Switch On)	0/0	0
12WVG	EPA IDLE COMPLIANCE Low NOx Idle Engine, Complies with EPA Clean Air Regulations; Includes "Certified Clean Idle" Decal on Hood	0/0	0
12WYK	ENGINE WATER COOLER {Sen-Dure} Auxiliary, For Use with Fire Trucks	16/4	20
12WZE	CARB IDLE COMPLIANCE Does Not Comply with California Clean Air Idle Regulations	0/0	0
12XBM	ENGINE CONTROL, REMOTE MOUNTED Provision for; Includes Wiring for Body Builder Installation of PTO Controls and Starter Lockout, with Ignition Switch Control, for Cummins B6.7 and L9 Engines	0/0	0
12XCS	CARB EMISSION WARR COMPLIANCE Does Not Comply with CARB Emission Warranty	0/0	0
13BCT	TRANSMISSION, AUTOMATIC {Allison 3000 EVS} 6th Generation Controls, Close Ratio, 5-Speed with Overdrive, with PTO Provision, Less Retarder, Includes Oil Level Sensor, Max, GVW N/A	208/75	283
13TKK	TRANSFER CASE {Meritor MTC-4210} 2-Speed, 10,000 lb-ft Torque Rating, Less PTO Provision, Electric Over Air Control, with Lube Pump	347/445	792
13WAW	OIL COOLER, TRANSMISSION {Modine} Water to Oil Type	25/0	25
13WDB	TRANSFER CASE LUBE {EmGard 50W} Synthetic; 1 thru 14.99 Pints	0/0	0
13WET	TRANSMISSION SHIFT CONTROL Column Mounted Stalk Shifter, Not for Use with Allison 1000 & 2000 Series Transmission	1/0	1
13WGC	OIL COOLER, TRANSFER CASE with Oil Coolant Lines Routed to Oil Cooler	6/1	7
13WLP	TRANSMISSION OIL Synthetic; 29 thru 42 Pints	0/0	0
13WUZ	ALLISON SPARE INPUT/OUTPUT for Emergency Vehicle Series (EVS), Fire/Pumper, Tank, Aerial/Ladder, Package Number 198, Includes J1939 Based Auto Neutral	0/0	0
13WYU	SHIFT CONTROL PARAMETERS {Allison} 3000 or 4000 Series Transmissions, Performance Programming	0/0	0
13XAM	PTO LOCATION Dual, Customer Intends to Install PTO at Left and/or Right Side of Transmission	0/0	0

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
14051	AXLE, REAR, SINGLE {Meritor RS-23-160} Single Reduction, 23,000-lb Capacity, 200 Wheel Ends . Gear Ratio: 4.89	0/215	215
14SAN	SUSPENSION, REAR, SINGLE 23,500-lb Capacity, Vari-Rate Springs	0/35	35
14SZB	SPRINGS, REAR AUXILIARY Multileaf; 4,500-lb Capacity	0/90	90
14WAP	SHOCK ABSORBERS, REAR (2)	0/45	45
14WMG	AXLE, REAR, LUBE {EmGard FE-75W-90} Synthetic Oil; 30 thru 39.99 Pints	0/0	0
15DYP	DEF TANK 9.5 US Gal (36L) Capacity, Frame Mounted Outside Left Rail, Under Cab	13/4	17
15LNR	FUEL/WATER SEPARATOR {Racor 400 Series} with Primer Pump, Includes Water-in-Fuel Sensor, Mounted on Engine	0/0	0
15SWE	FUEL TANK Top Draw, Non-Polished Aluminum, 26" Dia, 70 US Gal (265L), Mounted Left Side, Under Cab	12/8	20
15WTM	AUXILIARY FUEL DRAW TUBE Located at Auxiliary Port on Fuel Tank	0/0	0
16196	CAB Conventional 6-Man Crew Cab	317/333	650
16BAM	AIR CONDITIONER with Integral Heater and Defroster	0/0	0
16GED	GAUGE CLUSTER Base Level; English with English Electronic Speedometer	0/0	0
	<u>Includes</u> : GAUGE CLUSTER DISPLAY: Base Level (3" Monochromatic Display), Premium Level (5" LCD Color Display); Odometer, Voltmeter, Diagnostic Messages, Gear Indicator, Trip Odometer, Total Engine Hours, Trip Hours, MPG, Distance to Empty/ Refill for : GAUGE CLUSTER Speedometer, Tachometer, Engine Coolant Temp, Fuel Gauge, DEF Gauge, Oil Pressure Gauge, Primary and Secondary Air Pressure : WARNING SYSTEM Low Fuel, Low DEF, Low Oil Pressure, High Engine Coolant Temp, Low Battery Voltage (Visual and Audible), Low Air Pressure (Primary and Secondary)		
16GHV	GRAB HANDLE, CAB INTERIOR (4) Safety Yellow, Crew Cab	0/0	0
16HCL	SEATBELT WARNING PREWIRE Includes Seat Belt Switches and Seat Sensors for all Belted Positions in the Cab and a Harness Routed to the Center of the Dash for the Aftermarket Installation of the Data Recorder and Seatbelt Indicator Systems, for 4 to 6 Seat Belts	0/0	0
16HGH	GAUGE, OIL TEMP, AUTO TRANS for Allison Transmission	1/0	1
16HHE	GAUGE, AIR CLEANER RESTRICTION {Filter-Minder} Mounted in Instrument Panel	2/0	2
16HKT	IP CLUSTER DISPLAY On Board Diagnostics Display of Fault Codes in Gauge Cluster	0/0	0
16JJG	SEAT, DRIVER {H.O. Bostrom Sierra Air 100} NFPA Compliant, Air Suspension, High Back, Vinyl with Covered Back and International Logo on Headrest, for Fire Truck	9/4	13
16PPH	SEAT, PASSENGER {H.O. Bostrom Sierra Air 100} NFPA Compliant, Air Suspension, High Back, Vinyl with Covered Back, International Logo on Headrest, for Fire Truck	74/33	107

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
16REB	SEAT, REAR {H.O. Bostrom Sierra HBRX} NFPA Compliant, Two Individual Outboard Seats, Stationary, High Back, Vinyl, with Under Seat Storage, Inboard Arm Rests	113/52	165
16SDC	GRAB HANDLE, EXTERIOR (2) Chrome, Towel Bar Type, with Anti-Slip Rubber Inserts, for Cab Entry Mounted Left and Right Side at B-Pillar	6/0	6
16SDD	GRAB HANDLE, ADDITIONAL EXT (2) Chrome, Towel Bar Type, with Anti-Slip Rubber Inserts, Mounted Left and Right Side, Rear of Rear Doors, for Crew Cab	5/0	5
16SNR	MIRRORS (2) C-Loop, Power Adjust, Heated, LED Clearance Lights, Bright Heads and Arms, 7.5" x 14" Flat Glass, Includes 7.5" x 7" Convex Mirrors, for 102" Load Width	0/0	0
	<u>Notes</u> : Mirror Dimensions are Rounded to the Nearest 0.5"		
16VCA	SEAT BELT All Red; 4 to 6	0/0	0
16VKD	CAB INTERIOR TRIM Classic, for Crew Cab	0/0	0
	<u>Includes</u> : CONSOLE, OVERHEAD Molded Plastic with Dual Storage Pockets, Retainer Nets and CB Radio Pocket; Located Above Driver and Passenger : DOME LIGHT, CAB Door Activated and Push On-Off at Light Lens, Timed Theater Dimming, Integral to Overhead Console, Center Mounted : SUN VISOR (2) Padded Vinyl; 2 Moveable (Front-to-Side) Primary Visors, Driver Side with Toll Ticket Strap		
16VLV	MONITOR, TIRE PRESSURE Omit	-9/-2	-11
16WEE	CAB SOUND INSULATION Includes Dash Insulator and Engine Cover Insulator	12/0	12
16WLM	HOURMETER, PTO for Customer Provided PTO; with Indicator Light and Hourmeter in Gauge Cluster Includes Return Wire for PTO Feedback Switch	2/0	2
16WSK	CAB REAR SUSPENSION Air Bag Type	0/0	0
16XCL	WINDOW, MANUAL (4) and Manual Door Locks, Front and Rear Doors, Left and Right	0/0	0
16XCW	CAB, INTERIOR TRIM, CLOSEOUT Under IP, Driver Side	0/0	0
16XJN	INSTRUMENT PANEL Flat Panel	0/0	0
16ZBU	ACCESS, CAB Steel, Driver & Passenger Sides, Two Steps per Door, for use with Crew Cab	40/43	83
16ZBW	STEP, STANDARD, OMIT Driver & Passenger Sides, Omit Rear Steps for use with Crew Cab	-49/-19	-68
27DUW	WHEELS, FRONT {Accuride 51408} DISC; 22.5x8.25 Rims, Powder Coat Steel, 2-Hand Hole, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, with Steel Hubs	-10/0	-10
28DUW	WHEELS, REAR {Accuride 51408} DUAL DISC; 22.5x8.25 Rims, Powder Coat Steel, 2-Hand Hole, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, with Steel Hubs	0/-20	-20
35107	PAINT HOOD, CONVENTIONAL CAB Solid Color for 2, 3, or 4-Tone Special Schematics	0/0	0
35200	PAINT, CAB Two-Tone, with 1-2 Breaks on Cab.	0/0	0

<u>Code</u>	<u>Description</u>	<u>F/R Wt</u> (lbs)	<u>Tot Wt</u> (lbs)
7382135444	(2) TIRE, FRONT 11R22.5 Load Range H HDR2+ (CONTINENTAL), 491 rev/mile, 75 MPH, Drive	0/0	0
7382135444	(4) TIRE, REAR 11R22.5 Load Range H HDR2+ (CONTINENTAL), 491 rev/mile, 75 MPH, Drive	0/20	20
<b>Services Section:</b>			
40128	WARRANTY Standard for HV507, HV50B, HV607 Models, Effective with Vehicles Built July 1, 2017 or Later, CTS-2025A	0/0	0
<b>Total Component Weight:</b>		<b>9392/5716</b> (lbs)	<b>15108</b> (lbs)
SPECIAL PROGRAMMING		0/0	0
(2) HADLEY HOOD MOUNTED AIR HORNS WITH DRIVER AND PASSENGER FOOT SWITCHES		0/0	0
EXHAUST MODIFICATION TO EXIT IN FRONT OF THE RIGHT REAR WHEELS		0/0	0
LED HEADLIGHTS INSTALLED BY MOD. CENTER		0/0	0
RED DOT A/C - R-9530 MOUNTED ON FLOOR BETWEEN THE DRIVER & PASS. SEAT. UNIT IS TO BE HOOKED TO THE MAIN COMPRESSOR W/ SEPARATE CONTROLS ON UNIT		0/0	0
<b>Total Goods Purchased:</b>		<b>0/0</b>	<b>0</b>

The weight calculations included in this proposal are an estimate of future vehicle weight. The actual weight as manufactured may be different from the estimated weight. Navistar, Inc. shall not be liable for any consequences resulting from any differences between the estimated weight of a vehicle and the actual weight.

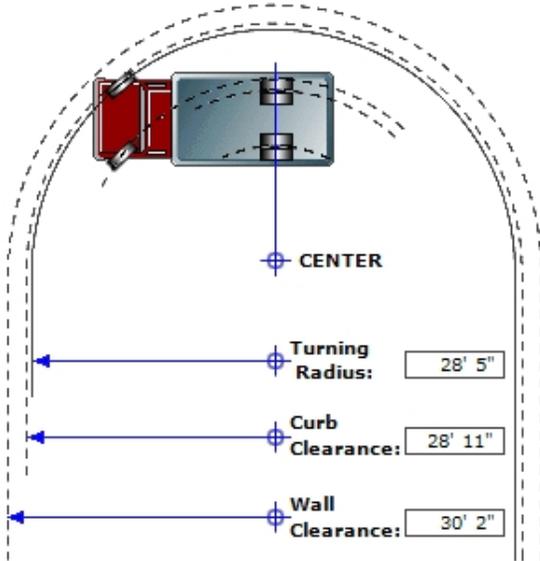
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ATTACHMENTS: 0012VXT 0012THT 0007WZX 0007SDP

<u>Parameter</u>	<u>Value</u>	<u>UOM</u>
Max Accelerator Vehicle Speed	73	MPH
Road Speed Governor Upper Droop	3	MPH
Road Speed Governor Lower Droop	0	MPH
Driver Initiated Override (DIO)	N, DISABLE FEATURE OR FUNCTION	N/A
DIO Maximum Road Speed Delta	3	MPH
DIO Maximum Distance	100	MILES
Max Engine Speed No Veh Speed Sensr	2185	RPM
LBSC Enable	N, DISABLE FEATURE OR FUNCTION	N/A
LBSC - Engine Speed Breakpoint	1800	RPM
Gear Down Protection Enable	N, DISABLE FEATURE OR FUNCTION	N/A
GDP - Heavy Load Vehicle Speed	62	MPH
GDP - Light Load Vehicle Speed	57	MPH
Driver Reward Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Driver Reward Mode	0, ROAD SPEED GOVERNOR	N/A
Fuel Economy - Expected Level	7.00	MPG
Fuel Economy - Good Level	7.25	MPG
Fuel Economy - Best Level	7.50	MPG
% Idle Time - Expected Level	30	%
% Idle Time - Good Level	20	%
% Idle Time - Best Level	10	%
Speed Reward - Expected Level	0	MPH
Speed Reward - Good Level	0	MPH
Speed Reward - Best Level	0	MPH
Speed Reward - Penalty Level	0	MPH
Idle Speed Adjustment Enable	Y, ENABLE FEATURE OR FUNCTION	N/A
Low Idle Speed	750	RPM
Idle Shutdown Enable	N, DISABLE FEATURE OR FUNCTION	N/A
ISD Time Before Shutdown	15.0	MIN
ISD Percent Engine Loading	100	%
ISD In PTO	N, DISABLE FEATURE OR FUNCTION	N/A
ISD Manual Override	N, DISABLE FEATURE OR FUNCTION	N/A
ISD With Parking Brake Set	N, DISABLE FEATURE OR FUNCTION	N/A
ISD Ambient Temperature Override	N, DISABLE FEATURE OR FUNCTION	N/A
ISD Cold Ambient Air Temperature	30	F
ISD Intermediate Ambient Air Temp	40	F
ISD Hot Ambient Air Temperature	81	F
ISD Manual Override Inhibit Zone En	N, DISABLE FEATURE OR FUNCTION	N/A
ISD Hot Ambient Automatic Override	Y	N/A
ISD Engine Coolant Temp Threshold	53	F
Cruise Control Enable	Y, ENABLE FEATURE OR FUNCTION	N/A
CC Maximum Vehicle Speed	73	MPH
CC Save Set Speed	N, DISABLE FEATURE OR FUNCTION	N/A
CC Upper Droop	3.0	MPH
CC Lower Droop	0.0	MPH
CC Auto Resume	N, DISABLE FEATURE OR FUNCTION	N/A
CC Engine Brake Swtch Bypass Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Engine Fan Min On Time For AC	30	SEC
Remote Accelerator Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Remote Accelerator Mode	1, REMOTE ACCELERATOR PEDAL OR LEVER WITH TRANS VERIFICATION	N/A
PTO Enable	Y, ENABLE FEATURE OR FUNCTION	N/A
PTO In Cab Mode	Y, ENABLE FEATURE OR FUNCTION	N/A
Remote PTO Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Remote Station PTO Enable	N, DISABLE FEATURE OR FUNCTION	N/A
PTO Max Engine Speed	2200	RPM

PTO Min Engine Speed	750	RPM
PTO Maximum Engine Load	800	LB-FT
PTO Max Vehicle Speed	2	MPH
PTO Accelerator Override	N, DISABLE FEATURE OR FUNCTION	N/A
PTO Accel Override Max Engine Speed	2400	RPM
PTO Clutch Override	N, DISABLE FEATURE OR FUNCTION	N/A
PTO Service Brake Override	Y, ENABLE FEATURE OR FUNCTION	N/A
PTO Parking Brake Interlock Mode	1, PTO PRK BRK INT TYPE SET TO CAB ONLY	N/A
PTO Transmission Neutral Interlock	Y, ENABLE FEATURE OR FUNCTION	N/A
PTO Eng Spd Limit w/VSS Limit	N, DISABLE FEATURE OR FUNCTION	N/A
PTO Ignore Vehicle Speed Sensor	N, DISABLE FEATURE OR FUNCTION	N/A
PTO Resume Switch Speed	925	RPM
PTO Set Switch Speed	850	RPM
PTO Additional Switch Speed	950	RPM
PTO Ramp Rate	250	RPM/SEC
Remote PTO Number of Speed Settings	1	N/A
Remote PTO Speed Setting 1	1000	RPM
Remote PTO Speed Setting 2	1200	RPM
Remote PTO Speed Setting 3	1400	RPM
Remote PTO Speed Setting 4	1400	RPM
Remote PTO Speed Setting 5	1500	RPM
Remote Station PTO Resume Sw Spd	1000	RPM
Remote Station PTO Set Switch Speed	1500	RPM
Remote Station PTO Addition Sw Spd	1500	RPM
Transmission Driven PTO	N, DISABLE FEATURE OR FUNCTION	N/A
Transmission Driven PTO Type	0, ENGINE DRIVEN STEADY LOAD	N/A
Powertrain Protection Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Max Torque Allow By Axle/Driveshaft	23602	LB-FT
Max Torque in Top Gear Range	2995	LB-FT
Max Torque in Int. Gear Range	2995	LB-FT
Max Torque in Low Gear Range	2995	LB-FT
Max Torque w/o Vehicle Speed	1475	LB-FT
Lowest Gear of Top Gear Range	2.00	N/A
Lowest Gear of Int. Gear Range	3.00	N/A
Lowest Gear of Low Gear Range	6.00	N/A
Engine Protection Shutdown	Y, ENABLE FEATURE OR FUNCTION	N/A
Engine Protection Restart Inhibit	Y, ENABLE FEATURE OR FUNCTION	N/A
Engine Prot Coolant Level Shutdown	N, DISABLE FEATURE OR FUNCTION	N/A
Sudden Veh Speed Decel Threshold	6.96	MPH
Trip Information Vehicle Ovrsped1	80	MPH
Trip Information Vehicle Ovrsped2	82	MPH
Veh Speed Sensor Anti Tamper Level	1, HIGH LEVEL	N/A
Maintenance Monitor Enable	N, DISABLE FEATURE OR FUNCTION	N/A
Maintenance Monitor Operating Mode	0, MAINTENANCE MONITOR AUTOMATIC MODE OF OPERATION	N/A
Maintenance Monitor Alert Percent	90	%
Maintenance Monitor Distance	15000	MILES
Maintenance Monitor Fuel	2000	GALLONS
Maintenance Monitor Time	500	HOURS
Maintenance Monitor Interval Factor	1.00	N/A
Master Password	000000	N/A
Adjustment Password	000000	N/A
Reset Password	000000	N/A

These Electronic Parameters have been successfully finalized



Series: HV  
 Model: HV507  
 Description: HV507 SFA  
 Model Year: 2025

**Calculation Factors**

Wheelbase: 183  
 Front Axle: 0002GAB  
 Description: AXLE, FRONT DRIVING, {Meritor MX-12-120 EVO} Single Reduction, 12,000-lb Capacity, with Hub Piloted Wheel Mounting  
 Front Wheel: 0027DUW  
 Description: WHEELS, FRONT, {Accuride 51408} DISC; 22.5x8.25 Rims, Powder Coat Steel, 2-Hand Hole, 10-Stud, 285.75mm BC, Hub-Piloted, Flanged Nut, with Steel Hubs  
 Front Tire: 07382135444  
 Description: TIRES, 11R22.5 Load Range H HDR2+ (CONTINENTAL), 491 rev/mile, 75 MPH, Drive  
 Steering Gear: 0005PSA  
 Description: STEERING GEAR, {Sheppard M100} Power

**Turning Radius Statistics**

General Information

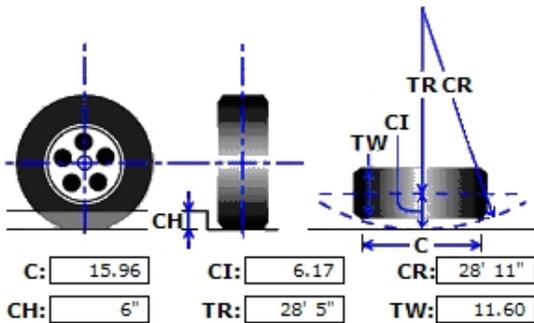
Inside Turn Angle: 42 Degrees  
 Radial Overhang: 21

Axle Information

KingPin Inclination: 7 Degrees  
 KingPin Center: 68.9

**Turning Radius - Curb View**

C - Curb Contact Length: 15.96  
 CI - Curb Clearance Increment: 6.17  
 CR - Curb Clearance Radius: 28'11"  
 CH - Curb Height: 6"  
 TR - Turning Radius: 28'5"  
 TW - Tire Width: 11.60



\* All Measurements are in inches, unless otherwise specified.

This information is based on engineering information available at this time. Actual figures may vary. Navistar, Inc. cannot accept liability for consequences due to this variance.

Customer: CORONA FIRE DEPT

## **TESTING COMPLIANCE STANDARD**

### **NFPA Compliance**

The E-ONE supplied components of the vehicle shall meet the requirements of NFPA 1906, 2016 edition.

### **Hose Bed Capacity**

Hosebed hoseload allowance on the apparatus shall be 500 lbs.

## **CHASSIS PREP**

### **Wildland Pumper Fire Apparatus Prep**

The commercial chassis shall be made ready for installation of components required by the fire apparatus specifications such as warning lights and sirens, cab wire harness, etc. Preparation shall also include relocating of components as necessary to meet the fire apparatus requirements such as exhaust tail pipe, air system components, batteries, etc.

### **Hood Mounted Air Horns**

Dual Grover stuttertone hood mounted air horns with a driver side foot switch to be supplied and installed by Navistar.

## **BUMPERS**

### **Front Bumper Package**

A 20" integral frame bumper extension shall be provided with an .125" (1/8") diamond plate gravel shield and OEM bumper painted lower job color. The gravel shield will be supported with a formed plate attached to the front bumper and frame extension to allow rigidity of the gravel shield and bumper.

The extension will be equipped with three (3) trays; each tray to hold a minimum of 100' of 1 3/4" DJ hose. One (1) center tray shall be provided between the frame rails with a .125" (1/8") diamond plate door. The door shall be equipped with a D-ring latch, gas shock and dual notches for pre-connected hoses. There shall be two (2) trays located one (1) each side outboard of the frame rails. Outboard trays shall be provided with nylon straps with quick release buckles. All trays shall be provided with Turtle Tile and drain holes.

## **TIRE OPTIONS**

## **Tire Pressure Indicators**

The apparatus shall be provided with Real Wheels AirGuard LED tire pressure indicating valve stem caps. When the tire is under inflated by 5-10 PSI, the LED indicator on the cap shall flash red. The indicator housings shall be shock resistant and constructed from polished stainless steel. The indicators shall be calibrated by attaching to valve stem of a tire at proper air pressure per load ratings and easily re-calibrated by simply removing and re-installing them during service.

Real Wheel Part number RWC1234 was superseded by RWC1235 as of June 2015

## **ENGINES & TRANSMISSIONS**

### **Vehicle Speed**

Chassis speed shall be electronic limited and not to exceed a maximum of 68 MPH. Note: Speed rating may be lower based on OEM tire ratings/top speed limitations provided on chassis.

### **Commercial Cummins Engine**

The chassis shall be equipped with a Cummins L9 six-cylinder, EPA compliant, electronic engine.

The engine shall be 360HP @ 2200 RPM with 1150 lb/ft @ 1200 RPM.

## **EXHAUST OPTIONS**

### **Exhaust End Modification**

The end of the exhaust tail pipe shall be provided with a Plymovent Magnetic Grabber exhaust end for an in-house exhaust extraction system. The tail pipe will be at 90 degrees and straight out below the side of body. The bolt-on end shall be installed on the tail pipe to properly position the Plymovent nozzle.

## **CHASSIS OPTIONS**

### **Chassis Trim Package**

The driver and officer side of the cab shall be provided with an aluminum diamond plate trim package. Trim package shall include steps provided on the upper and lower areas below each cab door constructed of .188" (3/16") aluminum diamond plate. A compartment constructed of 12 gauge 304L stainless steel shall be provided with double doors between the upper and lower steps below each rear cab door.

All stepping surfaces on the trim package shall be in accordance with NFPA by including a multi-directional aggressive gripping surface incorporated into the aluminum diamond plate. This surface shall extend vertically from the diamond plate a minimum of .125" (1/8") and shall be 1" in diameter in design with a minimum of 4" on center.

Double compartment doors shall be constructed using a box configuration. The outer door pans shall be constructed from 3/16" (0.188") aluminum plate. The inner door pans shall be constructed from 1/8" (0.125") smooth aluminum plate and shall have nutsert fittings to attach hold-open hardware.

The compartment openings shall have a closed-cell "P" EPDM sponge gasket meeting ASTM D-1066 2A4 standards installed around the perimeter to provide a seal that is resistant to oil, sunlight, and ozone.

A polished stainless steel locking Hansen D-ring style twist-lock door handle with #459 latch shall be provided on the primary door. The 4-1/2" (4.5") D-ring handle shall be mounted directly to the door latching mechanism with screws that do not penetrate the door material for improved corrosion resistance.

The secondary door shall have two (2) dual stage rotary latches, each with a 750 lb rating to hold the door in the closed position. The latches shall be mounted at the top and bottom of the door. A stainless steel paddle style handle shall be mounted on the interior pan of the door to actuate the rotary latches. The paddle handle shall be connected to the rotary latches by 5/32" (.156") diameter rods. Cable actuation shall be deemed un-acceptable due to the potential for cable stretch and slippage. The striker pins shall be 3/8" (.38") diameter with slotted mounting holes for adjustment.

The compartment doors shall be securely attached with a full-length stainless steel 1/4" (0.25") rod piano-type hinge isolated from the body and compartment doors with a dielectric barrier. The doors shall be attached with machine screws threaded into the door frame. The doors shall have a gas shock-style hold-open device and stainless steel scuff plates.

Each compartment shall be provided with a roll-out tray and 500lb capacity slides. Slides shall be provided with a lock-in and lock-out feature. Trays shall be constructed of .188" (3/16") smooth aluminum with 4" vertical sides. Driver side tray shall be provided with "egg crate" dividers and designed for maximum size of opening. Officer side shall be designed to carry three (3) chassis batteries with open storage ahead of tray.

A 14 gauge brushed stainless steel guard with rubber skirt shall be provided between the upper steps and cab. The guard shall run full length of the driver and officer sides of the cab. The guard shall be attached to the lower area of the cab below the cab doors.

## **Tow Package**

One (1) tow plate shall be provided through the center front bumper. The frame mounted triangular plate shall be constructed of .75" (3/4") steel and protrude approx. 6" past the bumper.

The tow plate shall include a 3” x 4” rectangle “tow eye”. A 2” hitch receiver shall be provided below the tow plate. The receiver shall be for use with a removable hose roller. Not for towing or winch applications.

One (1) tow plate shall be provided between the rear frame rails. The plate shall be constructed of .75” (3/4”) steel with a subframe mounted to the frame rails. Tow plate will not protrude past the rear tailboard. The tow plate shall include a 3” x 4” rectangle “tow eye”. A 2” hitch receiver shall be incorporated into the tow plate design. The receiver shall be for use with a removable hose roller only. Not for towing or winch applications.

## **CAB MODEL**

### **Cab Model**

CAB 4DR INTL HV507 4x4.

## **CAB BADGE PACKAGE**

### **Logo Package**

The apparatus shall have manufacturer logos provided on the cab and body as applicable.

## **MISC EXTERIOR CAB OPTIONS**

### **Label ``Diesel Fuel Only``**

Located above each fuel filler housing shall be a metallic label that designates ”Diesel Fuel Only” requirements. It shall be black with white or equivalent contrasting letters a minimum of 1/2” high.

### **Mud Flap Heights**

The bottom of the body and cab mud flaps shall be approximately twelve (12”) from the ground.

## **SEATS**

### **Seating Capacity Tag**

A tag that is in view of the driver stating seating capacity of four (4) personnel shall be provided.

## **MISC INTERIOR CAB OPTIONS**

### **Cab Console**

A center cab console constructed of .125" (1/8") smooth aluminum painted Scorpion black shall be provided. The console shall be centrally located and shall allow the driver and/or officer access to all components while seated with seat belts secured.

The console shall feature a forward area for mounting of switches, siren, gauges and other required components. The rearward area shall be provided with a map box capable of holding a minimum of 8.5" x 11" binders with a hinged lid. The map box shall be provided with two (2) removable hanging file dividers and a removable false floor for electrical components mounted below.

The console shall be equipped with a ventilation fan(s) for electrical equipment mounted within the console.

### **Rear Cab Console**

A center cab console constructed of .125" (1/8") smooth aluminum painted Scorpion black shall be provided. The console shall be centrally located in the rear of the cab and shall allow access to all components while seated with seat belts secured.

The console shall be provided with two (2) cup holders, two (2) Kussmaul dual port USB product code 091-264-N. Includes USB-C and USB-A NGR outlet charger sockets and two (2) 12V 10A power leads (inside of console). Rear of console shall have a storage area for miscellaneous equipment.

## **CAB ELECTRICAL OPTIONS**

### **Cab Dome Lights**

A Whelen model 60CREGCS LED dome light shall be installed. The light shall have twelve (12) high intensity Super LEDs; six (6) white and six (6) red. Two (2) switches shall be provided on the face of the light to activate the red or white lights. The white light shall activate with appropriate cab door and light assembly switch, the red light activates with light assembly mounted switch only.

There shall be two (2) mounted in the front of the cab, one (1) in the driver and one (1) in the officer ceiling.

There shall be two (2) mounted in the rear of the cab, one (1) in the driver side and one (1) in the officer side ceiling.

## **BODY MODEL**

### **Body**

The compartment floors, ceilings, front panels, vertical side sheets, rear walls, door openings, wheel wells, compartment panels, dividing walls, and reinforcements shall be constructed of 12 gauge 304L stainless steel material. The interior of the compartments shall be provided with a machine sanded DA finish. The exterior of the body shall have an .125" (1/8") smooth aluminum overlay prepared for job color paint finish.

To eliminate unnecessary seams and overlapping areas, the construction of all component panels shall feature break-formed fabrication. Component panels shall be in single metal sections wherever possible.

The assembly of body components shall be with Huck bolt fasteners. All fasteners utilized on the substructure crossmembers and associated assemblies will be precision engineered Huck® fasteners.

Structural supports shall be incorporated into the overall design to provide the necessary support for component panels and body modules.

The body shall be a free standing module supported only by the top of the frame rails using a transverse 3/16" thick 304L stainless steel structure assembly. This structure shall be secured in a minimum of six (6) locations, using a double flex mount system and angle brackets bolted to both the body structural assembly and the sides of the chassis frame rails using Grade 8 fasteners. Mylar shall be used to isolate the structural assembly from the frame rails. This design is required to eliminate shift and stress on the body module and component panels.

The water tank shall be mounted on a 304L stainless steel tubular structure at the base of the tank.. Hold downs shall allow for chassis flex front and rear on the tank, without transmitting stress into the water tank. Isolating materials of hard rubber strips shall be installed at all contact points between the base of the tank and the tank mounting structure.

Each compartment door opening shall have at least a double break-formed door jamb for recessed door seal inboard of the exterior of the body. The break-formed door jamb is required for superior strength and body construction integrity. A brushed stainless steel scuff plates shall be provided at each lower compartment openings.

The compartment floor construction shall permit easy cleaning with a true sweep-out design. There shall be a minimum of two (2) 1/4" drain holes in the compartment floors.

Each interior compartment seam shall be sealed with a silver silicone caulk. The rear walls of each compartment shall be provided with a minimum 2" weber style vent with fire resistant filters.

### **Driver Side Design**

There shall be one (1) compartment (L1) over the rear wheels. The compartment shall be approximately 55" wide x 40" high x 23.5" deep and contain approximately 29.92 cubic feet of storage space. The door opening shall be approximately 52.5" wide x 38.75" high.

There shall be one (1) compartment (L2) behind the rear wheels. The compartment shall be approximately 40" wide x 60" high x 23.5" deep and contain approximately 32.64 cubic feet of storage space. The door opening shall be approximately 37.5" wide x 58.75" high.

### **Officer Side Design**

There shall be one (1) compartment (R1) over the rear wheels. The compartment shall be approximately 55" wide x 40" high x 12" deep and contain approximately 15.28 cubic feet of storage space. The door opening shall be approximately 52.5" wide x 38.75" high.

There shall be one (1) compartment (R2) behind the rear wheels. The compartment shall be approximately 40" wide x 42.5" high x 12" deep (upper) and 40" wide x 17.5" high x 23.5" deep (lower) and contain approximately 21.33 cubic feet of storage space. The door opening shall be approximately 37.5" wide x 58.75" high.

### **Rear Body Design**

The rear body shall be designed to provide equipment storage, ground ladder storage, hard suction storage and hose deployment.

There shall be one (1) compartment (B1) on the rear of the body. The compartment shall be approximately 46" wide x 49.5" high x 19.75" deep and contain approximately 26.02 cubic feet of storage space. The door opening shall be approximately 28.5" wide x 32.75" high.

One (1) ground ladder storage tunnel shall be provided at the rear officer side of the body. The tunnel shall hold one (1) 20' 3-section ladder on beam, one (1) backboard, one (1) 8' pike pole, one (1) 5' digging bar and one (1) 8' rubbish hook. All equipment shall be held in place with mechanical holds. A single pan door painted job color shall be provide with a quarter turn D-ring handle and stainless steel hinge.

### **Compartment Doors**

Double compartment doors shall be constructed using a box configuration. The outer door pans shall be constructed from 3/16" (0.188") aluminum plate. The inner door pans shall be constructed from 1/8" (0.125") smooth aluminum plate and shall have nutsert fittings to attach hold-open hardware.

The compartment door openings shall have a 1" x 9/16" (1" x 0.43") closed-cell "P" EPDM sponge gasket meeting ASTM D-1066 2A4 standards installed around the perimeter of the openings to provide a seal that is resistant to oil, sunlight, and ozone.

A polished stainless steel locking Hansen D-ring style twist-lock door handle a with #459 latch shall be provided on the primary door. The 4-1/2" (4.5") D-ring handle shall be mounted directly to the door latching mechanism with screws that do not penetrate the door material for improved corrosion resistance.

The secondary door shall have two (2) dual stage rotary latches, each with a 750 lb rating to hold the door in the closed position. The latches shall be mounted at the top and bottom of the door. A stainless steel paddle style handle shall be mounted on the interior pan of the door to actuate the rotary latches. The paddle handle shall be connected to the rotary latches by 5/32" (.156") diameter rods. Cable actuation shall be deemed un-acceptable due to the potential for cable stretch and slippage. The striker pins shall be 3/8" (.38") diameter with slotted mounting holes for adjustment.

The compartment doors shall be securely attached to the apparatus body with a full-length stainless steel 1/4" (0.25") rod piano-type hinge isolated from the body and compartment doors with a dielectric barrier. The doors shall be attached with machine screws threaded into the doorframe.

The doors shall have a gas shock-style hold-open device. The gas shocks shall have a 30 lb rating and be mounted near the top of the door (when possible).

An anodized aluminum drip rail shall be mounted over the compartment opening to assist in directing water runoff away from the compartment.

The door(s) shall be installed in the following location(s): L1, L2, R1, R2, B1.

### **Fender Wells**

Fender wells constructed of black ABS shall be provided. A stainless steel bolt-on fenderette shall be provided for each fender well. Mudflaps shall be provided on the rear of each fender well.

### **SCBA Bottle Tubes**

Four (4) Fire Shopp brand SCBA bottle storage with hinged brushed stainless steel doors shall be provided in the body wheel well area. The storage tubes shall be located two (2) each side on the forward and rearward wheel well areas.

Straps shall be provided in each exterior storage compartment to provide secondary means to hold each SCBA bottle in the compartment. The straps shall be constructed from 1" nylon webbing formed in a loop. The strap(s) shall be mounted to the storage compartment ceiling directly inside the door opening at each bottle location.

### **Rubrails**

The body shall have rub rails mounted below L2/R2 compartments.

The rub rail shall be C-channel in design and constructed of 3/16" thick 6463T6 anodized aluminum extrusion. The rub rail shall be 2.75" high x 1.25" deep and shall extend beyond the body width to protect compartment doors and the body side. The rub rail depth shall allow marker and/or warning lights to be recessed inside for protection.

The top surface of the rub rail shall have minimum of five (5) raised serrations. Each serration being a minimum of .1” in height and with cross grooves to provide a slip-resistant edge for the tailboard step and pump module running board areas. The rub rail shall be mounted a minimum of 3/16” off the body with nylon spacers. The ends of each section shall be provided with a finished rounded corner piece.

### **Tailboard/Flip Down Step**

A three (3) piece rear tailboard assembly constructed of 3/16” (0.188”) aluminum diamond plate with support structures shall be provided. The center of the rear tailboard shall be provided with an aluminum grip strut flip down step.

Tailboard stepping surfaces shall be in accordance with NFPA by including a multi-directional aggressive gripping surface incorporated into the aluminum diamond plate. This surface shall extend vertically from the diamond plate a minimum of .125” (1/8”) and shall be 1” in diameter in design with a minimum of 4” on center.

## **BODY COMPT LEFT SIDE**

### **Driver Side Roof Top Compartments**

Two (2) driver side roof compartments shall be provided. The compartments shall be integral to the driver side assembly.

The compartments shall be transverse front to rear and shall include flooring. The flooring shall be smooth plate and shall have drain holes to prevent the accumulation of water.

The compartment top lids shall be raised and constructed of 1/8” (.125”) aluminum treadplate. The lids shall include stainless steel hinges and shall be hinged to the outside of the compartment. Each lid shall include thumb latches, grab handle(s) and be wired to the door ajar indicator in the cab.

Lighting shall be provided for each compartment. The lights shall illuminate when the compartment lid is in the open position.

## **BODY COMPT RIGHT SIDE**

### **Officer Side Roof Top Compartments**

Two (2) officer side roof compartments shall be provided. The compartments shall be integral to the officer side assembly.

The compartments shall be transverse front to rear and shall include flooring. The flooring shall be smooth plate and shall have drain holes to prevent the accumulation of water.

The compartment top lids shall be raised and constructed of 1/8" (.125") aluminum treadplate. The lids shall include stainless steel hinges and shall be hinged to the outside of the compartment. Each lid shall include thumb latches, grab handle(s) and be wired to the door ajar indicator in the cab.

Lighting shall be provided for each compartment. The lights shall illuminate when the compartment lid is in the open position.

## **PUMP MODULE**

### **Pump Module**

The pump panel/auxiliary pump module sub-frame shall be a self-supported structure mounted independently from the main body and apparatus cab. The design must allow for frame deflection without imposing stress on the pump panel structure or side running boards. The module shall be a welded frame utilizing structural stainless steel components properly braced to withstand the rigors of off-road operations.

### **Lower Pump Module**

A lower pump enclosure module shall be installed. The substructure shall be constructed entirely of 12 gauge 304L stainless steel using a break-formed design for the components. 1/4" thick 304L stainless steel break-formed support members shall provide attachment for the 12 gauge substructure and the exterior panels.

The pump module shall be 28" wide front to back, plus flex joints. The enclosure shall be a free standing module supported only by the frame rails in a minimum of four locations and secured with 1/2" diameter Grade 8 bolts on the side of the chassis frame rails. The support members shall be isolated from the frame rails using Mylar. This design is required to eliminate shift and stress on the pump enclosure, pump panels and running boards. A pump enclosure constructed using carbon steel or any other mounting method is not acceptable.

### **Pump Panels**

Two (2) brushed 14 gauge 304L stainless steel pump control panels shall be fabricated and installed on the left and right side of the apparatus and shall be attached using stainless steel fasteners.

The pump operator's control panel shall be located on the left side of the apparatus and feature a full width hinged gauge access panel. The lower portion of the pump panel encompassing the discharge and suction valves shall be hinged. This shall be maximum size of available space, vertically hinged and held closed with stainless steel fasteners. For best uniform appearance, all brushed finish on the stainless steel trim pieces shall run in the same horizontal direction.

Both side pump panels shall be designed to be completely removable for easy access and servicing of valves, plumbing or related pump components.

Pump panel trim plates fabricated from the same material as the pump panels shall be provided around each discharge and suction intake valve. The trim plates shall be designed to allow accessibility to the respective valve for service or repairs.

### **Upper Pump Module**

The upper pump enclosure area shall be built of 304L stainless steel with brushed stainless steel outer trim to blend with the lower module trim pieces. Overall height of the finished pump enclosure shall not exceed 87".

### **Crosslay Hose Compartment**

A vertically divided transverse hose bed shall be located above the pump panel on top of the pump module as far forward as possible. The hose bed shall be large enough to accommodate up to 200 ft. of 1-3/4" preconnected double jacket fire hose. It shall be approximately 5" wide by 34" high, by full pump module width. The vertical divider shall be located in the center of the bed, providing for equally sized storage areas. The hose bed shall be provided with a single, full width, aluminum treadplate cover, be hinged on the forward side with a polished stainless steel hinge, complete with end skirts, straps, and quick-release buckles. End skirt color shall match the hose bed cover end flaps.

The crosslay hose bed floor shall be removable anodized aluminum slats.

### **Storage Area**

The area above the enclosure shall be NFPA complaint non-skid aluminum treadplate.

### **Running Boards**

Two (2) running boards constructed of 3/16" (.188") aluminum diamond plate shall be bolted to the pump enclosure substructure. Running boards shall be a minimum of 8" deep.

Stepping surfaces on the running boards shall be in accordance with NFPA by including a multi-directional aggressive gripping surface incorporated into the aluminum diamond plate. This surface shall extend vertically from the diamond plate a minimum of .125" (1/8") and shall be 1" in diameter in design with a minimum of 4" on center.

Handrails shall be provided on the pump module each side in accordance with NFPA for ascension and egress from the running boards

### **Officer Compartment**

A vertically hinged single door compartment shall be provided and located on the officer side pump panel below the crosslay. The interior compartment dimensions shall be approximately 24.5" wide x 44" high x 15" deep. This compartment shall be manufactured to be part of the right pump panel/auxiliary pump assembly module. The compartment floor construction shall permit easy cleaning with a true sweep-out design. There shall be a minimum of two (2) 3/8" drain holes in the compartment floors. A removable access panel shall be provided on the back wall to access plumbing in the module.

A single compartment door shall be constructed using a box configuration. The outer door pan shall be constructed from 3/16" (0.188") aluminum plate. The inner door pan shall be constructed from 1/8" (0.125") smooth aluminum plate and shall have nutsert fittings to attach hold-open hardware.

A polished stainless steel locking Hansen D-ring style twist-lock door handle with #459 latch shall be provided on the door. The 4-1/2" (4.5") D-ring handle shall be mounted directly to the door latching mechanism with screws that do not penetrate the door material for improved corrosion resistance.

The compartment door shall be securely attached to the pump module with a full-length stainless steel 1/4" (0.25") rod piano-type hinge isolated from the module and compartment door with a dielectric barrier. The door shall be attached with machine screws threaded into the doorframe.

The door shall have a gas shock-style hold-open device. The gas shock shall have a 30 lb rating and be mounted near the top of the door (when possible).

## **WATER TANK**

### **500 Gallon Water Tank**

A 500 gallon (U.S.) booster tank shall be supplied.

The booster tank shall be constructed of polypropylene material. The booster tank shall be completely removable without disturbing or dismounting the apparatus body structure. The top of the booster tank is fitted with removable lifting assembly designed to facilitate tank removal.

The booster tank top, sides, and bottom shall be constructed of a minimum 1/2" (0.50") thick black UV-stabilized copolymer polypropylene. Joints and seams shall be fused using nitrogen gas as required and tested for maximum strength and integrity. The tank construction shall include technology wherein a sealant shall be installed between the plastic components prior to being fusion welded. This sealing method will provide a liquid barrier offering leak protection in the event of a weld compromise. The tank cover shall be constructed of 1/2" thick polypropylene and UV stabilized, to incorporate a multi-piece locking design, which allows for individual removal and inspection if necessary. The tank cover(s) shall be flush or recessed 3/8" from the top of the tank and shall be fused to the tank walls and longitudinal partitions for maximum integrity. Each one of the covers shall have hold downs consisting of 2" minimum polypropylene dowels spaced a maximum of 40" apart. These dowels shall extend through the covers and will assist in keeping the covers rigid under fast filling conditions.

The tank shall have a combination vent and manual fill tower with a hinged lid. The fill tower shall be constructed of 1/2" polypropylene and shall be a typical dimension of 8" x 8" outer perimeter (subject to change for specific design applications). The fill tower shall indicate that it is a water-only fill tower. The tower shall have a 1/4" thick removable polypropylene screen and a polypropylene hinged cover. The capacity of the tank shall be engraved on the top of the fill tower lid.

The booster tank shall have two (2) tank plumbing openings. One (1) for a tank-to-pump suction line with an anti-swirl plate, and one (1) for a tank fill line. All tank fill couplings shall be backed with flow deflectors to break up the stream of water entering the tank and be capable of withstanding sustained fill rates per the tank fill inlet size.

The sump shall be constructed of a minimum of 1/2" polypropylene. The sump shall have a minimum 3" N.P.T. threaded outlet for a drain plug per NFPA. This shall be used as a combination clean-out and drain. All tanks shall have an anti-swirl plate located approximately 3" above the inside floor.

The transverse and longitudinal swash partitions shall be manufactured of a minimum of 3/8" polypropylene. All partitions shall be equipped with vent and air holes to permit movement of air and water between compartments. The partitions shall be designed to provide maximum water flow. All swash partitions interlock with one another and are completely fused to each other as well as to the walls of the tank. All partitions and spacing shall comply with NFPA 1901. The walls shall be welded to the floor of the tank providing maximum strength.

Inside the fill tower there shall be a combination vent/overflow pipe. The vent overflow shall be a minimum of schedule 40 polypropylene pipe with an I.D. of 3" or larger that is designed to run through the tank. This outlet shall direct the draining of overflow water past the rear axle, thus reducing the possibility of freeze-up of these components in cold environments. This drain configuration shall also assure that rear axle tire traction shall not be affected when moving forward.

The booster tank shall undergo extensive testing prior to installation in the truck. All water tanks shall be tested and certified as to capacity on a calibrated and certified tilting scale.

Each tank shall be weighed empty and full to provide precise fluid capacity. Each tank shall be delivered with a Certificate of Capacity delineating the weight empty and full and the resultant capacity based on weight. Engineering estimates for capacity calculations shall not be permitted for capacity certification. The tank must be designed and fabricated by a tank manufacturer that is ISO 9001:2008 certified in each of its locations. The ISO certification must be to the current standard in effect at the time of the design and fabrication of the tank.

The tank shall have a limited Lifetime warranty that provides warranty service for the life of the fire apparatus in which the tank is installed. Warranties are transferable if the apparatus ownership changes by requesting the transfer from the tank manufacturer

## **FOAM TANK**

### **External Foam Cell**

An externally mounted foam cell shall be provided. The foam cell shall be located in the right side hose bed area. The cell shall have a twenty (20) gallon capacity. The tank top, sides, and bottom shall be constructed of 1/2" (0.50") black UV-stabilized copolymer polypropylene. The copolymer polypropylene tank material shall be welded together utilizing thermoplastic welding

technology. A clean hot air temperature controlled process shall ensure that each weld reaches its plasticized state without cold or hot spots. The copolymer polypropylene material shall be used for its high strength and corrosion resistance for a prolonged tank life.

The foam tank shall have a mesh filler screen of stainless steel or poly recessed 4" to 6" to allow filling without back-splash. The tank shall be equipped with a positive sealing pressure/vacuum vent type cap, a low foam concentrate sensor that turns off the foam pump at a pre-set level, a visual sight gauge, a foam concentrate transfer system, a brass or stainless steel drain valve located at the lowest point of the foam tank and a brass or stainless steel cleanable strainer installed in the supply line from the foam tank to the foam pump.

### **Foam Tank Drain Valve**

A 3/4" brass or stainless steel foam tank drain valve and drain hose shall be provided to completely drain and flush the foam tank. The drain hose (Aeroquip or equal) shall terminate below the left pump panel and have brass or stainless steel swivel fittings at the tank and drain valve to facilitate valve and/or tank removal. The T-handle drain valve shall be located on the lower side of the left pump panel and be properly labeled.

## **HANDRAILS / STEPS**

### **Rear Handrails / Steps**

Six (6) Cast Products 8" x 8" wedge type steps, model SP2012, with hand holds shall be provided at the rear of the body. A brushed stainless steel scuff plate shall be provided above each step. Steps shall be oriented on the rear of the body for ease of access to the hosebed and upper storage areas.

Four (4) handrails shall be at the rear of the body. Two (2) vertical 18" handrails; one (1) each side outboard of steps, one (1) horizontally mounted 48" handrail below hosebed and one (1) 8" on rear face of upper compartment.

The handrails shall be constructed of 6063T5 1.25" OD anodized aluminum tube, with an integral ribbed surface to assure a good grip for personnel safety, mounted between chrome stanchions.

## **MISC BODY OPTIONS**

### **Mud Flaps**

Black mud flaps with E-ONE logo shall be provided for the body wheel wells.

### **Hosebed / Upper Compartments**

#### **Hosebed**

The area above the booster tank shall have (2) hose storage areas provided. One (1) hosebed shall be provided on the driver side and one (1) on the officer side. Each hosebed shall be approximately 24" wide x 18" high x 80" deep with a total capacity of 40 cubic feet of storage.

Each hose bed shall be constructed entirely from maintenance-free, 3/4" deep x 7.5" wide, extruded aluminum slats that shall be pop-riveted into a one-piece grid system. Each slat shall have all sharp edges removed and have an anodized ribbed top surface that shall prevent the accumulation of water and allow for ventilation of wet hose.

The hose bed design shall incorporate adjustable tracks in the forward area and the rearward area of the hose bed for the installation of an adjustable divider(s). The adjustable tracks shall hold an adjustable divider(s) mounting nut straight, so only a Philips head screwdriver is required to adjust a divider(s) from side to side (as is practical with other hose bed mounted equipment).

The hose beds shall be easily removable to allow access to the booster tank below.

### **Hosebed Covers**

The hose bed areas shall have an aluminum hose bed cover over each area. The hose bed cover shall be provided in compliance with NFPA.

Each hose bed cover shall be constructed of 3/16" (.188") aluminum embossed treadplate with a formed aluminum brace under for stability. Each cover door shall be securely attached to the hose bed side with a full-length stainless steel piano type hinge. The hinge shall have 1/4" pins and shall be "staked" on every other knuckle to prevent pin slippage.

Each cover shall include two (2) hold opens per cover. The forward area of the cover shall have one (1) pneumatic shock. The rear of the cover shall have one (1) positive hold open/hold closed that shall include one (1) manually engaged securing pin.

Each cover shall include two (2) assist handles, one (1) grab handle (forward) and one (1) handrail (rearward). The rearward handrails shall be installed in compliance with current NFPA. The handrails shall be constructed of 6063T5 1.25" OD anodized aluminum tube, with an integral ribbed surface to assure a good grip for personnel safety, mounted between chrome stanchions.

Each cover door shall be wired to the door ajar indicator light in the cab and shall be interlocked with the parking brake per NFPA.

Two (2) covers constructed of red 18 oz. PVC vinyl coated polyester shall be installed at the rear apparatus hose bed aluminum covers. The base fabric shall be 1000 x 1300 Denier Polyester with a fabric count of 20 x 20 per square inch with a weighted bottom.

The top of each cover shall be mechanically attached to the rear hose bed cover. The lower portion of the cover shall be secured in place using California Type 3 straps sewn in place, equally spaced to meet applicable requirements of the latest edition of NFPA 1901.

## **Hosebed Dividers**

There shall be a hose bed divider provided the full fore-aft length of each hose bed.

The hose bed divider shall be constructed of 1/4" (0.25") smooth aluminum plate with an extruded aluminum base welded to the bottom. The rear end of each divider shall have a 3" radius corner to protect personnel and a hand hold cut-out on the trailing edge. The divider shall be natural finish aluminum for long-lasting appearance and shall be sanded and de-burred to prevent damage to the hose.

The divider shall be adjustable from side to side in the hose bed to accommodate varying hose loads.

## **Storage Compartments**

Two (2) storage compartments shall be provided in the hosebed area. One (1) center mounted between each hosebed full length and one (1) forward of the hosebed full width.

The storage areas shall be constructed of 1/8" (.125") smooth aluminum with sanded finish and provided with a 3/16" (.188") aluminum embossed diamond plate doors. Each door shall be provided with 2" flanges on all four sides and securely attached with a full-length stainless steel piano type hinge. The hinge shall have 1/4" pins and shall be "staked" on every other knuckle to prevent pin slippage. Gas shock hold open device(s) and locking thumb latches shall be provided with each door.

Center storage dimensions shall be approximately 16" wide x 18" high x 78" deep with a capacity of 13 cubic feet of storage. Forward storage dimensions shall be approximately 61.25" wide x 18" high x 23.25" deep. Forward storage area shall house water tank fill tower and 20 gallon foam tank. Additional storage space shall be provided in the forward compartment.

## **I-Zone Brackets**

One (1) I-Zone bracket shall be provided, one (1) each side below upper rear body steps. Each bracket shall be oriented in an upward angled position with a locking pin.

Each bracket shall be provided with a handrail extrusion constructed of 6063T5 1.25" OD anodized aluminum tube approximately 24" long. Brackets shall be provided on the secondary door of the B1 compartment to store extrusions when not in use.

## **Compartment Storage Package**

Compartment storage packages shall be provided. It shall include the following:

### **L1 Compartment**

Compartment shall be provided with Unistrut track on the forward, rearward and backwalls. One (1) free standing divider constructed of 1/4" (.25") sanded smooth aluminum plate on a hosebed divider base extrusion shall be provided approximately 18" off the forward wall. Divider shall be provided with Unistrut track. One (1) full width adjustable shelf constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided above the divider. One (1) adjustable shelf constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided rearward of the divider.

One (1) Ziamatic walkway bracket located ahead of the divider shall be provided on a sanded smooth aluminum plate attached to the Unistrut.

### **L2 Compartment**

Compartment shall be provided with Unistrut track on the forward, rearward and backwalls. Two (2) full width adjustable shelves constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided equally spaced.

### **R1 Compartment**

Compartment shall be provided with Unistrut track on the forward, rearward and backwalls. One (1) full width adjustable shelf constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided.

Three (3) Ziamatic walkway brackets equally spaced located at the back wall shall be provided on a sanded smooth aluminum plate attached to the Unistrut.

### **R2 Compartment**

Compartment shall be provided with Unistrut track on the forward, rearward and backwalls. Two (2) full width adjustable shelves constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided. One (1) shall be provided in upper section and one (1) shall be provided in lower section.

### **B1 Compartment**

Compartment shall be provided with Unistrut track on the forward, rearward and backwalls. One (1) full width adjustable shelf constructed of 3/16" (.188") smooth sanded aluminum with four (4) 2" flanges shall be provided.

### **Turtle Tile**

Heavy duty black Turtle Tile brand floor matting shall be provided on all compartment floors and shelves.

## **PUMPS**

## **PTO Fire Pump Specification**

A Darley model JMP 500 GPM two stage fire pump shall be provided and installed. The pump unit shall be fully capable of meeting National Fire Protection Association (NFPA) #1901 Standard for fire apparatus pumps. The pump shall be fixed mounted between the chassis frame rails. Floating pump designs are not acceptable and will be cause for immediate rejection of the entire bid.

Pump casing shall be a fine grain cast iron, vertically split for greater resistance against leakage, with a minimum tensile strength of 30,000 psi. The pump contains a staging valve that allows the pump to select the volume mode for high flows and the pressure mode for selecting high pressures. Seal rings shall be renewable, double labyrinth, wrap around bronze type.

### **Pump Shaft**

The pump shaft shall be splined to receive broached impeller hubs, for greater resistance to wear, torsional vibration, and torque imposed by engine, as well as ease of maintenance and repair. Bearings provided shall be heavy duty, deep groove, radial-type ball bearings. Sleeve bearings on any portion of the pump or transmission shall be prohibited due to wear, deflection, and alignment concerns. The bearings shall be protected at all openings from road dirt and water splash with oil seals and water slingers.

### **Impeller**

The impeller shall be a high strength bronze alloy, splined to the pump shaft for precision fit, durability, and ease of maintenance. Impeller shaft oil seals shall be constructed to be free from steel components except for the internal lip spring. The impeller shaft oil seals shall carry a lifetime warranty against damage from corrosion from water and other fire-fighting fluids.

### **Mechanical Seal**

The pump shall be furnished with a Darley maintenance free mechanical seal. The mechanical seal shall be a non-contacting, non-wearing dual seal design. Seal shall be a Silicon Carbide Mechanical seals with welded springs. The stationary face of mechanical seals shall be made from Silicon Carbide, and be extremely hard and of a heat dissipative material, which resists wear and dry running damage much better than conventional Ni-resist and Tungsten Carbide materials.

### **Pump Transmission**

The transmission case shall be heavy duty cast iron with adequate oil reserve capacity to maintain low operating temperature. Pump ratio to be selected by the manufacturer's engineering department. Gears shall be helical in design and precision ground for quiet operation and extended life. Gears to be cut from high strength alloy steel, ground, and carburized. Chain drive and/or design requiring extra lubricating pump is not acceptable. Pump drive shaft shall be precision ground, heat treated alloy steel, with a 1-3/8 spline. The pump transmission shall

require no further lubrication beyond that provided by the intrinsic action of the gears, to reduce the likelihood of failure due to loss of auxiliary lubrication.

### **Driveline Installation**

The chassis drivelines shall be sized for intended application and torque requirements. The installation shall comply with driveline manufacturer's guidelines.

### **Manuals**

Two (2) manuals covering the fire pump transmission and selected options of the fire pump shall be provided with the apparatus.

### **Direct Drive PTO**

A transmission mounted direct drive PTO shall be provided. The pump transmission shall be driven by a hot shift PTO operated from within the cab that interlocks in the road or pump mode. The pump shift controls shall be located in the cab within easy reach of the driver and shall include indicator lights as mandated by NFPA #1901 and #1906 latest editions.

The fire pump and gear case shall be mounted in such a manner that the PTO driveline angles do not exceed the manufacturer's recommended angles for the u-joints and shall be of the proper series and type specified by the pump and PTO manufacturers. The driveline shall be both statically and dynamically balanced.

### **Main Pump Controls**

The main fire pump shift controls shall be mounted in the cab within easy reach of the driver and identified as "PUMP SHIFT" and shall include a permanently inscribed pump shift instruction ID plate. The pump shift controls shall include indicating lights located on the in-cab and left pump panels that advise the operator that the pump shift has been completed and it is OK to pump.

The indicating lights shall be as follows:

- 1) A "Pump Engaged" light located in the cab.
- 2) An "OK to Pump" light located in the cab to indicate the pump is engaged
- 3) A "Throttle Ready" light located on the left pump operator's panel.

The mounting location of the in-cab pump shift controls shall be in the cab center control console.

### **Auxiliary Fire Pump**

Darley portable pump model number 1-1/2 AGE 24K shall be provided. The high pressure, high volume pump shall meet the following performance requirements:

120GPM @ 150PSI

75GPM @ 245PSI

25GPM @ 375PSI

### **Pump Construction**

The pump shall be constructed with high strength aluminum alloy casing and discharge valve, aluminum alloy gear case and engine adapter, bronze impeller and wear rings, stainless steel impeller shaft, mechanical seal, heat treated alloy steel helical gear and ball bearing construction.

### **Pump Packing**

The plunger injection packing glands shall have the ability to be repacked in less than 10 minutes and feature a long wearing ceramic coating to minimize friction and power loss. Plastallic injected packing supplied through an external supply cylinder shall allow for equalized pressure around the pump shaft and minimize friction.

### **Pump Inlet and Outlet**

The pump shall have one (1) 2" NPT inlet and one (1) 2-1/2" NPT discharge shall be supplied. The discharge outlets shall be supplied with check valves.

### **Auxiliary Pump Plumbing**

The auxiliary pump shall be plumbed in common with the main pump to provide discharge pressure to all 1" and 2" discharge valves. Stainless steel Techno-Check full flow one way check valves are required to isolate the auxiliary and main pump discharge pressure from each other.

Plumbing between the tank sump and auxiliary pump suction eye shall be Gates 4684CF 2" flexible wire reinforced suction hose (or equal) with threaded fittings. A stainless steel Techno-Check 2" one way full flow check valve shall be installed in the auxiliary pump suction hose as close to the tank sump as possible to ensure that the auxiliary pump remains primed at all times.

### **Engine Specification**

The pump shall be powered by a 24 horsepower, three cylinder liquid cooled Kubota diesel engine. The engine shall have a spin on oil filter, dry element air cleaner, manual compression release, 12 volt electric starter, flywheel alternator and fuel pump. The pump shall have dimensions of 35" long x 22" wide x 26.25" high and a weight of 340 pounds.

### **Warranty**

The pump shall carry a three (3) year parts replacement warranty provided by Darley with original equipment parts supplied by Darley in 72 hours after receipt of order.

## **Pump Location**

The pump and diesel power unit shall be mounted on a sub-frame in such a manner so as to eliminate vibration while operating and to provide suitable access for performing routine maintenance. The pump and power unit assembly shall be designed so the entire assembly may be easily removed as a unit to gain access to plumbing or components below. A hinged cover with suitable latches shall be provided over the pump and power unit assembly. The area around the assembly shall remain open for maintenance and air circulation and the radiator shall be located behind a ventilated access panel.

## **Pump Features**

The pump power unit shall be furnished as follows:

- 1) Dry element, direct mounted air filter.
- 2) Stainless steel air intake ember screen.
- 3) Exhaust system equipped with USDA approved spark arrestor and appropriate heat shields to protect various components and personnel from heat related damage/injuries from high exhaust pipe temperatures. The exhaust system shall be routed below the apparatus, horizontally discharged away from the pump panel and be manufactured from heavy duty aluminized steel exhaust pipe to meet manufacturers specifications.
- 4) Spin on automotive type fuel and oil filters that meet the engine manufacturers specifications.
- 5) Fuel system shall be designed to draw fuel from the apparatus fuel tank through the use of a 12 volt automotive electric fuel pump with pick-up tube. The pick-up tube shall be designed so as to assure the auxiliary engine will not exhaust the fuel supply of the vehicle. (minimum 10 gallon reserve)
- 6) A crankcase oil drain extension line routed below the frame to facilitate oil changes.
- 7) 12 volt electric start.

## **Auxiliary Pump Controls**

Two (2) Digitrol water tight pump control panels shall be provided; one (1) located on the driver pump panel and one (1) located on the cab console. Each Digitrol panel shall feature a modular design with available custom harnesses for plug and play installation, (2) red OLED displays on each panel, momentary push to start/stop button with over crank protection, toggle switch with green lamp for panel power, toggle switch for high/low idle, 15 amp circuit breaker and 5 second glow plug sequence when panel is powered ON.

## **PLUMBING KITS**

## **Plumbing Package**

Where vibration or chassis flexing may damage or loosen plumbing or plumbing exiting the pump enclosure, Victaulic plumbing connections shall be utilized to facilitate maintenance. If necessary, Victaulic couplings shall also be located as close as possible to each pump suction inlet and discharge outlet to facilitate removal of the pump(s). Threaded piping systems except where plumbing is directly attached to the fire pump are not acceptable.

The manifolds shall be designed with Victaulic couplings to facilitate manifold/pump or valve removal and shall also meet all NFPA pressure requirements. In order to minimize friction loss, sweep type elbows shall be utilized. Threaded Schedule 40 (pipe thread) 304L stainless steel manifolds will be utilized for plumbing directly attached to the fire pump.

All flexible discharge lines other than in the suction plumbing shall be Aeroquip or equal, with a minimum of 300 psi working pressure and 1200 psi burst pressure, using full flow stainless steel couplings/fittings. All fabricated piping shall be a minimum of Schedule 10 stainless steel for superior corrosion resistance and decreased friction loss.

All plumbing for the 2" discharge valves shall be 2" pipe except for the front discharge(s), which shall be high pressure hose equal to 2" pipe. The plumbing between the two (2) front jumpline valve(s) (if specified) shall be 304L stainless steel pipe.

All intake and discharge plumbing must meet the construction requirements of NFPA 1901.

### **Master Drain Valve**

A manual master drain valve shall be installed on the pump panel. The master pump drain assembly shall consist of a Class 1 bronze master drain with a rubber disc seal. The master drain shall have a rubber seal to prevent water from running out on the running board. The manual master drain valve shall have twelve (12) individually-sealed ports that allow quick and simultaneous draining of multiple intake and discharge lines. It shall be constructed of corrosion-resistant material and be capable of operating at a pressure of up to 600 PSI.

The master drain shall provide independent ports for low point drainage of the fire pump and auxiliary devices.

### **4" Suction Inlet**

There shall be one (1) 4" pump suction inlet, male NH threads with a removable screen and rocker lug cap shall be provided on the left pump operator's panel.

### **Tank-To-Pump Valve**

The 3" booster tank to main pump line shall have a quarter turn, full flow ball valve. The tank suction valve shall be controlled by an electric actuated air cylinder, the cylinder shall be large enough to assure positive opening and closing of the valve. The control shall be located on the

left pump panel, properly labeled as its function and feature a “green” valve open and “red” valve closed indicator light.

A 3" stainless steel Techno-Check one way full flow check valve shall be provided in the tank suction line to prevent back flow to the tank.

### **Tank Fill 2” Akron Valve**

One (1) manually operated 2” Akron valve shall be installed between the pump discharge and the booster tank in order to fill the tank. The valve control shall be located at the pump operator’s panel and shall visually indicate the position of the valve at all times.

The valve shall be an Akron 8800HD series with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position and water is flowing through it. The valve shall be of the unique Akron swing-out design to allow the valve body to be removed for servicing without disassembling the plumbing.

### **Rear Direct Tank Fill 2.5” Akron Valve**

One (1) 2.5" Akron 8800HD series tank fill valve with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance shall be provided. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position with water flowing through it. Valve shall be controlled at the valve with “TSC” style handle with 2-1/2" NH male threads, inlet screen, and a chrome cap and chain shall be provided. The valve shall be located on the left side lower rear body panel.

### **Left Intake 2.5” Akron Valve**

One (1) 2-1/2” suction inlet with a manually operated 2-1/2” Akron valve shall be provided on the left side pump panel. The valve shall be an Akron 8800HD series with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position and water is flowing through it. The valve shall be of the unique Akron swing-out design to allow the valve body to be removed for servicing without disassembling the plumbing.

The outlet of the valve shall be connected to the suction manifold of the pump with the valve body located behind the pump panel. The valve shall come equipped with a brass inlet strainer, 2-1/2” NST female chrome inlet swivel and shall be equipped with a chrome plated, rockerlug plug with a retainer device.

The valve control shall be located at the pump operator`s panel and shall visually indicate the position of the valve at all times. All fabricated piping shall be a minimum of Schedule 10 stainless steel for superior corrosion resistance, and decreased friction loss.

A 3/4" bleeder valve assembly will be installed on the left side pump panel.

### **Back Pump Tank Fill**

**A 3/4" valved outlet shall be provided on the left side pump operator`s panel for filling fire fighter back-pack pump tanks directly from the water tank. The valve plumbing shall be 3/4" I.D. properly routed from the tank sump to the filler valve control.**

### **Discharge Left Panel 2.5" Akron Droop**

One (1) 2-1/2" discharge outlet with a manually operated Akron valve shall be provided at the left hand side pump panel. The valve shall be an Akron 8800HD series with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position and water is flowing through it. The valve shall be of the unique Akron swing-out design to allow the valve body to be removed for servicing without disassembling the plumbing.

The valve control shall be located at the pump operator panel and shall visually indicate the position of the valve at all times. The discharge shall extend out beyond the pump panel with a 30 degree downward angle with 2-1/2" NST threads to help prevent kinking of the discharge hose. The 30 degree droop shall be removable type and shall be equipped with a chrome plated rocker-lug cap with a retainer chain.

The discharge shall be supplied with a 3/4" bleeder valve assembly. The drain shall be controlled with a quarter-turn valve on the pump panel.

All fabricated piping shall be a minimum of Schedule 10 stainless steel for superior corrosion resistance and decreased friction loss.

### **Discharge Left Rear 2.5" Akron Droop**

One (1) 2-1/2" discharge outlet with a manually operated Akron valve shall be provided at the left rear of the body below the hosebed. The valve shall be an Akron 8800HD series with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position and water is flowing through it. The valve shall be of the unique Akron swing-out design to allow the valve body to be removed for servicing without disassembling the plumbing.

The valve control shall be located at the pump operator panel and shall visually indicate the position of the valve at all times. The discharge shall extend out beyond the pump panel with a

30 degree downward angle with 2-1/2" NST threads to help prevent kinking of the discharge hose. The 30 degree droop shall be removable type and shall be equipped with a chrome plated rockerlug cap with a retainer chain.

The discharge shall be supplied with a 3/4" bleeder valve assembly. The drain shall be controlled with a quarter-turn valve on the pump panel.

All fabricated piping shall be a minimum of Schedule 10 stainless steel for superior corrosion resistance and decreased friction loss.

## **2" Right Rear Discharge**

One (1) 2" Akron 8800HD series valve with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position with water flowing through it. Valve shall be controlled at the valve with "TSC" style handle with 1-1/2" NH male threads, and a chrome cap and chain shall be provided. The valve shall be located below the hose bed on the right side upper rear body panel.

## **(2) 2" Crosslay Discharges**

(2) 2" Akron 8800HD series valves with 316 stainless steel balls and dual polymer seats for ease of operation and increased abrasion resistance shall be provided. The valves shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position with water flowing through it. The valve controls shall be located at the left and right side pump operator panels to the rear of the crosslay and shall visually indicate the position of the valve at all times.

## **Booster Hose Reel**

One (1) Hannay SBEPF-17-28-29 12 volt DC electric rewind hose reel, latest edition (no substitute), with a 1" Akron 8800 series (or equal), 1/4 turn full flow ball valve with "TSC" style handle, brake, 2/3rd hp/imp high torque motor, w/70 amp circuit protection, constant duty relay and a stainless steel hub assembly (manifold) with 1" NPT hose fittings shall be provided. The reel shall be polished aluminum and the rewind buttons shall be located on the left and right pump operator's panels and be properly labeled.

The hose reel shall be installed on the left side of the apparatus above the pump operator's panel. The hose reel shall be the size to carry 150' of 3/4" hardline, or 100' of 1" hardline. The hardline hose is not included. The hose reel shall feature two horizontal and two vertical chrome fairlead rollers. The top and bottom horizontal rollers shall be backed up with a 5/16" steel rod to prevent the roller mounts from spreading.

Two (2) additional fairlead rollers shall be located on the auxiliary pump cover (if specified) for guiding the hose across the top of the vehicle. The hose reel assembly and wiring harness shall

be installed in such a manner as to be easily removable to gain access to various components below and behind the pump panel.

### **Thermal Relief Valve**

A Hale TRVL-120 thermal relief valve shall be provided.

The valve shall help protect the pump by automatically monitoring pump water temperature. The relief valve shall automatically dump a controlled amount of water to the ground when the pump water exceeds the pre-set temperature of the relief valve.

A pump panel mounted indicator shall be installed at the pump operator`s panel.

### **Auxiliary Engine Cooler**

An auxiliary engine cooler shall be provided to lower the engine coolant temperatures during prolonged pumping operations.

This auxiliary engine cooler shall be provided by the chassis manufacturer and installed in-line with the engine coolant system in such a manner as to allow cool pump water to circulate around engine coolant, thus forming a true heat exchanger action.

The auxiliary cooler inlet and outlet shall be continuous and shall prevent intermixing of engine coolant and pump water.

The auxiliary cooler shall be controlled at the pump operator`s panel.

### **FRC PumpBoss Pressure Governor**

Fire Research PumpBoss model PBA400 series pressure governor and monitoring display kit shall be installed. The standard kit shall include a control module, pump discharge pressure sensor, and cables. The control module case shall be waterproof and have dimensions not to exceed 6-3/4" high by 4-5/8" wide by 1-3/4" deep. Inputs for engine information shall be from a J1939 databus or from independent sensors and pump discharge pressure input shall be from a pressure sensor.

The following continuous displays shall be provided:

- \* CHECK ENGINE and STOP ENGINE warning LEDs.
- \* Engine RPM; shown with four daylight bright LED digits more than 1/2" high.
- \* Engine OIL PRESSURE; shown on an LED bar graph display in 10 psi increments.
- \* Engine TEMPERATURE; shown on an LED bar graph display in 10 degree increments.
- \* BATTERY VOLTAGE; shown on an LED bar graph display in 0.5 volt increments.
- \* PSI / RPM setting; shown on a dot matrix message display.
- \* PSI and RPM mode LEDs.
- \* THROTTLE READY LED.

A dot-matrix message display shall show diagnostic and warning messages as they occur. It shall show monitored apparatus information, stored data, and program options when selected by the operator.

The program shall store the accumulated operating hours for the pump and engine, previous incident hours, and current incident hours in a non-volatile memory. Stored elapsed hours shall be displayed at the push of a button. It shall monitor inputs and support audible and visual warning alarms for the following conditions:

- \* Low Oil Pressure
- \* High Engine Coolant Temperature
- \* High Transmission Temperature
- \* Low Battery Voltage (Engine Off)
- \* Low Battery Voltage (Engine Running)
- \* High Battery Voltage
- \* High Engine RPM

The governor shall operate in two control modes; pressure and RPM. No discharge pressure or engine RPM variation shall occur when switching between modes. A control knob that uses optical technology shall adjust pressure or RPM settings. It shall be 2" in diameter with no mechanical stops, a serrated grip, and have a red idle push button in the center.

A throttle ready LED shall light when the pump engaged interlock signal is recognized. The governor shall be in pressure mode and set the engine RPM to idle. In pressure mode the governor shall automatically regulate the discharge pressure at the level set by the operator. In RPM mode the governor shall maintain the engine RPM at the level set by the operator except in the event of a discharge pressure increase. The governor shall limit a discharge pressure increase in RPM mode to a maximum of 30 PSI. Other safety features shall include recognition of no water conditions with an automatic programmed response and a push button to return the engine to idle.

The pressure governor and monitoring display shall be programmed to interface with a specific engine.

The display module shall be mounted at the pump operator's panel.

### **Anode, Darley Pump**

An anode to help prevent damage caused by galvanic corrosion within the pump shall be provided. The system provides a sacrificial metal which helps to diminish or prevent pump and pump shaft galvanic corrosion. One (1) anode will be located on the suction side of the pump

### **Class 1 Pump Test Ports**

Class 1 test taps for pump intake and pump pressure shall be provided on the pump panel and be properly labeled.

### **Pump Panel Air Outlet**

A 1/4 inch female AmFlo C30 air hose fitting shall be mounted on the driver pump panel with a 1/4 inch valve. The fitting and valve shall be connected to the air reservoir tank.

### **Ferrell Compression Fittings**

Ferrell compression fittings shall be supplied and installed on all pump plumbing.

### **Pump Panel Tags**

Color coded pump panel labels shall be supplied to be in accordance with NFPA 1901 compliance.

### **Pump Certification Non NFPA**

The fire pump shall be tested after the pump and all its associated piping and equipment have been installed on the apparatus. The tests shall be conducted at the manufacturer`s approved facility and certified by the body manufacturer. The certification shall include the pump test, pressure control system test, priming device tests, vacuum test and water tank to pump flow test.

The pump shall deliver the percentage of rated capacities at pressures indicated below:

100% of rated capacity at 150 psi net pump pressure  
70% of rated capacity at 200 psi net pump pressure  
50% of rated capacity at 250 psi net pump pressure

A Certificate of Inspection certifying performance of the pump and all related components shall be provided at time of delivery. Additional certification documents shall include, but not limited to, Certificate of Hydrostatic Test, Electrical System Performance Test, Manufacturer`s Record of Pumper Construction, and Certificate of Pump Performance from the pump manufacturer.

## **PUMP OPTIONS**

### **Pump Primer**

#### **AUTOMATIC FIRE PUMP PRIMING SYSTEM**

A Trident Model #31.013.5 automatic air operated priming system shall be installed. The unit shall be of all brass and stainless steel construction and designed for fire pumps of 1,000 GPM (3,750 LPM) or less. Due to corrosion exposure no aluminum or vanes shall be used in the primer design. The primer shall be two-barrel design with 3/4" NPT connection to the fire pump.

The primer shall be mounted above the pump impeller so that the priming line will automatically drain back to the pump. The primer shall also automatically drain when the panel control

actuator is not in operation. The inlet side of the primer shall include a brass ‘wye’ type strainer with removable stainless steel fine mesh strainer to prevent entry of debris into the primer body.

### Performance, Safety, and NFPA Compliance

The priming system shall be capable to a vertical lift to 22 inches of mercury and shall be fully compliant to applicable NFPA standards for vertical lift. The system shall create vacuum by using air from the chassis air brake system through a two-barrel multi-stage internal “venturi nozzles” within the primer body. The noise level during operation of the primer shall not exceed 75 Db.

### Air Flow Requirements

The primer shall require a minimum of 13.2 cubic foot per minute air compressor and shall be capable of meeting drafting requirements at high idle engine speed. The air supply shall be from a chassis supplied ‘protected’ air storage tank with a pressure protection valve. The air supply line shall have a pressure protection valve set between 70 to 80 PSIG.

### Automatic Primer Control and Vacuum Gauge Panel

The 12 volt primer control shall be an “automatic” type, with a pump panel three-way switch to operate an air solenoid valve. The air valve shall direct air pressure from the air brake system to the primer. To prevent freezing, no water shall enter the primer valve control.

A vacuum gauge 2” in diameter, with graduations from zero to 30 feet, shall be installed in the primer control panel. The gauge shall be physically connected to the vacuum side of the primer and read only when the primer is running so it will never see or be subject to damage from high pump intake pressures.

The automatic priming switch shall have three positions as follows:

- **“Prime”** – the lower position shall be a momentary “push to prime”. The “Prime” position also allows the operator to “ramp” test the primer without the fire pump being engaged.
- **“Off”** -- center position
- **“Auto-Prime”** – in the upper position, a “green” LED pilot light shall be illuminated when the switch is the auto-prime position. The “Auto-Prime” operates automatically when the pump pressure drops below 20 PSIG. The primer shuts “off” automatically when the pump pressure is re-established and exceeds 20 PSIG. The “Auto” mode only operates when the fire pump is engaged.

### Power Requirements

To reduce the electrical power requirements on the fire apparatus the priming system shall be air powered. The system shall not require annual tear-down and maintenance, an electric motor, lubrication, belt drive, or clutch assembly. The maximum current draw shall not exceed 0.5 amps during operation.

## Warranty

The primer shall be covered by a five (5) year parts warranty.

# DISCHARGES AND PRECONNECTS

## Front Jump Line 1.5 Akron Valve [Qty: 2]

One (1) 1-1/2" preconnect outlet with a manually operated Akron valve shall be supplied to the extended front bumper. The preconnect shall consist of a 2" heavy duty hose coming from the pump discharge manifold to a 2" FNPT x 1-1/2" MNST mechanical swivel hose connection to permit the use of the hose from either side of the apparatus.

The valve shall be an Akron 8800HD series with a 316 stainless steel ball and dual polymer seats for ease of operation and increased abrasion resistance. The valve shall have a self-locking ball feature using an automatic friction lock design to balance the stainless steel ball when in a throttle position with water flowing through it.

The valve shall be of the unique Akron swing-out design to allow the valve body to be removed for servicing without disassembling the plumbing.

An air blow-out valve shall be installed between the chassis air reservoir and the front jump line. The control shall be installed on the pump operator's panel.

The discharge shall be supplied with a Class 1 automatic 3/4" drain valve assembly. The automatic drain shall have an all-brass body with stainless steel check assembly. The drain shall normally be open and automatically close when the pressure is greater than 6 psi.

The valve control shall be located at the pump operator panel and shall visually indicate the position of the valve at all times.

All fabricated piping shall be a minimum of Schedule 10 stainless steel for superior corrosion resistance and decreased friction loss.

## Swivel Front Jump Line Brass

There shall be a brass swivel provided for the front bumper discharge located on top of bumper driver's side of center tray, officer's side of center tray.

# GAUGES

## **Tank Level Gauge Mini Water**

A Fire Research TankVision model WLA205-A00 miniature tank indicator shall be installed in the cab center console. The indicator shall show the volume of water in the tank on five (5) easy to see super bright LEDs. A wide view lens over the LEDs shall provide for a viewing angle of 180 degrees. The indicator case shall be manufactured of aluminum and have a distinctive blue label. The miniature indicator shall receive input information over a single wire from a Fire Research TankVision model WLA200-A00 tank primary indicator.

## **FRC Water Tank Level Gauge**

Fire Research TankVision Pro model WLA300-A00 tank indicator kit shall be installed. The kit shall include an electronic indicator module, a pressure sensor, and a 10' sensor cable. The indicator shall show the volume of water in the tank on nine (9) easy to see super bright RGB LEDs. A wide view lens over the LEDs shall provide for a viewing angle of 180 degrees. The indicator case shall be waterproof, manufactured of Polycarbonate/Nylon material, and have a distinctive blue label.

The program features shall be accessed from the front of the indicator module. The program shall support self-diagnostics capabilities, self-calibration, six (6) programmable colored light patterns to display tank volume, adjustable brightness control levels and a datalink to connect remote indicators. Low water warnings shall include flashing LEDs at 1/4 tank, down chasing LEDs when the tank is almost empty, and an output for an audio alarm.

The indicator shall receive an input signal from an electronic pressure sensor. The sensor shall be mounted from the outside of the water tank near the bottom. No probe shall be placed on the interior of the tank. Wiring shall be weather resistant and have automotive type plug-in connectors.

## **FRC Tank Level Gauge Water Additional**

Fire Research TankVision Pro model WLA300-B00 tank remote indicator kit shall be installed. The kit shall include an electronic indicator module and a 10' remote cable. The indicator shall show the volume of water in the tank on nine (9) easy to see super bright RGB LEDs. A wide view lens over the LEDs shall provide for a viewing angle of 180 degrees. The indicator case shall be waterproof, manufactured of Polycarbonate/Nylon material, and have a distinctive blue label.

The remote indicator shall receive input information over a datalink from a Fire Research TankVision primary indicator model WLA200-A00, WLA300-A00 or WLA400-A00. It shall mirror the primary indicator. A 10' cable shall be provided to connect the datalink. The remote indicator shall have the same program as the primary so that the two indicators are interchangeable.

Shall be located driver rear.

# FOAM SYSTEMS

## Foam System

There shall be a FoamPro 1600 fully automatic electronic direct injection foam proportioning system furnished and installed on the fire pump/CAFS assembly. The system shall be capable of Class A foam concentrates only. The proportioning operation shall be based on an accurate direct measurement of water flow with no restriction. The proportioning system shall meet NFPA standards for foam proportioning systems and the design shall have passed testing against SAE automotive reliability standards appropriate for the application. The foam system shall be installed in accordance with the manufacturer recommendations.

Controls shall be installed on the pump operator's panel and enable the pump operator to perform the following control and operation functions:

- Activate the foam system.
- Change foam concentrate proportioning rates from .1% to 1% in .1% increments.
- Feature a "low concentrate" warning indicator.

The foam system shall have a 12 volt, 3/4 hp "TENV" electric motor designed for wet and high humidity environments, direct coupled to a positive displacement piston type foam concentrate pump with a rated capacity of 1.7 gpm @ 200 psi (6.4 L/min@13.8 BAR) with maximum operating pressures up to 400 psi (27.6 BAR).

# ELECTRICAL SYSTEMS

## Electrical System

The apparatus shall incorporate a Weldon V-MUX multiplex 12 volt electrical system. The system shall have the capability of delivering multiple signals via a CAN bus. The electrical system installed by the apparatus manufacturer shall conform to current SAE standards, the latest FMVSS standards, and the requirements of the applicable NFPA 1901 standards.

The electrical system shall be pre-wired for optional computer modem accessibility to allow service personnel to easily plug in a modem to allow remote diagnostics.

The electrical circuits shall be provided with low voltage over-current protective devices. Such devices shall be accessible and located in required terminal connection locations or weather-resistant enclosures. The over-current protection shall be suitable for electrical equipment and shall be automatic reset type and meet SAE standards. All electrical equipment, switches, relays, terminals, and connectors shall have a direct current rating of 125 percent of maximum current for which the circuit is protected. The system shall have electro-magnetic interference suppression provided as required in applicable SAE standards.

Any electrical junction or terminal boxes shall be weather-resistant and located away from water spray conditions.

## **Multiplex System**

For superior system integrity, the networked multiplex system shall meet the following minimum component requirements:

- The network system must be Peer to Peer technology based on RS485 protocol. No one module shall hold the programming for other modules. One or two modules on a network referred to as Peer to Peer, while the rest of the network consists of a one master and several slaves is not considered Peer to Peer for this application.
- Modules shall be IP67 rated to handle the extreme operating environment found in the fire service industry.
- All modules shall be solid state circuitry utilizing MOS-FET technology and utilize Deutsch series input/output connectors.
- Each module that controls a device shall hold its own configuration program.
- Each module should be able to function as a standalone module. No “add-on” module will be acceptable to achieve this form of operation.
- Load shedding power management (8 levels).
- Switch input capability for chassis functions.
- Responsible for lighting device activation.
- Self-contained diagnostic indicators.
- Wire harness needed to interface electrical devices with multiplex modules.
- The grounds from each device should return to main ground trunk in each sub harness by the use of ultrasonic splices.

## **Wiring**

All harnessing, wiring and connectors shall be manufactured to the following standards/guidelines. No exceptions.

- NFPA 1901-Standard for Automotive Fire Apparatus
- SAE J1127 and J1127
- IPC/WHMA-A-620 – Requirements and Acceptance for Cable and Wire Harness Assemblies. (Class 3 – High Performance Electronic Products)

All wiring shall be copper or copper alloys of a gauge rated to carry 125 of the maximum current for which the circuit is protected. Insulated wire and cable 8 gauge and smaller shall be SXL, GXL, or TXL per SAE J1128. Conductors 6 gauge and larger shall be SXL or SGT per SAE J1127.

All wiring shall be colored coded and imprinted with the circuits function. Minimum height of imprinted characters shall not be less than .082” plus or minus .01”. The imprinted characters shall repeat at a distance not greater than 3”.

A coil of wire shall be provided behind electrical appliances to allow them to be pulled away from mounting area for inspection and service work.

### **Wiring Protection**

The overall covering of the conductors shall be loom or braid.

Braid style wiring covers shall be constructed using a woven PVC-coated nylon multifilament braiding yarn. The yarn shall have a diameter of no less than .04" and a tensile strength of 22 lbs. The yarn shall have a service temperature rating of -65 F to 194 F. The braid shall consist of 24 strands of yarn with 21 black and 3 yellow. The yellow shall be oriented the same and be next to each other.

Wiring loom shall be flame retardant black nylon. The loom shall have a service temperature of -40 F to 300 F and be secured to the wire bundle with adhesive-backed vinyl tape.

### **Wiring Connectors**

All connectors shall be Deutsch series unless a different series of connector is needed to mate to a supplier's component. The connectors and terminals shall be assembled per the connector/terminal manufacturer's specification. Crimble/Solderless terminals shall be acceptable. Heat shrink style shall be utilized unless used within the confines of the cab.

### **Electrical Connection Protection**

The vehicle electrical system shall be made more robust by the application of a corrosion inhibiting spray coating on all exposed electrical connections on the body.

The coating shall use nanotechnology to penetrate at the molecular level into uneven surfaces to create a protective water repellant film. The coating shall protect electrical connections against the environmental conditions' apparatus are commonly exposed to.

### **Data Recorder**

A vehicle data recorder system shall be provided to comply with NFPA 1901, 2009 edition. The following data shall be monitored:

- Vehicle speed MPH
- Acceleration (from speedometer) MPH/Sec.
- Deceleration (from speedometer) MPH/Sec.
- Engine speed RPM
- Engine throttle position % of full throttle
- ABS Event On/Off
- Seat occupied status Occupied Yes/No by position
- Seat belt status Buckled Yes/No by position
- Master Optical Warning Device Switch On/Off

- Time 24 hour time
- Date Year/Month/Day

## **Occupant Detection System**

There shall be a visual and audible warning system installed in the cab that indicates the occupant buckle status of all cab seating positions that are designed to be occupied during vehicle movement.

The audible warning shall activate when the vehicle's park brake is released and a seat position is not in a valid state. A valid state is defined as a seat that is unoccupied and the seat belt is unbuckled, or one that has the seat belt buckled after the seat has been occupied.

The visual warning shall consist of a graphical display that will continuously indicate the validity of each seat position.

The system shall include a display panel with LED back-lit ISO indicators for each seating position, seat sensor and safety belt latch switch for each cab seating position, audible alarm and braided wiring harness.

The display panel shall be located center console.

## **NFPA Required Testing of Electrical System**

The apparatus shall be electrical tested upon completion of the vehicle and prior to delivery. The electrical testing, certifications, and test results shall be submitted with delivery documentation per requirements of NFPA 1901. The following minimum testing shall be completed by the apparatus manufacturer:

### **1. Reserve capacity test:**

The engine shall be started and kept running until the engine and engine compartment temperatures are stabilized at normal operating temperatures and the battery system is fully charged. The engine shall be shut off and the minimum continuous electrical load shall be activated for ten (10) minutes. All electrical loads shall be turned off prior to attempting to restart the engine. The battery system shall then be capable of restarting the engine. Failure to restart the engine shall be considered a test fail.

### **2. Alternator performance test at idle:**

The minimum continuous electrical load shall be activated with the engine running at idle speed. The engine temperature shall be stabilized at normal operating temperature. The battery system shall be tested to detect the presence of battery discharge current. The detection of battery discharge current shall be considered a test failure.

### **3. Alternator performance test at full load:**

The total continuous electrical load shall be activated with the engine running up to the engine manufacturer's governed speed. The test duration shall be a minimum of two (2) hours. Activation of the load management system shall be permitted during this test. However, an alarm sounded by excessive battery discharge, as detected by the system required in NFPA 1901 Standard, or a system voltage of less than 11.7 volts DC for a 12 volt nominal system, for more than 120 seconds, shall be considered a test failure.

#### **4. Low voltage alarm test:**

Following the completion of the above tests, the engine shall be shut off. The total continuous electrical load shall be activated and shall continue to be applied until the excessive battery discharge alarm activates. The battery voltage shall be measured at the battery terminals. With the load still applied, a reading of less than 11.7 volts DC for a 12 volt nominal system shall be considered a test failure. The battery system shall then be able to restart the engine. Failure to restart the engine shall be considered a test failure.

#### **NFPA Required Documentation**

The following documentation shall be provided on delivery of the apparatus:

- A. Documentation of the electrical system performance tests required above.
- B. A written load analysis, including:
  - a. The nameplate rating of the alternator.
  - b. The alternator rating under the conditions.
  - c. Each specified component load.
  - d. Individual intermittent loads.

#### **Multiplex Display**

The V-MUX multiplex electrical system shall include a display module (Information Center). The display shall be programmed to show door ajar status and diagnostic information in text format.

The display shall have the following features:

- LCD technology
- Four (4) twenty (20) character lines
- Six (6) input / navigation buttons
- Rugged aluminum housing

The display shall be located in the cab dash.

#### **Electrical Connection Protection**

The vehicle electrical system shall be made more robust by the application of a corrosion inhibiting spray coating on all exposed electrical connections on the body.

The coating shall use nanotechnology to penetrate at the molecular level into uneven surfaces to create a protective water repellent film. The coating shall protect electrical connections against the environmental conditions' apparatus are commonly exposed.

### **Battery Charger / Inlet Receptacle**

A Kussmaul 1000 PLC 15A battery charger with bar graph display shall be provided to maintain batteries. The charger shall be power supplied by a Kussmaul 20A auto-eject inlet receptacle with a yellow cover. Inlet receptacle shall be located on the driver side of the chassis trim package.

### **Master Battery Disconnect**

A master battery disconnect shall be provided inside the commercial chassis cab as dictated by Engineering design.

### **USB Charging Port**

A dual USB charging port for cell phones, chargers, etc. shall be installed one (1) each side at lower rear console and one (1) each side at front lower console. The receptacles shall be wired battery hot.

### **12 Volt Outlet**

Two (2) plug-in type receptacle for handheld spotlights, cell phones, chargers, etc. shall be provided. The receptacle shall be wired battery hot. The outlets shall be located on the rear cab console.

### **12 Volt Power Lead**

One (1) 12 volt/12 gauge/10 amp constant hot lead shall be provided. The lead shall be 24" long and include a ground wire and fuse.

The lead shall be located in the center console.

### **12V Power Lead**

One (1) 12 volt 12 gauge wired through ignition lead shall be provided. The lead shall be 24" long and include a ground wire and fuse.

The lead shall be located in the center console.

### **Air Horn Switch**

A heavy duty, weatherproof, push button switch shall be installed at the driver side pump operator`s panel to operate the air horns.

The switch shall be labeled "Air Horn".

### **Back-Up Alarm**

An Ecco electronic back-up alarm model SA917 shall be supplied. The self-adjusting 87-112 dB(A) alarm shall be wired into the chassis back-up lights to signal when the vehicle is in reverse.

### **License Plate Light**

One (1) Truck-Lite model 15905 white LED license plate light mounted in a Truck-Lite model 15732 chrome plated plastic license plate housing shall be mounted at the rear of the body.

### **Body Marker Lights**

TecNiq 3/4" LED grommet clearance lights shall be installed as specified.

### **Upper Body:**

- One (1) red LED clearance light each side at rear of body, rear facing.
- One (1) red LED clearance light each side at rear of body, side facing.

### **Lower Body:**

- Three (3) red LED clearance lights centered at rear.
- One (1) red LED clearance light side facing at the trailing edge on either side of the apparatus body.
- One (1) amber LED clearance light side facing at front of body (or pump module as applicable).
- One (1) amber LED auxiliary turn light side facing at front of body (or pump module as applicable).

### **Taillights**

Three (3) Trucklite 4400 series 4" circular LED (Light Emitting Diode) taillights shall be installed each side at the rear of the apparatus.

Light functions shall be as follows:

- Red stop/taillight in upper position
- Red turn signal middle position
- Clear back-up light in lower position.

The lights shall be in resilient shock absorbent mount for improved life.

The wiring connections shall be made with a weather resistant plug-in style connector.

### **LED Pump Panel Light Package**

Three (3) TecNiq model E10 LED lights shall be mounted under a light shield directly above each side pump panel. A weatherproof toggle switch on the driver side pump panel shall activate the lights when the park brake is set.

### **Light Wiring**

Center pump panel light at the pump operator`s panel shall be wired to the pump shift to provide pump panel illumination when the pump is placed into gear.

### **Compartment Light Package**

Two (2) TecNiq E45 LED compartment light strip shall be mounted in each body compartment greater than 4 cu. ft. Transverse compartments shall have four (4) lights, located two (2) each side of the body.

Each light bar shall include super bright white LEDs mounted to circuit boards encapsulated in an aluminum extrusion using TecSeal with TPE sealed end caps. The lights shall produce approx. 300 lumens per foot and shall be provided with a limited lifetime warranty.

Compartment lights shall be wired to a master on/off switch located in the cab.

The wiring connection for the compartment lights shall be made with a weather-resistant plug in style connector. A single water and corrosion-resistant switch with a polycarbonate actuator and sealed contacts shall control each compartment light. The switch shall allow the light to illuminate if the compartment door is open.

### **Door Ajar Switches**

The apparatus body shall have magnetic door ajar switches.

### **Hose Bed Lights**

Four (4) Tecniq E45 LED light strips shall be provided to illuminate the hosebed areas. The strip type lights shall be located one (1) each of the hosebed sides directed toward the center of the hosebed, to provide hose bed lighting per current NFPA 1901.

The hose bed light shall be activated with magnetic door ajar switch on each hosebed cover.

### **Ground Lights**

Four (4) TecNiq model T440 4” circular LED (Light Emitting Diode) with clear lenses mounted in a resilient shock absorbent mount for improved bulb life shall be provided. Lights shall be mounted one (1) side below the cab and one (1) each side below the pump module running boards. The wiring connections shall be made with a weather resistant plug in style connector.

Pump module lights shall be activated from a driver side pump panel mounted weatherproof toggle switch.

Lights in areas under the driver and crew area exits shall be activated automatically when the exit doors are opened.

## **WARNING LIGHT PACKAGES**

### **Warning System**

#### **Light Bar**

A Whelen Justice series 56” model JY-CALFIRE all LED light bar with clear lenses shall be installed centered on the front cab roof. The light bar shall consist of two (2) front corner facing LIN6 red LED modules, two (2) rear corner facing LIN6 red LED modules, eight (8) forward facing CON3 Linear LED modules (six (6) red / two (2) white), two (2) forward facing LR11 LED takedown lights, two (2) side facing LR11 alley LED lights and MKEZ7 mounts. One (1) forward facing red LED module shall be provided as steady burn.

The white LEDs shall be switched off in blocking right of way mode.

#### **Upper Level Warning**

Two (2) Whelen M6K split red/amber Linear Super LED light heads with clear lens shall be provided. The lights shall include chrome flanges where applicable. Lights shall be located one (1) each side rear facing on the upper body panels.

Two (2) Whelen M6V2RC Super LED red light heads with clear lens shall be provided. The rectangular lights shall include chrome flanges where applicable. Scene lights shall be provided a switch in the cab. Lights shall be located one (1) each side (side facing) on the upper rear body panels.

#### **Lower Level Warning**

Two (2) Whelen WIONR series universal mounted Super LED red light heads with clear lenses and chrome flanges shall be provided. Lights shall be located one (1) each side front bumper facing forward.

Two (2) Whelen M6RC red Linear Super LED light heads with clear lens shall be provided. The lights shall include chrome flanges where applicable. Lights shall be located one (1) each side front grille.

Two (2) Whelen M6RC red Linear Super LED light heads with clear lens shall be provided. The lights shall include chrome flanges where applicable. Lights shall be located one (1) each side (side facing) on the front bumper extension.

Two (2) Whelen M6RC red Linear Super LED light heads with clear lens shall be provided. The lights shall include chrome flanges where applicable. Lights shall be located one (1) each side above rear wheel wells.

Two (2) Whelen M6RC red Linear Super LED light heads with clear lens shall be provided. The lights shall include chrome flanges where applicable. Lights shall be located one (1) each side rear facing below taillights.

### **Directional Light Bar**

One (1) Whelen model TADP8 LED Dominator™ Plus Traffic Advisor™ with clear lenses shall be provided at the rear of the body in a recess mount. The light bar shall include eight (8) LINZ6™ Super-LED® amber lamps. The lightbar shall be controlled through the Whelen siren head.

### **Alternating Headlights**

A Federal Signal model FHL2-SC headlight flasher shall be provided. The flasher shall alternately flash the headlights at a rate of 90fpm and shall be controlled by siren head switch.

### **Door Ajar Light/Alarm**

There shall be a 2” red LED hazard light installed in the cab. A door ajar alarm shall sound when the light is activated.

### **Siren**

One (1) Whelen Cencom Carbide model CCSRN4A siren head shall be provided on the cab console. The siren shall feature eight (8) switches, three (3) position slide switch, directional light bar control and rotary knob with seven (7) siren modes. The switches shall be wired and labeled: Right Alley, Take Down, Left Alley, Flood Rear, Scene Rear, Scene Left, Scene Right, Wig Wag.

### **Siren Speaker**

One (1) Whelen model SA315P 100 watt speaker shall be provided behind a cut-out in the front bumper. The speaker shall have a nylon composite black housing with front loaded, powder coated speaker driver. The speaker shall produce a minimum sound output of 120 dB at 10 feet to meet current NFPA 1901 requirements.

## **WARNING LIGHTS**

## **Opticom Emitter**

A GTT 794H LED Opticom emitter light head shall be installed driver's side brow.

## **LIGHTS - DECK AND SCENE**

### **Scene Lights**

Two (2) FireTech model FT-GESM, Guardian Elite LED Surface Mount scene lights with chrome flanges (when required) shall be provided.

Part #: FT-GESM

Voltage Range: 9-32 V DC

Total Amperage @ 12V DC: 10.42

RAW Lumens: 20,500

Effective Lumens: 12,290

Lights shall be located (1) each side of body rear facing up high, (1) each side of body side facing upper forward and switched in cab (side facing lights switched separately).

## **CAMERAS / INTERCOM**

### **360 Camera System**

A FRC inView 360 HD camera system shall be installed on the apparatus. The system shall feature four (4) ultra wide-angle cameras located on the front, sides and rear of the vehicle. A control module shall be provided that shall take the simultaneous digital images from the cameras and process them (video stitch) into a single 360° birds-eye view image. The system shall automatically switch to a camera / area specific priority based on inputs from reverse, right turn, left turn and park brake. The video image shall be displayed on a 7" color monitor viewable by the driver. A button shall be provided on the dash to allow view selection. The camera system shall have a built in DVR for use with department supplied SD card(s). The camera system shall be capable to hold up to four (4) 256 GB SD cards for a total storage of one (1) TB.

### **Communications Equipment**

#### **Intercom System**

A Sigtronics intercom system shall be provided with four (4) jacks in the cab.

One (1) Model US-45S ultrasound voice activated intercom system shall be provided in the cab. Interior headset plug-in modules and hanger hooks shall be provided for each of the seated locations. Driver and officer plug-in locations shall be provided with a push to talk module.

**Headsets shall be ordered separately and are not included as part of the Intercom package.**

### **Antenna Base**

There shall be four (4) Tessco P/N 90942 universal antenna base mounted on the cab roof with a weatherproof connector. The antenna bases shall be NMO Motorola Style (equivalent to a MATM style) with RG58U coax cable. The antennas shall be located one (1) each side at rear corners of roof and one (1) each side approximately 24" forward of rearward antenna.

Coax cable shall be routed to behind the officer seat.

### **VHF Speaker**

One (1) VHF speaker shall be provided. The speaker shall be installed in a fabricated housing centered on the ceiling above the center console. Speaker wire shall be routed to the center console with an 8' service loop.

### **Radio Amp Housing**

A cover constructed of 1/8" (.125") diamond plate shall be provide behind the officer seat to protect the customer installed radio amplifier. Cover shall be designed to allow air flow for cooling of amp.

## **LIGHTS - AREA**

### **LED Flood Light**

One (1) FireTech 12V LED bracket mounted mini-brow flood light model FT-MB-21-FT-B 27" long shall be provided. The light shall feature 21 LEDs` producing 7,762 usable lumens. The 105W 12V light shall draw 8.75 amps. A switch shall be provided, accessible to driver, for activation of light.

The light assembly shall be located on cab/bumper as specified.

## **MISC LOOSE EQUIPMENT**

### **DOT Required Drive Away Kit**

Three (3) triangular warning reflectors with carrying case shall be supplied to satisfy the DOT requirement.

# **EXTERIOR PAINT**

## **Paint/Lettering/Graphics**

### **Paint**

The apparatus body panels shall be painted Sikkens FLNA 2303 red. The paint process shall meet or exceed current state regulations concerning paint operations. Pollution control shall include measures to protect the atmosphere, water, and soil. Contractor shall, upon demand, provide evidence that the manufacturing facility is in compliance with State EPA rules and regulations.

The aluminum panels shall have no mounted components prior to painting to assure full coverage of metal treatments and paint to the exterior surfaces of the body. Any vertically or horizontally hinged smooth-plate compartment doors shall be painted separately to assure proper paint coverage on body, door jambs and door edges.

Paint process shall feature Sikkens high solid LV products and be performed in the following steps:

- Corrosion Prevention - all aluminum surfaces shall be pre-treated with the Alodine 5700 conversion coating to provide superior corrosion resistance and excellent adhesion of the base coat.
- Sikkens Sealer/Primer LV - acrylic urethane sealer/primer shall be applied to guarantee excellent gloss hold-out, chip resistance and a uniform base color.
- Sikkens High Solid LVBT650 (Base coat) - a lead-free, chromate-free high solid acrylic urethane base coat shall be applied, providing excellent coverage and durability. A minimum of two (2) coats shall be applied.
- Sikkens High Solid LVBT650 (Clear coat) - high solid LV clear coat shall be applied as the final step in order to ensure full gloss and color retention and durability. A minimum of two (2) coats shall be applied.

Any location where aluminum is penetrated after painting, for the purpose of mounting steps, handrails, doors, lights, or other specified components shall be treated at the point of penetration with a corrosion inhibiting pre-treatment (ECK Corrosion Control). The pre-treatment shall be applied to the aluminum sheet metal in all locations where the aluminum has been penetrated. All hardware used in mounting steps, handrails, doors, lights, or other specified components shall be individually treated with the corrosion inhibiting pre-treatment.

After the paint process is complete, the gloss rating of the unit shall be tested with a 20 degree gloss meter. Coating thickness shall be measured with a digital MIL gauge and the orange peel with a digital wave scan device.

### **Striping**

A triple white non-straight Scotchlite stripe, 1/4/1 inches in width shall be installed on the cab and body. The stripe shall be NFPA compliant and the location shall be as specified by the customer.

Alternating red/white reflective striping shall be provided on the perimeter of all compartment doors and front face of all shelving.

Red/Yellow printed reflective chevron striping shall be provided on the full rear of the body.

## **WARRANTY / STANDARD & EXTENDED**

### **General 1 Year Warranty**

Purchaser shall receive a General One (1) Year or 24,000 Miles limited warranty in accordance with, and subject to, warranty certificate RFW0001. The warranty certificate is incorporated by reference into this proposal, and included with this proposal or available upon request.

### **Body Structural (Aluminum) Warranty**

Purchaser shall receive a Body Structure (Aluminum) Ten (10) Years or 100,000 Miles limited warranty in accordance with, and subject to, warranty certificate RFW0502. The warranty certificate is incorporated by reference into this proposal, and included with this proposal or available upon request.

### **Plumbing and Piping (Stainless Steel) Warranty**

Purchaser shall receive a Plumbing and Piping (Stainless Steel) Ten (10) Years or 100,000 Miles limited warranty in accordance with, and subject to, warranty certificate RFW0800. The warranty certificate is incorporated by reference into this proposal, and included with this proposal or available upon request.

### **Electrical Warranty**

Purchaser shall receive an Electrical One (1) Year or 18,000 Miles limited warranty in accordance with, and subject to, warranty certificate RFW0201. The warranty certificate is incorporated by reference into this proposal, and included with this proposal or available upon request.

### **Paint and Finish Warranty**

Purchaser shall receive a Paint and Finish Ten (10) Years limited warranty in accordance with, and subject to, warranty certificate RFW0710. The warranty certificate is incorporated by reference into this proposal, and included with this proposal or available upon request.

## **SUPPORT, DELIVERY, INSPECTIONS AND MANUALS**

## **Electronic Manuals**

Two (2) copies of all operator, service, and parts manuals **MUST** be supplied at the time of delivery in digital format -**NO EXCEPTIONS!** The electronic manuals shall include the following information:

- Operating Instructions, descriptions, specifications, and ratings of the cab, chassis, body, aerial (if applicable), installed components, and auxiliary systems.
- Warnings and cautions pertaining to the operation and maintenance of the fire apparatus and firefighting systems.
- Charts, tables, checklists, and illustrations relating to lubrication, cleaning, troubleshooting, diagnostics, and inspections.
- Instructions regarding the frequency and procedure for recommended maintenance.
- Maintenance instructions for the repair and replacement of installed components.
- Parts listing with descriptions and illustrations for identification.
- Warranty descriptions and coverage.

The electronic document shall incorporate a navigation page with electronic links to the operator`s manual, service manual, parts manual, and warranty information, as well as instructions on how to use the manual. Each copy shall include a table of contents with links to the specified documents or illustrations.

The electronic document must be formatted in such a manner as to allow not only the printing of the entire manual, but to also the cutting, pasting, or copying of individual documents to other electronic media, such as electronic mail, memos, and the like.

A find feature shall be included to allow for searches by text or by part number.

These electronic manuals shall be accessible from any computer operating system capable of supporting portable document format (PDF). Permanent copies of all pertinent data shall be kept file at both the local dealership and at the manufacturer`s location.

NOTE: Engine overhaul, engine parts, transmission overhaul, and transmission parts manuals are not included.

## **Fire Apparatus Safety Guide**

Fire Apparatus Safety Guide published by FAMA, latest edition. This safety manual is intended to point out some of the basic safety situations that may be encountered during the normal operation and maintenance of a fire apparatus and to suggest possible ways of dealing with these situations. This manual is **NOT** a substitute for the E-ONE`s fire apparatus operator and maintenance manuals or commercial chassis manufacturer`s operator and maintenance manuals.



# Quote

Date	Quote #
9/3/2023	5323

**Bill To:**

Fire Apparatus Solutions  
 1762 S Sycamore Ave  
 Rialto, CA 92376

**Ship To:**

Fire Apparatus Solutions  
 1762 S Sycamore Ave  
 Rialto, CA 92376

P.O. No.	Terms	FOB	Rep	Project	Make / Model / Year
	Net 20	Perris, Ca	GJ		Water Tender
Qty	Item	Description	Each	Total	
1.00	BS 5029B	Corona Fire Type 3			
1.00	BS 5028B	Blue Sea Fuse Block STBlade 12circ w/cvr	35.82	35.82T	
1.00	BS 5028B	Blue Sea Fuse Block STBlade 6circ w/cvr	28.47	28.47T	
1.00	BS 9012B	Blue Sea L-Series Solenoid Switch with Coil Economizer 12/24V DC	186.81	186.81T	
1.00	BS 7189B	Bussman 285 Series Surface mount breaker, 150 Amp	38.51	38.51T	
1.00	BS 2301B	Blue Sea BusBar 10 Gang Common Bus	16.91	16.91T	
6.00	Laird MB8U	Laird 3/4" Brass Mt, No Conn.	20.10	120.60T	
2.00	PCT MWB-1320	PC Wide band quarterwave antenna with shock spring. Bandwidth- 24 MHz VHF/ 100 MHz UHF.	34.095	68.19T	
4.00	Laird QWRC	Laird Chrome Rain Cap	9.915	39.66T	
4.00	Laird MOGSK	Laird NMO Gasket	0.34	1.36T	
2.00	Lar GPSDM08	Pulse Larson GPS Antenna, 5V, Bolt Mount, SMA	66.54	133.08T	
2.00	Troy FP-KENKCH20R	Troy Products 3" faceplate for Kenwood KCH20R Viking VHF	40.00	80.00T	
1.00	EFJ VM7730BF-P	EF Johnson VHF, mobile radio, RF deck only. FM analog, P25 conv, P25 Phase 1 trnk 3 yr warr	1,139.04	1,139.04T	
1.00	EFJ KCH-20RV	EF Johnson KCH-20 Single Remote Head	332.22	332.22T	
1.00	Ken KCT-71M2	Kenwood Remote Control Cable (17 feet)	34.17	34.17T	
1.00	Ken KCT-71M4	Kenwood Remote Control Cable (1.6 feet)	21.83	21.83T	
1.00	Ken KMC-66M	Kenwood MIL-SPEC Standard electret mobile microphone with 12 keypad (8-pin mod. plug)	46.04	46.04T	
1.00	Ken KCT-23M3	Kenwood DC Cable (10-50W Remote mount)	21.15	21.15T	
1.00	Ken KMB-33M	Kenwood Standard Mounting Bracket	6.64	6.64T	
1.00	Ken KES-5A	Kenwood External Speaker (40 Watt)	29.06	29.06T	
1.00	EFJ KRK-17BF	EF Johnson Remote Kit	47.46	47.46T	
1.00	Ken KRA-40GM	Kenwood GPS Antenna	20.41	20.41T	
1.00	Ken KCT-46	Kenwood Ignition sense cable (plugs directly into mobile chassis ignition sense line)	6.64	6.64T	
			<b>Subtotal</b>		
			<b>Sales Tax (0.0%)</b>		
Signature			<b>Total</b>		



# Quote

Date	Quote #
9/3/2023	5323

**Bill To:**

Fire Apparatus Solutions  
 1762 S Sycamore Ave  
 Rialto, CA 92376

**Ship To:**

Fire Apparatus Solutions  
 1762 S Sycamore Ave  
 Rialto, CA 92376

P.O. No.	Terms	FOB	Rep	Project	Make / Model / Year
	Net 20	Perris, Ca	GJ		Water Tender
Qty	Item	Description	Each	Total	
1.00	Ken KAP-2	Kenwood Horn Alert/P.A. Relay Option	30.37	30.37T	
1.00	EFJ 8326000008	EF Johnson 2048 Channel Option	142.38	142.38T	
1.00	EFJ 8325000003	EF Johnson GPS Feature	47.46	47.46T	
1.00	EFJ 8324000005	EFJohnson Keypad Programming	142.38	142.38T	
1.00	EFJ 8326000025	EF Johnson Bluetooth	47.46	47.46T	
1.00	EFJ 8326000013	EF Johnson Third Party Interface	71.19	71.19T	
1.00	EFJ 8326000028	EF Johnson Instant Recording	47.46	47.46T	
2.00	RF RFU505-ST	RF Industries Silver Plated UHF Male Crimp Connector	6.64	13.28T	
1.00	Sig US-45D-LAC	Sigtronics Dual Radio 4 position Intercom System, Dual Button	1,112.80	1,112.80T	
4.00	Sig SE-8	Sigtronics Behind the head Headset	341.90	1,367.60T	
4.00	Impact IMPC-1DC	Impact Single Bank Rapid Vehicle Battery Charger for Portable Radios	71.25	285.00T	
1.00	PW PanelPole1	Powerwerx PanelPole1, Panel Mount Housing for a Single Powerpole Connector with a Weather Tight Cover	25.99	25.99T	
1.00	PW Quickcharge-75W	Powerwerx Quick Charge 75W USB Device Charger	64.99	64.99T	
1.00	Ram RAM-230U	RAM Double Ball Adapter - C Size	30.61	30.61T	
1.00	RAM RAM-202U	Ram Mounts 2.5" Round Plate with AMPs Hole Pattern & C Size 1.5" Ball	0.00	0.00T	
1.00	RAM RAM-2461U	Ram Mount RAM 75mm X 75mm VESA 3.625" Plate with 1.5" Ball	0.00	0.00T	
2.00	RAM RAM-201U	RAM Double Socket Arm - C Size Medium	36.24	72.48T	
1.00	RAM RAM-GDS-DOCK...	GDS Cool-Dock with USB Type-C for Samsung Tab S8+, S7+ & S7 FE 12.4"	231.86	231.86T	
1.00	Misc parts	Misc battery cable, wire, fuses and hardware	350.00	350.00T	
1.00	Misc parts	EFJ Radio Packaging	1,500.00	1,500.00T	
1.00	Labor	Installation Labor	8,500.00	8,500.00	
			<b>Subtotal</b>	\$16,537.38	
			<b>Sales Tax (0.0%)</b>	\$0.00	
			<b>Total</b>	\$16,537.38	

Signature \_\_\_\_\_

Vehicle Replacement Criteria		Service and repair totals	62039- E-One 4800 Fire Truck Brush 4x4
Review of past 12 month's costs	Asset Work Order History Tasks		36 month service history
Review of last 36 months repairs/ costs	Asset Work Order History Tasks		36 month service history
Evaluation of engine	Asset Work Order History Tasks		Completed upon scheduled March/ June/ Sept/ Dec 2022 C, A, B, A Services
Evaluation of drive train	Asset Work Order History Tasks		Completed upon scheduled March/ June/ Sept/ Dec 2022 C, A, B, A Services
Evaluation of body	Asset Work Order History Tasks		Completed upon scheduled March/ June/ Sept/ Dec 2022 C, A, B, A Services
Evaluation of brakes	Asset Work Order History Tasks		Completed upon scheduled March/ June/ Sept/ Dec 2022 C, A, B, A Services
Point system detailed in Table 4.0	See points detailed under column D and E		43 points/ Condition IV- Immediate Consideration
Table 4.0. The point system is used to determine vehicle replacement eligibility.			
Factor		Points	
Age	One point is given for every year of chronological age, which is based on the in-service date		21 years in service- In service date 06/01/2002 21 Points
Miles/Hours	One point for each 10,000 miles, or 1,000 engine hours of use		5 Points- Current mileage 53881
Type of Service	One, three, or five points are assigned based on the type of service the unit is exposed to. For example, fire pumpers would be given a five because it is classified as a severe duty service. In contrast, an administrative sedan would be given a one		5 Points- Severe Duty Service
Reliability	Points are assigned as one, three, or five depending on the frequency that a vehicle is in the shop for repair. A five would be assigned to a vehicle in the shop two or more times per month, while a one would be assigned to a vehicle in the shop an average of once every three months or less		3 Points- Based on 36 work orders generated in the last 36 month of service
Maintenance and Repair Costs	One to five points are assigned based on the total life of maintenance and repair costs (not including repair of accident damage). A five is assigned to a vehicle with life in which maintenance and repair costs are equal to or greater than the vehicle's original purchase price, while a one is given to a vehicle with maintenance and repair costs lifetime to date equal to 20 percent or less than its original purchase cost		5 Points- Based on calculated total with lifecycle cost data supplied from 2011- AssetWorks
Condition	This category takes into consideration body condition, rust, interior condition, accident history, anticipated repairs, etc. a scale of one to five points is used with five being poor condition		5 Points- Severe Duty Service- Unit is currently experiencing several critical areas of its base engine and accessory wiring harness' with excessive corrosion due to prolonged exposure to water and heat
The total number of points will be added and compared against the scale in Table 5.0. Condition III and Condition IV vehicles can be recommended for replacement.			
Table 5.0. The point system evaluation scale.			
Total Points	Condition Level	Condition	
<18	Condition I	Excellent	
18-22	Condition II	Good	
23-27	Condition III	Replacement Qualified	
>28	Condition IV	Immediate Consideration	43 points/ Condition IV- Immediate Consideration



Staff Report

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**File #:** 23-0854

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**REQUEST FOR CITY COUNCIL AND  
CORONA UTILITY AUTHORITY ACTION**

**DATE:** 10/18/2023

**TO:** Honorable Mayor and City Council Members  
Honorable President and Board Members

**FROM:** Utilities Department

**SUBJECT:**  
PURCHASE ORDER WITH TORAY MEMBRANE USA FOR REVERSE OSMOSIS MEMBRANES

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to approve a purchase order with Toray Membrane USA for four years for the purchase of reverse osmosis membranes for the Temescal Desalter treatment facility.

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Authorize the City Manager, or his designee, to issue a purchase order with Toray Membrane USA in the amount of \$210,000 per year, for a term of four years, including any non-substantive extensions or change orders up to the amount authorized by Corona Municipal Code [Section 3.08.080\(I\)](#) which is equivalent to 10% or \$21,000.
- b. Make a determination under Corona Municipal Code [Section 3.08.140\(B\)](#) that competitive bidding is not required for this purchase based upon the reasons provided in this agenda report.

**That the Corona Utility Authority** review, ratify, and to the extent necessary, direct the City Council to take the above actions.

**BACKGROUND & HISTORY:**

The Utilities Department operates the Temescal Desalter to treat 12.13 million gallons per day of

brackish groundwater. The Temescal Desalter removes Total Dissolved Solids (TDS), nitrates, and Polyfluoroalkyl Substances (PFAS). The Temescal Desalter operations process includes the following:

- Pre-chemical injection for optimal performance
- Cartridge filtration to remove sand and sediment
- First stage reverse osmosis membrane treatment
- Second-stage reverse osmosis membrane treatment
- Decarbonation to increase pH
- Post-chemical injection for pH adjustment and disinfection
- Blending and pumping into the distribution system

The heart of the process is the membrane treatment. Staff conducts routine preventive maintenance and clean-in-place procedures to ensure the longevity of the membranes, but membranes begin to lose effectiveness after several years (between 5 and 7 years depending on source water quality). The Temescal Desalter has four trains of membranes. Typically, the Utilities Department changes out one train of membranes at a time and works through all four trains every 5 to 7 years based on plant performance. Specifically, staff uses several indicators such as differential pressure and salt passage to identify the need for a membrane changeout. The age of the current membranes is provided in the table below.

Train	Install Date	Membrane Age
1	August 2020	3 years
2	July 2016	7 years
3	June 2019	4 years
4	October 2020	3 years

**ANALYSIS:**

The Train 2 membranes are over 7 years old and due for immediate replacement. For optimal facility performance, the Utilities Department recommends replacing Train 2 in Fiscal Year (FY) 2024, Train 3 in FY2025, Train 1 in FY2026, and Train 4 in FY2027.

Staff believes that an exception to competitive bidding is warranted for this purchase pursuant to Corona Municipal Code (CMC) [Section 3.08.140\(B\)](#), which states as follows:

**“No competitive market.** When the purchasing agent and the authorized contracting party, with the approval of the City Manager, determines, in accordance with applicable law, that a competitive market does not exist and that no competitive advantage will be gained by the public bidding process.”

Staff believes this purchase qualifies for an exception to competitive bidding based on previous third-party analysis and bidding conducted by the City. The Utilities Department hired Separation Processes, Inc. (SPI) in August 2019 to conduct an analysis of the Temescal Desalter and identify the most appropriate type of membrane for optimal treatment performance. SPI offers 40 years of

knowledge in membrane technologies; additionally, SPI participated in the original design of the Temescal Desalter. SPI is knowledgeable about membrane system operation and their analysis and guidance is based on sound practices. SPI has worked extensively with other membrane treatment plant operators including Yucaipa Valley Water District, Orange County Water District, Western Water, Chino Basin Desalter Authority, and Irvine Ranch Water District. SPI reviewed 11 years of operating data for the Temescal Desalter as well as water supply data to determine the ideal membrane from each manufacturer to accommodate Corona’s water quality and flow requirements. SPI delivered a set of Technical Specifications for the type, size and material of membrane for the Temescal Desalter.

In April 2020, the Purchasing division issued a Notice Inviting Bids (NIB) No. 20-057WY Reverse Osmosis Membrane Material and Equipment using the Technical Specifications provided by SPI. The NIB was advertised on the PlanetBids page and the Weekly Sentinel News. The City solicited seven reverse osmosis membrane material and equipment contractors with one vendor submitting a bid and one vendor submitting a “no bid” response. The Purchasing division reached out to the seven firms that downloaded the NIB and requested their feedback as to why they chose not to submit a proposal and learned that contractors did not participate due to the uniqueness of the bid’s Technical Specifications. On June 17, 2020, the City Council approved purchase orders for three years commencing with FY2020 through FY2022 with the intent of replacing membranes for Trains 1, 4, and 2. Trains 1 and 4 were completed but Train 2 was not completed during the three-year period due to competing staff priorities, primarily PFAS treatment analysis.

Therefore, since there is only one vendor available to bid on the Technical Specifications, and the operating parameters of the Temescal Desalter have not changed, there is no competitive market and no competitive advantage from conducting a public bidding process.

Further, the pricing offered to the City by Toray Membrane is fair. Toray Membrane raised their pricing from \$395 in April 2020 to \$425 in June 2023 representing a 7.6% increase. During the same time period the Consumer Price Index, Riverside Area, increased by 21.2%. Therefore, even though Toray Membranes pricing has increased, it is still well below inflation for the same time period.

The table below reflects the costs over the next four years. Toray Membrane has agreed to hold the pricing of \$425/membrane through June 30, 2025, and \$450/membrane through June 30, 2028.

Year	Train	Qty Membranes	Unit Price	Cost*
FY2024	2	315	\$425	\$133,875
FY2025	3	315	\$425	\$133,875
FY2026	1	315	\$450	\$141,750
FY2027	4	462	\$450	\$207,900
<b>Grand Total</b>				<b>\$617,400</b>

\*Does not include miscellaneous parts, tax, freight

**FINANCIAL IMPACT:**

Funding for the recommended action is available in the Desalter Membrane Replacements Operating and Maintenance Project No. 75060 within the Water Utility Fund 570 for Fiscal Year 2024. Future funding will be included in the annual budget request process.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action involves the purchase of materials, and there is no possibility that the purchase will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** KATIE HOCKETT, ASSISTANT DIRECTOR OF UTILITIES

**REVIEWED BY:** TOM MOODY, DIRECTOR OF UTILITIES

**Attachments:**

1. Exhibit 1 - Toray Membrane Quote

# Quotation

## Toray Membrane USA, Inc.

13435 Danielson St., Poway, CA 92064



Toray Membrane USA, Inc.

<b>Date</b> August 8, 2023	<b>To</b> Dominic Luna City of Corona	<b>Ship To</b> Same as recipient
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Quantity	Description/Model	Lead Time	Unit Price	Total
315	TMG20D-400SR	3-4 Weeks ARO	\$425.00	\$133,875.00
90	CodeLine 80A30-7 Quad Head seal part# 45321	1 Week ARO	\$27.00	\$2,430.00
Sales Tax (8.75%)				\$11,926.69
Freight (valid for 10 days)				\$2,211.25
<b>Total</b>				<b>150,442.94</b>

Thank you for your business!

1. All quotations, unless specifically stated otherwise, are Ex Works Poway, CA 92064.
2. All orders are Net 30 days with approved credit or cash in advance. Toray Membrane USA, Inc. credit terms apply to all credit sales. All credit card payments are subject to a 3% processing fee.
3. A twelve (12) month workmanship and materials warranty and a three (3) year prorated warranty apply.
4. All references to availability appearing within this quotation are based on current stock levels on the date of the quotation.
5. No sales or use taxes, if applicable, are included with this quotation unless specifically stated otherwise.
6. Quotation is valid for 30 days.

Tel: 858-218-2360

Email: [innovation@toraymem.com](mailto:innovation@toraymem.com)

Web: [www.water.toray](http://www.water.toray)

## Toray Membrane USA, Inc.

13435 Danielson St., Poway, CA 92064

# 'TORAY'

Toray Membrane USA, Inc.

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### ORDERS CAN BE PLACED BY CONTACTING:

Ms. Debbie Moore  
Customer Service Desk  
Toray Membrane USA, Inc  
13435 Danielson St  
Poway, California 92064  
[debbie.moore.u9@mail.toray](mailto:debbie.moore.u9@mail.toray)  
Phone: 1-858-218-1509

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On your purchase request please include the following items

1. PO number
2. Bill to address
3. Ship to address – If the ship to address is FL, MN, TX, WI, MA or CA sales tax will be assessed unless a tax-exempt certificate is on file with Toray Membrane USA, Inc.
4. Credit terms – Toray Terms and Sales Conditions apply to all sales.
5. Shipping method (ground, air, ocean freight) and date required as well as any special shipping requirements (i.e., heated truck, refrigeration, etc.).
6. Shipping payment terms – Collect, prepay, and add, or third party (include carrier and acct #)

If you have any questions, please contact me at your convenience:

# 'TORAY'

**Innovation by Chemistry**



**Nagham Najeeb** • Regional Sales Manager • West

**C:** (858) 208-8133

**E:** [nagham.najeeb.g6@mail.toray](mailto:nagham.najeeb.g6@mail.toray)

Toray Membrane USA Inc. **(TMUS)**

13435 Danielson Street Poway, CA 92064

[www.water.toray](http://www.water.toray)

Tel: 858-218-2360

Email: [innovation@toraymem.com](mailto:innovation@toraymem.com)

Web: [www.water.toray](http://www.water.toray)



Staff Report

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**File #:** 23-0750

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/18/2023

**TO:** Honorable Mayor and City Council Members

**FROM:** Community Services Department

**SUBJECT:**

RESOLUTION APPROVING THE UPDATED CITY OF CORONA TRANSIT SERVICE DISADVANTAGED BUSINESS ENTERPRISE PROGRAM AND GOAL FOR FEDERAL FISCAL YEARS 2024-2026

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to adopt Resolution No. 2023-099, approving the revised Disadvantaged Business Enterprise Program, establishing the overall triennial goal of 0% for Federal Fiscal Years 2024-2026, and authorizing the City Manager to sign the Disadvantaged Business Enterprise Program Objective and Policy Statement. These are required to be eligible for Federal Transit Administration funds. The proposed 2024-2026 Triennial Disadvantaged Business Enterprise goal has been revised and updated in compliance with the Code of Federal Regulations, Title 49, Part 26, and must be approved and adopted by the City Council and submitted to the federal regional civil rights office.

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Adopt Resolution No. 2023-099 to approve the Disadvantaged Business Enterprise Program and establish an overall goal of zero percent for Federal Fiscal Year 2024-2026.
- b. Authorize the City Manager, or his designee, to sign the program objective and policy statement.

**BACKGROUND & HISTORY:**

The City of Corona Transit Service (CCTS) is a recipient of United States Department of Transportation Federal Transit Administration (FTA) funds and must comply with all applicable federal regulations. Pursuant to the Code of Federal Regulations (CFR), Title 49, Part 26, all public agencies receiving more than \$250,000 in financial assistance from the FTA must have an

established Disadvantaged Business Enterprise (DBE) Program and an overall three-year DBE goal for contracting opportunities. The purpose of establishing a DBE goal is to promote and increase participation in federally assisted contracts by small, socially, and economically disadvantaged business enterprises and to create a level playing field in which DBEs can compete fairly.

CCTS receives FTA funds to finance its local transit operations. In compliance with CFR, Title 49 Part 26, CCTS has established and maintained its three-year DBE program. The current DBE Program and DBE Goal for Federal Fiscal Year (FFY) 2021-2023 were approved by the City Council on September 16, 2020, and are valid through September 30, 2023. In compliance with the three-year cycle, staff has updated the Disadvantaged Business Enterprise Program and City of Corona Transit Service DBE Overall Goal and Methodology for FFY 2024-2026.

**ANALYSIS:**

For the next three-year period, FFY 2024-2026, CCTS anticipates awarding an estimated \$11.2 million in contract services using FTA funds. These services include a transit operator for the CCTS Dial-A-Ride and Fixed Route services, an Intelligent Transportation System, and a Digital Land Mobile Radio Communication System.

DBE Program Update

The CCTS current DBE Program received concurrence from the FTA on June 16, 2021, and does not expire. However, it has been updated to reflect the following FTA reviewer comments (as shown in Exhibit 2):

**FTA Reviewer Comments:**

*Per 49 CFR section 26.65, please verify small business size in the organization's small business program/element (section 26.39).*

*Per 49 CFR §26.53: please specify that the information described in 49 CFR §26.53(b) is to be submitted no later than five days after the bid opening.*

*Per 49 CFR section 26.49(a)(4), please provide a statement acknowledging that the organization is to report/send Transit Vehicle Manufacturer purchase information to FTA within 30 days of making an award.*

Further, the contact information was updated to reflect that CCTS is now operating out of the Community Services Department.

Overall DBE Goal and Methodology

The U.S. DOT's *Tips for Goal-Setting in the Disadvantaged Business Enterprise Program* (Exhibit 5) were utilized to develop CCTS' DBE goal for this next three-year period. In accordance with the DBE regulations, CCTS has proposed an overall DBE Goal of 0% for the triennial period of FFYs 2024-2026. The goal was created by establishing a Base Figure of the relative availability of DBEs to all comparable firms (DBE and non-DBE) within specified industries; assessing relevant evidence to determine what additional adjustments, if any, were needed to narrowly tailor the Base Figure to CCTS' market area; and establishing that CCTS meets the maximum feasible DBE participation

overall goal by means of a voluntary race-neutral solicitation process. Race-neutral solicitation includes, but is not limited to:

1. Arranging contracts in ways to facilitate small business participation such as unbundling large contracts or requiring prime contractors to subcontract portions of the work;
2. Providing bonding, financing, and technical assistance;
3. Communicating contract opportunities to the small business community; or
4. Providing business support and development services.

The Base Figure resulted in a 0% DBE goal, and no adjustments were made based on past DBE participation.

#### Public Participation

CCTS must provide a public participation process when establishing (or updating) the three-year overall DBE goal. Therefore, a 30-day Public Notice inviting comments on the updated DBE goal for FFYs 2024-2026 was published on the City's website on August 7, 2023. The public notice also provided information regarding the "DBE Virtual Consultation Session" to solicit feedback and provide information on contracting opportunities. No comments were received.

#### DBE Submittal Due Date

The FTA submittal deadline is the 1<sup>st</sup> of August every three years. CCTS staff received an extension through the 1<sup>st</sup> of October. A draft copy of the updated documents attached to this staff report has been submitted, and the FTA is awaiting Council approval to reach full compliance.

As a participating agency in the U.S. DOT FTA funding program, staff recommends approval of the updated DBE Program and DBE Goal and Methodology for FFY 2024-2026 to remain in good standing and in compliance with 49 CFR Part 26.

#### **FINANCIAL IMPACT:**

Approval of the CCTS Disadvantaged Business Enterprise Program and Overall DBE Goal and Methodology for FFY 2024-2026 is needed for continued eligibility for federal funds to support current and future capital projects and operating costs.

#### **ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the commonsense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action approves updates to the Disadvantaged Business Enterprise Program and Overall DBE Goal and Methodology for FFY 2024-2026, as required by federal law. There is no possibility that this action will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** SUDESH PAUL, TRANSIT PROGRAM MANAGER & CYNTHIA LARA, COMMUNITY ASSISTANCE MANAGER

**REVIEWED BY:** DONNA FINCH, INTERIM COMMUNITY SERVICES DIRECTOR

**Attachments:**

1. Exhibit 1 - Resolution 2023-099
2. Exhibit 2 - REDLINE VERSION Disadvantaged Business Enterprise Program
3. Exhibit 3 - CLEAN VERSION Disadvantaged Business Enterprise Program
4. Exhibit 4 - REDLINE VERSION Overall DBE Goal and Methodology FFY 2024-2026
5. Exhibit 5 - CLEAN VERSION Overall DBE Goal and Methodology FFY 2024-2026

**RESOLUTION NO. 2023-099**

**RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CORONA, CALIFORNIA, TO APPROVE THE DISADVANTAGED BUSINESS ENTERPRISE PROGRAM AND ESTABLISH AN OVERALL GOAL OF ZERO PERCENT FOR FEDERAL FISCAL YEARS 2024-2026 AND AUTHORIZE THE CITY MANAGER TO SIGN THE PROGRAM OBJECTIVE AND POLICY STATEMENT.**

**WHEREAS**, City of Corona Transit Service (CCTS) has established a Disadvantaged Business Enterprise (DBE) Program in accordance with the regulations of the U.S. Department of Transportation (DOT), Code of Federal Regulations (CFR) Title 49, Part 26, which requires that the DBE program and goal be established, reviewed, and updated every three years; and

**WHEREAS**, pursuant to Title 49, part 26 of the Code of Federal Regulations, , as amended, and regulations promulgated thereunder, in order to be eligible for Federal Transit Administration (FTA) funds, the City is required to have a DBE program and an overall three-year goal established; and

**WHEREAS**, CCTS, as a recipient of FTA funds, receiving more than \$250,000 in financial assistance from the FTA, has established a DBE Program in accordance with the regulations of DOT CFR Title 49, Part 26; and

**WHEREAS**, CCTS has established an overall three-year DBE goal of 0 percent for Federal Fiscal Year 2024-2026.

**NOW, THEREFORE, BE IT RESOLVED** by the City Council of the City of Corona, California, as follows:

**SECTION 1.** The updated Disadvantaged Business Enterprise (DBE) program is hereby approved and adopted.

**SECTION 2.** An overall DBE goal of 0 percent of FTA-funded contracting opportunities is hereby approved and adopted for FFY 2023-24 through FFY 2024-26.

**SECTION 3.** The City Manager is authorized to sign the updated DBE Program Objective and Policy Statement.

**PASSED, APPROVED, AND ADOPTED** this 18<sup>th</sup> day of October 2023.

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Mayor of the City of Corona, California

**ATTEST:**

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City Clerk of the City of Corona, California

**CERTIFICATION**

I, the City Clerk of the City of Corona, California, do hereby certify that the foregoing Resolution was regularly introduced and adopted by the City Council of the City of Corona, California, at a regular meeting thereof held on the 8<sup>th</sup> day of October 2023, by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 8<sup>th</sup> day of October 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

# DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

City of Corona Transit Service

*Program Update: September 2023*



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## City of Corona Transit Service

### **Disadvantaged Business Enterprise Objectives and Policy Statement**

The City of Corona, City of Corona Transit Service (CCTS) has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (DOT), 49 Code of Federal Regulation Part 26. The City of Corona Transit Service will receive Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the City of Corona will sign an assurance that it will comply with 49 CFR Part 26.

The City of Corona assures equal opportunity in the award and performance of any contract to all persons without regard to race, color, national origin or sex. The intent of the DBE Program is to eliminate discriminatory practices, ensure discrimination is not occurring, increase participation of DBEs in all contracting activities to the maximum extent feasible, and meet the overall annual DBE participation goal, in compliance with 49 CFR Part 26. Adhering to this policy will ensure a level playing field and foster equal opportunity to receive and participate in DOT-assisted contracts. It is also our policy:

1. To ensure nondiscrimination in the award and administration of DOT - assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in DOT assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.
7. Facilitate the implementation of the DBE Program using race-neutral measures to the maximum extent feasible.

This policy applies to all City of Corona employees in administering 49 CFR Part 26, and to contractors, bidders/offerors and subcontractors.

---

Jacob Ellis, City Manager

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Date

## **SUBPART A – GENERAL REQUIREMENTS**

### **DBE Program Objectives/Policy Statement (§ 26.1, 26.23)**

The objectives are found in the policy statement on the first page of this program.

### **Applicability (§ 26.3)**

The City of Corona, City of Corona Transit System (CCTS) is the recipient of Federal Transit Administration (FTA) funds authorized by Federal transit laws in Title 49, U.S. Code. Under Title 49 CFR Part 26 the City of Corona is required to establish a Disadvantaged Business Enterprise Program. This document sets forth the policies and procedures to be implemented by the City of Corona to ensure that DBEs have an equitable opportunity to participate in the City's U.S. DOT-assisted contracting opportunities

### **Definitions (§ 26.5)**

The City of Corona will adopt the definitions contained in 49 CFR Section 26.5 for this program.

### **Non-discrimination Requirements (§ 26.7)**

The City of Corona will never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR part 26 on the basis of race, color, sex, or national origin.

In administering its DBE program, the City of Corona will not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE program with respect to individuals of a particular race, color, sex, or national origin.

### **Record Keeping, Reporting and Bidder's List Requirements (§ 26.11 (b)(c))**

#### **Reporting § 26.11 (b)**

The City of Corona will report DBE participation to DOT as follows:

Report DBE participation to the relevant operating administration at Federal Transit Administration using the Uniform Report of DBE Awards or Commitments and Payments, found in the DBE regulation. The report due June 1 will cover data from October 1 to March 31 and the report due December 1 will cover data from April 1 to September 30. All dollar amounts reported

will reflect the federal share of such contracts. The report will separate the dollar amount awarded to certified DBEs through the use of race conscious methods and race neutral methods.

Bidder's List § 26.11 (c)

City of Corona will create a bidders list, consisting of information about all DBE and non-DBE firms that bid or quote on DOT-assisted contracts. The purpose of this requirement is to allow use of the bidders list approach to calculating overall goals. The bidder list will include the name, address, DBE non-DBE status, age, and annual gross receipts of firms.

We will collect this information in the following ways:

- City of Corona Transit Service will require all bidders and proposers to identify their DBE status and identify all DBE subcontractors. See Attachment B – Bidders List Form.

**Federal Financial Assistance Agreement and Contract Assurance (§ 26.13 (a)(b))**

Federal Financial Assistance Agreement Assurance § 26.13 (a)

City of Corona will provide the following assurances statement verbatim on each federal finance assistance agreement it obtains:

*City of Corona shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT assisted contract or in the administration of its DBE Program or the requirements of 49 CFR part 26. The recipient shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of DOT assisted contracts. The recipient's DBE Program, as required by 49 CFR part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the City of Corona its failure to carry out its approved program, the Department may impose sanction as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).*

Contract Assurance § 26.13 (b)

City of Corona will ensure that the following assurance clause is placed verbatim in every federally-assisted contracts and subcontract:

*The contractor, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but not limited to, (1) withholding monthly progress payments, (2) assessing sanctions, (3) liquidated damages, and/or (4) disqualifying the contractor from future bidding as non-responsive.*

## **SUBPART B - ADMINISTRATIVE REQUIREMENTS**

### **DBE Program Updates (§ 26.21)**

Since the City of Corona Transit Service expects to receive a grant of \$250,000 or more in FTA planning capital, and or operating assistance in a federal fiscal year, City of Corona will carry out the program until all funds from DOT financial assistance have been expended. City of Corona will provide to DOT updates representing significant changes in the program.

### **Policy Statement (§ 26.23)**

The Policy Statement is elaborated on the first page of this program.

### **DBE Liaison Officer (DBELO) (§ 26.25)**

The City of Corona has designated the following individual as the DBE Liaison Officer:

*Sudesh Paul, ~~Transportation Planning Supervisor~~ Transit Program Manager  
[Sudesh.paul@coronaca.gov](mailto:Sudesh.paul@coronaca.gov) Phone: (951) 279-3763*

In this capacity, the DBELO is responsible for implementing all aspects of the DBE program and ensuring that City of Corona Transit Service complies with all provision of 49 CFR Part 26. The DBELO reports to the Public Works Director concerning DBE program matters. An organization chart displaying the DBELO's position in the organization is found in Attachment A to this program.

The DBELO is responsible for developing, implementing and monitoring the DBE program, in coordination with other appropriate officials. The DBELO has a staff of one (1) to assist in the administration of the program. The duties and responsibilities include the following:

1. Gathers and reports statistical data and other information as required by DOT.
2. Reviews third party contracts and purchase requisitions for compliance with this program.
3. Works with all departments to set overall annual goals.
4. Ensures that bid notices and requests for proposals are available to DBEs in a timely manner.
5. Identifies contracts and procurements so that DBE goals are included in solicitations (both race-neutral methods and contract specific goals attainment and identifies ways to improve progress.
6. Analyzes City of Corona Transit Service's progress toward attainment and identifies ways to improve progress.
7. Participates in pre-bid meetings.
8. Advises the CEO\governing body on DBE matters and achievement.
9. Participates with the legal counsel and project director to determine contractor compliance with good faith efforts.
10. Provides DBEs with information and assistance in preparing bids, obtaining bonding and insurance.
11. Plans and participates in DBE training seminars.
12. Provides outreach to DBEs and community organizations to advise them of opportunities.
13. Maintains the City of Corona Transit Service's updated directory on certified DBEs.

### DBE Financial Institutions (§ 26.27)

City of Corona will investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in the community, to make reasonable efforts to use these institutions, and to encourage prime contractors on DOT-assisted contract to make use of these institutions. We will make the following efforts to identify and use such institutions:

- The DBELO will periodically review the availability of such financial institutions from the US DOT, Office of Small and Disadvantaged Business Utilization website at:  
<https://www.state.gov/s/dmr/sdbu/index.htm>

### Prompt Payment Mechanisms (§ 26.29 (a)(b))

#### Prompt Payment §26.29(a)

The City of Corona will include the following clauses in each DOT-assisted prime contract:

*The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than 30 days from the receipt of each payment the prime contract receives from the City of Corona. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of City of Corona. This clause applies to both DBE and non-DBE subcontracts. For Public Works projects the payment shall occur no later than 7 days after payment to the prime contractor.*

Retainage §26.29(b)

*The prime contractor agrees further to return retainage payments to each subcontractor within 30 days after the subcontractors work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of City of Corona. This clause applies to both DBE and non-DBE subcontracts.*

Monitoring and Enforcement §26.29(d)

City of Corona Transit Service may perform interim audits of contract payments to DBEs. The audit will review payments to DBEs to ensure that the actual amount paid to DBEs equals or exceeds the dollar amounts stated in the schedule of DBE participation.

Evidence of payment made to subcontractors must be provided to verify compliance. Credit toward overall or individual contract goals will only be given upon satisfactory evidence that payments were actually made to DBEs. Such evidence shall be provided by the prime contractor through the submission of a progress report on DBE utilization to the City of Corona Transit Service directed by the City of Corona Transit Service staff. The information collected includes:

1. Name of each DBE and Subcontractor.
2. Type of work assignment for each DBE or Subcontractor.
3. The dollars committed to each firm.
4. The dollars paid to each firm during the reporting period.
5. The dollars paid to the firm as a result of a change order or other cost modification.
6. Contractor signature under penalty of perjury that it has complied with all prompt payment requirements per State laws and 49 CFR 26.29.

If City of Corona determines that the prime contractor has failed to comply with the prompt payment provisions set forth, City of Corona may give written notice to the prime contractor and the prime contractor's surety that, if the default is not remedied within a specified period of time (at least 10 days), the contract may be terminated. The contract may be terminated for cause in accordance with the contract terms and conditions for failing to meet the prompt payment provisions.

### Directory (§ 26.31)

City of Corona will utilize the California Unified Certification Program (CUCP) DBE Directory to identify certified DBEs eligible to participate as DBEs on federal assisted projects. City of Corona will make certain it identifies in the contract specification the availability of the CUCP DBE Directory for prime contractors to use in soliciting DBE subbids. The CUCP DBE Directory can be found at [California Unified Certification Program \(dbesystem.com\)http://californiaucp.org/](http://californiaucp.org/) or via [www.dot.ca.gov/hq/bep](http://www.dot.ca.gov/hq/bep). The CUCP directory lists the firm's name, address, phone number, fax number, on-site visit date, North American Industry Classification System (NAICS) code, ethnicity and gender of ownership, and the type of work the firm has been certified to perform as a DBE under which the firm has been certified to perform as a DBE. A listing in the DBE directory does not in any way pre-qualify the identified DBE firms with respect to licensing, bondability, competence or financial responsibility.

### Overconcentration (§ 26.33)

City of Corona will monitor and analyze the type of contracts/subcontracts awarded to DBE's to determine if there is an overconcentration in particular fields. If overconcentration is found to exist, City of Corona will inform the FTA. The City will also take measures to encourage DBEs within the area of overconcentration to expand into other fields, and enhance its communication with its prime contractors and its outreach within the network of DBE vendors to address the overconcentration.

### Business Development Programs (§ 26.35)

City of Corona has not established a business development program. However, if City of Corona identifies the need for such a program in the future, the rationale for adopting such a program and a comprehensive description of the program, will be submitted as a significant update to the DBE Program Plan.

### Monitoring and Enforcement Mechanisms (§ 26.37)

City of Corona will implement appropriate mechanisms, including sanctions, suspension, debarment, and application of legal and contractual remedies available under Federal, state, and local laws, as deemed appropriate and necessary, to ensure compliance with the requirements

by all program participants. Specifically, City of Corona will take the following monitoring and enforcement steps to ensure compliance with 49 CFR Part 26:

- Bring to the attention of the Department of Transportation any false, fraudulent, or dishonest conduct in connection with the program, so that DOT can take the steps (e.g., referral to the Department of Justice for criminal prosecution, referral to the DOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in 26.109. Consider similar action under our own legal authorities, including responsibility determinations in future contracts.
- Implement enforcement and monitoring processes/procedures outlined in DBE Contract Provisions contained in all engineering design/professional services, construction, transit and DOT-assisted contracts and this DBE Program Plan. This includes processes for Good Faith Efforts review and approval, counting DBE participation, monitoring and enforcing prompt payment, review and approval of substitutions and termination of DBEs on projects, requiring payment certifications from DBEs and Prime contractors attesting to total amounts paid to DBE firms, and contract closeout procedures that evaluate whether DBE goals have been met on each contract.
- Implement procedures to verify that work committed to DBEs at contract award is actually performed by the DBEs. This will be accomplished by document reviews of contracting records, project onsite visits and interviews conducted by CCTS staff and construction inspectors, review of payroll records for public work activity and a thorough review of invoices provided for services, as applicable. This will occur for each contract/project on which DBE's are participating.
- Compile and maintain a report of awards/commitments and attainment, which is reported semi-annually to FTA.
- Keep a running tally of DBE utilization/attainment, including race-neutral DBE participation, as well as payments to DBE firms for work committed to them at the time of contract award. See Attachment D – DBE Utilization Attainment Report.
- Track and delineate state/federal funding split to ensure that only the federal share is reported on Uniform Reports to US. DOT. This information is tracked in Excel spreadsheet and compared to City of Corona's OneSolution System.
- Compare DBE commitment submitted at the beginning of each project with Written Certification at the end of the project to determine if DBE goal was met.

#### Written Certification

The mechanism includes a written certification that City of Corona has reviewed contracting records and monitored work sites for DBE participation. The City of Corona Transit Staff will monitor every contract with DBE requirements, on paper and in the field and will include a

written certification that this compliance monitoring effort took place in accordance with the Contract Close-Out Report. Refer to Attachment C – Self Certification Statement of Compliance. The mechanisms include comparing the DBE commitments to actual DBE attainments.

#### Procedures to Monitor Compliance

Monitoring will occur after contract award and will be assigned to the City of Corona Transit Staff to monitor actual DBE participation through contractor and subcontractor reports. After the contract award, City of Corona Transit Staff will review the award documents for the portion of work each DBE and/or subcontractor will be performing and the dollar value of that work. With these documents, City of Corona Transit Staff will be able to determine the work to be performed by the listed DBE's or subcontractors.

#### Construction Contract On-Site Monitoring

City of Corona will ensure that City of Corona Transit Staff and inspectors know what items of work each DBE is responsible for performing. Inspectors will notify City of Corona Transit Staff immediately of apparent violations. When a firm other than the listed DBE subcontractor is found performing the work, the City of Corona Transit Staff will notify the Contractor of the apparent discrepancy and potential loss of payment. Based on the Contractor's response, City of Corona will take appropriate action. If the Contractor fails to adequately explain why there is a discrepancy, payment for the work will be withheld and a letter will be sent to the contractor referencing the applicable specification violation and the required withholding of payment.

This monitoring effort is fully incorporated into DBE On-site Monitoring process. The observed work will be reconciled against the DBE commitment.

#### Record Keeping and Final Utilization Report of DBE

The Contractor shall maintain records showing each listed DBE and first-tier subcontractor. These records will be made available for inspection upon request by any authorized representative of City of Corona, or FTA. The records shall include:

1. Contract number, DBE goal commitment and brief description of the work.
2. Name and address of each DBE listed and certifications held.
3. The dollar amount of each subcontract, including supplies and services.
4. Reports from Contractor with an accounting of actual expenditures to DBEs and the progress to date in meeting the DBE participation commitment.
5. Evidence to support subcontractor substitution requests, where appropriate.
6. Evidence to support prompt payments made, with date of payment and total dollar figure paid to each DBE, subcontractor and suppliers.
7. The DBE prime contractor shall also show the date of work performed by their own forces along with the corresponding dollar value of the work claimed toward DBE goals.

#### Contract Close-Out Report

When a contract has been completed, the Contractor will provide a summary of the records stated above. The DBE utilization information will be documented on the form "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" will be submitted to City of Corona. City of Corona Transit Staff will compare the completed form to the contractor's completed "Designation of Subcontractors". The DBE's shown on the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form should be the same as those originally listed unless an authorized substitution was allowed, or the contractor used more DBE's and they were added. The dollar amount should reflect any changes made in planned work done by the DBE. The Contractor will be required to explain in writing why the names of the subcontractors, the work items or dollar figures are different from what was originally shown on the completed "Designation of Subcontractors" form when:

- There have been no changes made by the RE.
- The Contractor has not provided a sufficient explanation in the comments section of the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form.

The explanation will be attached to the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form for submittal. The City of Corona staff will file this report in the project records. The "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form is available on the California Department of Transportation, Local Agency Program Manual Forms, Chapter 17 website at [Forms | Caltrans](http://www.dot.ca.gov/hq/LocalPrograms/lam/forms/lapmforms.htm)<http://www.dot.ca.gov/hq/LocalPrograms/lam/forms/lapmforms.htm>.

#### Administrative Remedies for Non-Compliance

A Contractor deemed to be in non-compliance shall be informed in writing, by certified mail, by the DBELO or designee, that administrative remedies shall be imposed for failure to meet DBE committed goal and/or submit evidence of good faith efforts to the satisfaction of the City of Corona. The Contractor shall be given five (5) working days from the date of the notice to file a written appeal to the DBELO. Failure to respond within the five (5) day period shall constitute a waiver of appeal. The notice shall state the specific administrative remedy to be imposed.

The City of Corona may schedule an informal hearing to gather additional facts and evidence and shall issue a final determination on the matter within five (5) working days of receipt of the written appeal. The written decision of the CITY OF CORONA TRANSIT SERVICE or designee is final and there is no further appeal.

The CITY OF CORONA TRANSIT SERVICE reserves the right to initiate Administrative Remedies, which may include but are not limited to:

- Withholding of payments due equivalent to the difference between the actual DBE attainment and the overall project and/or contract specific DBE goal.
- Suspension of payment to the Contractor of any other monies held by the City of Corona; and
- Termination of the Contract in part or in whole.

The Administrative Remedies shall not apply if the Contractor is able to demonstrate to the satisfaction of the City of Corona that it exercised good faith efforts in an attempt to meet the contract-specific DBE goal, where applicable.

The City of Corona will bring to the attention of the FTA any false, fraudulent, or dishonest conduct in connection with the program, so that FTA can take the steps (e.g., referral to the U.S. Department of Justice for criminal prosecution, referral to the USDOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in §26.109.

The City of Corona will also consider similar action under its own legal authorities, including responsibility determinations in future contracts.

The requirements of this section equally apply to a DBE operating as a prime contractor.

### Small Business Participation (§ 26.39)

City of Corona will incorporate the following non-discriminatory element to its DBE program, in order to facilitate competition on DOT-assisted public works projects by small business concerns (both DBEs and non-DBE small businesses):

- Breaking out procurements or unbundling procurement actions to provide greater opportunities for Disadvantaged Business Enterprises (DBEs), small and minority firms, and women's business enterprises to participate.
- For procurements that require competition, City of Corona will seek out small businesses to compete for these procurement actions by actively including DBE and non-DBE small business firms on the solicitation lists.
- For micro purchases that do not require competition, seeking out DBE and non-DBE small business firms to satisfy these requirements.

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• For the purpose of this program, small businesses are as defined in the Code of Federal Regulations, Title 49, Part 26.65 (Attachment I) and the Small Business Act and Small Business Administration regulations under Title 13, part 121.

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## **SUBPART C - GOALS, GOOD FAITH EFFORTS, AND COUNTING**

### Set-asides or Quotas (§ 26.43)

City of Corona does not use quotas in any way in the administration of this DBE program. City of Corona may consider establishing a Small Business set-aside program as allowed in §26.39 – *Foster Small Business Participation*. If City of Corona selects to implement a small business set-aside program, it will follow the requirements under §26.21 - *DBE Program Updates*.

### Overall Goals (§ 26.45)

A description of the methodology to calculate the overall goal and the goal calculations can be found in Attachment E – Overall DBE Goal and Methodology, to this program. In accordance with Section 26.45(f), City of Corona will submit its Triennial DBE overall goal by August 1 at three (3) year intervals, based on FTA schedule. City of Corona will express the overall contract goal as a percentage of the total amount of the federal assistance received.

The process generally used to establish overall DBE goal is as follows:

1. The City established a reasonable estimate of FTA funded contracting activities for the covered federal fiscal years.
2. The City categorized these contracting opportunities by NAICS Code.
3. The City reviewed its contracting database and established the Counties of Riverside, San Bernardino & Orange as its market area, where the majority of contracting occurs.
4. The City then developed an estimate of ready, willing, and able DBE's based on the State of California Unified Contracting Database for the Market Area.
5. The City developed the number of overall firms ready, willing, and available, by NAICS Code, utilizing US Census Data.
6. When necessary, the City evaluated both DBE's and Non-DBE's to ensure the accuracy of the NAICS code area, and the firms ready, willing, and able, to satisfy the City's requirements.
7. The City then multiplied the dollar value of the opportunities by the result of dividing the number of DBE firms by the number of total firms.
8. The City then totaled these amounts to arrive at the step one goal.

Before establishing the overall goal, City of Corona will consult with the various organizations in the market area, such as Chamber of Commerce, minority, women-owned and small business groups, to obtain information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and City of Corona's efforts to establish a level playing field for the participation of DBEs.

Following this consultation, City of Corona will publish a notice of the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection for 30 days following the date of the notice. The notice will be posted on City's official Internet Website. If the proposed goal changes following review, the revised goal will be posted on the City's official Internet Web site.

City of Corona's overall goal submission to DOT will include: the goal (including the breakout of estimated race-neutral and race-conscious participation, as appropriate); a copy of the methodology, worksheets, etc., used to develop the goal; a summary of information and comments received during the consultation period and proof of publication of the goal on the City's website.

City of Corona will begin using the overall goal on October 1 of each year, unless we have received other instructions from DOT. If a project based goal is established, then the goal will be utilized by the time of the first solicitation for a DOT-assisted contract for the project. The overall DBE goal will remain effective for the duration of the three-year period established and approved by the FTA.

### Goal Setting and Accountability (§ 26.47)

If the awards and commitments shown on the City's Uniform Report of Awards or Commitments and Payments at the end of any fiscal year are less than the overall applicable to that fiscal year, City of Corona staff will:

1. Analyze in detail the reason for the difference between the overall goal and the actual awards/commitments;
2. Establish specific steps and milestones to correct the problems identified in the analysis; and
3. Establish and implement a corrective action plan, and maintain information/records regarding the analysis and efforts made.

### Transit Vehicle Manufacturers Goals (§ 26.49)

City of Corona will require each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, to certify that it has complied

with the requirements of this section. Alternatively, City of Corona may, at its discretion and with FTA approval, establish project-specific goals for DBE participation in the procurement of transit vehicles in lieu of the TVM complying with this element of the program.

CCTS will submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract.

Attachment F: The TVM Certification is attached hereto

### Breakout of Estimated Race-Neutral & Race-Conscious Participation (§ 26.51(a-c))

City of Corona will make efforts to meet the maximum feasible portion of the overall goal by using race-neutral means of facilitating DBE participation. Race-neutral DBE participation includes any time a DBE wins a prime contract through customary competitive procurement procedures, is awarded a subcontract on a prime contract that does not carry a DBE goal, or even if there is a DBE goal, wins a subcontract from a prime contractor that did not consider its DBE status in making the award (e.g., a prime contractor that uses a strict low bid system to award subcontracts).

Race-neutral measures, as described in City of Corona’s Overall DBE Goal and Methodology states, “In conformance with Title 49 CFR Part 26; “Participation by Disadvantaged Business Enterprises in Department of Transportation Programs” and in further response to FTA Notices issued to Public Transportation Providers regarding DOT’s DBE Program and Race-Neutral Policy Implementation Guidance, City of Corona is required to submit and implement a strictly Race-Neutral Overall DBE Goal for FFY 2018-2020, due to the absence of readily available evidence of discrimination and its effects in its marketplace. (Refer to Attachment E - Overall DBE Goal and Methodology for FFY 2018-2020).

This section of the program will be updated annually when and if the goal calculation is updated.

### Contract Goals (§ 26.51(d-g))

City of Corona will meet the maximum feasible portion of its overall goal using race-neutral means of facilitating DBE participation. In order to do so, City of Corona will participate in:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses

2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing);
3. Providing technical assistance and other services;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate);
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
6. Providing services to help DBEs, and other small businesses, improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
8. Ensuring distribution of your DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors; and
9. Assisting DBEs, and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

### Good Faith Efforts Procedures (§ 26.53)

#### Demonstration of good faith efforts (§ 26.53(a) & (c))

In the event the City of Corona Transit Service establishes an individual DBE contract goal, the City will not award the contract to a bidder who does not either: 1) meet the contract goal with verified, countable DBE participation; or 2) documents it has made adequate good faith efforts to meet the DBE contract goal. It is the obligation of the bidder/offeror to demonstrate it has made sufficient good faith efforts prior to submission of its bid. Examples of good faith efforts are found in Appendix A of 49 CFR Part 26 (Attachment H).

The Department Coordinator will be responsible for determining whether a bidder/offeror who has not met the contract goal has documented sufficient good faith efforts to be regarded as responsive.

City of Corona Transit Service staff will ensure that all information is complete and accurate and adequately documents the bidder/offer's good faith efforts before committing to the performance of the contract by the bidder/offeror.

Information to be submitted (§ 26.53(b))

City of Corona Transit Service treats bidder/offers' compliance with good faith efforts' requirements as a matter of responsiveness.

Each solicitation for which a contract goal has been established will require the bidders/offerors to submit the following information by the time the bid or proposal is due:

1. The names and addresses of DBE firms that will participate in the contract;
2. A description of the work that each DBE will perform;
3. The dollar amount of the participation of each DBE firm participating;
4. Written and signed documentation of commitment to use a DBE subcontractor whose participation it submits to meet a contract goal;
5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment; and
6. If the contract goal is not met, evidence of good faith efforts.

Administrative reconsideration (§ 26.53(d))

Within ~~five-ten~~ (5-10) days of being informed by City of Corona Transit Service that it is not responsive because it has not documented sufficient good faith efforts, a bidder/offeror may request administrative reconsideration. Bidder/offerors should make this request in writing to the following reconsideration official: ~~Public Works~~Community Services Director, ~~Public Works~~Community Services 400 S. Vicentia Ave., Corona, CA 92882. The reconsideration official will not have played any role in the original determination that the bidder/offeror did not document sufficient good faith efforts.

As part of this reconsideration, the bidder/offeror will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so. The bidder/offeror will have the opportunity to meet in person with our reconsideration official to discuss the issue of whether it met the goal or made adequate good faith efforts to do. We will send the bidder/offeror a written decision on reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate

good faith efforts to do so. The result of the reconsideration process is not administratively appealable to the Department of Transportation.

Good Faith Efforts when a DBE is replaced on a contract (§ 26.53(f))

City of Corona Transit Service will require a contractor to make good faith efforts to replace a DBE that is terminated or has otherwise failed to complete its work on a contract with another certified DBE, to the extent needed to meet the contract goal. We will require the prime contractor to notify the DBE Liaison officer immediately of the DBE's inability or unwillingness to perform and provide reasonable documentation.

In this situation, CCTS will require the prime contractor to obtain prior approval of the substitute DBE and to provide copies of new or amended subcontracts, or documentation of good faith efforts. If the contractor fails or refuses to comply in the time specified, our contracting office will issue an order stopping all or part of payment/work until satisfactory action has been taken. If the contractor still fails to comply, the contracting officer may issue a termination for default proceeding.

Sample Bid Specification:

The requirements of 49 CFR Part 26, Regulations of the U.S. Department of Transportation, apply to this contract. It is the policy of the [Name of Recipient] to practice nondiscrimination based on race, color, sex, or national origin in the award or performance of this contract. All firms qualifying under this solicitation are encouraged to submit bids/proposals. Award of this contract will be conditioned upon satisfying the requirements of this bid specification. These requirements apply to all bidders/offerors, including those who qualify as a DBE. A DBE contract goal of \_\_\_\_ percent has been established for this contract. The bidder/offeror shall make good faith efforts, as defined in Appendix A, 49 CFR Part 26 (Attachment H), to meet the contract goal for DBE participation in the performance of this contract.

The bidder/offeror will be required to submit the following information: (1) the names and addresses of DBE firms that will participate in the contract; (2) a description of the work that each DBE firm will perform; (3) the dollar amount of the participation of each DBE firm participating; (4) Written documentation of the bidder/offeror's commitment to use a DBE subcontractor whose participation it submits to meet the contract goal; (5) Written confirmation from the DBE that it is participating in the contract as provided in

the commitment made under (4); and (5) if the contract goal is not met, evidence of good faith efforts.

Counting DBE Participation (§ 26.55)

City of Corona Transit Service will count DBE participation toward overall and contract goals as provided in 49 CFR 26.55.

## **SUBPART D and E - CERTIFICATION STANDARDS and PROCEDURES**

### **DBE Certification Procedures (§ 26.61 - 26.91)**

In order to reduce administrative burden associated with determining the eligibility of firms to participate as DBEs in FTA-assisted contracts, City of Corona will rely on the California Unified Certification Program (CUCP) to perform the DBE certification determinations.

City of Corona is a non-certifying member of the CUCP; and will utilize the CUCP certification directory ([Caltrans - Disadvantaged Business Enterprise System \(dbesystem.com\)](https://dbesystem.com)) to determine the eligibility of DBEs, their work codes, etc., in order to participate as DBEs on City of Corona Transit Service projects. ~~The CUCP DBE directory is on the Caltrans website at: <https://dot.ca.gov/programs/civil-rights/dbe-search>. For information about the DBE certification application process can be obtained from the following websites:~~

~~Information: [Disadvantaged Business Enterprises \(DBE\) | Caltrans](#)~~

~~To apply for certification: [Caltrans - Disadvantaged Business Enterprise System \(dbesystem.com\)](#)~~

~~See Attachment G – Roster of Certifying Agencies, contact:~~

**CALTRANS**

~~DBE Program – Certification Unit~~

~~1823 14th Street~~

~~Sacramento, CA 95811~~

~~Phone: (916) 324-1700 or (866) 810-6346~~

~~Email: [DBE.Certifications@dot.ca.gov](mailto:DBE.Certifications@dot.ca.gov)~~

~~Website: <https://dot.ca.gov/programs/civil-rights>~~

~~In addition, see Attachment G – CUCP Brochure on how to apply for DBE Certification~~

## **SUBPART F - COMPLIANCE AND ENFORCEMENT**

### **Information, Confidentiality, Cooperation (§ 26.109)**

City of Corona will safeguard from disclosing to third parties, information that may reasonably be regarded as confidential business information, consistent with Federal, state, and local law. California Government Code §6250 provides for the disclosure of certain public information. Since the City of Corona is not a Certifying Entity, personal information is not routinely handled by the City.

Notwithstanding any contrary provisions of state or local law, City of Corona will not release personal financial information submitted in response to the personal net worth requirement to a third party (other than DOT) without the written consent of the submitter.

### **Monitoring Payments to DBEs**

City of Corona will require prime contractors to maintain records and documents of payments to DBEs for three years following the performance of the contract. These records will be made available for inspection upon request by any authorized representative of the City of Corona or DOT. This reporting requirement also extends to any certified DBE subcontractor.

City of Corona will perform interim audits of contract payments to DBEs. The audit will review payments to DBE subcontractors to ensure that the actual amount paid to DBE subcontractors equals or exceeds the dollar amounts states in the schedule of DBE participation.

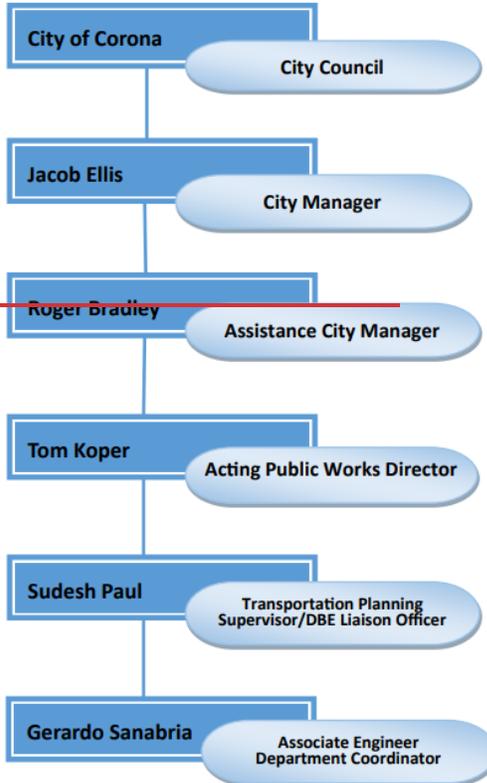
## ATTACHMENTS

- Attachment A City of Corona Transit Service - Organizational Chart
- Attachment B Bidders List Form
- Attachment C Statement of Compliance – [Self Certification](#)
- Attachment D DBE Utilization Attainment Report
- Attachment E Overall DBE Goal and Methodology
- ~~Attachment F TVM Certification~~
- ~~Attachment F City Council Action~~
- Attachment G ~~CUCP Brochure on how to apply for DBE~~ [Roster of Certifying Agencies Certification](#)
- Attachment H Regulations: 49 CFR part 26

Attachment A – Organizational Chart

**CITY OF CORONA TRANSIT SERVICE**

**Disadvantaged Business Enterprise (DBE) Program Organizational Chart**

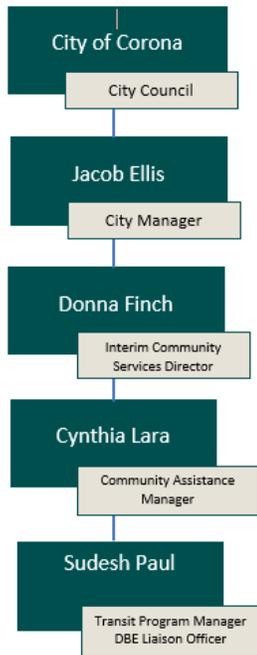


**DBE Liaison Officer Contact Information:**  
Ms. Sudesh Paul  
Public Works Department  
400 South Vicentia Avenue Suite 210  
Corona, CA 92882  
Phone: (951) 279-3763  
Fax: (951) 279-3627  
Email: [Sudesh.Paul@CoronaCA.gov](mailto:Sudesh.Paul@CoronaCA.gov)



**CITY OF CORONA TRANSIT SERVICE**

**Disadvantaged Business Enterprise (DBE) Program Organizational Chart**



**DBE Liaison Officer Contact Information:**  
Ms. Sudesh Paul  
400 South Vicentia Avenue  
Corona, CA 92882  
Phone: (951) 279-3763  
Fax: (951) 279-3627  
Email: [Sudesh.Paul@coronaca.gov](mailto:Sudesh.Paul@coronaca.gov)

Attachment B – Bidders List Form



Project Name: \_\_\_\_\_

**BIDDERS LIST**

Bidder/Offorer: \_\_\_\_\_ IFB/RFP #: \_\_\_\_\_

The Department of Transportation requires the City of Corona, City of Corona Transit Service (CCTS) to create and maintain a "Bidders List" containing information about all firms (DBE and Non-DBE) that bid, propose or quote on CCTS's DOT-assisted contracts, in accordance with 49 CFR Part 26.11. The "Bidders List" is intended to be a count of all firms that are participating, or attempting to participate, on DOT-assisted contracts, whether successful or unsuccessful in their attempt to obtain a contract.

The Bidder/Offorer is to complete all requested information on the "Bidders List" for every firm who submitted a bid, proposal or quote, including the primary Bidder/Offorer, and submit this information at the time of bid submission. However, if not elected to do so at the time of bid submission, bidders/Offorers must submit such information at the request of the Authority within the prescribed timeline set forth in the solicitation. The City of Corona will utilize this information to assist in CCTS's overall annual DBE goal-setting process. *The "Bidders List" content will not be considered in evaluating the bid/proposal or determining award of any contract.*

Prime Bidder's/Offorer's Information:		
Name of Prime's Firm:	Phone: ( ) ( )	Fax: ( ) ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

Project Name: \_\_\_\_\_

Provide the following information for every firm (DBE and non-DBE) that submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract:		
Name of Firm:	Phone: ( )	Fax: ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

Provide the following information for every firm (DBE and non-DBE) that submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract:		
Name of Firm:	Phone: ( )	Fax: ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

If necessary, this "Bidders List" form can be duplicated to include all firms (DBE and non-DBE) that have submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract.

Failure of the Bidder/Offeror to submit the required "Bidders List" form will deem the Bidder/Offeror non-responsive.

Attachment C – Statement of Compliance-Self Certification

**Disadvantaged Business Enterprise (DBE)  
Self-Certification  
STATEMENT OF COMPLIANCE**

Project No: \_\_\_\_\_

Project Name: \_\_\_\_\_

I, \_\_\_\_\_, undersigned, have the authority to act for  
(Name & Title)

and on behalf of the CITY OF CORONA TRANSIT SERVICE, and certify under penalty of perjury that this federal-aided project has been monitored in conformance with Part 26 of Title 49 of the Code of Federal Regulations—DBE Regulations.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Comments/Exceptions (Explanation):

Enclosures: Copies of the DBE Final Payment Record

Maintain in contract file.

Attachment D – DBE Utilization Attainment Report

**City of Corona Transit Service**

**MONTHLY DBE SUBCONTRACTOR COMMITMENT AND ATTAINMENT REPORT SUMMARY AND PAYMENT VERIFICATION**

Report Period (month): _____	Original Contract Award Amount: \$ -	Report prepared by: _____
Contract Number: _____	Prime Current Contract Value: \$ - [B]	Signature: _____
Contract Award Date: _____	Total Paid to Prime this quarter: \$ -	Title: _____
Prime Name: _____	Total Paid to Prime to date: \$ - [D]	Report reviewed by: _____
Address: _____	Total Paid to DBEs this quarter: \$ -	Signature: _____
City, State, Zip: _____	Total Paid to DBEs to date: \$ - [A]	Title: _____
Telephone No: _____	% of Project Complete: #DIV/0! [D/B]	Date of last progress payment: _____
Original Project Goal: _____	Prime's Current DBE Attainment: #DIV/0! [A/B]	
	Prime's Current DBE Commitment: #DIV/0! [C/B]	

1	2	3	4	5	6	7	8	9	10
SUBCONTRACTOR	Type of Work Performed	Original \$ Amount Committed at Award	\$ +/- Resulting from Change Order	\$ Amount of Current Commitment	\$ Amount of Eligible DBE Participation Claimed [C]	\$ Amount Paid to DBE this month	% of Retention Withheld (if any)	% of Work Completed	Notes/ Comments
	Applicable NAICS Code(s)								
Name: _____									
Address: _____									
City, State, Zip Code: _____									
Telephone No: _____									
CERTIFICATION(s): SB <input type="checkbox"/> DBE <input type="checkbox"/> MB <input type="checkbox"/>									
Certification Number: _____									
Verification of Payment Attached: Yes <input type="checkbox"/> No <input type="checkbox"/>									
Anticipated Commencement of Work Date: _____									
Name: _____									
Address: _____									
City, State, Zip Code: _____									
Telephone No: _____									
CERTIFICATION(s): SB <input type="checkbox"/> DBE <input type="checkbox"/> MB <input type="checkbox"/>									
Certification Number: _____									
Verification of Payment Attached: Yes <input type="checkbox"/> No <input type="checkbox"/>									
Anticipated Commencement of Work Date: _____									
<b>DBE TOTAL(S):</b> \$ - \$ - \$ - \$ - \$ -									
COMMENTS/ISSUES:									

If necessary, this form can be duplicated and/or modified; however, it must contain all requested data fields.

Form Effective 9/26/17

Attachment E – Overall DBE Goal and Methodology

**CITY OF CORONA, CITY OF CORONA TRANSIT SYSTEM  
DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
OVERALL DBE GOAL AND METHODOLOGY  
FEDERAL FISCAL YEARS (FFY) 2021-2023  
(Covering the period of October 1, 2020 to September 30, 2023)**

**I. INTRODUCTION**

City of Corona, City of Corona Transit System (CCTS) herein sets forth its Proposed Overall Disadvantaged Business Enterprise (DBE) Goal and corresponding federally prescribed goal-setting methodology for the three-year Federal Fiscal year (FFY) goal period of 2021-2023 (October 1, 2020 through September 30, 2023), pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs”.

The goal setting process consists of two steps. Step one determines the base figure for the relative availability of DBEs. Step two determines what adjustment, if any, may be needed.

**II. BACKGROUND**

Public agencies receiving U.S. Department of Transportation (DOT), Federal Transit Administration (FTA) assisted funds, who anticipate awarding \$250,000 or more in DOT-assisted contracts, must adopt a three-year Disadvantaged Business Enterprise (DBE) goal. As such, CCTS is required to develop and submit a Triennial Overall DBE Goal for DBE participation as a condition of receiving federal funding for its FTA-assisted projects.

Effective March 3, 2010, the United States Department of Transportation (“DOT”) issued a final rule affecting the implementation and management of the Department’s Disadvantaged Business Enterprise (“DBE”) program. The final rule requires submission of a goal-setting methodology on a three-year cycle. Pursuant to this final rule, CCTS is still required to conduct an annual review to account for changes that may warrant an adjustment to the overall goal or make an adjustment based on changed circumstances (i.e. significant change in the legal standards governing the DBE program, new contracting opportunities presented by the availability of new or different grant opportunities to ensure the goal and program as a whole are narrowly tailored throughout the goal period.

**CCTS herein presents its Proposed Overall DBE Goal Methodology for FFY 2021/23 goal period, which resulted in a .5% Overall DBE Goal.**

### III. DOT-ASSISTED CONTRACTING PROGRAM FOR FFY 2021-2023

Table 1 below represents CCTS’ U.S. DOT-assisted (FTA) contracting program, which includes four (4) projects considered in preparing its Overall DBE Goal-Setting Methodology utilizing the North American Industry Classification System (NAICS) work categories. The projects include: Contracting Services for Dial-A-Ride and Fixed Route Service, Comprehensive Operational Analysis, an Intelligent Transportation System, Bus Stop Amenities and Office Support equipment. These projects are anticipated to be awarded within FFYs 2021-2023. CCTS anticipates spending approximately \$4,730,026 of federal dollars in which there may be DBE contracting opportunities over the three-year term.

**Table 1 – FTA-assisted Contracting Opportunities**

PROJECT NAME	NAICS CUCP DATABASE	ESTIMATED FEDERAL DOLLAR SHARE	% OF FEDERAL FUNDING*
Contracting Services – Transit Operations	485113, 485991	\$4,000,000	84.6%
Consulting Services – Comprehensive Operations Analysis	541614	\$100,000	2.1%
Intelligent Transportation System	541512, 518210,	\$504,700	10.7%
Digital Land Mobile Radio System	517410	\$105,326	2.2%
Document Translation Services	541930	\$20,000	.4%
<b>TOTAL:</b>		<b>\$4,730,026</b>	<b>100%</b>

### IV. GOAL METHODOLOGY

#### A. Step 1: Determination of a Base Figure (26.45)<sup>1</sup>

To establish CCTS’s Base Figure of the relative availability of DBEs to all comparable firms (DBE and Non-DBEs) available to propose on CCTS’s DOT-assisted contracting opportunities projected to be solicited during the triennial goal period, CCTS followed the prescribed federal goal-setting methodologies in accordance with 49 CFR Part 26 regulations. This was accomplished by assessing the *California Unified Certification Program (CUCP) Directory of Certified DBE Firms*

<sup>1</sup> 26.45 represents Title 49 CFR Part 26 regulatory goal setting methodology reference.

and the **2018 U.S. Census Bureau County Business Patterns (CBP) Database**. Comparisons were made within the City of Corona Transit System’s market area (defined as Riverside County, San Bernardino County, and Orange County) and by specified industries and types of businesses identified in Table 1. The City of Corona Transit System’s local market area represents where the substantial majority of the City of Corona Transit System’s contracting dollars are expended and/or where the substantial majority of contractors and subcontractors bids or quotes are received. CCTS also considered LA County into the market area, however doing so decreased the relative DBE availability.

The City of Corona Transit System made a concerted effort to ensure that the scope of businesses included in the numerator was as close as possible to the scope included in the denominator. For corresponding detail of all work category classifications grouped, refer to Table 1.

- ⇒ **For the numerator:** *California UCP DBE Database of Certified Firms*
- ⇒ **For the denominator:** *2018 U.S. Census Bureau’s Business Pattern Database (CBP)*

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To determine the relative availability of DBEs, the City of Corona Transit System divided the numerator<sup>2</sup> representing the ratio of ready, willing and able DBE firms, by the denominator<sup>3</sup> representing all firms (DBE and Non-DBEs) available in each work category and same geographical regional. Application of this formula yielded the following baseline information:

$$\frac{\text{Number of Ready, Willing and Able DBEs}}{\text{FIGURE Number of All Available Firms (including DBEs and Non-DBEs)}} = \text{BASE}$$

The Base Figure was further weighted by contract type and corresponding contract value. The Base Figure resulting from this weighted calculation is as follows:

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<sup>2</sup> Numerator represents all DBE firms established within the City’s Market area.

<sup>3</sup> Denominator represents all comparable available established firms.

<b>Contracting Services – Transit Operations</b>	<b>Consulting Services – COA</b>
<b>485113 – Bus and Other Motor Vehicle Transit Systems</b>	<b>541614 – Process, Physical Distribution, and Logistics Consulting Services</b>
<b>485991 – Special needs transportation</b>	
Base Figure = $\left( 84.57\% \frac{\text{(DBEs in 485113/485991)}}{\text{(CBPs in 485113/485991)}} \right)$	Base Figure = $\left( 2.11\% \frac{\text{(DBEs in 541614)}}{\text{(CBPs in 541614)}} \right)$
Base Figure = $\left( 0.8457 \frac{0}{96} \right)$	Base Figure = $\left( 0.0211 \frac{31}{270} \right)$
Base Figure = 0.8457( 0.000 )	Base Figure = 0.0211( 0.115 )
Base Figure = 0.000	Base Figure = 0.002
<b>Intelligent Transportation System</b>	<b>Digital Land Mobile Radio System</b>
<b>541512 – Computer Systems Design Services</b>	<b>517410 – Satellite telecommunications</b>
<b>518210 – Data Processing, Hosting and Related Services</b>	
Base Figure = $\left( 10.67\% \frac{\text{(DBEs in 541512/518210)}}{\text{(CBPs in 541512/518210)}} \right)$	Base Figure = $\left( 2.23\% \frac{\text{(DBEs in 517410)}}{\text{(CBPs in 517410)}} \right)$
Base Figure = $\left( 0.1067 \frac{131}{1749} \right)$	Base Figure = $\left( 0.0223 \frac{0}{24} \right)$
Base Figure = 0.1067( 0.075 )	Base Figure = 0.0223( 0.000 )
Base Figure = 0.008	Base Figure = 0.000
<b>Document Translation Services</b>	<b>BASE FIGURE</b>
<b>541930 – Translation and Interpretation Services</b>	
Base Figure = $\left( 0.42\% \frac{\text{(DBEs in 541930)}}{\text{(CBPs in 541930)}} \right)$	<b>= .000+.002+.008+.000+.001 = .011</b>
Base Figure = $\left( 0.0042 \frac{12}{81} \right)$	<b>= (.011) 100 = 1.104 = 1%*</b>
Base Figure = 0.0042( 0.148 )	<i>*Rounded to the nearest whole number</i>
Base Figure = 0.001	

## B. Step 2: Adjusting the Base Figure

Upon establishing the Base Figure, CCTS is required to review and assess other known evidence potentially impacting the relative availability of DBEs within CCTS's market area, in accordance with prescribed narrow tailoring provisions set forth under 49 CFR Part 26.45 Step 2; DBE Goal Adjustment guidelines.

Evidence considered in making an adjustment to the Base Figure include *Past DBE Goal Attainments, Bidders List, Disparity Studies, and Other Evidence*. A summary of these considered follows:

### Past DBE Goal Attainments

CCTS has experienced challenges in attaining its DBE goal for the past three federal fiscal years; the following table reflects the historical DBE participation on FTA-assisted contracts awarded by CCTS:

FFY18	FFY19	FFY20
0.0%	0.0%	0.0%
Median DBE Participation: 0.0%		

Median for the past DBE participation on CCTS projects was 0.0 percent and is lower than the Base Figure derived from Step 1; therefore an adjustment is made based on past DBE participation.

Majority of the capital program funds were for contracted transit operations; once the contract was awarded, there were no additional opportunities for the following years to meet the DBE goal. While the contractor utilized a subcontractor, the amount was not sufficient to meet the DBE goal for the year. In addition, the other three projects were delayed as much of the staff time was dedicated towards the transition of the new transit operations contractor. Furthermore, staff could not proceed with the projects as the funds have yet to be programmed in the federal grants. Together these three factors constrained CCTS' ability to proceed with all of the federally funded projects and limited its ability to obtain its overall DBE goal.

While CCTS will make every effort to address the aforementioned limitations, CCTS expects to have similar contracting opportunities in the up-coming triennial cycle as previously proposed. In addition, majority of the funds have yet to be programmed. Therefore, CCTS has considered an adjustment to the Base Figure and it is calculated in accordance with FTA guidance by averaging the Base Figure with the Median DBE past attainment as show below:

$$\text{Adjusted Base Figure} = \frac{1\% (\text{Base Figure}) + 0\% (\text{Median Attainment})}{2}$$

The formula resulted in a proposed adjustment (decrease) to the Base Figure from 1% to .5%.

City of Corona Transit System's Bidders List

CCTS does not have a bidders list at this time, however CCTS will work to build and maintain a Bidder's List that can be utilized for future goal development. Bidders List will be developed based on the guidelines in CCTS' DBE Plan. CCTS has not made a further adjustment to the base figure based on a Bidder's List.

Evidence from Disparity Studies

CCTS did not find it feasible to conduct its own independent availability/disparity study. CCTS staff did review and consider the following studies available during the evaluation of adjustment of the Base Figures: 2016 Disparity Study available through California Department of Transportation (Caltrans) and the LA Metro 2017 Disparity Study.

Caltrans study is not applicable due to the following factors: study covers the entire state of ~~California rather than focusing on a market area similar to CCTS~~, and their contracting opportunities differ from CCTS such that they focused on construction and engineering contract which do not coincide with types of opportunities available in the upcoming triennial period by CCTS.

Metro's study also emphasizes on large and complex projects such as Caltrans which are not similar in scope to the types of projects that CCTS will conduct. The study also indicated majority of Metro's contracting opportunities went to locations in the Los Angeles; as such LA County is considered as Metro's geographical market area. When calculating the base figure in Step 1, CCTS considered including LA County in CCTS' market area however this further reduced the DBE availability percentage.

Both of the studies vary in scope and not relevant to CCTS's federally-assistance contracting opportunities, therefore CCTS did not make an adjustment under this factor at this time. CCTS will continue to review applicable Disparity Studies as they become available and apply the appropriate adjustments to the DBE Program.

Other Evidence

CCTS is not in possession of other information nor aware of any other factors or adverse considerations that would have a material effect on DBE's availability within CCTS market area, or on DBEs ability to participate in CCTS' FTA-assisted contracting opportunities. Therefore, no goal adjustment was in consideration of this factor. However, during this triennial goal, CCTS will explore and consider all available evidence that would materially affect the opportunities for DBEs to form, grow, and compete in CCTS's FTA-assisted contracting programs.

Goal Adjustments

After considering the above factors, the Base Figure of 1% was adjusted, resulting in **CCTS' Overall DBE goal for Fiscal Years 2021-2023 of .5%.**

City of Corona Transit Service  
DBE Goal & Methodology for FFY 2021-2023

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## V. PROPOSED OVERALL DBE GOAL

The Overall DBE Goal for FFY 2021-2023 for the City of Corona Transit System's FTA-assisted contracts is .5%. The Overall Goal is expressed as a percentage of all DOT-assisted funds that CCTS will expend in applicable DOT-assisted contracts in the given federal fiscal years.

The goal further serves to identify the relative availability of DBE's based on evidence of ready willing, and able DBE's to all comparable firms, which are known to be available to compete for and perform on the City of Corona Transit System's DOT-assisted contracts. In addition, as part of the prescribed goal-setting methodology, CCTS must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures.

In conformance with Title 49 CFR Part 26; "Participation by Disadvantaged Business Enterprises in Department of Transportation Programs" and in further response to FTA Notices issued to Public Transportation Providers regarding DOT's DBE Program and Race-Neutral Policy Implementation Guidance, CCTS intends to implement a **strictly Race-Neutral Overall DBE Goal for FFY 2021-2023**, due to the absence of readily available evidence of discrimination and its effects in its marketplace.

## VI. RACE-NEUTRAL MEASURES

The CCTS will implement Race-Neutral measures to meet its Overall DBE Goal objectives in accordance with 49 CFR Part 26.51, including but not limited to:

- Reaching out to DBE's under California Unified Certification Program, arranging timely solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms' participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own work forces.
- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

### A. Small Business Element

The City's DBE Program includes elements to facilitate competition by small businesses in the CCTS contracts as prime contractors or subcontractors. These elements include informational outreach on the City's website, business development references, contract language for small business primes and goals for subcontracting opportunities. The DBE Program includes details of the City's efforts to Foster Small Business Participation.

## VII. PUBLIC PARTICIPATION

### A. Consultation

In accordance with Public Participation Regulatory Requirements of Title 49 CFR Part 26, minority, women, local business chambers, and community organizations within the CCTS' market area were consulted and provided an opportunity to review the triennial goal analysis and provide input (see Attachment 1). CCTS reached out to the following community organizations to solicit their comments regarding the 2021-2023 Triennial DBE Goal:

- Inland Empire Women's Business Center
- Businesswomen's Association of San Bernardino County
- Orange County Small Business Development Center
- Southern California Minority Supplier Development Council
- Greater Riverside Hispanic Chamber of Commerce
- Asian Business Association

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- Riverside County Black Chamber of Commerce
- Inland Empire Small Business Development Center
- Greater Riverside Chamber of Commerce
- Corona Chamber of Commerce
- San Bernardino Area Chamber of Commerce
- Orange County Chamber of Commerce

Organizations were contacted via email to discuss the proposed goal methodology, whereas, the organizations were provided the synopsis of the DBE Goal (see Attachment 2). Any interested parties were then provided the complete Overall DBE Goal & Methodology. CCTS did not receive any comments from the outreach efforts.

### B. Public Notice

CCTS will also post a Public Notice announcing the proposed Overall Goal for the FFY 2021-2023 FTA-assisted contracts on its official internet website; CCTS has elected to utilize its official website as the publication site. Such Notice will inform the public that the proposed goal and rationale are available for inspection at the City of Corona Transit System's principal office during normal business hours for 30 days following the date of the Public Notice and that CCTS will accept comments on the goal analysis for 30 days from the date of the Public Notice. CCTS will give full consideration to all comments and input and assess its impact on the proposed Overall DBE Goal. If no impact and/or comments are received during the public participation process, the Goal will be considered final. If there are comments, the City will respond in accordance with the DBE Program.

CCTS reached out to the following community organizations to solicit their comments regarding the 2021-2023 Triennial DBE Goal:

- Inland Empire Women's Business Center
- Businesswomen's Association of San Bernardino County
- Orange County Small Business Development Center
- Southern California Minority Supplier Development Council
- Greater Riverside Hispanic Chamber of Commerce
- Asian Business Association
- Riverside County Black Chamber of Commerce
- Inland Empire Small Business Development Center
- Greater Riverside Chamber of Commerce
- Corona Chamber of Commerce
- San Bernardino Area Chamber of Commerce
- Orange County Chamber of Commerce

Organizations were contacted by email to discuss the proposed goal methodology. Organizations were provided the opportunity to comment on the goal methodology and the CCTS DBE Program.

Source	Communication	Comment Summary	Response Summary
Inland Empire Women's Business Center <a href="https://www.iawbc.org/">https://www.iawbc.org/</a> 3780 Market St. Riverside, CA 92501	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Businesswomen's Association of San Bernardino County <a href="https://basbc.org/">https://basbc.org/</a> 330 Sixth St. #201 Redlands, CA 92374	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Orange County Small Business Development Center <a href="https://orangecountysbdc.org/">https://orangecountysbdc.org/</a> 1300 S. Bristol St., 2nd floor Santa Ana, CA 92704	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Southern California Minority Supplier Development Council <a href="https://www.scmvsc.org/">https://www.scmvsc.org/</a> 800 W. 6th St. #850 Los Angeles, CA 90017	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Greater Riverside Hispanic Chamber of Commerce <a href="https://greateriversidehispanicchamberofcommerce.org/">https://greateriversidehispanicchamberofcommerce.org/</a>	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Asian Business Association <a href="https://www.abaa.org">https://www.abaa.org</a> Corona, CA 92878	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	NA
Riverside County Black Chamber of Commerce <a href="https://riversidecountybcc.org/">https://riversidecountybcc.org/</a> 2060 Chicago Ave. # A13 Riverside, CA 92507	Initial email sent 7/2/20  sent follow-up email 7/17/20, original email was undeliverable	7/17 Follow-up email to Pepi Jackson, president pepi@riversidecountybcc.org  No comments received 8/5/20 - email copy of the public notice	NA
Inland Empire Small Business Development Center <a href="https://inlandempresbdc.org/">https://inlandempresbdc.org/</a> 3780 Market St. Riverside, CA 92501	Tried to send initial email through website on 7/27/20 but undeliverable; had left voicemail.  Received call back 7/6; provided consultant name & email.	7/6/20 Sent synopsis of the DBE Goal to email provided; received response back; sent the DBE Overall Goal & Methodology  Phone appointment with consultant 7/9/20 @ 11am  8/5/20 - email copy of the public notice	No comments on the DBE Goal Methodology however are partnering to provide educational services to small businesses wishing to become DBE certified, transit projects opportunities in the next triennial period and connecting with prime contractors for subcontracting opportunities
Greater Riverside Chamber of Commerce <a href="http://www.riversidechamber.com/">http://www.riversidechamber.com/</a> 3885 University Ave. Riverside, Ca 92501	Initial email sent 7/2/20  Follow-up email sent 7/17/20	7/17/20 received response back forwarding original email to the CEO/President for follow-up  No comments received 8/5/20 - email copy of the public notice	
Corona Chamber of Commerce <a href="https://www.mychamber.org/">https://www.mychamber.org/</a> 904 E. 6th St. Corona, Ca 92879	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	
San Bernardino Area Chamber of Commerce <a href="http://www.sabchamber.org/">http://www.sabchamber.org/</a> 546 W. 6th St. San Bernardino, CA 92410	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	
Orange County Chamber of Commerce <a href="https://www.occhamber.com/">https://www.occhamber.com/</a> 855 S. Main St. Suite 200-810 Orange, CA 92668	Initial email sent 7/2/20  Follow-up email sent 7/17/20	No comments received 8/5/20 - email copy of the public notice	

# CCTS TRIENNIAL DBE GOAL

City of Corona Transit System (CCTS) is in the process of preparing their Triennial Overall Disadvantaged Business Enterprise (DBE) Goal for the upcoming triennial period (October 1, 2020 through September 30, 2023).

## Overall DBE Goal

- As a direct recipient of Federal Transit Administration (FTA) funding, CCTS is required to have a DBE program to increase the participation of DBEs in federally aided contracts.
- The purpose of the DBE goal-setting process is to level the playing field so that DBEs can complete fairly for FTA-Associated contracts.
- The proposed overall DBE goal for Federal Fiscal Years 2021-2023 for CCTS's FTA-assisted contracts is .5%
- CCTS intends to use race-neutral methods to meet the overall DBE goal.

## Project Goal

The Overall DBE Goal reflects the relative availability of DBEs and all comparable businesses available to compare within the market area.

## DBE & Small Business Participation

CCTS plans to incorporate the following strategies to foster small business participation in its contracting process:

- Breaking out procurements or unbundling procurement actions to provide greater opportunities for Disadvantaged Business Enterprises (DBEs), small and minority firms, and women's business enterprises to participate.
- For procurements that require competition, the City will seek out small businesses to compete for these procurement actions by actively including DBE and non-DBE small business firms on the solicitation lists.
- For micro purchases that do not require competition, seeking out DBE and non-DBE small business firms to satisfy these requirements.

## Race-Neutral Measures

CCTS plans to implement the following race-neutral measures for FFY 2021-2023 and will continue to explore other options:

- Reaching out to DBE's under California Unified Certification Program, arranging timely solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms' participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own work forces.
- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

Attachment F – Transit Vehicle Manufacturer’s Certification

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**Formatted:** Heading 2 Char, Font: (Default) Arial, 14 pt, English (United States)

**BIDDER'S CERTIFICATION OF DISADVANTAGED BUSINESS ENTERPRISE PLAN**

The bidder hereby certifies that it has complied with 49 C.F.R. 26, which requires each transit vehicle manufacturer to establish annual goals for the participation of disadvantaged business enterprise and to submit these goals to the Federal Transit Administration for approval:

The \_\_\_\_\_ hereby certifies that it has on file with the Federal Transit Administration, a Disadvantaged Enterprise Plan.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Manufacturer: \_\_\_\_\_

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Attachment G – ~~GUCP Brochure on how to apply for DBE Certification~~  
Roster of Certifying Agencies



**List of Certifying CUCP  
DBE Agencies**

- **California Department of Transportation  
Office of Business and Economic Opportunity**  
1823 14th Street  
Sacramento, CA 95811  
(916) 324-1700
- **City of Fresno**  
2600 Fresno St., Room 2156  
Fresno, CA 93721-3622  
(559) 621-1163
- **City of Los Angeles**  
1149 S. Broadway Street, Suite 300  
Los Angeles, CA 90015  
(213) 847-2684
- **Los Angeles County Metropolitan  
Transportation Authority (METRO)**  
One Gateway Plaza  
Los Angeles, CA 90012  
(213) 922-2600
- **San Francisco Bay Area Rapid Transit (BART)**  
300 Lakeside Drive, 18th Floor  
Oakland, CA 94612  
(510) 464-6100
- **San Francisco Municipal Transportation  
Agency (SFMTA)**  
1 South Van Ness Avenue, 6th Floor  
San Francisco, CA 94103  
(415) 701-4436
- **San Mateo County Transit District  
(SAMTRANS) & Peninsula Corridor Joint  
Powers Board (JPB)**  
1250 San Carlos Avenue  
San Carlos, CA 94070  
(650) 508-7939
- **Santa Clara Valley Transportation  
Authority (VTA)**  
3331 North First Street, Bldg. A  
San Jose, CA 95134  
(408) 321-5962

**List of Certifying CUCP  
ACDBE Agencies**

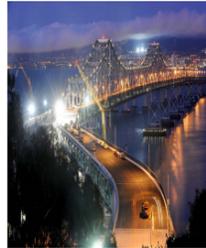
- **California Department of Transportation  
Office of Business and Economic Opportunity**  
1823 14th Street  
Sacramento, CA 95811  
(916) 324-1700
- **City of Los Angeles**  
1149 S. Broadway Street, Suite 300  
Los Angeles, CA 90015  
(213) 847-2684
- **San Diego Regional Airport Authority  
Small Business Development Department**  
P. O. Box 82776  
San Diego, CA 92138  
Ph. (619) 400-2568  
(San Diego Concessions Only)
- **San Francisco International Airport (SFO)  
Small Business Affairs Office**  
P. O. Box 8097  
San Francisco, CA 94128  
Ph. (650) 821-5021  
(SFO Concessions Only)
- **City of Fresno**  
2600 Fresno St., Room 2156  
Fresno, CA 93721-3622  
(559) 621-1163

For individuals with disabilities, this document is available in Braille, large print, or computer disc. To obtain a copy in one of these alternative formats, please contact:

California Department of Transportation  
Office of Business and Economic Opportunity  
Certification Branch  
1823 14th Street  
Sacramento, CA 95811  
(916) 324-1700  
TTY: 711  
Email address: DBE.Certification@dot.ca.gov  
March, 2019



**How to apply for  
Disadvantaged  
Business Enterprise  
(DBE) Certification?**



California Unified  
Certification Program  
(CUCP)

**CALIFORNIA UNIFIED  
CERTIFICATION PROGRAM (CUCP)**



*Roster of Certifying Agencies*

*Note: If you received this information as a hard copy, the Uniform DBE/ACDBE Certification Application form and Personal Net Worth Statement are available at:  
<https://www.transportation.gov/civil-rights/disadvantaged-business-enterprise/ready-apply>*

*If the firm has its principal place of business in another state and is currently certified in that state, please contact the California Department of Transportation.*

Area	Counties	DBE Certifying Agencies	
Riverside, Imperial & San Diego (RIS)	Imperial Riverside San Diego	<p><b>SUBMIT APPLICATION PACKAGE TO:</b></p> <ul style="list-style-type: none"> <li>&gt; CITY OF LOS ANGELES*</li> <li>&gt; LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY</li> </ul> <p align="center"><b>OR</b></p> <ul style="list-style-type: none"> <li>&gt; CALIFORNIA DEPARTMENT OF TRANSPORTATION</li> </ul> <p align="center">SEE CONTACT INFORMATION BELOW</p>	
Los Angeles Area	Kern Los Angeles Orange San Bernardino San Luis Obispo Santa Barbara Ventura	<p><b>CITY OF LOS ANGELES</b> Bureau of Contract Admin. Centralized Certification Section 1149 S. Broadway, Ste 300 Los Angeles, CA 90015 Phone: (213) 847-2684 Fax: (213) 847-2777 Email: <a href="mailto:bca.certifications@lacity.org">bca.certifications@lacity.org</a> <a href="http://bca.lacity.org">http://bca.lacity.org</a></p> <p><i>*Please note: Only firms located within City of Los Angeles may apply.</i></p>	<p><b>LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY (METRO)</b> Diversity and Economic Opportunity Department One Gateway Plaza, MS 99-8-4 Los Angeles, CA 90012 Phone: (213) 922-2600 Fax: (213) 922-7660 Email: <a href="mailto:certificationunit@metro.net">certificationunit@metro.net</a></p> <p><i>Please Note: Metro only accepts online applications.</i></p> <p><i>Please use link below to apply:</i> <a href="http://metro.gob2g.com">metro.gob2g.com</a></p>

*Note: List of agencies subject to change*

Rev 1/26/2021

### What are the main eligibility requirements for DBE certification?

#### **Social and Economic Disadvantage:**

A disadvantaged owner must be a U.S. Citizen (or resident alien) and meet the federal definition of socially and economically disadvantaged as defined in the Code of Federal Regulation 49 CFR Part 26.67. Presumptive groups include women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian-Americans, or any individual found to be socially and economically disadvantaged on a case-by-case basis.

**Personal Net Worth:** Only disadvantaged persons having their personal net worth (PNW) of less than \$1.32 million can be considered as a potential qualified DBE.

**Business Size Standard:** A firm (including affiliates) must be a small business as defined by the U.S. States Small Business Administration. Average annual gross receipts over the previous three fiscal years may not exceed \$23,980,000 (\$56,420,000 for airport concessions in general, with some exceptions). Lower size standards may apply depending on business activity determination.

**Ownership:** Must be a for-profit small business concern, where socially and economically disadvantaged individual(s) own at least 51 percent interest in the firm.

**Independence:** The business must not be affiliated with another firm in such a way as to compromise its independence and control.

**Management and Control:** The DBE owner(s) must possess the power to direct or cause the direction of the management and policies of the firm and to make day-to-day decisions, as well as long-term decisions on matters of management, policy and operations.

**On-Site Visit:** The Federal regulations require an on-site review be conducted for all DBE applicants.

### How can I apply for DBE certification?

To begin the process, go to the Caltrans website at: <http://www.dot.ca.gov/obeo>

Click on the box "Become DBE Certified" and check the boxes as it pertains to your firm. This takes you to the DBE application.

For your convenience, a list of helpful resources is located on the Caltrans website at: <http://www.dot.ca.gov/obeo>

Under the OBEO Links heading click on Disadvantaged Business Enterprise and then DBE Certification Resources. This connects you to the federal regulations, sample DBE application packet, and the application process flowchart. For questions, contact the Caltrans DBE Certification Office at:

(916) 324-1700, Monday—Friday  
8:00 a.m.-5:00 p.m. OR  
[DBE.Certification@dot.ca.gov](mailto:DBE.Certification@dot.ca.gov)

### Where do I send my application once it has been completed and notarized?

See the list of certifying agencies on the back of this pamphlet and submit your application to the nearest agency in your firm's geographic location. Include all the required supporting documents as it applies to your firm to ensure completeness of the application package.

If you have any additional questions, please contact the nearest agency to your firm for assistance.

### What are the benefits of being DBE certified?

- Certification is recognized by 600 local agencies in California.
- Expands opportunities to participate in federally-funded projects.
- Become accessible to prime contractors needing to fulfill DBE participation goal requirements.
- Listing in official directories such as the DBE database used by prime contractors.
- Increase opportunities to network at events such as procurement fairs and pre-bids.
- Eligible for mentor protégé opportunities such as Caltrans' CalMentor programs.
- No fees to apply, except the cost of having your application notarized.

AREA	COUNTIES	DBE CERTIFYING AGENCIES
Bay Area/ Central Valley	Alameda Amador Calaveras Contra Costa Fresno Kings Madera Marin Mariposa Merced Monterey Napa San Benito San Francisco San Joaquin San Mateo Santa Clara Santa Cruz Solano Sonoma Stanislaus Tulare Tuolumne	<p>S.F. BAY AREA RAPID TRANSIT DISTRICT (BART) Office of Civil Rights 300 Lakeside Drive 16<sup>th</sup> Floor Oakland, CA 94612 Phone: (510) 464-6100 Fax: (510) 464-7587 <a href="http://www.bart.gov">www.bart.gov</a></p> <p>CITY OF FRESNO Finance Department, Purchasing Division, DBE Program 2600 Fresno Street, Room 2156 Fresno, CA 93721-3622 Phone: (559) 621-7036 Fax: (559) 488-1069 <a href="http://www.fresno.gov">www.fresno.gov</a></p> <p>SANTA CLARA VALLEY TRANSPORTATION AUTHORITY (VTA) Business Diversity Programs 3331 North First Street, Bldg. B San Jose, CA 95134-1906 Phone: (408) 321-5962 <a href="mailto:osdb.osdb@vta.org">osdb.osdb@vta.org</a> <a href="http://www.vta.org/osdb">www.vta.org/osdb</a></p> <p>SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY (SFMTA) Contract Compliance Office One S. Van Ness Avenue, 6th Flr San Francisco, CA 94103 Phone: (415) 701-4436 Fax: (415) 701-4347 <a href="http://www.sfmuni.com">www.sfmuni.com</a></p> <p>SAN MATEO COUNTY TRANSIT DISTRICT (SAMTRANS)/ PENINSULA CORRIDOR JOINT POWERS BOARD (JPB) DBE Office 1250 San Carlos Avenue San Carlos, CA 94070 Phone: (650) 508-7939 Fax: (650) 508-7738 <a href="http://www.samtrans.com">www.samtrans.com</a></p>
Northern California	Alpine Butte Colusa Del Norte El Dorado Glenn Humboldt Inyo Lake Lassen Mendocino Modoc Mono	<p>Nevada Placer Plumas Sacramento Shasta Sierra Siskiyou Sutter Tehama Trinity Yolo Yuba</p> <p>CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS) Office of Civil Rights 1823 - 14<sup>th</sup> Street Sacramento, CA 95811 Phone: (916) 324-1700 or Fax: (916) 324-1862 <a href="http://www.dot.ca.gov">www.dot.ca.gov</a></p>

Rev 1/26/2021

**ACDBE CERTIFYING AGENCIES**

**CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)**

Office of Civil Rights  
1823 14th Street  
Sacramento, CA 95811  
Phone: (916) 324-1700 \*\* Fax: (916) 324-1862  
[www.dot.ca.gov](http://www.dot.ca.gov)

**FOR LOS ANGELES WORLD AIRPORTS PLEASE CONTACT:**

**CITY OF LOS ANGELES**  
Office of Contract Compliance  
1149 S. Broadway Street, Suite 300  
Los Angeles, CA 90015  
Phone (213) 847-2684 \*\* Fax: (213) 847-2777  
<http://bca.lacity.org>

**SAN DIEGO COUNTY REGIONAL AIRPORT AUTHORITY (SDCRAA)**

Small Business Development Department  
P.O. Box 82776  
San Diego, CA 92138-2776  
Phone: (619) 400-2568 \*\* Fax: (619) 400-2566  
[www.san.org](http://www.san.org)

(San Diego Concessions Only)

**SAN FRANCISCO INTERNATIONAL AIRPORT**

Small Business Affairs Office  
P.O. Box 8097  
San Francisco, CA 94128  
Phone: (650) 821-5021 \*\* Fax: (650) 821-5146  
[www.flysfo.com](http://www.flysfo.com)

(SFO Concessions Only)

**SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY (SFMTA)**

Contract Compliance Office  
One S. Van Ness Avenue, 6th Floor  
San Francisco, CA 94103  
Phone: (415) 701-4436 \*\* Fax: (415) 701-4347  
[www.sfmuni.com](http://www.sfmuni.com)

Rev 1/26/2021



Attachment H – Code of Federal Regulations: Title 49 CFR Part 26

# DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

City of Corona Transit Service

*Program Update: September 2023*



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## City of Corona Transit Service

### **Disadvantaged Business Enterprise Objectives and Policy Statement**

The City of Corona, City of Corona Transit Service (CCTS) has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (DOT), 49 Code of Federal Regulation Part 26. The City of Corona Transit Service will receive Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the City of Corona will sign an assurance that it will comply with 49 CFR Part 26.

The City of Corona assures equal opportunity in the award and performance of any contract to all persons without regard to race, color, national origin or sex. The intent of the DBE Program is to eliminate discriminatory practices, ensure discrimination is not occurring, increase participation of DBEs in all contracting activities to the maximum extent feasible, and meet the overall annual DBE participation goal, in compliance with 49 CFR Part 26. Adhering to this policy will ensure a level playing field and foster equal opportunity to receive and participate in DOT-assisted contracts. It is also our policy:

1. To ensure nondiscrimination in the award and administration of DOT - assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in DOT assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.
7. Facilitate the implementation of the DBE Program using race-neutral measures to the maximum extent feasible.

This policy applies to all City of Corona employees in administering 49 CFR Part 26, and to contractors, bidders/offerors and subcontractors.

---

Jacob Ellis, City Manager

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Date

## **SUBPART A – GENERAL REQUIREMENTS**

### **DBE Program Objectives/Policy Statement (§ 26.1, 26.23)**

The objectives are found in the policy statement on the first page of this program.

### **Applicability (§ 26.3)**

The City of Corona, City of Corona Transit System (CCTS) is the recipient of Federal Transit Administration (FTA) funds authorized by Federal transit laws in Title 49, U.S. Code. Under Title 49 CFR Part 26 the City of Corona is required to establish a Disadvantaged Business Enterprise Program. This document sets forth the policies and procedures to be implemented by the City of Corona to ensure that DBEs have an equitable opportunity to participate in the City's U.S. DOT-assisted contracting opportunities

### **Definitions (§ 26.5)**

The City of Corona will adopt the definitions contained in 49 CFR Section 26.5 for this program.

### **Non-discrimination Requirements (§ 26.7)**

The City of Corona will never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR part 26 on the basis of race, color, sex, or national origin.

In administering its DBE program, the City of Corona will not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE program with respect to individuals of a particular race, color, sex, or national origin.

### **Record Keeping, Reporting and Bidder's List Requirements (§ 26.11 (b)(c))**

#### **Reporting § 26.11 (b)**

The City of Corona will report DBE participation to DOT as follows:

Report DBE participation to the relevant operating administration at Federal Transit Administration using the Uniform Report of DBE Awards or Commitments and Payments, found in the DBE regulation. The report due June 1 will cover data from October 1 to March 31 and the report due December 1 will cover data from April 1 to September 30. All dollar amounts reported

will reflect the federal share of such contracts. The report will separate the dollar amount awarded to certified DBEs through the use of race conscious methods and race neutral methods.

*Bidder's List § 26.11 (c)*

City of Corona will create a bidders list, consisting of information about all DBE and non-DBE firms that bid or quote on DOT-assisted contracts. The purpose of this requirement is to allow use of the bidders list approach to calculating overall goals. The bidder list will include the name, address, DBE non-DBE status, age, and annual gross receipts of firms.

We will collect this information in the following ways:

- City of Corona Transit Service will require all bidders and proposers to identify their DBE status and identify all DBE subcontractors. See Attachment B – Bidders List Form.

**Federal Financial Assistance Agreement and Contract Assurance (§ 26.13 (a)(b))**

*Federal Financial Assistance Agreement Assurance § 26.13 (a)*

City of Corona will provide the following assurances statement verbatim on each federal finance assistance agreement it obtains:

*City of Corona shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT assisted contract or in the administration of its DBE Program or the requirements of 49 CFR part 26. The recipient shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of DOT assisted contracts. The recipient's DBE Program, as required by 49 CFR part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the City of Corona its failure to carry out its approved program, the Department may impose sanction as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).*

### Contract Assurance § 26.13 (b)

City of Corona will ensure that the following assurance clause is placed verbatim in every federally-assisted contracts and subcontract:

*The contractor, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but not limited to, (1) withholding monthly progress payments, (2) assessing sanctions, (3) liquidated damages, and/or (4) disqualifying the contractor from future bidding as non-responsive.*

## **SUBPART B - ADMINISTRATIVE REQUIREMENTS**

### DBE Program Updates (§ 26.21)

Since the City of Corona Transit Service expects to receive a grant of \$250,000 or more in FTA planning capital, and or operating assistance in a federal fiscal year, City of Corona will carry out the program until all funds from DOT financial assistance have been expended. City of Corona will provide to DOT updates representing significant changes in the program.

### Policy Statement (§ 26.23)

The Policy Statement is elaborated on the first page of this program.

### DBE Liaison Officer (DBELO) (§ 26.25)

The City of Corona has designated the following individual as the DBE Liaison Officer:

*Sudesh Paul, Transit Program Manager*

*[Sudesh.paul@coronaca.gov](mailto:Sudesh.paul@coronaca.gov) Phone: (951) 279-3763*

In this capacity, the DBELO is responsible for implementing all aspects of the DBE program and ensuring that City of Corona Transit Service complies with all provision of 49 CFR Part 26. The DBELO reports to the Public Works Director concerning DBE program matters. An organization chart displaying the DBELO's position in the organization is found in Attachment A to this program.

The DBELO is responsible for developing, implementing and monitoring the DBE program, in coordination with other appropriate officials. The DBELO has a staff of one (1) to assist in the administration of the program. The duties and responsibilities include the following:

1. Gathers and reports statistical data and other information as required by DOT.
2. Reviews third party contracts and purchase requisitions for compliance with this program.
3. Works with all departments to set overall annual goals.
4. Ensures that bid notices and requests for proposals are available to DBEs in a timely manner.
5. Identifies contracts and procurements so that DBE goals are included in solicitations (both race-neutral methods and contract specific goals attainment and identifies ways to improve progress.
6. Analyzes City of Corona Transit Service's progress toward attainment and identifies ways to improve progress.
7. Participates in pre-bid meetings.
8. Advises the CEO\governing body on DBE matters and achievement.
9. Participates with the legal counsel and project director to determine contractor compliance with good faith efforts.
10. Provides DBEs with information and assistance in preparing bids, obtaining bonding and insurance.
11. Plans and participates in DBE training seminars.
12. Provides outreach to DBEs and community organizations to advise them of opportunities.
13. Maintains the City of Corona Transit Service's updated directory on certified DBEs.

### DBE Financial Institutions (§ 26.27)

City of Corona will investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in the community, to make reasonable efforts to use these institutions, and to encourage prime contractors on DOT-assisted contract to make use of these institutions. We will make the following efforts to identify and use such institutions:

- The DBELO will periodically review the availability of such financial institutions from the US DOT, Office of Small and Disadvantaged Business Utilization website at:  
<https://www.state.gov/s/dmr/sdbu/index.htm>

### Prompt Payment Mechanisms (§ 26.29 (a)(b))

#### Prompt Payment §26.29(a)

The City of Corona will include the following clauses in each DOT-assisted prime contract:

*The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than 30 days from the receipt of each payment the prime contract receives from the City of Corona. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of City of Corona. This clause applies to both DBE and non-DBE subcontracts. For Public Works projects the payment shall occur no later than 7 days after payment to the prime contractor.*

Retainage §26.29(b)

*The prime contractor agrees further to return retainage payments to each subcontractor within 30 days after the subcontractors work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of City of Corona. This clause applies to both DBE and non-DBE subcontracts.*

Monitoring and Enforcement §26.29(d)

City of Corona Transit Service may perform interim audits of contract payments to DBEs. The audit will review payments to DBEs to ensure that the actual amount paid to DBEs equals or exceeds the dollar amounts stated in the schedule of DBE participation.

Evidence of payment made to subcontractors must be provided to verify compliance. Credit toward overall or individual contract goals will only be given upon satisfactory evidence that payments were actually made to DBEs. Such evidence shall be provided by the prime contractor through the submission of a progress report on DBE utilization to the City of Corona Transit Service directed by the City of Corona Transit Service staff. The information collected includes:

1. Name of each DBE and Subcontractor.
2. Type of work assignment for each DBE or Subcontractor.
3. The dollars committed to each firm.
4. The dollars paid to each firm during the reporting period.
5. The dollars paid to the firm as a result of a change order or other cost modification.
6. Contractor signature under penalty of perjury that it has complied with all prompt payment requirements per State laws and 49 CFR 26.29.

If City of Corona determines that the prime contractor has failed to comply with the prompt payment provisions set forth, City of Corona may give written notice to the prime contractor and the prime contractor's surety that, if the default is not remedied within a specified period of time (at least 10 days), the contract may be terminated. The contract may be terminated for cause in accordance with the contract terms and conditions for failing to meet the prompt payment provisions.

### Directory (§ 26.31)

City of Corona will utilize the California Unified Certification Program (CUCP) DBE Directory to identify certified DBEs eligible to participate as DBEs on federal assisted projects. City of Corona will make certain it identifies in the contract specification the availability of the CUCP DBE Directory for prime contractors to use in soliciting DBE subbids. The CUCP DBE Directory can be found at [California Unified Certification Program \(dbesystem.com\)](https://dbesystem.com). The CUCP directory lists the firm's name, address, phone number, fax number, on-site visit date, North American Industry Classification System (NAICS) code, ethnicity and gender of ownership, and the type of work the firm has been certified to perform as a DBE under which the firm has been certified to perform as a DBE. A listing in the DBE directory does not in any way pre-qualify the identified DBE firms with respect to licensing, bondability, competence or financial responsibility.

### Overconcentration (§ 26.33)

City of Corona will monitor and analyze the type of contracts/subcontracts awarded to DBE's to determine if there is an overconcentration in particular fields. If overconcentration is found to exist, City of Corona will inform the FTA. The City will also take measures to encourage DBEs within the area of overconcentration to expand into other fields, and enhance its communication with its prime contractors and its outreach within the network of DBE vendors to address the overconcentration.

### Business Development Programs (§ 26.35)

City of Corona has not established a business development program. However, if City of Corona identifies the need for such a program in the future, the rationale for adopting such a program and a comprehensive description of the program, will be submitted as a significant update to the DBE Program Plan.

### Monitoring and Enforcement Mechanisms (§ 26.37)

City of Corona will implement appropriate mechanisms, including sanctions, suspension, debarment, and application of legal and contractual remedies available under Federal, state, and local laws, as deemed appropriate and necessary, to ensure compliance with the requirements

by all program participants. Specifically, City of Corona will take the following monitoring and enforcement steps to ensure compliance with 49 CFR Part 26:

- Bring to the attention of the Department of Transportation any false, fraudulent, or dishonest conduct in connection with the program, so that DOT can take the steps (e.g., referral to the Department of Justice for criminal prosecution, referral to the DOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in 26.109. Consider similar action under our own legal authorities, including responsibility determinations in future contracts.
- Implement enforcement and monitoring processes/procedures outlined in DBE Contract Provisions contained in all engineering design/professional services, construction, transit and DOT-assisted contracts and this DBE Program Plan. This includes processes for Good Faith Efforts review and approval, counting DBE participation, monitoring and enforcing prompt payment, review and approval of substitutions and termination of DBEs on projects, requiring payment certifications from DBEs and Prime contractors attesting to total amounts paid to DBE firms, and contract closeout procedures that evaluate whether DBE goals have been met on each contract.
- Implement procedures to verify that work committed to DBEs at contract award is actually performed by the DBEs. This will be accomplished by document reviews of contracting records, project onsite visits and interviews conducted by CCTS staff and construction inspectors, review of payroll records for public work activity and a thorough review of invoices provided for services, as applicable. This will occur for each contract/project on which DBE's are participating.
- Compile and maintain a report of awards/commitments and attainment, which is reported semi-annually to FTA.
- Keep a running tally of DBE utilization/attainment, including race-neutral DBE participation, as well as payments to DBE firms for work committed to them at the time of contract award. See Attachment D – DBE Utilization Attainment Report.
- Track and delineate state/federal funding split to ensure that only the federal share is reported on Uniform Reports to US. DOT. This information is tracked in Excel spreadsheet and compared to City of Corona's OneSolution System.
- Compare DBE commitment submitted at the beginning of each project with Written Certification at the end of the project to determine if DBE goal was met.

#### Written Certification

The mechanism includes a written certification that City of Corona has reviewed contracting records and monitored work sites for DBE participation. The City of Corona Transit Staff will monitor every contract with DBE requirements, on paper and in the field and will include a

written certification that this compliance monitoring effort took place in accordance with the Contract Close-Out Report. Refer to Attachment C – Self Certification Statement of Compliance. The mechanisms include comparing the DBE commitments to actual DBE attainments.

#### Procedures to Monitor Compliance

Monitoring will occur after contract award and will be assigned to the City of Corona Transit Staff to monitor actual DBE participation through contractor and subcontractor reports. After the contract award, City of Corona Transit Staff will review the award documents for the portion of work each DBE and/or subcontractor will be performing and the dollar value of that work. With these documents, City of Corona Transit Staff will be able to determine the work to be performed by the listed DBE's or subcontractors.

#### Construction Contract On-Site Monitoring

City of Corona will ensure that City of Corona Transit Staff and inspectors know what items of work each DBE is responsible for performing. Inspectors will notify City of Corona Transit Staff immediately of apparent violations. When a firm other than the listed DBE subcontractor is found performing the work, the City of Corona Transit Staff will notify the Contractor of the apparent discrepancy and potential loss of payment. Based on the Contractor's response, City of Corona will take appropriate action. If the Contractor fails to adequately explain why there is a discrepancy, payment for the work will be withheld and a letter will be sent to the contractor referencing the applicable specification violation and the required withholding of payment.

This monitoring effort is fully incorporated into DBE On-site Monitoring process. The observed work will be reconciled against the DBE commitment.

#### Record Keeping and Final Utilization Report of DBE

The Contractor shall maintain records showing each listed DBE and first-tier subcontractor. These records will be made available for inspection upon request by any authorized representative of City of Corona, or FTA. The records shall include:

1. Contract number, DBE goal commitment and brief description of the work.
2. Name and address of each DBE listed and certifications held.
3. The dollar amount of each subcontract, including supplies and services.
4. Reports from Contractor with an accounting of actual expenditures to DBEs and the progress to date in meeting the DBE participation commitment.
5. Evidence to support subcontractor substitution requests, where appropriate.
6. Evidence to support prompt payments made, with date of payment and total dollar figure paid to each DBE, subcontractor and suppliers.
7. The DBE prime contractor shall also show the date of work performed by their own forces along with the corresponding dollar value of the work claimed toward DBE goals.

### Contract Close-Out Report

When a contract has been completed, the Contractor will provide a summary of the records stated above. The DBE utilization information will be documented on the form "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" will be submitted to City of Corona. City of Corona Transit Staff will compare the completed form to the contractor's completed "Designation of Subcontractors". The DBE's shown on the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form should be the same as those originally listed unless an authorized substitution was allowed, or the contractor used more DBE's and they were added. The dollar amount should reflect any changes made in planned work done by the DBE. The Contractor will be required to explain in writing why the names of the subcontractors, the work items or dollar figures are different from what was originally shown on the completed "Designation of Subcontractors" form when:

- There have been no changes made by the RE.
- The Contractor has not provided a sufficient explanation in the comments section of the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form.

The explanation will be attached to the completed "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form for submittal. The City of Corona staff will file this report in the project records. The "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" form is available on the California Department of Transportation, Local Agency Program Manual Forms, Chapter 17 website at [Forms | Caltrans](#).

### Administrative Remedies for Non-Compliance

A Contractor deemed to be in non-compliance shall be informed in writing, by certified mail, by the DBELO or designee, that administrative remedies shall be imposed for failure to meet DBE committed goal and/or submit evidence of good faith efforts to the satisfaction of the City of Corona. The Contractor shall be given five (5) working days from the date of the notice to file a written appeal to the DBELO. Failure to respond within the five (5) day period shall constitute a waiver of appeal. The notice shall state the specific administrative remedy to be imposed.

The City of Corona may schedule an informal hearing to gather additional facts and evidence and shall issue a final determination on the matter within five (5) working days of receipt of the written appeal. The written decision of the CITY OF CORONA TRANSIT SERVICE or designee is final and there is no further appeal.

The CITY OF CORONA TRANSIT SERVICE reserves the right to initiate Administrative Remedies, which may include but are not limited to:

- Withholding of payments due equivalent to the difference between the actual DBE attainment and the overall project and/or contract specific DBE goal.
- Suspension of payment to the Contractor of any other monies held by the City of Corona; and
- Termination of the Contract in part or in whole.

The Administrative Remedies shall not apply if the Contractor is able to demonstrate to the satisfaction of the City of Corona that it exercised good faith efforts in an attempt to meet the contract-specific DBE goal, where applicable.

The City of Corona will bring to the attention of the FTA any false, fraudulent, or dishonest conduct in connection with the program, so that FTA can take the steps (e.g., referral to the U.S. Department of Justice for criminal prosecution, referral to the USDOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in §26.109.

The City of Corona will also consider similar action under its own legal authorities, including responsibility determinations in future contracts.

The requirements of this section equally apply to a DBE operating as a prime contractor.

### Small Business Participation (§ 26.39)

City of Corona will incorporate the following non-discriminatory element to its DBE program, in order to facilitate competition on DOT-assisted public works projects by small business concerns (both DBEs and non-DBE small businesses):

- Breaking out procurements or unbundling procurement actions to provide greater opportunities for Disadvantaged Business Enterprises (DBEs), small and minority firms, and women’s business enterprises to participate.
- For procurements that require competition, City of Corona will seek out small businesses to compete for these procurement actions by actively including DBE and non-DBE small business firms on the solicitation lists.
- For micro purchases that do not require competition, seeking out DBE and non-DBE small business firms to satisfy these requirements.

For the purpose of this program, small businesses are as defined in the Code of Federal Regulations, Title 49, Part 26.65 (Attachment I) and the Small Business Act and Small Business Administration regulations under Title 13, part 121

## **SUBPART C - GOALS, GOOD FAITH EFFORTS, AND COUNTING**

### **Set-asides or Quotas (§ 26.43)**

City of Corona does not use quotas in any way in the administration of this DBE program. City of Corona may consider establishing a Small Business set-aside program as allowed in §26.39 – *Foster Small Business Participation*. If City of Corona selects to implement a small business set-aside program, it will follow the requirements under §26.21 - *DBE Program Updates*.

### **Overall Goals (§ 26.45)**

A description of the methodology to calculate the overall goal and the goal calculations can be found in Attachment E – Overall DBE Goal and Methodology, to this program. In accordance with Section 26.45(f), City of Corona will submit its Triennial DBE overall goal by August 1 at three (3) year intervals, based on FTA schedule. City of Corona will express the overall contract goal as a percentage of the total amount of the federal assistance received.

The process generally used to establish overall DBE goal is as follows:

1. The City established a reasonable estimate of FTA funded contracting activities for the covered federal fiscal years.
2. The City categorized these contracting opportunities by NAICS Code.
3. The City reviewed its contracting database and established the Counties of Riverside, San Bernardino & Orange as its market area, where the majority of contracting occurs.
4. The City then developed an estimate of ready, willing, and able DBE's based on the State of California Unified Contracting Database for the Market Area.
5. The City developed the number of overall firms ready, willing, and available, by NAICS Code, utilizing US Census Data.
6. When necessary, the City evaluated both DBE's and Non-DBE's to ensure the accuracy of the NAICS code area, and the firms ready, willing, and able, to satisfy the City's requirements.
7. The City then multiplied the dollar value of the opportunities by the result of dividing the number of DBE firms by the number of total firms.
8. The City then totaled these amounts to arrive at the step one goal.

Before establishing the overall goal, City of Corona will consult with the various organizations in the market area, such as Chamber of Commerce, minority, women-owned and small business groups, to obtain information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and City of Corona's efforts to establish a level playing field for the participation of DBEs.

Following this consultation, City of Corona will publish a notice of the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection for 30 days following the date of the notice. The notice will be posted on City's official Internet Website. If the proposed goal changes following review, the revised goal will be posted on the City's official Internet Web site.

City of Corona's overall goal submission to DOT will include: the goal (including the breakout of estimated race-neutral and race-conscious participation, as appropriate); a copy of the methodology, worksheets, etc., used to develop the goal; a summary of information and comments received during the consultation period and proof of publication of the goal on the City's website.

City of Corona will begin using the overall goal on October 1 of each year, unless we have received other instructions from DOT. If a project based goal is established, then the goal will be utilized by the time of the first solicitation for a DOT-assisted contract for the project. The overall DBE goal will remain effective for the duration of the three-year period established and approved by the FTA.

### Goal Setting and Accountability (§ 26.47)

If the awards and commitments shown on the City's Uniform Report of Awards or Commitments and Payments at the end of any fiscal year are less than the overall applicable to that fiscal year, City of Corona staff will:

1. Analyze in detail the reason for the difference between the overall goal and the actual awards/commitments;
2. Establish specific steps and milestones to correct the problems identified in the analysis; and
3. Establish and implement a corrective action plan, and maintain information/records regarding the analysis and efforts made.

### Transit Vehicle Manufacturers Goals (§ 26.49)

City of Corona will require each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, to certify that it has complied with the requirements of this section. Alternatively, City of Corona may, at its discretion and with FTA approval, establish project-specific goals for DBE participation in the procurement of transit vehicles in lieu of the TVM complying with this element of the program.

CCTS will submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract.

Attachment F: The TVM Certification is attached hereto

## Breakout of Estimated Race-Neutral & Race-Conscious Participation (§ 26.51(a-c))

City of Corona will make efforts to meet the maximum feasible portion of the overall goal by using race-neutral means of facilitating DBE participation. Race-neutral DBE participation includes any time a DBE wins a prime contract through customary competitive procurement procedures, is awarded a subcontract on a prime contract that does not carry a DBE goal, or even if there is a DBE goal, wins a subcontract from a prime contractor that did not consider its DBE status in making the award (e.g., a prime contractor that uses a strict low bid system to award subcontracts).

Race-neutral measures, as described in City of Corona’s Overall DBE Goal and Methodology states, “In conformance with Title 49 CFR Part 26; “Participation by Disadvantaged Business Enterprises in Department of Transportation Programs” and in further response to FTA Notices issued to Public Transportation Providers regarding DOT’s DBE Program and Race-Neutral Policy Implementation Guidance, City of Corona is required to submit and implement a strictly Race-Neutral Overall DBE Goal for FFY 2018-2020, due to the absence of readily available evidence of discrimination and its effects in its marketplace. (Refer to Attachment E - Overall DBE Goal and Methodology for FFY 2018-2020).

This section of the program will be updated annually when and if the goal calculation is updated.

## Contract Goals (§ 26.51(d-g))

City of Corona will meet the maximum feasible portion of its overall goal using race-neutral means of facilitating DBE participation. In order to do so, City of Corona will participate in:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing);
3. Providing technical assistance and other services;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders

on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate);

5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
6. Providing services to help DBEs, and other small businesses, improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
8. Ensuring distribution of your DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors; and
9. Assisting DBEs, and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

## Good Faith Efforts Procedures (§ 26.53)

### Demonstration of good faith efforts (§ 26.53(a) & (c))

In the event the City of Corona Transit Service establishes an individual DBE contract goal, the City will not award the contract to a bidder who does not either: 1) meet the contract goal with verified, countable DBE participation; or 2) documents it has made adequate good faith efforts to meet the DBE contract goal. It is the obligation of the bidder/offeror to demonstrate it has made sufficient good faith efforts prior to submission of its bid. Examples of good faith efforts are found in Appendix A of 49 CFR Part 26 (Attachment H).

The Department Coordinator will be responsible for determining whether a bidder/offeror who has not met the contract goal has documented sufficient good faith efforts to be regarded as responsive.

City of Corona Transit Service staff will ensure that all information is complete and accurate and adequately documents the bidder/offer's good faith efforts before committing to the performance of the contract by the bidder/offeror.

### Information to be submitted (§ 26.53(b))

City of Corona Transit Service treats bidder/offers' compliance with good faith efforts' requirements as a matter of responsiveness.

Each solicitation for which a contract goal has been established will require the bidders/offerors to submit the following information by the time the bid or proposal is due:

1. The names and addresses of DBE firms that will participate in the contract;
2. A description of the work that each DBE will perform;
3. The dollar amount of the participation of each DBE firm participating;
4. Written and signed documentation of commitment to use a DBE subcontractor whose participation it submits to meet a contract goal;
5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment; and
6. If the contract goal is not met, evidence of good faith efforts.

Administrative reconsideration (§ 26.53(d))

Within ten (10) days of being informed by City of Corona Transit Service that it is not responsive because it has not documented sufficient good faith efforts, a bidder/offeror may request administrative reconsideration. Bidder/offerors should make this request in writing to the following reconsideration official: Community Services Director, Community Services 400 S. Vicentia Ave., Corona, CA 92882. The reconsideration official will not have played any role in the original determination that the bidder/offeror did not document sufficient good faith efforts.

As part of this reconsideration, the bidder/offeror will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so. The bidder/offeror will have the opportunity to meet in person with our reconsideration official to discuss the issue of whether it met the goal or made adequate good faith efforts to do so. We will send the bidder/offeror a written decision on reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so. The result of the reconsideration process is not administratively appealable to the Department of Transportation.

Good Faith Efforts when a DBE is replaced on a contract (§ 26.53(f))

City of Corona Transit Service will require a contractor to make good faith efforts to replace a DBE that is terminated or has otherwise failed to complete its work on a contract with another certified DBE, to the extent needed to meet the contract goal. We will require the prime contractor to notify the DBE Liaison officer immediately of the DBE's inability or unwillingness to perform and provide reasonable documentation.

In this situation, CCTS will require the prime contractor to obtain prior approval of the substitute DBE and to provide copies of new or amended subcontracts, or documentation of good faith efforts. If the contractor fails or refuses to comply in the time specified, our contracting office will issue an order stopping all or part of payment/work until satisfactory action has been taken. If the contractor still fails to comply, the contracting officer may issue a termination for default proceeding.

Sample Bid Specification:

The requirements of 49 CFR Part 26, Regulations of the U.S. Department of Transportation, apply to this contract. It is the policy of the [Name of Recipient] to practice nondiscrimination based on race, color, sex, or national origin in the award or performance of this contract. All firms qualifying under this solicitation are encouraged to submit bids/proposals. Award of this contract will be conditioned upon satisfying the requirements of this bid specification. These requirements apply to all bidders/offerors, including those who qualify as a DBE. A DBE contract goal of \_\_\_\_ percent has been established for this contract. The bidder/offeror shall make good faith efforts, as defined in Appendix A, 49 CFR Part 26 (Attachment H), to meet the contract goal for DBE participation in the performance of this contract.

The bidder/offeror will be required to submit the following information: (1) the names and addresses of DBE firms that will participate in the contract; (2) a description of the work that each DBE firm will perform; (3) the dollar amount of the participation of each DBE firm participating; (4) Written documentation of the bidder/offeror's commitment to use a DBE subcontractor whose participation it submits to meet the contract goal; (5) Written confirmation from the DBE that it is participating in the contract as provided in the commitment made under (4); and (5) if the contract goal is not met, evidence of good faith efforts.

Counting DBE Participation (§ 26.55)

City of Corona Transit Service will count DBE participation toward overall and contract goals as provided in 49 CFR 26.55.

## **SUBPART D and E - CERTIFICATION STANDARDS and PROCEDURES**

### **DBE Certification Procedures (§ 26.61 - 26.91)**

In order to reduce administrative burden associated with determining the eligibility of firms to participate as DBEs in FTA-assisted contracts, City of Corona will rely on the California Unified Certification Program (CUCP) to perform the DBE certification determinations.

City of Corona is a non-certifying member of the CUCP; and will utilize the CUCP certification directory ([Caltrans - Disadvantaged Business Enterprise System \(dbesystem.com\)](https://www.dbesystem.com)) to determine the eligibility of DBEs, their work codes, etc., in order to participate as DBEs on City of Corona Transit Service projects. . Information about the DBE certification process can be obtained from the following websites:

Information: [Disadvantaged Business Enterprises \(DBE\) | Caltrans](#)

To apply for certification: [Caltrans - Disadvantaged Business Enterprise System \(dbesystem.com\)](https://www.dbesystem.com)

See Attachment G – Roster of Certifying Agencies.

## **SUBPART F - COMPLIANCE AND ENFORCEMENT**

### **Information, Confidentiality, Cooperation (§ 26.109)**

City of Corona will safeguard from disclosing to third parties, information that may reasonably be regarded as confidential business information, consistent with Federal, state, and local law. California Government Code §6250 provides for the disclosure of certain public information. Since the City of Corona is not a Certifying Entity, personal information is not routinely handled by the City.

Notwithstanding any contrary provisions of state or local law, City of Corona will not release personal financial information submitted in response to the personal net worth requirement to a third party (other than DOT) without the written consent of the submitter.

### **Monitoring Payments to DBEs**

City of Corona will require prime contractors to maintain records and documents of payments to DBEs for three years following the performance of the contract. These records will be made

available for inspection upon request by any authorized representative of the City of Corona or DOT. This reporting requirement also extends to any certified DBE subcontractor.

City of Corona will perform interim audits of contract payments to DBEs. The audit will review payments to DBE subcontractors to ensure that the actual amount paid to DBE subcontractors equals or exceeds the dollar amounts states in the schedule of DBE participation.

## **ATTACHMENTS**

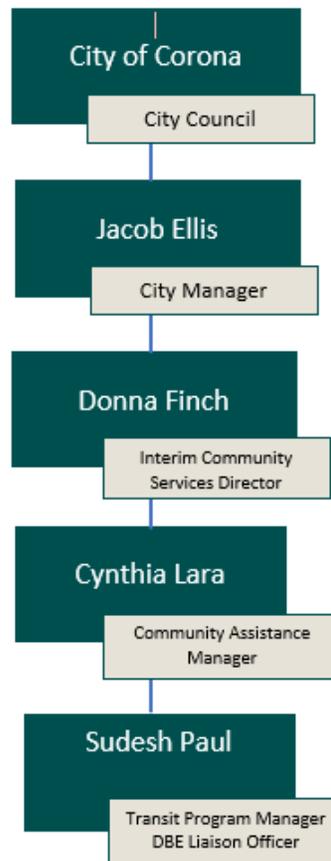
- Attachment A City of Corona Transit Service - Organizational Chart
- Attachment B Bidders List Form
- Attachment C Statement of Compliance – Self Certification
- Attachment D DBE Utilization Attainment Report
- Attachment E Overall DBE Goal and Methodology
- Attachment F TVM Certification
- Attachment G Roster of Certifying Agencies
- Attachment H Regulations: 49 CFR part 26

Attachment A – Organizational Chart



## CITY OF CORONA TRANSIT SERVICE

### Disadvantaged Business Enterprise (DBE) Program Organizational Chart



**DBE Liaison Officer Contact Information:**  
Ms. Sudesh Paul  
400 South Vicentia Avenue  
Corona, CA 92882  
Phone: (951) 279-3763  
Fax: (951) 279-3627  
Email: [Sudesh.Paul@coronaca.gov](mailto:Sudesh.Paul@coronaca.gov)

Attachment B – Bidders List Form



**Project Name:** \_\_\_\_\_

**BIDDERS LIST**

**Bidder/Offeror:** \_\_\_\_\_ **IFB/RFP #:** \_\_\_\_\_

The Department of Transportation requires the City of Corona, City of Corona Transit Service (CCTS) to create and maintain a "Bidders List" containing information about all firms (DBE and Non-DBE) that bid, propose or quote on CCTS's DOT-assisted contracts, in accordance with 49 CFR Part 26.11. The "Bidders List" is intended to be a count of all firms that are participating, or attempting to participate, on DOT-assisted contracts, whether successful or unsuccessful in their attempt to obtain a contract.

The Bidder/Offeror is to complete all requested information on the "Bidders List" for every firm who submitted a bid, proposal or quote, including the primary Bidder/Offeror, and submit this information at the time of bid submission. However, if not elected to do so at the time of bid submission, bidders/Offerors must submit such information at the request of the Authority within the prescribed timeline set forth in the solicitation. The City of Corona will utilize this information to assist in CCTS's overall annual DBE goal-setting process. *The "Bidders List" content will not be considered in evaluating the bid/proposal or determining award of any contract.*

Prime Bidder's/Offeror's Information:		
Name of Prime's Firm:	Phone: ( ) ( )	Fax: ( ) ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

**Project Name:** \_\_\_\_\_

Provide the following information for every firm (DBE and non-DBE) that submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract:		
Name of Firm:	Phone: ( ) ( )	Fax: ( ) ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

Provide the following information for every firm (DBE and non-DBE) that submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract:		
Name of Firm:	Phone: ( ) ( )	Fax: ( ) ( )
Firm Address	Type of work/services/materials provided:	
Contact Person:	Title:	
Number of years in business:	Is the firm currently certified as a DBE under 49 CFR Part 26? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Check the box below for your firm's annual gross receipts last year:		
<input type="checkbox"/> Less than \$1 Million	<input type="checkbox"/> Less than \$5 million	<input type="checkbox"/> Less than \$10 million
<input type="checkbox"/> Less than \$15 million	<input type="checkbox"/> More than \$15 million	

**If necessary, this "Bidders List" form can be duplicated to include all firms (DBE and non-DBE) that have submitted a bid, proposal or quote on this DOT-assisted project, whether successful or unsuccessful in their attempt to obtain a contract.**

**Failure of the Bidder/Offeror to submit the required "Bidders List" form will deem the Bidder/Offeror non-responsive.**

Attachment C – Statement of Compliance-Self Certification

**Disadvantaged Business Enterprise (DBE)  
Self-Certification  
STATEMENT OF COMPLIANCE**

Project No: \_\_\_\_\_

Project Name: \_\_\_\_\_

I, \_\_\_\_\_, undersigned, have the authority to act for  
(Name & Title)

and on behalf of the CITY OF CORONA TRANSIT SERVICE, and certify under penalty of perjury that this federal-aided project has been monitored in conformance with Part 26 of Title 49 of the Code of Federal Regulations—DBE Regulations.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Comments/Exceptions (Explanation):

Enclosures: Copies of the DBE Final Payment Record

Maintain in contract file.

Attachment D – DBE Utilization Attainment Report

**City of Corona Transit Service**

**MONTHLY DBE SUBCONTRACTOR COMMITMENT AND ATTAINMENT REPORT SUMMARY AND PAYMENT VERIFICATION**

Report Period (month): _____	Original Contract Award Amount: \$ -	Report prepared by: _____
Contract Number: _____	Prime Current Contract Value \$ - [B]	Signature: _____
Contract Award Date: _____	Total Paid to Prime this quarter: \$ -	Title: _____
Prime Name: _____	Total Paid to Prime to date: \$ - [D]	Report reviewed by: _____
Address: _____	Total Paid to DBEs this quarter: \$ -	Signature: _____
City, State, Zip: _____	Total Paid to DBEs to date: \$ - [A]	Title: _____
Telephone No: _____	% of Project Complete #DIV/0! [D/B]	Date of last progress payment: _____
Original Project Goal: _____	Prime's Current DBE Attainment: #DIV/0! [A/B]	
	Prime's Current DBE Commitment: #DIV/0! [C/B]	

1	2	3	4	5	6	7	8	9	10
SUBCONTRACTOR	Type of Work Performed	Original \$ Amount	\$ +/- Resulting	\$ Amount of	\$ Amount of	\$ Amount	% of	% of Work	Notes/
	Applicable NAICS Code(s)	Committed at Award	from Change Order	Current Commitment	Eligible DBE Participation Claimed [C]	Paid to DBE this month	Retention Withheld (if any)	Completed	Comments
Name: _____									
Address: _____									
City, State, Zip Code: _____									
Telephone No: _____									
CERTIFICATION(s): SB <input type="checkbox"/> DBE <input type="checkbox"/> MB <input type="checkbox"/>									
Certification Number: _____									
Verification of Payment Attached: Yes <input type="checkbox"/> No <input type="checkbox"/>									
Anticipated Commencement of Work Date: _____									
Name: _____									
Address: _____									
City, State, Zip Code: _____									
Telephone No: _____									
CERTIFICATION(s): SB <input type="checkbox"/> DBE <input type="checkbox"/> MB <input type="checkbox"/>									
Certification Number: _____									
Verification of Payment Attached: Yes <input type="checkbox"/> No <input type="checkbox"/>									
Anticipated Commencement of Work Date: _____									
DBE TOTAL(S): \$ - \$ - \$ - \$ - \$ - \$ -									
COMMENTS/ISSUES:									

*If necessary, this form can be duplicated and/or modified; however, it must contain all requested data fields.*

*Form Effective 9/26/17*

Attachment E – Overall DBE Goal and Methodology

**CITY OF CORONA, CITY OF CORONA TRANSIT SYSTEM  
DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
OVERALL DBE GOAL AND METHODOLOGY  
FEDERAL FISCAL YEARS (FFY) 2024-2026  
(Covering the period of October 1, 2023, to September 30, 2026)**

## I. INTRODUCTION

City of Corona, City of Corona Transit System (CCTS) herein sets forth its Proposed Overall Disadvantaged Business Enterprise (DBE) Goal and corresponding federally prescribed goal-setting methodology for the three-year Federal Fiscal year (FFY) goal period of 2024-2026 (October 1, 2023 through September 30, 2026), pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 "Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs".

The goal setting process consists of two steps. Step one determines the base figure for the relative availability of DBEs. Step two determines what adjustment, if any, may be needed.

## II. BACKGROUND

Public agencies receiving U.S. Department of Transportation (DOT), Federal Transit Administration (FTA) assisted funds, who anticipate awarding \$250,000 or more in DOT-assisted contracts, must adopt a three-year Disadvantaged Business Enterprise (DBE) goal. As such, CCTS is required to develop and submit a Triennial Overall DBE Goal for DBE participation as a condition of receiving federal funding for its FTA-assisted projects.

Effective March 3, 2010, the United States Department of Transportation ("DOT") issued a final rule affecting the implementation and management of the Department's Disadvantaged Business Enterprise ("DBE") program. The final rule requires the submission of a goal-setting methodology on a three-year cycle. Pursuant to this final rule, CCTS is still required to conduct an annual review to account for changes that may warrant an adjustment to the overall goal or make an adjustment based on changed circumstances (i.e. significant change in the legal standards governing the DBE program, new contracting opportunities presented by the availability of new or different grant opportunities to ensure the goal and program as a whole are narrowly tailored throughout the goal period.

**CCTS herein presents its Proposed Overall DBE Goal Methodology for FFY 2024-2026 goal period, which resulted in a 0% Overall DBE Goal.**

### III. DOT-ASSISTED CONTRACTING PROGRAM FOR FFY 2021-2023

Table 1 below represents CCTS’ U.S. DOT-assisted (FTA) contracting program, which includes three (3) projects considered in preparing its Overall DBE Goal-Setting Methodology utilizing the North American Industry Classification System (NAICS) work categories. The projects include: Contracting Services for Dial-A-Ride and Fixed Route Service, an Intelligent Transportation System, and a Digital Land Mobile Radio System. These projects are anticipated to be awarded within FFYs 2024-2026. CCTS anticipates spending approximately \$11,165,602 of federal dollars in which there may be DBE contracting opportunities during the triennial period.

**Table 1 – FTA-assisted Contracting Opportunities**

	NAICS Code CUCP Database	Project Name	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
3)	541512	Intelligent Transportation System	\$414,350.00	0.0371
4)	518210	Intelligent Transportation System	\$414,350.00	0.0371
5)	517410	Digital Land Mobile Radio System	\$105,326.00	0.0094
<b>Total FTA-Assisted Contract Funds</b>			<b>\$11,165,602.00</b>	<b>1</b>

### IV. GOAL METHODOLOGY

#### A. Step 1: Determination of a Base Figure (26.45)<sup>1</sup>

To establish CCTS’s Base Figure of the relative availability of DBEs to all comparable firms (DBE and Non-DBEs) available to propose on CCTS’s DOT-assisted contracting opportunities projected to be solicited during the triennial goal period, CCTS followed the prescribed federal goal-setting methodologies in accordance with 49 CFR Part 26 regulations. This was accomplished by assessing the **California Unified Certification Program (CUCP) Directory of Certified DBE Firms** and the **2021 U.S. Census Bureau County Business Patterns (CBP) Database**. Comparisons were made within the City of Corona Transit System’s market area (defined as Riverside County, San Bernardino County, and Orange County) and by specified industries and types of businesses identified in Table 1. The City of Corona Transit System’s local market area represents where the substantial majority of the City of Corona Transit System’s contracting dollars are expended and/or where the substantial majority of contractors and subcontractors bids or quotes are received.

<sup>1</sup> 26.45 represents Title 49 CFR Part 26 regulatory goal setting methodology reference.

The City of Corona Transit System made a concerted effort to ensure that the scope of businesses included in the numerator was as close as possible to the scope included in the denominator. For corresponding detail of all work category classifications grouped, refer to Table 1.

⇒ **For the numerator:** *California UCP DBE Database of Certified Firms*

⇒ **For the denominator:** *2021 U.S. Census Bureau’s Business Pattern Database (CBP)*

To determine the relative availability of DBEs, the City of Corona Transit System divided the numerator<sup>2</sup> representing the ratio of ready, willing, and able DBE firms, by the denominator<sup>3</sup> representing all firms (DBE and Non-DBEs) available in each work category and the same geographical regional. Application of this formula yielded the following baseline information:

$$\frac{\text{Number of Ready, Willing, and Able DBEs}}{\text{FIGURE Number of All Available Firms (including DBEs and Non-DBEs)}} = \text{BASE}$$

The following table reflects the relative availability of DBE firms by NAICS Code:

	NAICS Code CUCP Database	Project Name	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0	8	0.0000
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0	117	0.0000
3)	541512	Intelligent Transportation System	36	1440	0.0250
4)	518210	Intelligent Transportation System	16	325	0.0492
5)	517410	Digital Land Mobile Radio System	0	15	0.0000
<b>Combined Totals</b>			<b>52</b>	<b>1905</b>	<b>0.0273</b>
					<i>Overall availability of DBEs</i>

The Base Figure was further weighted by contract type and corresponding contract value. The Base Figure resulting from this weighted calculation is as follows:

<sup>2</sup> Numerator represents all DBE firms established within the City’s Market area.

<sup>3</sup> Denominator represents all comparable available established firms.

	NAICS Code CUCP Database	Project Name	Weight	x	Availability	Weighted Base Figure
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x		
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x		
3)	541512	Intelligent Transportation System	0.03711	x	0.02500	0.0009
4)	518210	Intelligent Transportation System	0.03711	x	0.04923	0.0018
5)	517410	Digital Land Mobile Radio System	0.00943	x		
<b>Total</b>						0.0028
Expressed as a % (*100)						0.28%
Rounded, Weighted Base Figure:						0%

### B. Step 2: Adjusting the Base Figure

Upon establishing the Base Figure, CCTS is required to review and assess other known evidence potentially impacting the relative availability of DBEs within CCTS's market area, in accordance with prescribed narrow tailoring provisions set forth under 49 CFR Part 26.45 Step 2; DBE Goal Adjustment guidelines.

Evidence considered in making an adjustment to the Base Figure includes *Past DBE Goal Attainments, Bidders List, Disparity Studies, and Other Evidence*. A summary of these considered follows:

#### Past DBE Goal Attainments

CCTS has experienced challenges in attaining its DBE goal for the past three federal fiscal years; the following table reflects the historical DBE participation on FTA-assisted contracts awarded by CCTS:

FFY21	FFY22	FFY23
0.0%	100%	0.0%
Median DBE Participation: 0%		



The median for the past DBE participation on CCTS projects was 0.0 percent and is same as the Base Figure derived from Step 1; therefore, an adjustment is not made based on past DBE participation.

As in prior years, CCTS' awards and commitments were very limited. Of the five projects, only one project was awarded during the 2021-2023 DBE goal period. Further, majority of the capital

program funds were for contracted transit operations which was awarded on July 5, 2018, prior to the 2021-2023 DBE goal period. Once the contract was awarded, CCTS' awards and commitments were limited to the three smaller projects. CCTS awarded a contract to a DBE-certified vendor in December 2021 for the comprehensive operations analysis. In addition, the other two projects have been delayed due to competing priorities resulting from a reduction in transit staffing. Staff shortages create challenges in balancing increased day-to-day operations including, transit contractor oversight, budgeting, grant administration, regulatory compliance, etc. Should this trend continue, staffing shortages will play a big role in the timeliness of the projects. Further, much of the staff time was dedicated towards COA (transit study), update of the Public Transit Agency Safety Plan, Transit Asset Management Plan and Title VI Program, and the development of the Zero Emission Bus Rollout Plan. Furthermore, staff could not proceed with Intelligent Transportation System project as all of the funds have yet to be programmed in the federal grants. Together these factors constrained CCTS' ability to proceed with all of the federally funded projects and limited its ability to obtain its overall DBE goal.

While CCTS will make every effort to address the aforementioned limitations, CCTS expects to have similar contracting opportunities in the upcoming triennial cycle as previously proposed.

#### *City of Corona Transit System's Bidders List*

CCTS does not have a bidders list at this time, however, CCTS will work to build and maintain a Bidder's List that can be utilized for future goal development. The bidders List will be developed based on the guidelines in CCTS' DBE Plan. CCTS has not made a further adjustment to the base figure based on a Bidder's List.

#### *Evidence from Disparity Studies*

CCTS did not find it feasible to conduct its own independent availability/disparity study. CCTS staff did review and considered the following studies available during the evaluation of adjustment of the Base Figures: 2022 Disparity Study available through California Department of Transportation (Caltrans) and the LA Metro 2017 Disparity Study.

Caltrans study is not applicable due to the following factors: the study covers such a large geographical area (entire state of California) rather than focusing on a market area similar to CCTS. Further, their contracting opportunities differ from CCTS which do not coincide with types of opportunities available in the upcoming triennial period by CCTS.

Metro's study in 2017 also emphasizes large and complex projects such as Caltrans which are not similar in scope to the types of projects that CCTS will conduct. The study also indicated the majority of Metro's contracting opportunities went to locations in Los Angeles; as such LA County is considered as Metro's geographical market area.

Both of the studies vary in scope and are not relevant to CCTS's federally-assistance contracting opportunities, therefore CCTS did not make an adjustment under this factor at this time.

### Other Evidence

CCTS is not in possession of other information nor aware of any other factors or adverse considerations that would have a material effect on DBE's availability within the CCTS market area, or on DBEs' ability to participate in CCTS' FTA-assisted contracting opportunities. Therefore, no goal adjustment was in consideration of this factor. However, during this triennial goal, CCTS will explore and consider all available evidence that would materially affect the opportunities for DBEs to form, grow, and compete in CCTS's FTA-assisted contracting programs.

### Goal Adjustments

After considering the above factors, the Base Figure of 0% was not adjusted, resulting in **CCTS' Overall DBE goal for Fiscal Years 2024-2026 of 0%**.

## **V. PROPOSED OVERALL DBE GOAL**

**The Overall DBE Goal for FFY 2024-2026 for the City of Corona Transit System's FTA-assisted contracts is 0%.** The Overall Goal is expressed as a percentage of all DOT-assisted funds that CCTS will expend in applicable DOT-assisted contracts in the given federal fiscal years.

The goal further serves to identify the relative availability of DBEs based on evidence of ready willing, and able DBEs to all comparable firms, which are known to be available to compete for and perform on the City of Corona Transit System's DOT-assisted contracts. In addition, as part of the prescribed goal-setting methodology, CCTS must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures.

In conformance with Title 49 CFR Part 26; "Participation by Disadvantaged Business Enterprises in Department of Transportation Programs" and in further response to FTA Notices issued to Public Transportation Providers regarding DOT's DBE Program and Race-Neutral Policy Implementation Guidance, CCTS intends to implement a **strictly Race-Neutral Overall DBE Goal for FFY 2024-2026**, due to the absence of readily available evidence of discrimination and its effects in its marketplace.

## **VI. RACE-NEUTRAL MEASURES**

The CCTS will implement Race-Neutral measures to meet its Overall DBE Goal objectives in accordance with 49 CFR Part 26.51, including but not limited to:

- Reaching out to DBE's under California Unified Certification Program, arranging timely solicitations, and times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms' participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own workforces.

- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

#### A. Small Business Element

The City's DBE Program includes elements to facilitate competition by small businesses in the CCTS contracts as prime contractors or subcontractors. These elements include informational outreach on the City's website, business development references, contract language for small business primes, and goals for subcontracting opportunities. The DBE Program includes details of the City's efforts to Foster Small Business Participation.

### VII. PUBLIC PARTICIPATION

#### A. Consultation

In accordance with Public Participation Regulatory Requirements of Title 49 CFR Part 26, minority, women, local business chambers, and community organizations within the CCTS market area were consulted and provided an opportunity to review the triennial goal analysis and provide input. CCTS reached out to the following community organizations to solicit their comments regarding the 2024-2026 Triennial DBE Goal:

- Inland Empire Women's Business Center
- Businesswomen's Association of San Bernardino County
- Orange County Small Business Development Center
- Greater Riverside Hispanic Chamber of Commerce
- Asian Business Association
- Riverside County Black Chamber of Commerce
- Inland Empire Small Business Development Center
- Asian Indian Chamber of Commerce of the Inland Empire
- National Latina Business Women Association – Inland Empire
- Chamber of Commerce for the following cities/counties: Corona, Riverside, Norco, San Bernardino, Orange,

Organizations were contacted via email to discuss the proposed goal methodology, whereas, the organizations were provided the synopsis of the DBE Goal (see Attachment 1) and the Public Notice A. CCTS did not receive any comments from the outreach efforts. However, a response was received from the National Latina Business Women Association asking the City to participate as a potential buyer in their upcoming conference which matches the agency's needs with potential vendors. The information for the conference and contact information was forwarded to the City's Purchasing Department. Should the City decide to participate, transit staff will participate as it relates to transit-related projects.

## B. Public Notice

CCTS will also post a Public Notice announcing the proposed Overall Goal for the FFY 2024-2026 FTA-assisted contracts on its official internet website; CCTS has elected to utilize its official website as the publication site. Such Notice will inform the public that the proposed goal and rationale are available for inspection at the City of Corona Transit System's principal office during normal business hours for 30 days following the date of the Public Notice and that CCTS will accept comments on the goal analysis for 30 days from the date of the Public Notice. Further, the notice included information about the "DBE Virtual Consultation Session" which would provide information on the contracting opportunities available. CCTS will give full consideration to all comments and input and assess its impact on the proposed Overall DBE Goal. If no impact and/or comments are received during the public participation process, the Goal will be considered final. If there are comments, the City will respond in accordance with the DBE Program.

DRAFT



COMMUNITY SERVICES DEPARTMENT

(951) 736-2241

400 SOUTH VICENTIA AVENUE, P.O. BOX 940, CORONA, CALIFORNIA 92879-0940  
(<http://www.coronaca.gov>)

August 8, 2023

RE: Request for Public Participation Review of the City of Corona Transit Service Disadvantaged Business Enterprise (DBE) Race Neutral Participation Goal for Federal Fiscal Years 2024-2024 (FTA)

Dear Community Member:

As a direct recipient of federal funds, the City of Corona Transit Service (the Agency) developed a Proposed Base Figure for Disadvantaged Business Enterprise (DBE) participation in its Federal Fiscal Years 2024-2026 DOT-assisted contracts (Federal Transit Administration). The Agency has implemented its' DBE Overall Goal of race/gender-neutral. At this time the Agency would like to invite you to provide feedback and/or comment, relative to the proposed base figure calculation and accompanying rationale for the Agency to review and consider.

As a part of the goal-setting public facilitation process, the Agency is required to solicit public comment, relative to the goal calculation and rationale. Accordingly, for your review and that of your memberships, the Agency has enclosed the **Public Notice** advising businesses of its proposed base figure, and the availability to review the proposed base figure calculation, and rationale and to provide input and comments.

The draft DBE Overall Goal and Methodology is readily available for review from 7:00 a.m. to 6:00 p.m., Monday through Thursday, at Agency's office located at:

City of Corona  
City of Corona Transit Service  
Community Services Department  
400 S. Vicentia Avenue Suite 225  
Corona, CA 92882  
Email: [coronatransit@coronaca.gov](mailto:coronatransit@coronaca.gov)  
(951) 817-5770

In addition, the City of Corona Transit Service will also be hosting a Virtual Consultation Session on Wednesday, August 23, 2023, from 10:00 a.m. to 11:00 a.m. Contact Community Services Department at the above email/phone # to request the link and invite to participate in the virtual session. Sudesh Paul, DBE Liaison, will serve as the contact person for coordinating your participation in the consultation session, the review of the goal-setting methodology, and accepting comments.

The Agency is fully committed to fulfilling the spirit and intent of the U.S. DOT DBE Rule, Title 49 CFR Part 26 in all of the Agency's DOT-assisted contracts. Your support of these efforts is greatly appreciated.

Sincerely,

*Sudesh Paul*

Sudesh Paul  
DBE Liaison Officer

Enclosures:

Public Notice

Synopsis of Draft Goal

2024-2026 DBE Goal\_Community Outreach Letter 8-7-23 \_ Page 2



**CITY OF CORONA  
OFFICE OF THE CITY CLERK  
PUBLIC NOTICE  
NOTICE OF PROPOSED DISADVANTAGED BUSINESS ENTERPRISE GOAL FOR  
FEDERALLY FUNDED TRANSIT PROJECTS FOR  
FEDERAL FISCAL YEARS 2024-2026**

**PUBLIC NOTICE IS HEREBY GIVEN**, that the City of Corona, City of Corona Transit Service has established a Proposed Race-Neutral Overall Disadvantaged Business Enterprise (DBE) Goal of 0% for Federal Fiscal Years 2024 through 2026 in accordance with the requirements of the U.S. Department of Transportation (DOT) 49 C.F.R. Part 26 "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs" for federally funded contracts. The DBE Goal is applicable to DOT – Federal Transit Administration (FTA) contracting opportunities scheduled to be awarded during the period of October 1, 2023, through September 30, 2026.

The proposed goal and its rationale are available for public review during normal business hours for a period of 30 days following the date of this notice at:

City of Corona  
City Clerk's Office  
400 S. Vicentia Avenue  
Corona, CA 92882

Public comments will be accepted on the goal for 30 days from the date of this notice via email or mail and should be in writing to:

City of Corona  
City of Corona Transit Service  
Community Services Department  
400 S. Vicentia Avenue Suite 225  
Corona, CA 92882  
Email: [coronatransit@coronaca.gov](mailto:coronatransit@coronaca.gov)  
(951) 817-5770

The City of Corona Transit Service will also be hosting a "DBE Virtual Consultation Session" on Wednesday, August 23, 2023, from 10 am to 11:00 am to solicit feedback on the proposed DBE goal and provide information on the contracting opportunities available. Contact Community Services Department at the above email/phone # to request the link and invite to participate in the virtual session.

Sylvia Edwards, City Clerk  
Published: August 7, 2023

# CCTS TRIENNIAL DBE GOAL

The City of Corona Transit System (CCTS) is in the process of preparing its Triennial Overall Disadvantaged Business Enterprise (DBE) Goal for the upcoming triennial period (October 1, 2023 through September 30, 2026).

## Overall DBE Goal

- As a direct recipient of Federal Transit Administration (FTA) funding, CCTS is required to have a DBE program to increase the participation of DBEs in federally aided contracts.
- The purpose of the DBE goal-setting process is to level the playing field so that DBEs can compete fairly for FTA-Associated contracts.
- The proposed overall DBE goal for Federal Fiscal Years 2024-2026 for CCTS's FTA-assisted contracts is 0%
- CCTS intends to use race-neutral methods to meet the overall DBE goal.

## Project Goal

The Overall DBE Goal reflects the relative availability of DBEs and all comparable businesses available to compare within the market area.

## DBE & Small Business Participation

CCTS plans to incorporate the following strategies to foster small business participation in its contracting process:

- Breaking out procurements or unbundling procurement actions to provide greater opportunities for Disadvantaged Business Enterprises (DBEs), small and minority firms, and women's business enterprises to participate.
- For procurements that require competition, the City will seek out small businesses to compete for these procurement actions by actively including DBE and non-DBE small business firms on the solicitation lists.
- For micro-purchases that do not require competition, seek out DBE and non-DBE small business firms to satisfy these requirements.

## Race-Neutral Measures

CCTS plans to implement the following race-neutral measures for FFY 2024-2026 and will continue to explore other options:

- Reaching out to DBEs under California Unified Certification Program, arranging timely solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms' participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own workforces.
- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

CCTS DBE Goal Notice - Sudesh Paul 8/7/23

Attachment F – Transit Vehicle Manufacturer’s Certification

## **BIDDER’S CERTIFICATION OF DISADVANTAGED BUSINESS ENTERPRISE PLAN**

The bidder hereby certifies that it has complied with 49 C.F.R. 26, which requires each transit vehicle manufacturer to establish annual goals for the participation of disadvantaged business enterprise and to submit these goals to the Federal Transit Administration for approval:

The \_\_\_\_\_ hereby certifies that it has on file with the Federal Transit Administration, a Disadvantaged Enterprise Plan.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Manufacturer: \_\_\_\_\_

Attachment G – Roster of Certifying Agencies

# CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



## *Roster of Certifying Agencies*

*Note: If you received this information as a hard copy, the Uniform DBE/ACDBE Certification Application form and Personal Net Worth Statement are available at:  
<https://www.transportation.gov/civil-rights/disadvantaged-business-enterprise/ready-apply>*

*If the firm has its principal place of business in another state and is currently certified in that state, please contact the California Department of Transportation.*

Area	Counties	DBE Certifying Agencies	
<b>Riverside, Imperial &amp; San Diego (RIS)</b>	Imperial Riverside San Diego	<p style="text-align: center;"><b><u>SUBMIT APPLICATION PACKAGE TO:</u></b></p> <ul style="list-style-type: none"> <li>➤ CITY OF LOS ANGELES*</li> <li>➤ LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY</li> </ul> <p style="text-align: center;"><b><u>OR</u></b></p> <ul style="list-style-type: none"> <li>➤ CALIFORNIA DEPARTMENT OF TRANSPORTATION</li> </ul> <p style="text-align: center;">SEE CONTACT INFORMATION BELOW</p>	
<b>Los Angeles Area</b>	Kern Los Angeles Orange San Bernardino San Luis Obispo Santa Barbara Ventura	<p><b>CITY OF LOS ANGELES</b> Bureau of Contract Admin. Centralized Certification Section 1149 S. Broadway, Ste 300 Los Angeles, CA 90015 Phone: (213) 847-2684 Fax: (213) 847-2777 Email: <a href="mailto:bca.certifications@lacity.org">bca.certifications@lacity.org</a>  <a href="http://bca.lacity.org">http://bca.lacity.org</a></p> <p><i>*Please note: Only firms located within City of Los Angeles may apply</i></p>	<p><b>LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY (METRO)</b> Diversity and Economic Opportunity Department One Gateway Plaza, MS 99-8-4 Los Angeles, CA 90012 Phone: (213) 922-2600 Fax: (213) 922-7660 Email: <a href="mailto:certificationunit@metro.net">certificationunit@metro.net</a></p> <p><i>Please Note: Metro only accepts online applications.</i></p> <p><i>Please use link below to apply:</i> <a href="http://metro.gob2g.com">metro.gob2g.com</a></p>

*Note: List of agencies subject to change*

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AREA	COUNTIES	DBE CERTIFYING AGENCIES	
<b>Bay Area/ Central Valley</b>	Alameda Amador Calaveras Contra Costa Fresno Kings Madera Marin Mariposa Merced Monterey Napa San Benito San Francisco San Joaquin San Mateo Santa Clara Santa Cruz Solano Sonoma Stanislaus Tulare Tuolumne	<p><b>S.F. BAY AREA RAPID TRANSIT DISTRICT (BART)</b>            Office of Civil Rights            300 Lakeside Drive            16<sup>th</sup> Floor            Oakland, CA 94612            Phone: (510) 464-6100            Fax: (510) 464-7587  <a href="http://www.bart.gov">www.bart.gov</a></p> <p><b>CITY OF FRESNO</b>            Finance Department, Purchasing            Division, DBE Program            2600 Fresno Street, Room 2156            Fresno, CA 93721-3622            Phone: (559) 621-7036            Fax: (559) 488-1069  <a href="http://www.fresno.gov">www.fresno.gov</a></p> <p><b>SANTA CLARA VALLEY TRANSPORTATION AUTHORITY (VTA)</b>            Business Diversity Programs            3331 North First Street, Bldg. B            San Jose, CA 95134-1906            Phone: (408) 321-5962  <a href="mailto:osdb.osdb@vta.org">osdb.osdb@vta.org</a>  <a href="http://www.vta.org/osb">www.vta.org/osb</a></p>	<p><b>SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY (SFMTA)</b>            Contract Compliance Office            One S. Van Ness Avenue, 6th Flr            San Francisco, CA 94103            Phone: (415) 701-4436            Fax: (415) 701-4347  <a href="http://www.sfmuni.com">www.sfmuni.com</a></p> <p><b>SAN MATEO COUNTY TRANSIT DISTRICT (SAMTRANS)/ PENINSULA CORRIDOR JOINT POWERS BOARD (JPB)</b>            DBE Office            1250 San Carlos Avenue            San Carlos, CA 94070            Phone: (650) 508-7939            Fax: (650) 508-7738  <a href="http://www.samtrans.com">www.samtrans.com</a></p>
<b>Northern California</b>	Alpine Butte Colusa Del Norte El Dorado Glenn Humboldt Inyo Lake Lassen Mendocino Modoc Mono Nevada Placer Plumas Sacramento Shasta Sierra Siskiyou Sutter Tehama Trinity Yolo Yuba	<p><b>CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)</b>            Office of Civil Rights            1823 - 14<sup>th</sup> Street            Sacramento, CA 95811            Phone: (916) 324-1700 or            Fax: (916) 324-1862  <a href="http://www.dot.ca.gov">www.dot.ca.gov</a></p>	

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**ACDBE CERTIFYING AGENCIES**

**CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)**  
Office of Civil Rights  
1823 14th Street  
Sacramento, CA 95811  
Phone: (916) 324-1700 \*\* Fax: (916) 324-1862  
[www.dot.ca.gov](http://www.dot.ca.gov)

**FOR LOS ANGELES WORLD AIRPORTS PLEASE CONTACT:**  
**CITY OF LOS ANGELES**  
Office of Contract Compliance  
1149 S. Broadway Street, Suite 300  
Los Angeles, CA 90015  
Phone (213) 847-2684 \*\* Fax: (213) 847-2777  
<http://bca.lacity.org>

**SAN DIEGO COUNTY REGIONAL AIRPORT AUTHORITY (SDCRAA)**  
Small Business Development Department  
P.O. Box 82776  
San Diego, CA 92138-2776  
Phone: (619) 400-2568 \*\* Fax: (619) 400-2566  
[www.san.org](http://www.san.org)  
  
(San Diego Concessions Only)

**SAN FRANCISCO INTERNATIONAL AIRPORT**  
Small Business Affairs Office  
P.O. Box 8097  
San Francisco, CA 94128  
Phone: (650) 821-5021 \*\* Fax: (650) 821-5146  
[www.flysfo.com](http://www.flysfo.com)  
  
(SFO Concessions Only)

**SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY (SFMTA)**  
Contract Compliance Office  
One S. Van Ness Avenue, 6th Floor  
San Francisco, CA 94103  
Phone: (415) 701-4436 \*\* Fax: (415) 701-4347  
[www.sfmuni.com](http://www.sfmuni.com)

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Attachment H – Code of Federal Regulations: Title 49 CFR Part 26

**CITY OF CORONA, CITY OF CORONA TRANSIT SYSTEM  
DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
OVERALL DBE GOAL AND METHODOLOGY  
FEDERAL FISCAL YEARS (FFY) 202~~14~~-202~~36~~  
(Covering the period of October 1, 202~~03~~ to September 30, 202~~36~~)**

## I. INTRODUCTION

City of Corona, City of Corona Transit System (CCTS) herein sets forth its Proposed Overall Disadvantaged Business Enterprise (DBE) Goal and corresponding federally prescribed goal-setting methodology for the three-year Federal Fiscal year (FFY) goal period of 202~~14~~-202~~36~~ (October 1, 202~~03~~ through September 30, 202~~36~~), pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs”.

The goal setting process consists of two steps. Step one determines the base figure for the relative availability of DBEs. Step two determines what adjustment, if any, may be needed.

## II. BACKGROUND

Public agencies receiving U.S. Department of Transportation (DOT), Federal Transit Administration (FTA) assisted funds, who anticipate awarding \$250,000 or more in DOT-assisted contracts, must adopt a three-year Disadvantaged Business Enterprise (DBE) goal. As such, CCTS is required to develop and submit a Triennial Overall DBE Goal for DBE participation as a condition of receiving federal funding for its FTA-assisted projects.

Effective March 3, 2010, the United States Department of Transportation (“DOT”) issued a final rule affecting the implementation and management of the Department’s Disadvantaged Business Enterprise (“DBE”) program. The final rule requires the submission of a goal-setting methodology on a three-year cycle. Pursuant to this final rule, CCTS is still required to conduct an annual review to account for changes that may warrant an adjustment to the overall goal or make an adjustment based on changed circumstances (i.e. significant change in the legal standards governing the DBE program, new contracting opportunities presented by the availability of new or different grant opportunities to ensure the goal and program as a whole are narrowly tailored throughout the goal period.

**CCTS herein presents its Proposed Overall DBE Goal Methodology for FFY 202~~14~~/202~~23~~ goal period, which resulted in a ~~50~~% Overall DBE Goal.**

### III. DOT-ASSISTED CONTRACTING PROGRAM FOR FFY 2021-2023

Table 1 below represents CCTS’ U.S. DOT-assisted (FTA) contracting program, which includes ~~four~~<sup>three</sup> (4<sup>3</sup>) projects considered in preparing its Overall DBE Goal-Setting Methodology utilizing the North American Industry Classification System (NAICS) work categories. The projects include: Contracting Services for Dial-A-Ride and Fixed Route Service, ~~Comprehensive Operational Analysis~~, an Intelligent Transportation System, ~~Bus Stop Amenities~~ and ~~Office Support equipment~~ a Digital Land Mobile Radio System. These projects are anticipated to be awarded ~~—~~ within FFYs ~~2021-2023~~<sup>2024-2026</sup>. CCTS anticipates spending approximately ~~\$4,730,026~~<sup>\$11,165,602</sup> of federal dollars in which there may be DBE contracting opportunities ~~during the triennial period over the three-year term~~.

**Table 1 – FTA-assisted Contracting Opportunities**

	NAICS Code CUCP Database	Project Name	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	\$2,000,000.00	0.4228
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	\$2,000,000.00	0.4228
3)	541614	Comprehensive Operations Analysis	\$100,000.00	0.0211
4)	541512	Intelligent Transportation System	\$252,350.00	0.0534
5)	518210	Intelligent Transportation System	\$252,350.00	0.0534
6)	517410	Digital Land Mobile Radio System	\$105,326.00	0.0223
7)	541930	Document Translation Services	\$20,000.00	0.0042
<b>Total FTA-Assisted Contract Funds</b>			<b>\$4,730,026.00</b>	<b>1</b>
	NAICS Code CUCP Database	Project Name	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
3)	541512	Intelligent Transportation System	\$414,350.00	0.0371
4)	518210	Intelligent Transportation System	\$414,350.00	0.0371
5)	517410	Digital Land Mobile Radio System	\$105,326.00	0.0094
<b>Total FTA-Assisted Contract Funds</b>			<b>\$11,165,602.00</b>	<b>1</b>

### IV. GOAL METHODOLOGY

#### A. Step 1: Determination of a Base Figure (26.45)<sup>1</sup>

<sup>1</sup> 26.45 represents Title 49 CFR Part 26 regulatory goal setting methodology reference.

To establish CCTS’s Base Figure of the relative availability of DBEs to all comparable firms (DBE and Non-DBEs) available to propose on CCTS’s DOT-assisted contracting opportunities projected to be solicited during the triennial goal period, CCTS followed the prescribed federal goal-setting methodologies in accordance with 49 CFR Part 26 regulations. This was accomplished by assessing the *California Unified Certification Program (CUCP) Directory of Certified DBE Firms* and the ~~201821~~ *U.S. Census Bureau County Business Patterns (CBP) Database*. Comparisons were made within the City of Corona Transit System’s market area (defined as Riverside County, San Bernardino County, and Orange County) and by specified industries and types of businesses identified in Table 1. The City of Corona Transit System’s local market area represents where the substantial majority of the City of Corona Transit System’s contracting dollars are expended and/or where the substantial majority of contractors and subcontractors bids or quotes are received. ~~CCTS also considered LA County into the market area, however doing so decreased the relative DBE availability.~~

The City of Corona Transit System made a concerted effort to ensure that the scope of businesses included in the numerator was as close as possible to the scope included in the denominator. For corresponding detail of all work category classifications grouped, refer to Table 1.

- ⇒ **For the numerator:** *California UCP DBE Database of Certified Firms*
- ⇒ **For the denominator:** ~~201821~~ *U.S. Census Bureau’s Business Pattern Database (CBP)*

To determine the relative availability of DBEs, the City of Corona Transit System divided the numerator<sup>2</sup> representing the ratio of ready, willing, and able DBE firms, by the denominator<sup>3</sup> representing all firms (DBE and Non-DBEs) available in each work category and the same geographical regional. Application of this formula yielded the following baseline information:

$$\frac{\text{Number of Ready, Willing, and Able DBEs}}{\text{FIGURE Number of All Available Firms (including DBEs and Non-DBEs)}} = \text{BASE}$$

← Formatted Table

The following table reflects the relative availability of DBE firms by NAICS Code:

<sup>2</sup> Numerator represents all DBE firms established within the City’s Market area.

<sup>3</sup> Denominator represents all comparable available established firms.

	NAICS Code CUCP Database	Project Name	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0	14	0.0000	
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0	82	0.0000	
3)	541614	Comprehensive Operations Analysis	31	270	0.1148	
4)	541512	Intelligent Transportation System	99	1406	0.0704	
5)	518210	Intelligent Transportation System	32	343	0.0933	
6)	517410	Digital Land Mobile Radio System	0	24	0.0000	
7)	541930	Document Translation Services	12	81	0.1481	
Combined Totals			174	2220	0.0784	Overall availability of DBEs
	NAICS Code CUCP Database	Project Name	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0	8	0.0000	
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0	117	0.0000	
3)	541512	Intelligent Transportation System	36	1440	0.0250	
4)	518210	Intelligent Transportation System	16	325	0.0492	
5)	517410	Digital Land Mobile Radio System	0	15	0.0000	
Combined Totals			52	1905	0.0273	Overall availability of DBEs

The Base Figure was further weighted by contract type and corresponding contract value. The Base Figure resulting from this weighted calculation is as follows:

	NAICS Code CUCP Database	Project Name	Weight	x	Availability	Weighted Base Figure
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0.42283	x		
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0.42283	x		
3)	541614	Comprehensive Operations Analysis	0.02114	x	0.11481	0.0024
4)	541512	Intelligent Transportation System	0.05335	x	0.07041	0.0038
5)	518210	Intelligent Transportation System	0.05335	x	0.09329	0.0050
6)	517410	Digital Land Mobile Radio System	0.02227	x		
7)	541930	Document Translation Services	0.00423	x	0.14815	0.0006
Total						0.0118
Expressed as a % (*100)						1.18%
Rounded, Weighted Base Figure:						1%

	NAICS Code CUCP Database	Project Name	Weight	x	Availability	Weighted Base Figure	
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x			
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x			
3)	541512	Intelligent Transportation System	0.03711	x	0.02500	0.0009	
4)	518210	Intelligent Transportation System	0.03711	x	0.04923	0.0018	
5)	517410	Digital Land Mobile Radio System	0.00943	x			
						<b>Total</b>	0.0028
						<b>Expressed as a % (*100)</b>	0.28%
						<b>Rounded, Weighted Base Figure:</b>	0%

## B. Step 2: Adjusting the Base Figure

Upon establishing the Base Figure, CCTS is required to review and assess other known evidence potentially impacting the relative availability of DBEs within CCTS’s market area, in accordance with prescribed narrow tailoring provisions set forth under 49 CFR Part 26.45 Step 2; DBE Goal Adjustment guidelines.

Evidence considered in making an adjustment to the Base Figure includes Past DBE Goal Attainments, Bidders List, Disparity Studies, and Other Evidence. A summary of these considered follows:

### Past DBE Goal Attainments

CCTS has experienced challenges in attaining its DBE goal for the past three federal fiscal years; the following table reflects the historical DBE participation on FTA-assisted contracts awarded by CCTS:

FFY21	FFY22	FFY23
0.0%	100%	0.0%
Median DBE Participation: 0%		

FFY18	FFY19	FFY20
0.0%	0.0%	0.0%
Median DBE Participation: 0.0%		

~~Median~~ The median for the past DBE participation on CCTS projects was 0.0 percent and is same as lower than the Base Figure derived from Step 1; therefore, an adjustment is not made based on past DBE participation.

As in prior years, CCTS’ awards and commitments were very limited. Of the five projects, only one project was awarded during the 2021-2023 DBE goal period. Further, mMajority of the

capital program funds were for contracted transit operations which was awarded on July 5, 2018, prior to the 2021-2023 DBE goal period. ~~Once the contract was awarded, CCTS' awards and commitments were limited to the three smaller projects. CCTS awarded a contract to a DBE-certified vendor in December 2021 for the comprehensive operations analysis. there were no additional opportunities for the following years to meet the DBE goal. While the contractor utilized a subcontractor, the amount was not sufficient to meet the DBE goal for the year. In addition, the other three-two projects were have been delayed due to competing priorities resulting from a reduction in transit staffing. Staff shortages create challenges in balancing increased day-to-day operations including transit contractor oversight, budgeting, grant administration (programming of funds for the Intelligent Transportation System), regulatory compliance, etc. Should this trend continue, staffing shortages will play a big role in the timeliness of the projects.as much of the~~ During the FFY 2021-2023 period, ~~staff time was dedicated towards COA (transit study), update of the Public Transit Agency Safety Plan, Transit Asset Management Plan and Title VI Program, and the development of the Zero Emission Bus Rollout Plan. the transition of the new transit operations contractor. Furthermore, staff could not proceed with the projects as the funds have yet to be programmed in the federal grants. Together~~ ~~These three~~ factors constrained CCTS' ability to proceed with all of the federally funded projects and limited its ability to obtain its overall DBE goal.

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While CCTS will make every effort to address the aforementioned limitations, CCTS expects to have similar contracting opportunities in the ~~up coming~~upcoming triennial cycle as previously proposed. ~~In addition, majority of the funds have yet to be programmed. Therefore, CCTS has considered an adjustment to the Base Figure and it is calculated in accordance with FTA guidance by averaging the Base Figure with the Median DBE past attainment as show below:~~

$$\text{Adjusted Base Figure} = \frac{1\% (\text{Base Figure}) + 0\% (\text{Median Attainment})}{2}$$

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~~The formula resulted in a proposed adjustment (decrease) to the Base Figure from 1% to .5%.~~

City of Corona Transit System's Bidders List

CCTS does not have a bidders list at this time, however, CCTS will work to build and maintain a Bidder's List that can be utilized for future goal development. ~~Bidders~~The bidders List will be developed based on the guidelines in CCTS' DBE Plan. CCTS has not made a further adjustment to the base figure based on a Bidder's List.

Evidence from Disparity Studies

CCTS did not find it feasible to conduct its own independent availability/disparity study. CCTS staff did review and ~~consider~~considered the following studies available during the evaluation of adjustment of the Base Figures: ~~2016~~2022 Disparity Study available through California Department of Transportation (Caltrans) and the LA Metro 2017 Disparity Study.

Caltrans study is not applicable due to the following factors: ~~the~~the study covers such a large

geographical area (the entire state of California) rather than focusing on a market area similar to CCTS. ~~and~~ Further, their contracting opportunities differ from CCTS ~~such that they focused on construction and engineering contract~~ which do not coincide with types of opportunities available in the upcoming triennial period by CCTS.

Metro's study in 2017 also emphasizes ~~on~~ large and complex projects such as Caltrans which are not similar in scope to the types of projects that CCTS will conduct. The study also indicated the majority of Metro's contracting opportunities went to locations in ~~the~~ Los Angeles; as such LA County is considered as Metro's geographical market area. ~~When calculating the base figure in Step 1, CCTS considered including LA County in CCTS' market area however this further reduced the DBE availability percentage.~~

Both of the studies vary in scope and are not relevant to CCTS's federally-assistance contracting opportunities, therefore CCTS did not make an adjustment under this factor at this time. ~~CCTS will continue to review applicable Disparity Studies as they become available and apply the appropriate adjustments to the DBE Program.~~

#### Other Evidence

CCTS is not in possession of other information nor aware of any other factors or adverse considerations that would have a material effect on DBE's availability within the CCTS market area, or on ~~DBEs-DBEs'~~ ability to participate in CCTS's FTA-assisted contracting opportunities. Therefore, no goal adjustment was in consideration of this factor. However, during this triennial goal, CCTS will explore and consider all available evidence that would materially affect the opportunities for DBEs to form, grow, and compete in CCTS's FTA-assisted contracting programs.

#### Goal Adjustments

After considering the above factors, the Base Figure of 40% was not adjusted, resulting in CCTS' Overall DBE goal for Fiscal Years 20214-20236 of .50%.

## **V. PROPOSED OVERALL DBE GOAL**

**The Overall DBE Goal for FFY 20214-20236 for the City of Corona Transit System's FTA-assisted contracts is .50%.** The Overall Goal is expressed as a percentage of all DOT-assisted funds that CCTS will expend in applicable DOT-assisted contracts in the given federal fiscal years.

The goal further serves to identify the relative availability of ~~DBE's-DBEs~~ based on evidence of ready willing, and able ~~DBE's-DBEs~~ to all comparable firms, which are known to be available to compete for and perform on the City of Corona Transit System's DOT-assisted contracts. In addition, as part of the prescribed goal-setting methodology, CCTS must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures.

In conformance with Title 49 CFR Part 26; "Participation by Disadvantaged Business Enterprises

in Department of Transportation Programs” and in further response to FTA Notices issued to Public Transportation Providers regarding DOT’s DBE Program and Race-Neutral Policy Implementation Guidance, CCTS intends to implement a **strictly Race-Neutral Overall DBE Goal for FFY 2024-2026**, due to the absence of readily available evidence of discrimination and its effects in its marketplace.

## VI. RACE-NEUTRAL MEASURES

The CCTS will implement Race-Neutral measures to meet its Overall DBE Goal objectives in accordance with 49 CFR Part 26.51, including but not limited to:

- Reaching out to DBE’s under California Unified Certification Program, arranging timely solicitations, and times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms’ participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own ~~work forces~~workforces.
- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

### A. Small Business Element

The City’s DBE Program includes elements to facilitate competition by small businesses in the CCTS contracts as prime contractors or subcontractors. These elements include informational outreach on the City’s website, business development references, contract language for small business primes, and goals for subcontracting opportunities. The DBE Program includes details of the City’s efforts to Foster Small Business Participation.

## VII. PUBLIC PARTICIPATION

### A. Consultation

In accordance with Public Participation Regulatory Requirements of Title 49 CFR Part 26, minority, women, local business chambers, and community organizations within the ~~CCTS’~~CCTS market area were consulted and provided an opportunity to review the triennial goal analysis and provide input ~~(see Attachment 1)~~. CCTS reached out to the following community organizations to solicit their comments regarding the 2024-2026 Triennial DBE Goal:

- Inland Empire Women’s Business Center
- Businesswomen’s Association of San Bernardino County
- Orange County Small Business Development Center

- ~~• Southern California Minority Supplier Development Council~~
- Greater Riverside Hispanic Chamber of Commerce
- Asian Business Association
- Riverside County Black Chamber of Commerce
- Inland Empire Small Business Development Center
- Asian Indian Chamber of Commerce of the Inland Empire
- National Latina Business Women Association – Inland Empire
- ~~• Greater Riverside Chamber of Commerce~~
- ~~• Corona Chamber of Commerce~~
- ~~• San Bernardino Area Chamber of Commerce~~
- Orange County Chamber of Commerce
- Chamber of Commerce for the following cities/counties: Corona, Riverside, Norco, San Bernardino, Orange.

Organizations were contacted via email to discuss the proposed goal methodology, whereas, the organizations were provided the synopsis of the DBE Goal (see Attachment 21). Any interested parties were then provided the complete Overall DBE Goal & Methodology. CCTS did not receive any comments from the outreach efforts. However, a response was received from the National Latina Business Women Association asking the City to participate as a potential buyer in their upcoming conference which matches the agency's needs with potential vendors. The information for the conference and contact information was forwarded to the City's Purchasing Department. Should the City decide to participate, transit staff will participate as it relates to transit-related projects.

## B. Public Notice

CCTS will also post a Public Notice announcing the proposed Overall Goal for the FFY 20214-20236 FTA-assisted contracts on its official internet website; CCTS has elected to utilize its official website as the publication site. Such Notice will inform the public that the proposed goal and rationale are available for inspection at the City of Corona Transit System's principal office during normal business hours for 30 days following the date of the Public Notice and that CCTS will accept comments on the goal analysis for 30 days from the date of the Public Notice.- Further, the notice included information about the "DBE Virtual Consultation Session" which would provide information on the contracting opportunities available. CCTS will give full consideration to all comments and input and assess its impact on the proposed Overall DBE Goal. If no impact and/or comments are received during the public participation process, the Goal will be considered final. If there are comments, the City will respond in accordance with the DBE Program.

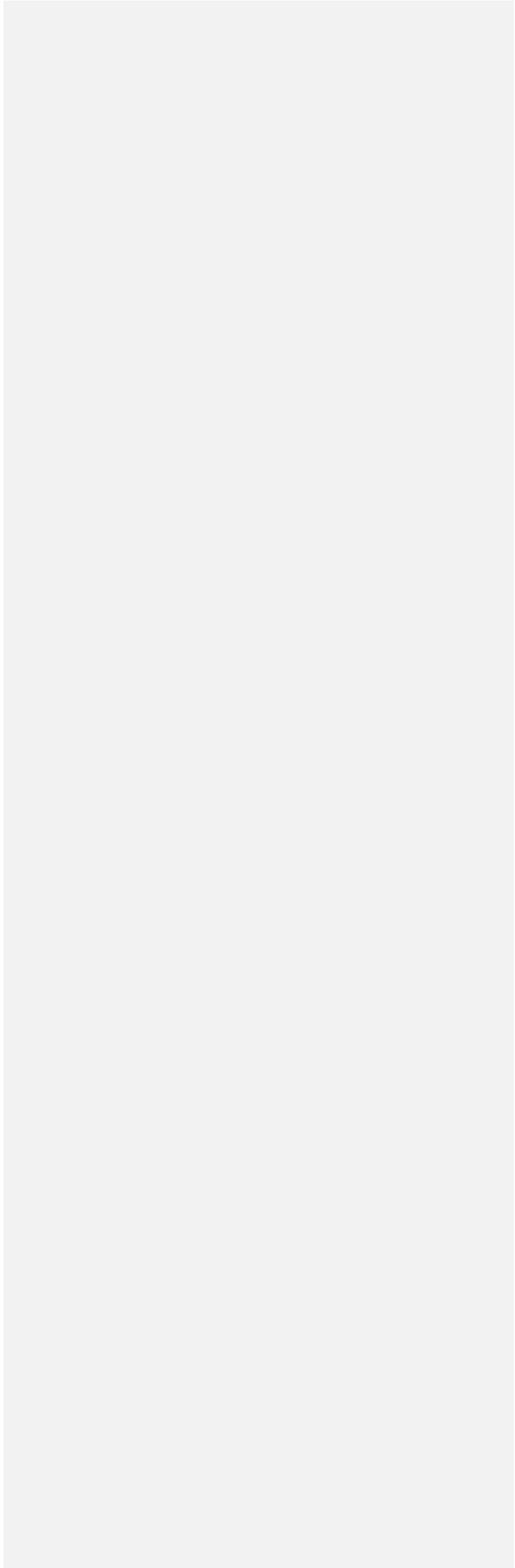
Attachment 31 – ~~Public Notice and Notice of DBE Virtual Consultation Session~~ Outreach Communication

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**CITY OF CORONA, CITY OF CORONA TRANSIT SYSTEM  
DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
OVERALL DBE GOAL AND METHODOLOGY  
FEDERAL FISCAL YEARS (FFY) 2024-2026  
(Covering the period of October 1, 2023 to September 30, 2026)**

## I. INTRODUCTION

City of Corona, City of Corona Transit System (CCTS) herein sets forth its Proposed Overall Disadvantaged Business Enterprise (DBE) Goal and corresponding federally prescribed goal-setting methodology for the three-year Federal Fiscal year (FFY) goal period of 2024-2026 (October 1, 2023 through September 30, 2026), pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs”.

The goal setting process consists of two steps. Step one determines the base figure for the relative availability of DBEs. Step two determines what adjustment, if any, may be needed.

## II. BACKGROUND

Public agencies receiving U.S. Department of Transportation (DOT), Federal Transit Administration (FTA) assisted funds, who anticipate awarding \$250,000 or more in DOT-assisted contracts, must adopt a three-year Disadvantaged Business Enterprise (DBE) goal. As such, CCTS is required to develop and submit a Triennial Overall DBE Goal for DBE participation as a condition of receiving federal funding for its FTA-assisted projects.

Effective March 3, 2010, the United States Department of Transportation (“DOT”) issued a final rule affecting the implementation and management of the Department’s Disadvantaged Business Enterprise (“DBE”) program. The final rule requires the submission of a goal-setting methodology on a three-year cycle. Pursuant to this final rule, CCTS is still required to conduct an annual review to account for changes that may warrant an adjustment to the overall goal or make an adjustment based on changed circumstances (i.e. significant change in the legal standards governing the DBE program, new contracting opportunities presented by the availability of new or different grant opportunities to ensure the goal and program as a whole are narrowly tailored throughout the goal period.

**CCTS herein presents its Proposed Overall DBE Goal Methodology for FFY 20242026 goal period, which resulted in a 0% Overall DBE Goal.**

### III. DOT-ASSISTED CONTRACTING PROGRAM FOR FFY 2021-2023

Table 1 below represents CCTS’ U.S. DOT-assisted (FTA) contracting program, which includes three (3) projects considered in preparing its Overall DBE Goal-Setting Methodology utilizing the North American Industry Classification System (NAICS) work categories. The projects include: Contracting Services for Dial-A-Ride and Fixed Route Service, an Intelligent Transportation System, and a Digital Land Mobile Radio System. These projects are anticipated to be awarded within FFYs 2024-2026. CCTS anticipates spending approximately \$11,165,602 of federal dollars in which there may be DBE contracting opportunities during the triennial period.

**Table 1 – FTA-assisted Contracting Opportunities**

	NAICS Code CUCP Database	Project Name	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	\$5,115,788.00	0.4582
3)	541512	Intelligent Transportation System	\$414,350.00	0.0371
4)	518210	Intelligent Transportation System	\$414,350.00	0.0371
5)	517410	Digital Land Mobile Radio System	\$105,326.00	0.0094
<b>Total FTA-Assisted Contract Funds</b>			<b>\$11,165,602.00</b>	<b>1</b>

### IV. GOAL METHODOLOGY

#### A. Step 1: Determination of a Base Figure (26.45)<sup>1</sup>

To establish CCTS’s Base Figure of the relative availability of DBEs to all comparable firms (DBE and Non-DBEs) available to propose on CCTS’s DOT-assisted contracting opportunities projected to be solicited during the triennial goal period, CCTS followed the prescribed federal goal-setting methodologies in accordance with 49 CFR Part 26 regulations. This was accomplished by assessing the *California Unified Certification Program (CUCP) Directory of Certified DBE Firms* and the *2021 U.S. Census Bureau County Business Patterns (CBP) Database*. Comparisons were made within the City of Corona Transit System’s market area (defined as Riverside County, San Bernardino County, and Orange County) and by specified industries and types of businesses identified in Table 1. The City of Corona Transit System’s local market area represents where the substantial majority of the City of Corona Transit System’s contracting dollars are expended and/or where the substantial majority of contractors and subcontractors bids or quotes are received.

<sup>1</sup> 26.45 represents Title 49 CFR Part 26 regulatory goal setting methodology reference.

The City of Corona Transit System made a concerted effort to ensure that the scope of businesses included in the numerator was as close as possible to the scope included in the denominator. For corresponding detail of all work category classifications grouped, refer to Table 1.

- ⇒ **For the numerator:** *California UCP DBE Database of Certified Firms*
- ⇒ **For the denominator:** *2021 U.S. Census Bureau’s Business Pattern Database (CBP)*

To determine the relative availability of DBEs, the City of Corona Transit System divided the numerator<sup>2</sup> representing the ratio of ready, willing, and able DBE firms, by the denominator<sup>3</sup> representing all firms (DBE and Non-DBEs) available in each work category and the same geographical regional. Application of this formula yielded the following baseline information:

$$\frac{\text{Number of Ready, Willing, and Able DBEs}}{\text{FIGURE Number of All Available Firms (including DBEs and Non-DBEs)}} = \text{BASE}$$

The following table reflects the relative availability of DBE firms by NAICS Code:

	NAICS Code CUCP Database	Project Name	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0	8	0.0000
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0	117	0.0000
3)	541512	Intelligent Transportation System	36	1440	0.0250
4)	518210	Intelligent Transportation System	16	325	0.0492
5)	517410	Digital Land Mobile Radio System	0	15	0.0000
<b>Combined Totals</b>			<b>52</b>	<b>1905</b>	<b>0.0273</b>
					<b>Overall availability of DBEs</b>

The Base Figure was further weighted by contract type and corresponding contract value. The

<sup>2</sup> Numerator represents all DBE firms established within the City’s Market area.

<sup>3</sup> Denominator represents all comparable available established firms.

Base Figure resulting from this weighted calculation is as follows:

	NAICS Code CUCP Database	Project Name	Weight	x	Availability	Weighted Base Figure
1)	485113	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x		
2)	485991	Contracting Services for Dial-A-Ride & Fixed Route	0.45817	x		
3)	541512	Intelligent Transportation System	0.03711	x	0.02500	0.0009
4)	518210	Intelligent Transportation System	0.03711	x	0.04923	0.0018
5)	517410	Digital Land Mobile Radio System	0.00943	x		
<b>Total</b>						0.0028
<b>Expressed as a % (*100)</b>						0.28%
<b>Rounded, Weighted Base Figure:</b>						0%

## B. Step 2: Adjusting the Base Figure

Upon establishing the Base Figure, CCTS is required to review and assess other known evidence potentially impacting the relative availability of DBEs within CCTS’s market area, in accordance with prescribed narrow tailoring provisions set forth under 49 CFR Part 26.45 Step 2; DBE Goal Adjustment guidelines.

Evidence considered in making an adjustment to the Base Figure includes *Past DBE Goal Attainments, Bidders List, Disparity Studies, and Other Evidence*. A summary of these considered follows:

### Past DBE Goal Attainments

CCTS has experienced challenges in attaining its DBE goal for the past three federal fiscal years; the following table reflects the historical DBE participation in FTA-assisted contracts awarded by CCTS:

FFY21	FFY22	FFY23
0.0%	100%	0.0%
Median DBE Participation: 0%		

The median for the past DBE participation on CCTS projects was 0.0 percent and is the same as the Base Figure derived from Step 1; therefore, an adjustment is not made based on past DBE participation.

As in prior years, CCTS’ awards and commitments were very limited. Of the five projects, only one project was awarded during the 2021-2023 DBE goal period. Further, the majority of the capital program funds were for contracted transit operations which were awarded on July 5, 2018, prior to the 2021-2023 DBE goal period. Once the contract was awarded, CCTS’ awards

and commitments were limited to the three smaller projects. CCTS awarded a contract to a DBE-certified vendor in December 2021 for the comprehensive operations analysis. In addition, the other two projects have been delayed due to competing priorities resulting from a reduction in transit staffing. Staff shortages create challenges in balancing increased day-to-day operations including transit contractor oversight, budgeting, grant administration (programming of funds for the Intelligent Transportation System), regulatory compliance, etc. Should this trend continue, staffing shortages will play a big role in the timeliness of the projects. During the FFY 2021-2023 period, staff time was dedicated towards COA (transit study), update of the Public Transit Agency Safety Plan, Transit Asset Management Plan and Title VI Program, and the development of the Zero Emission Bus Rollout Plan. These factors constrained CCTS' ability to proceed with all of the federally funded projects and limited its ability to obtain its overall DBE goal.

While CCTS will make every effort to address the aforementioned limitations, CCTS expects to have similar contracting opportunities in the upcoming triennial cycle as previously proposed.

#### [City of Corona Transit System's Bidders List](#)

CCTS does not have a bidders list at this time, however, CCTS will work to build and maintain a Bidder's List that can be utilized for future goal development. The bidders List will be developed based on the guidelines in CCTS' DBE Plan. CCTS has not made a further adjustment to the base figure based on a Bidder's List.

#### [Evidence from Disparity Studies](#)

CCTS did not find it feasible to conduct its own independent availability/disparity study. CCTS staff did review and considered the following studies available during the evaluation of adjustment of the Base Figures: 2022 Disparity Study available through California Department of Transportation (Caltrans) and the LA Metro 2017 Disparity Study.

Caltrans study is not applicable due to the following factors: the study covers such a large geographical area (entire state of California) rather than focusing on a market area similar to CCTS. Further, their contracting opportunities differ from CCTS which do not coincide with types of opportunities available in the upcoming triennial period by CCTS.

Metro's study in 2017 also emphasizes large and complex projects such as Caltrans which are not similar in scope to the types of projects that CCTS will conduct. The study also indicated the majority of Metro's contracting opportunities went to locations in Los Angeles; as such LA County is considered as Metro's geographical market area.

Both of the studies vary in scope and are not relevant to CCTS's federally-assistance contracting opportunities, therefore CCTS did not make an adjustment under this factor at this time.

#### [Other Evidence](#)

CCTS is not in possession of other information nor aware of any other factors or adverse considerations that would have a material effect on DBE's availability within the CCTS market

area, or on DBEs' ability to participate in CCTS' FTA-assisted contracting opportunities. Therefore, no goal adjustment was in consideration of this factor. However, during this triennial goal, CCTS will explore and consider all available evidence that would materially affect the opportunities for DBEs to form, grow, and compete in CCTS's FTA-assisted contracting programs.

#### Goal Adjustments

After considering the above factors, the Base Figure of 0% was not adjusted, resulting in **CCTS' Overall DBE goal for Fiscal Years 2024-2026 of 0%**.

## **V. PROPOSED OVERALL DBE GOAL**

**The Overall DBE Goal for FFY 2024-2026 for the City of Corona Transit System's FTA-assisted contracts is 0%.** The Overall Goal is expressed as a percentage of all DOT-assisted funds that CCTS will expend in applicable DOT-assisted contracts in the given federal fiscal years.

The goal further serves to identify the relative availability of DBEs based on evidence of ready willing, and able DBEs to all comparable firms, which are known to be available to compete for and perform on the City of Corona Transit System's DOT-assisted contracts. In addition, as part of the prescribed goal-setting methodology, CCTS must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures.

In conformance with Title 49 CFR Part 26; "Participation by Disadvantaged Business Enterprises in Department of Transportation Programs" and in further response to FTA Notices issued to Public Transportation Providers regarding DOT's DBE Program and Race-Neutral Policy Implementation Guidance, CCTS intends to implement a **strictly Race-Neutral Overall DBE Goal for FFY 2024-2026**, due to the absence of readily available evidence of discrimination and its effects in its marketplace.

## **VI. RACE-NEUTRAL MEASURES**

The CCTS will implement Race-Neutral measures to meet its Overall DBE Goal objectives in accordance with 49 CFR Part 26.51, including but not limited to:

- Reaching out to DBEs' under California Unified Certification Program, arranging timely solicitations, and times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBEs and other small business firms' participation.
- Unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own workforces.
- Providing technical assistance and other services to small businesses, including DBE firms.
- Providing information and communications programs on contracting procedures and specific contract opportunities.

## A. Small Business Element

The City's DBE Program includes elements to facilitate competition by small businesses in the CCTS contracts as prime contractors or subcontractors. These elements include informational outreach on the City's website, business development references, contract language for small business primes, and goals for subcontracting opportunities. The DBE Program includes details of the City's efforts to Foster Small Business Participation.

## VII. PUBLIC PARTICIPATION

### A. Consultation

In accordance with Public Participation Regulatory Requirements of Title 49 CFR Part 26, minority, women, local business chambers, and community organizations within the CCTS market area were consulted and provided an opportunity to review the triennial goal analysis and provide input. CCTS reached out to the following community organizations to solicit their comments regarding the 2024-2026 Triennial DBE Goal:

- Inland Empire Women's Business Center
- Businesswomen's Association of San Bernardino County
- Orange County Small Business Development Center
- Greater Riverside Hispanic Chamber of Commerce
- Asian Business Association
- Riverside County Black Chamber of Commerce
- Inland Empire Small Business Development Center
- Asian Indian Chamber of Commerce of the Inland Empire
- National Latina Business Women Association – Inland Empire
- 
- Chamber of Commerce for the following cities/counties: Corona, Riverside, Norco, San Bernardino, Orange,

Organizations were contacted via email to discuss the proposed goal methodology, whereas, the organizations were provided the synopsis of the DBE Goal (see Attachment 1). Any interested parties were then provided the complete Overall DBE Goal & Methodology. CCTS did not receive any comments from the outreach efforts. However, a response was received from the National Latina Business Women Association asking the City to participate as a potential buyer in their upcoming conference which matches the agency's needs with potential vendors. The information for the conference and contact information was forwarded to the City's Purchasing Department. Should the City decide to participate, transit staff will participate as it relates to transit-related projects.

## B. Public Notice

CCTS will also post a Public Notice announcing the proposed Overall Goal for the FFY 2024-2026 FTA-assisted contracts on its official internet website; CCTS has elected to utilize its official website as the publication site. Such Notice will inform the public that the proposed goal and rationale are available for inspection at the City of Corona Transit System’s principal office during normal business hours for 30 days following the date of the Public Notice and that CCTS will accept comments on the goal analysis for 30 days from the date of the Public Notice. Further, the notice included information about the “DBE Virtual Consultation Session” which would provide information on the contracting opportunities available. CCTS will give full consideration to all comments and input and assess its impact on the proposed Overall DBE Goal. If no impact and/or comments are received during the public participation process, the Goal will be considered final. If there are comments, the City will respond in accordance with the DBE Program.

Attachment 1 – Outreach Communication











Staff Report

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**File #:** 23-0848

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/18/2023

**TO:** Honorable Mayor and City Council Members

**FROM:** Police Department

**SUBJECT:**

RESOLUTION ACCEPTING THE GRANT AGREEMENT FROM THE STATE OF CALIFORNIA DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL ABC-OTS GRANT

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to approve the Resolution accepting and appropriating the State of California Department of Alcoholic Beverage Control's ABC-OTS Grant Program. The ABC-OTS grant, sponsored by the Office of Traffic Safety through the National Highway Traffic Safety Administration, provides funding to local Police and Sheriff's Department to reduce alcohol-related harm within California. The emphasis is on enforcement efforts of licensed establishments that operate in a disorderly manner and contribute to quality-of-life problems for the surrounding community.

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Adopt Resolution No. 2023-101, accepting and appropriating \$30,500 from the State of California Department of Alcoholic Beverage Control ABC-OTS Grant Program.
- b. Appropriate \$30,500 of one-time money from the unappropriated General Fund Balance to the Police Department's operating budget and increase the General Fund estimated revenue by the same amount to be reimbursed from the State of California Department of Alcohol Beverage Control ABC-OTS Grant Program.
- c. Authorize the City Manager, or his designee, to execute the grant documents and any other related documents, amendments, and agreements consistent with or in furtherance of the City Council's actions hereunder.

**BACKGROUND & HISTORY:**

The Department of Alcoholic Beverage Control (ABC) offered grant funding sponsored by the Office

of Traffic Safety (OTS) through the National Highway Traffic Safety Administration (NHTSA), ABC-OTS Grant Program in August 2023. The mission of the ABC-OTS Grant Program is to assist local law enforcement agencies in developing a practical, effective, comprehensive, and strategic approach to reducing crime and public nuisance problems associated with licensed alcoholic beverage retailers. The Police Department has successfully applied for funding for this grant program and was notified in September 2023 that the grant application was approved. The grant agreement was received for approval on October 4, 2023. The grant utilizes Alcohol Beverage Control enforcement activities to help increase public safety and address any quality-of-life problems related to alcohol control for the surrounding community.

**ANALYSIS:**

The grant award is designed to address priorities of the Alcoholic Beverage Control (ABC) through the implementation of several key programs. These programs include the Minor Decoy Program, Shoulder Tap Program, Holiday Enforcement Program, and inspections carried out in the context of the Informed Merchants Preventing Alcohol-Related Crime Tendencies.

The objective of the Minor Decoy Program is to reduce the incidence of alcohol sales to minors by licensees. In the Shoulder Tap Program, the focus is on adults who supply alcoholic beverages to underage individuals. The term "Shoulder Tapping" pertains to the method employed by minors to acquire alcohol from adults near retail establishments. The Holiday Enforcement Program involves undercover operations and high-profile enforcement activities aimed at combating underage drinking, sales to obviously intoxicated patrons, and sales/furnishing alcohol to minors. These operations are scheduled to coincide with NHTSA/OTS Holiday campaign dates associated with a heightened risk of Driving Under the Influence crashes.

The Corona Police Department will assign an ABC liaison officer who will be responsible for attending a one-day training session provided by ABC in Sacramento, California. This officer will collaborate closely with the local ABC liaison agent to facilitate training for patrol officers, educational and preventive programs, and the coordination of all other designated projects with ABC inspections and operations.

The performance period for the grant will be October 1, 2023, through September 30, 2024. The one-time award will reimburse the City of Corona for designated personnel expenditures and related training.

All program operations will be conducted on an overtime basis and will supplement police crime prevention activities.

The City Attorney has reviewed and approved as to form the proposed Grant Agreement.

**FINANCIAL IMPACT:**

Approval of the recommended actions will result in an appropriation of \$30,500 from the unappropriated General Fund Balance to the Police Department's operating budget to be reimbursed from the State of California Alcoholic Beverage Control and an increase in General Fund estimated revenues by the same amount for the program award. Expenditures will be reimbursed to the

General Fund after submitting the bi-monthly claim amounts for that period. This grant has no cost share matching requirements. Any remaining budget from Fiscal Year 2024 will carry forward into Fiscal Year 2025. The one-time grant award will reimburse the General Fund expenditures as follows:

Police Staffing Expense	\$29,500
<u>Training</u>	<u>1,000</u>
Project Total	\$ 30,500

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action of directed enforcement is minimal and there is no possibility this will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** MICHELLE ADAMS, MANAGEMENT ANALYST II

**REVIEWED BY:** ROBERT NEWMAN, CHIEF OF POLICE

**Attachments:**

1. Exhibit 1 - Resolution No. 2023-101 - State of California ABC
2. Exhibit 2 - State of California ABC-OTS Grant Program Award Letter

**RESOLUTION NO. 2023-xxx**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CORONA, CALIFORNIA TO ACCEPT AND APPROPRIATE \$30,500 FROM THE STATE OF CALIFORNIA ALCOHOLIC BEVERAGE CONTROL ABC-OTS GRANT PROGRAM**

**WHEREAS**, the City of Corona Police Department desires to undertake a certain project designated as the “ABC-OTS Grant Program” (“Program”) administered by the Department of Alcoholic Beverage Control (hereafter referred to as “ABC”).

**NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF CORONA, CALIFORNIA, AS FOLLOWS:**

**SECTION 1.** The Chief of Police or Police Captain of the Police Department of the City of Corona is authorized, on its behalf, to take the following actions: (a) submit a proposal to ABC for the Program; (b) execute the standard agreement #24-OTS101 attached hereto as Exhibit “A” and incorporated herein by reference for \$30,500 in Program grant funding (“Agreement”); and (c) execute any extension or amendments to the Agreement and any subsequent agreement with the State necessary to implement the Agreement.

**SECTION 2.** It is agreed that any liability arising out of the performance of this Agreement, including civil court actions for damages, shall be the responsibility of the grant recipient and the authorizing agency. The State of California and ABC disclaim responsibility for any such liability.

**SECTION 3.** The grant funds received hereunder shall not be used to supplant expenditures controlled by this body.

**SECTION 4.** This award is not subject to local hiring freezes.

**SECTION 5.** This Resolution shall take effect immediately upon its adoption.

**PASSED, APPROVED AND ADOPTED** this 18<sup>st</sup> day of October, 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Resolution was regularly passed and adopted by the City Council of the City of Corona, California, at a regular meeting thereof held on the 18<sup>th</sup> day of October, 2023 by the following vote:

**AYES:**  
**NOES:**  
**ABSENT:**  
**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October, 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

**EXHIBIT "A"**

**ABC STANDARD AGREEMENT (#24-OTS101)**

[SEE ATTACHED TEN (10) PAGES]

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

**STANDARD AGREEMENT**

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER <b>24-OTS101</b>	PURCHASING AUTHORITY NUMBER (If Applicable) <b>ABC-2100</b>
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1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Alcoholic Beverage Control

CONTRACTOR NAME

City of Corona through the Corona Police Department

2. The term of this Agreement is:

START DATE

October 1, 2023

THROUGH END DATE

August 31, 2024

3. The maximum amount of this Agreement is:

\$30,500.00 Thirty thousand five hundred dollars and no cents

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

Exhibits	Title	Pages
Exhibit A	Scope of Work	3
Exhibit B	Budget Detail and Payment Provisions	1
Exhibit C *	General Terms and Conditions (GTC 04/2017)	4
+ -	Exhibit D Special Terms and Conditions	1

Items shown with an asterisk (\*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

**CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

City of Corona through the Corona Police Department

CONTRACTOR BUSINESS ADDRESS 730 Public Safety Way	CITY Corona	STATE CA	ZIP 92878
PRINTED NAME OF PERSON SIGNING Robert Newman	TITLE Chief		
CONTRACTOR AUTHORIZED SIGNATURE	DATE SIGNED		

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME

Department of Alcoholic Beverage Control

CONTRACTING AGENCY ADDRESS 3927 Lennane Drive, Suite 100	CITY Sacramento	STATE CA	ZIP 95834
PRINTED NAME OF PERSON SIGNING Patty Baker	TITLE Chief, Business Management Branch		
CONTRACTING AGENCY AUTHORIZED SIGNATURE	DATE SIGNED		

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

## EXHIBIT A SCOPE OF WORK

### Purpose and Description of Services

Contractor agrees to implement the Department of Alcoholic Beverage Control programs as listed:

- Minor Decoy operations – designed to educate and deter licensed locations from selling/furnishing alcohol to minors and shall be conducted at both “On-Sale” and “Off-Sale” licensed establishments within the operation period of the grant.
- Shoulder Tap operations – used to detect and deter adult furnishers outside of a licensed business and shall be performed at “Off-Sale” licensed locations to apprehend adults that are unaffiliated with the licensed businesses who are purchasing alcohol for minors outside of the stores within the operation period of the grant.
- Informed Merchants Preventing Alcohol-Related Crime Tendencies (IMPACT) Inspections – primary goal is to educate licensees on alcohol related laws to help reduce alcohol-related crime in and around licensed premises. Contractor agrees to conduct visits and inspections of licensed premises identifying areas of non-compliance at “On-Sale” and “Off-Sale” licensed locations within the operation period of the grant.
- Holiday Enforcement – This program consists of working general undercover and high-profile enforcement targeting underage drinking, sales/furnishing to minors, sales to obviously intoxicated patrons, and other related violations.

Holidays would be defined as **Halloween** (Oct 27-31), **Thanksgiving** (Nov 22-26), **New Year’s Eve** (Dec 31), **St. Patrick’s Day** (March 17), **Cinco de Mayo** (May 5), **Memorial Day weekend** (May 24-27) and **4<sup>th</sup> of July** (July 4). In order to help reduce youth involved fatal and/or injury crashes, the dates for Holiday Enforcement operations coincide with NHTSA/OTS AVOID Campaign dates, which have been determined as holidays with an influx number of DUI crashes.

The project is targeted to reduce underage drinking and the resultant DUI driving injuries and fatalities, and/or property damages, reduce youth access to alcoholic beverages through the education of licensee, enforcement intervention and the impressions of omnipresence of law enforcement.

In addition, contractor agrees to the following goals:

- Raise public awareness that selling, serving and/or furnishing alcoholic beverages to individuals under twenty-one years old is a criminal violation that will be prosecuted by local city and district attorneys.
- Establish and implement a coordinated effort between contractor and ABC, and acknowledges no operations will be conducted until after the contractor’s representative has completed training conducted by ABC.

- Issue press releases as follows:
  1. To announce the start of the program;
  2. At the conclusion of each Minor Decoy Operation held (to announce the number of licensed premises who sold to the minor decoy)
  3. At the conclusion of each Shoulder Tap Operation held (to announce the number of adults arrested for purchasing alcoholic beverages for the decoy).
  4. At the conclusion of each IMPACT operation held
- Email each press release to the Department's Public Information Officer ([pio@abc.ca.gov](mailto:pio@abc.ca.gov)) as soon as it is released.
- In all press releases, in addition to any credits the agency wishes to give, will include the following statement: "This project is part of the Department of Alcoholic Beverage Control's Minor Decoy/Shoulder Tap Grant Project, funded by the California Office of Traffic Safety through the National Highway Traffic Safety Administration."
- Complete and submit bi-monthly reports, in a format designed by the Department of Alcoholic Beverage Control due no later than 15 days after operations conducted:
  - On or before **January 15, 2024** (with results of operations October, November & December 2023)
  - On or before **March 15, 2024** (with results of operations January & February 2024)
  - On or before **May 16, 2024** (with results of operations March & April 2024)
  - On or before **July 15, 2024** (with results of operations May & June 2024)
  - On or before **September 16, 2024** (with results of operations July & August 2024)
- Submit an Executive Summary as part of the final report due on or before **September 16, 2024**. The summary shall contain the following:
  1. An evaluation statement concerning the end product and cost benefits; and a listing of recommended and/or adopted policy or procedure changes, if any, occurring as a result of the project
  2. Project personnel identifying the key personnel who worked on the project, together with their job classification, and a brief description of their contribution
  3. Problems – describe any operational or cost problems that were encountered in project implementation. If known, state alternative methods that would have avoided the problem and increased the effectiveness of the project.
  4. Results – describe the results of the project in terms of meeting the original objectives as stated in the project agreement. Also, describe the results in terms of how they will be specifically applied for future improvement of the agency's continuing activities relating to alcohol problem prevention and enforcement. Where possible, describe estimated savings resulting from implementing project results.
  5. Disclaimer – The final report shall include the following: "*The opinions, findings, and conclusions expressed in this publication are those of the authors and not necessarily those of the State of California, Business, Consumer Services and Housing Agency, or the Department of Alcoholic Beverage Control.*"
  6. Documentation – Attach any relevant documents developed. Examples are new or revised forms, diagrams, management reports, photos, coding manuals, instructional manuals, etc.

## Contract Term

The operation period of the grant is October 1, 2023 through August 31, 2024.

## Project Representatives

The project representatives during the term of this agreement will be:

Corona Police Department  
Michael Placencia, Detective  
PO Box 940  
Corona, CA 92880  
(951) 736-2348  
[Michael.placencia@coronaca.gov](mailto:Michael.placencia@coronaca.gov)

Department of Alcoholic Beverage Control  
Diana Fouts-Guter, Grant Coordinator  
3927 Lennane Drive, Suite 100  
Sacramento, CA 95834  
(916) 928-9807  
[Diana.fouts-guter@abc.ca.gov](mailto:Diana.fouts-guter@abc.ca.gov)

Direct all fiscal inquiries to:

Corona Police Department  
LaShayla Jones  
PO Box 940  
Corona, CA 92880  
(951) 736-2337  
[Lashayla.jones@coronaca.gov](mailto:Lashayla.jones@coronaca.gov)

Department of Alcoholic Beverage Control  
Kristine Okino, Fiscal Grant Analyst  
3927 Lennane Drive, Suite 100  
Sacramento, CA 95834  
[Kristine.okino@abc.ca.gov](mailto:Kristine.okino@abc.ca.gov)

## EXHIBIT B BUDGET DETAIL AND PAYMENT PROVISIONS

### Invoicing and Payment

- For services satisfactorily rendered and upon receipt and approval of the invoice, the Department of Alcoholic Beverage Control agrees to pay bi-monthly for approved reimbursable costs per the Budget Detail of personnel overtime and benefits (actual cost).
- Invoices shall clearly reference this contract number (24-OTS101) and must not exceed the contract total authorized amount of \$30,500.00. Invoices are to be submitted on a bi-monthly basis, on the prescribed form designed by the Department of Alcoholic Beverage Control.

Submit to: Department of Alcoholic Beverage Control  
Attn: Kristine Okino, Grants Fiscal Analyst  
3927 Lennane Drive, Suite 100  
Sacramento, California 95834

- This grant is only for overtime compensation and travel for training on October 17, 2023 only.
- In accordance with State of CA travel policy, agencies are eligible to receive reimbursement for travel expenses incurred for training on October 17, 2023.  
<https://www.calhr.ca.gov/employees/Pages/travel-reimbursements.aspx>
- Payment shall be made in arrears within 45 days from the receipt of an undisputed invoice.
- Contractor understands in order to be eligible for reimbursement; cost must be incurred on or after the effective date of the project, October 1, 2023 and on or before the project termination date, August 31, 2024.
- Contractor understands any other costs incurred by contractor, other than attendance at initial training and/or personnel overtime and benefits as authorized above, in the performance of this agreement are the sole responsibility of contractor.

### Budget Contingency Clause

- It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this agreement does not appropriate sufficient funds for the program, this agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to contractor or to furnish any other considerations under this agreement and contractor shall not be obligated to perform any provisions of this agreement.
- If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this agreement with no liability occurring to the State, or offer an agreement amendment to contractor to reflect the reduced amount.

### Prompt Payment Clause

- Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

## EXHIBIT C GENERAL TERMS AND CONDITIONS

1. **APPROVAL:** This agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
2. **AMENDMENT:** No amendment or variation of the terms of this agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or agreement not incorporated in the agreement is binding on any of the parties.
3. **ASSIGNMENT:** This agreement is not assignable by the contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
4. **AUDIT:** Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
5. **INDEMNIFICATION:** Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by contractor in the performance of this agreement.
6. **DISPUTES:** Contractor shall continue with the responsibilities under this agreement during any dispute.
7. **TERMINATION FOR CAUSE:** The State may terminate this agreement and be relieved of any payments should the contractor fail to perform the requirements of this agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the contractor under this agreement and the balance, if any, shall be paid to the contractor upon demand.
8. **INDEPENDENT CONTRACTOR:** Contractor, and the agents and employees of contractor, in the performance of this agreement, shall act in an independent capacity and not as officers or employees or agents of the State.
9. **RECYCLING CERTIFICATION:** The contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209.

With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. **NON-DISCRIMINATION CLAUSE:** During the performance of this agreement, contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article. Contractor shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the agreement.

11. **CERTIFICATION CLAUSES:** The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 04/2017 are hereby incorporated by reference and made a part of this agreement by this reference as if attached hereto.
12. **TIMELINESS:** Time is of the essence in this agreement.
13. **COMPENSATION:** The consideration to be paid contractor, as provided herein, shall be in compensation for all of contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
14. **GOVERNING LAW:** This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
15. **ANTITRUST CLAIMS:** The contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the contractor shall comply with the requirements of the Government Codes Sections set out below.
- a. The Government Code Chapter on Antitrust claims contains the following definitions:
- 1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

- b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.
- c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. CHILD SUPPORT COMPLIANCE ACT: For any agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. UNENFORCEABLE PROVISION: In the event that any provision of this agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this agreement have force and effect and shall not be affected thereby.

18. PRIORITY HIRING CONSIDERATIONS: If this contract includes services in excess of \$200,000, the contractor shall give priority consideration in filling vacancies in positions funded by the contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:

- a. If for this contract contractor made a commitment to achieve small business participation, then contractor must within 60 days of receiving final payment under this contract (or within such other time period as may be specified elsewhere in this contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
  - b. If for this contract contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then contractor must within 60 days of receiving final payment under this contract (or within such other time period as may be specified elsewhere in this contract) certify in a report to the awarding department: (1) the total amount the prime contractor received under the contract; (2) the name and address of the DVBE(s) that participated in the performance of the contract; (3) the amount each DVBE received from the prime contractor; (4) that all payments under the contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)
20. **LOSS LEADER:** If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

## EXHIBIT D SPECIAL TERMS AND CONDITIONS

**Disputes:** Any disputes concerning a question of fact arising under this contract which is not disposed of by agreement shall be decided by the Director, Department of Alcoholic Beverage Control, or designee, who shall reduce his decision in writing and mail or otherwise furnish a copy thereof to the contractor. The decision of the Department shall be final and conclusive unless, within 30 days from the date of receipt of such copy, the contractor mails or otherwise furnishes to the State a written appeal addressed to the Director of the Department of Alcoholic Beverage Control. The decision of the Director of Alcoholic Beverage Control or his duly authorized representative for the determination of such appeals shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, or so grossly erroneous as necessarily to imply bad faith, or not supported by substantial evidence. In connection with any appeal proceeding under this clause, the contractor shall be afforded an opportunity to be heard and to offer evidence in support of its appeal. Pending final decision of a dispute hereunder, contractor shall proceed diligently with the performance of the contract and in accordance with the decision of the State.

**Cancellation/Termination:** This agreement may be cancelled or terminated without cause by either party by giving thirty (30) calendar days advance written notice to the other party. Such notification shall state the effective date of termination or cancellation and include any final performance and/or payment/invoicing instructions/requirements. No penalty shall accrue to either party because of contract termination.

**Contractor Certifications:** By signing this agreement, contractor certifies compliance with the provisions of CCC 04/2017, Standard Contractor Certification Clauses. This document may be viewed at: <https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/Standard-Contract-Language>

If the State determines that the grant project is not achieving its goals and objectives on schedule, funding may be reduced by the State to reflect this lower level of project activity.

**DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL**

3927 Lennane Drive, Suite 100  
Sacramento, CA 95834  
(916) 419-2500



September 25, 2023

Chief Robert Newman  
Corona Police Department  
P. O. Box 940  
Corona, CA 92880-2002

Re: ABC-OTS Grant Program

Dear Chief Newman:

Congratulations. Your agency has been selected to participate in the Department of Alcoholic Beverage Control's ABC-OTS Grant Program. Funding for this project is provided by the Office of Traffic Safety through the National Highway Traffic Safety Administration.

We anticipate operations to begin upon completion of the one-day mandatory training class for your operations officer. Fiscal Officers will be contacted by our Fiscal Coordinator; Kristine Okino for training how to submit claims for reimbursement.

Since the grant starts October 1, 2023, you can make pre-paid travel arrangements on or after that date for your operations officer to attend the training. Pre-paid travel purchased prior to October 1<sup>st</sup> will not be reimbursed. The information regarding the date, location and authorized per diem rates are enclosed.

To expedite the issuance of your contract, please review and complete the enclosed ABC-OTS Grant Program Information Sheet. In addition, we will also need a resolution from your agency's governing body. Since these resolutions must be put on calendar, we ask that you do so as soon as possible.

Please mail, fax, or email this information to our Grant Coordinator; Diana Fouts-Guter, at 3927 Lennane Drive, Suite 100, Sacramento, CA 95834, Fax: (916) 928-7625, or at [Diana.fouts-guter@abc.ca.gov](mailto:Diana.fouts-guter@abc.ca.gov).

If you have questions, please contact Supervising Agent Jeff Gregson via email at [jeff.gregson@abc.ca.gov](mailto:jeff.gregson@abc.ca.gov).

We appreciate your prompt response and look forward to working with your agency.

Sincerely,

A handwritten signature in black ink that reads "J. McCullough".

Joseph McCullough  
Chief Deputy Director

Enclosures

# ABC-OTS Grant Trainings

**PRE-PAID TRAVEL ARRANGEMENTS TO BE MADE ON OR AFTER OCTOBER 1, 2023**

**Pre-paid travel purchased prior to Oct. 1 will not be reimbursed.**

Operations Officers must be in attendance

**Tuesday, October 17, 2023**

10:00 am – 3:00 pm

Dept. of Alcoholic Beverage Control

3927 Lennane Drive, #100

Sacramento, CA 95834

(916)419-2500

Sacramento Lodging:

(Must be 50 miles or more from office)

\$95.00 & tax per night

Some suggestions that have previously offered the State rate:

Homewood Suites Sacramento Airport Natomas, Hampton Inn & Suites Sacramento Airport and Hilton

Garden Inn So. Natomas

Mileage: .625 per mile

**TRAVEL 24 HRS. OR MORE**

Meals (if travel time is 24 hrs. or more)

Breakfast: \$7.00 (travel must start before 6:00 am)

Lunch: \$11.00 (travel must start before 11:00 am)

Dinner: \$23.00 (travel must start before 5:00 pm)

Incidental: \$5.00 claimed at the end of every 24 hours of travel

Fractional day-travel after 24 hrs:

Breakfast: \$7.00 if travel ends after 8:00 am

Lunch: \$11.00 if travel ends after 2:00 pm

Dinner: \$23.00 if travel ends after 7:00 pm

**TRAVEL LESS THAN 24 HOURS**

Meals: (Less than 24 hours travel)

Breakfast: \$7.00 (travel must start before 6:00 am and conclude after 9:00 am)

Lunch – Not authorized

Dinner: \$23.00 (travel must start before 4 pm and conclude after 7:00 pm)

Incidentals: Not authorized

**NO MEAL REIMBURSEMENT ON TRAVEL WITHIN 50 MILES OF OFFICE**

**RECEIPTS required for every expense, (i.e, breakfast, dinner, hotel, airfare)**



*State of California*  
**Department of Alcoholic Beverage Control**  
***ABC-OTS Grant Program Information Sheet***

**Contract will be between:** The Department of Alcoholic Beverage Control and  
The City of Corona through the Corona Police Department

Mailing Address: P. O. Box 940, Corona, CA 92880-2002

Funding Amount: \$ 30,500

Authority to Sign Contract Name/Title: Robert Newman - Chief of Police

Address if different from above: 730 Public Safety Way Corona, CA 92878

Federal Tax ID Number: 95-6000697

**Operations Officer:**

**Attending Training (Circle)**

**YES/NO**

Print Name: Detective Michael Placencia

Telephone Number: 951-736-2348

Email Address: Michael.Placencia@coronaca.gov

**Fiscal Officer (responsible for preparing billings to ABC):**

Print Name: LaShayla Jones

Telephone Number: 951-736-2337

Email Address: LaShayla.Jones@coronaca.gov

**RETURN COMPLETED FORM TO:**

**Diana Fouts-Guter**  
**Grant Coordinator**  
**Department of Alcoholic Beverage Control**  
**3927 Lennane Drive, Suite #100**  
**Sacramento, CA 95834**  
**[Diana.fouts-guter@abc.ca.gov](mailto:Diana.fouts-guter@abc.ca.gov)**  
**Fax: (916)928-7625**  
**Office: (916)928-9807**



Staff Report

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**File #:** 23-0872

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/18/2023  
**TO:** Honorable Mayor and City Council Members  
**FROM:** City Manager's Office

**SUBJECT:**  
RESULTS OF THE 2023 COMMUNITY SURVEY

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to receive and comment on the results of the 2023 Community Survey. The survey report provides the perception of 972 randomly sampled residents on 22 performance indicators from the City's Strategic Plan. Compared with the 2022 National Community Survey, residents' perceptions of the City of Corona improved across almost all categories.

**RECOMMENDED ACTION:**

**That the City Council** receive and file the 2023 Community Survey Report.

**BACKGROUND & HISTORY:**

The City of Corona Strategic Plan includes 22 performance indicators pertaining to resident perceptions of the City and its services. These perceptions are gathered in an annual survey to measure our progress in achieving the goals and objectives of the Strategic Plan.

In 2022, the City contracted with the National Research Center at Polco to utilize their National Community Survey (NCS) to gather these data and benchmark the results against over 600 other cities that participated in the NCS within the previous 5 years. 714 residents participated in the 2022 survey, and the results were presented to Council on October 19<sup>th</sup>, 2022.

City staff determined that they had the technical capability and subject matter expertise to conduct a statistically valid, representative survey for the 2023 Community Survey.

**ANALYSIS:**

The 2023 Community Survey was developed and distributed by the City of Corona. To increase participation rates and decrease the time burden on the participants, as compared to the NCS, the 2023 Community Survey contained only the specific perception questions associated with the City's Strategic Plan.

A random sample of 1400 residences in each of the five districts (7000 total) were invited to participate in the survey. The survey was conducted between June 30th and September 27th, 2023. The survey was distributed first by email invitation and second with a mailed paper survey. The email list was compiled using utility, animal license, and recreation center data. The survey was available in English and Spanish. All survey invitations included a unique link and QR code to prevent a respondent from participating more than once. Four follow-up email invitations were sent to encourage participation before a printed version of the survey was distributed. Participants who completed the paper survey were verified that they had not completed the online survey, and then had their responses entered into the dataset by staff. A post-survey geographical analysis was conducted to ensure that the respondents were dispersed throughout each district and the city.

Of the 7,000 households that were invited to participate, 972 completed the survey, providing an overall response rate of 13.9%. This response rate has 95% level of confidence that the survey findings are representative of the entire population, within a margin of error of plus or minus 3.1 percentage points around any given percent reported. The 2023 sample demographic profile and housing characteristics are similar to the 2022 NCS sample. A detailed description of the participant demographics is contained in section 2.4 of the report.

The survey results are discussed in sections 3, 4, 5, and 6 of the report. Compared with the 2022 NCS, residents' perceptions of the City of Corona improved across almost all categories. The most significant improvements were in the residents' perceptions regarding Corona's openness and acceptance of people of diverse backgrounds, treating all residents fairly, the overall appearance of Corona, its overall image or reputation, overall confidence in Corona government, and the City's efforts to reduce homelessness. The areas that showed the least improvement were the overall perception of Corona as a place to live, and Corona as a place to raise children, which already had very high positive perception percentages. The singular item that had a lower percent than 2022 was the residents' feeling of safety in their neighborhood during the day.

The summary table in the staff report shows the percentage of respondents scoring the items as "excellent" or "good" in comparison to the 2022 NCS results. Each of the questions is further analyzed in the survey report.

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Percent "Excellent" or "Good"	2022	2023	Difference
Availability of paths and walking trails	57%	64%	7%
City parks	64%	75%	11%
Recreation centers or facilities	59%	66%	7%
Bike lanes	50%	60%	10%
Public safety efforts	59%	67%	8%
Do you feel safe in your neighborhood during the day	86%	80%	-6%
City's efforts to reduce homelessness	25%	38%	13%
Corona as a place to live	82%	84%	2%
Corona as a place to raise children	77%	79%	2%
Sense of community	54%	62%	8%
Recommend living in Corona to someone who asks	80%	88%	8%
Overall image or reputation of Corona	56%	69%	13%
Overall appearance of Corona	50%	64%	14%
Cleanliness of Corona	50%	60%	10%
Openness and acceptance	58%	74%	16%
Places to recreate, socialize, meet, and connect	37%	49%	12%
Variety and frequency of community events	39%	49%	10%
The value of services for the taxes paid to Corona	42%	48%	6%
The overall direction that Corona is taking	51%	56%	5%
Overall confidence in Corona government	38%	51%	13%
Treating all residents fairly	50%	65%	15%
Quality of the services provided by the City of Corona	61%	65%	4%

The survey was also made available online for anyone who wanted to participate. It was promoted on the City's social media channels and website during the same timeframe as the representative sample. 232 responses were received through this open participation process. These responses are analyzed separately from the scientific sample in section 7 of the report. Overall, the open survey responses were significantly more negative than the random survey responses, except their perception of the City's efforts to reduce homelessness and the amount of their individual volunteering efforts.

**FINANCIAL IMPACT:**

There is no financial impact associated with receiving the results of the 2023 Community Survey.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action is to receive a report on the results of the City's annual Community Survey and there is no possibility that approving this project will have a significant effect

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**File #:** 23-0872

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on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** JUSTIN TUCKER, ASSISTANT CITY MANAGER

**REVIEWED BY:** JACOB ELLIS, CITY MANAGER

**Attachments:**

1. Exhibit 1 - 2023 Community Survey Report
2. Exhibit 2 - Presentation



## Community Survey 2023 Results

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# 1 EXECUTIVE SUMMARY

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In 2023, the City of Corona conducted a Community Survey to better understand community priorities, identify areas for improvement, and assess resident perceptions regarding city services and community characteristics. This survey builds on the foundation of *The National Community Survey* conducted in 2022.

In total, 972 residents responded to the 2023 survey invitation. Residents were asked 22 perception questions, all corresponding to the City's Strategic Plan metrics. **Compared with 2022, residents' perceptions of the City of Corona improved across almost all categories.** The most significant improvements were in the residents' perceptions regarding Corona's overall image and reputation, the openness and acceptance of people of diverse backgrounds, the overall appearance of Corona, and the City's efforts to reduce homelessness. The singular item that had a lower percent than 2022 was the residents' feeling of safety in their neighborhood during the day. The areas that showed the least improvement were the overall perception of Corona as a place to live, and Corona as a place to raise children, which already had very high positive perception percentages.

## 2 METHODS

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### 2.1 SURVEY QUESTIONS

The 2023 Community Survey was developed and distributed by the City of Corona. The National Community Survey, administered by POLCO in 2022, utilized a standard set of benchmarked questions and a supplemental questionnaire with questions tied to the City's Strategic Plan. To increase participation and decrease the time burden on the participants, the 2023 survey contained only the specific questions related to the City's Strategic Plan.

### 2.2 SAMPLE SELECTION

All addresses present in the City of Corona's geodatabase that were classified as a Single Family or Multi Family Residence were used to create a list of potential survey participants. 1,400 residences were randomly selected per district, for a total of 7000 randomly selected households throughout the city. A distribution list was created by combining the randomly selected households with email contact information obtained from utility, animal license, and recreation centers data. A post survey geographical analysis was conducted to ensure that the respondents were dispersed throughout each district and the city.

### 2.3 CONDUCTING THE SURVEY

The survey was conducted between June 30<sup>th</sup> and September 27<sup>th</sup>, 2023. The survey was distributed first by email invitation and second with a mailed paper survey. The email list was compiled using utility, animal license, and recreation center data. All surveys included a unique link and QR code to prevent a respondent from participating more than once. Four follow-up emails were sent to encourage participation before a printed version of the survey was distributed. Participants who completed the paper survey were verified that they had not completed the online survey, and then had their responses entered into the dataset by staff.

972 residents completed the survey, yielding a 13.9% response rate, and a 95% level of confidence with a margin of error of 3.1%.

The survey was also available for open participation on the City's website. 232 responses were received using this open participation method. Those responses were collected separately to maintain the integrity of the randomly generated, scientific sample.

## 2.4 DEMOGRAPHICS

The following table provides a demographic comparison of survey respondents from the 2023 Community Survey and the 2022 POLCO survey and the demographic profile derived from Census 2010 and American Community Survey 2020 data.

		<b>2023 Community Survey Sample</b>	<b>2022 POLCO Sample</b>	<b>Census Bureau Data</b>
<b>Age</b>	18-34	7%	6%	33%
	35-54	43%	33%	37%
	55+	50%	61%	29%
<b>District</b>	1	17%	17%	19%
	2	17%	15%	21%
	3	22%	19%	17%
	4	20%	24%	21%
	5	23%	24%	22%
<b>Hispanic origin</b>	Yes	33%	24%	44%
	No	67%	76%	56%
<b>Housing Tenure</b>	Own	93%	82%	64%
	Rent	7%	18%	36%
<b>Housing Type</b>	Attached	13%	22%	33%
	Detached	87%	78%	67%
<b>Hispanic Origin</b>	Hispanic	33%	48%	66%
	Not Hispanic	67%	52%	34%
<b>Sex</b>	Male	39%	44%	50
	Female	58%	56%	50%
	Prefer not to Say/Other	3%	-	-
<b>Sex/Age</b>	Man 18-34	2%	2%	18%
	Male 35-54	15%	14%	18%
	Male 55+	21%	28%	14%
	Female 18-34	5%	3%	16%
	Female 35-54	26%	19%	19%
	Female 55+	27%	34%	15%

Overall, the 2023 participant's demographic and housing characteristics are similar to the 2022 Community survey. Detached and owned households were sampled at a higher rate than attached and rented households. There was also an increase in participation of those in the over 55 age category.

The City added two response options for race that are not present in the Census Bureau data ("prefer not to say" and "other"). This protocol is shown to increase response rates

by allowing respondents the flexibility to not declare or declare multiple categories. Even though this category represents approximately 22% of the respondents surveyed, the respondent race data across categories is reasonably representative of Census estimates.

<b>Self-Description</b>	<b>2023 Survey</b>	<b>Census Bureau</b>
White alone	62.7%	50.1%
Black or African American	4.5%	6.0%
Asian	8.7%	10.3%
American Indian and Alaska Native	1.8%	0.8%
Native Hawaiian and Pacific Islander	0.6%	0.4%
Other/Prefer not to say	21.7%	

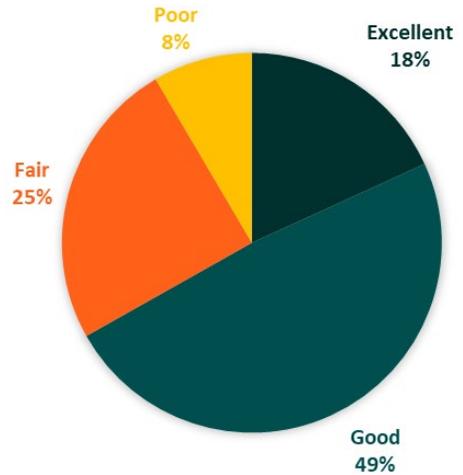
## 2.5 DATA ANALYSIS

The results of the 2023 survey are reported directly and can be best understood in context of their relationship to the 2022 results. The results are organized to align with the goals in the City's Strategic Plan. Using the metrics from the Strategic Plan and the reporting style of the 2022 POLCO survey, each question is reported as the percent of respondents answering "good" or "excellent". The 2023 responses are compared to the 2022 responses, with a percent difference noted in a separate column.

The results of the open response sample are reported separately and compared to the scientific sample at the end of the report. Because of known sample self-selection patterns and negative response bias, open survey responses tend to be more negative than those conducted using a controlled sampling procedure. These are not representative and are not reported in the Strategic Plan updates. This report provides a comparison of the two sample responses for transparency purposes only.

### 3 SOUND INFRASTRUCTURE

Sustain high-quality service delivery by investing in public infrastructure, including parks, buildings, equipment, roads, and technology



#### 3.1 SUMMARY

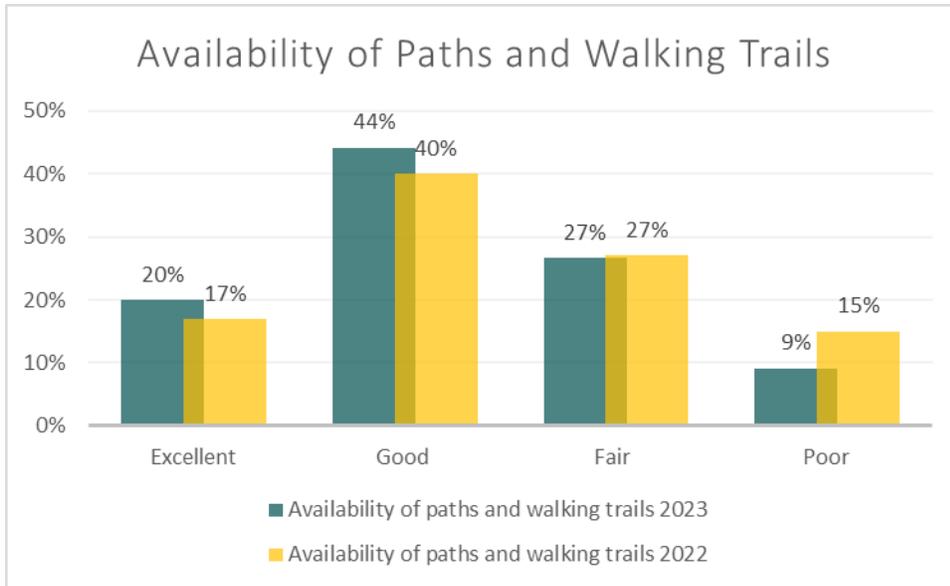
Residents were asked 4 questions which directly address performance indicators for the Sound Infrastructure goal of the City's Strategic Plan. These questions address availability of paths and trails, the overall quality of city parks, availability of recreation centers and facilities, and bike lanes.

Overall, there is an improvement in all areas addressed in the 2023 survey.

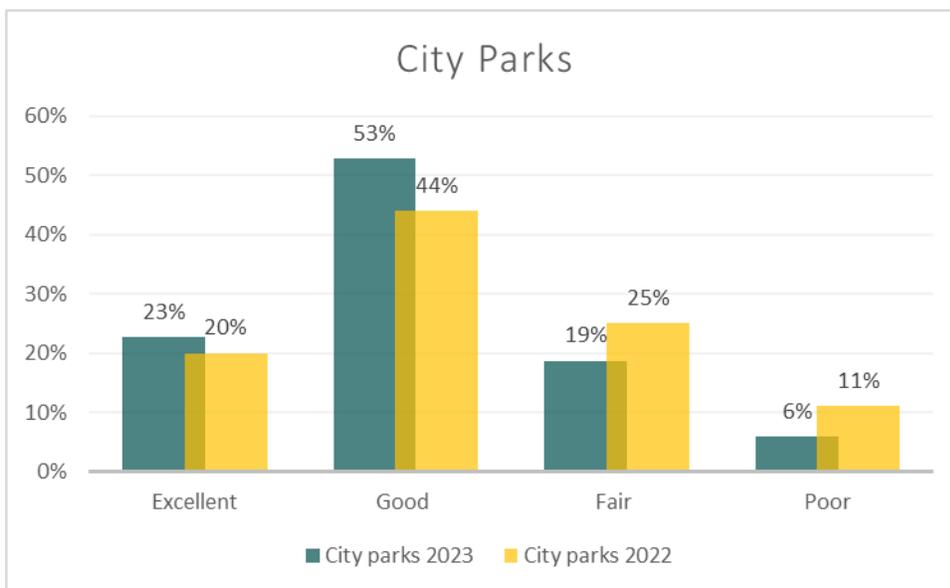
Percent "Excellent" or "Good"	2022	2023	Difference
Availability of paths and walking trails	57%	64%	7%
City parks	64%	75%	11%
Recreation centers or facilities	59%	66%	7%
Bike lanes	50%	60%	10%

#### 3.2 INDIVIDUAL QUESTIONS

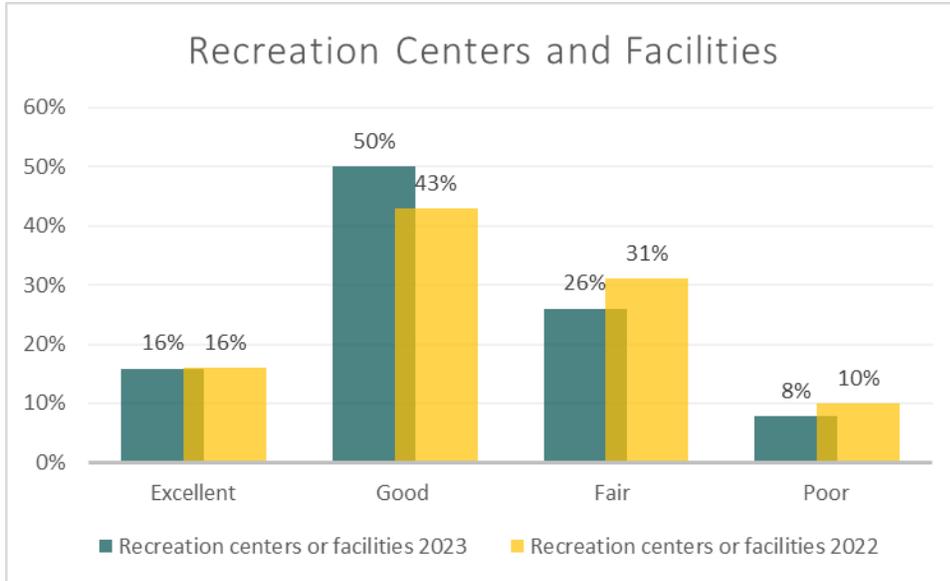
For the first questions in this category residents were asked as part of a multi-topic question to rate the availability of paths and walking trails. Compared to last year, there was a 3% increase in "excellent" responses and a 4% increase in "good" responses. The "fair" responses remained the same, while the "poor" responses decreased by 6%. Answers to this question were provided by 908 participants.



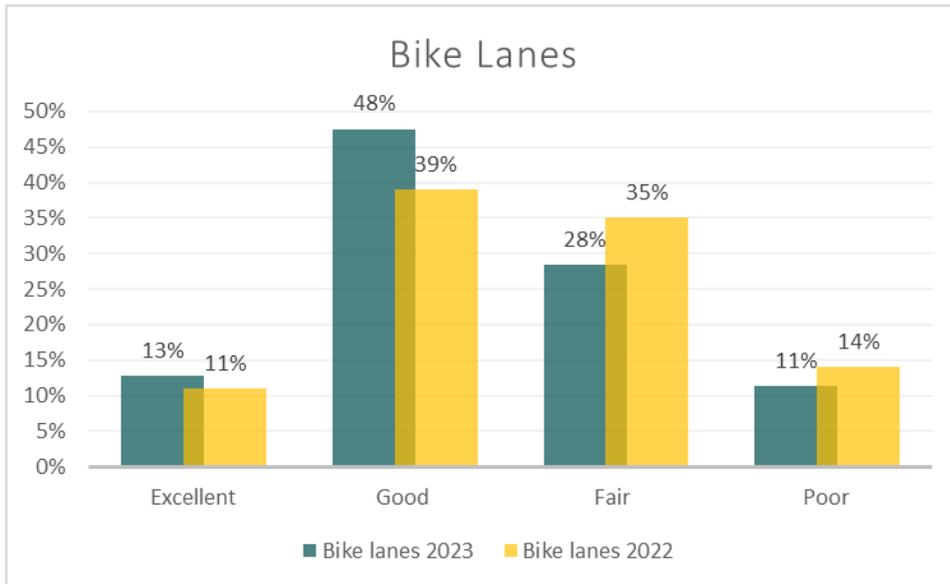
The second question in this category, the first topic of a two-topic question, asked the residents to rate city parks. Compared to last year there was a 3% increase in "excellent" responses, and a 9% increase in the "good" responses. The "fair" responses decreased by 6% and the "poor" responses decreased by 5%. There were 941 responses for this question.



The third question in this category was asked as the second part of the two-topic question mentioned in the "parks" category. The question asked residents to rate recreation centers and facilities. Compared to last year there was no change in the "excellent" responses and the "good" responses increased by 7%. The "fair" responses decreased by 5% and the "poor" responses decreased by 2%. There were 699 responses received to this question.

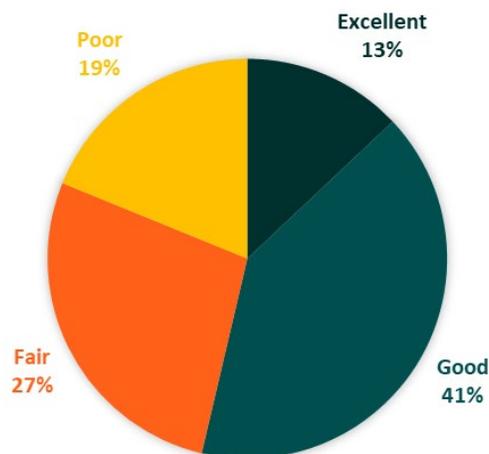


The last question in this category asked residents to rate bike lanes as part of a multi-topic question. There was a 2% increase in "excellent" responses, and a 9% increase in "good" responses. The "fair" responses decreased by 7% and the poor responses decreased by 3%. Overall, there were 785 responses for this question.



## 4 SAFE COMMUNITY

Protect our quality of life by ensuring the community is safe and clean.<sup>1</sup>



### 4.1 SUMMARY

There were 4 questions asked to address performance under this goal. Only two of the questions which address public safety questions and the City's efforts to reduce homelessness are included in the pie chart above. The other two questions address the level of safety in the neighborhood during the day and the level of preparedness for an emergency which use a different scale and are explained below.

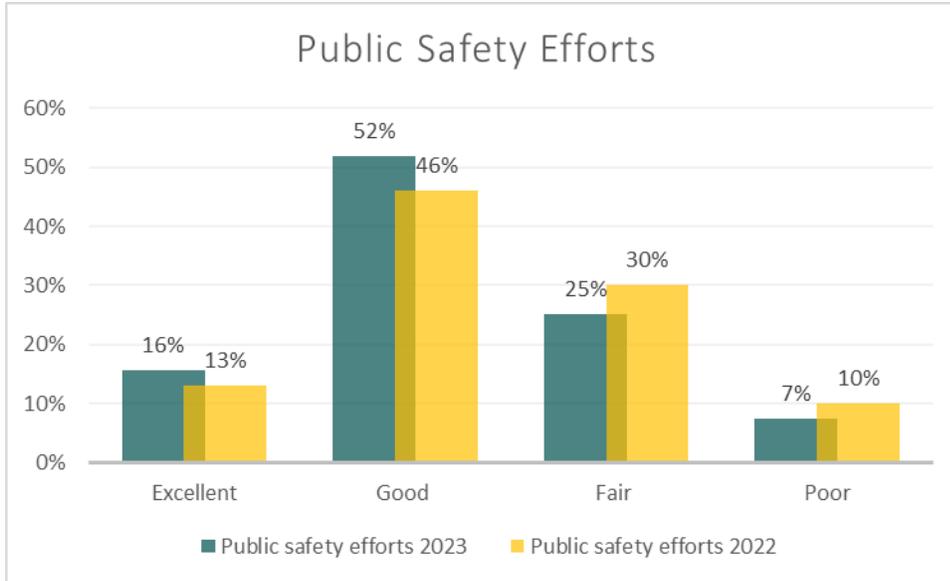
There was an improvement in two of the questions and a 6% decrease in positive answers for the question "do you feel safe in your neighborhood during the day".

Percent "Excellent" or "Good"	2022	2023	Difference
Public safety efforts	59%	67%	8%
Do you feel safe in your neighborhood during the day	86%	80%	-6%
City's efforts to reduce homelessness	25%	38%	13%

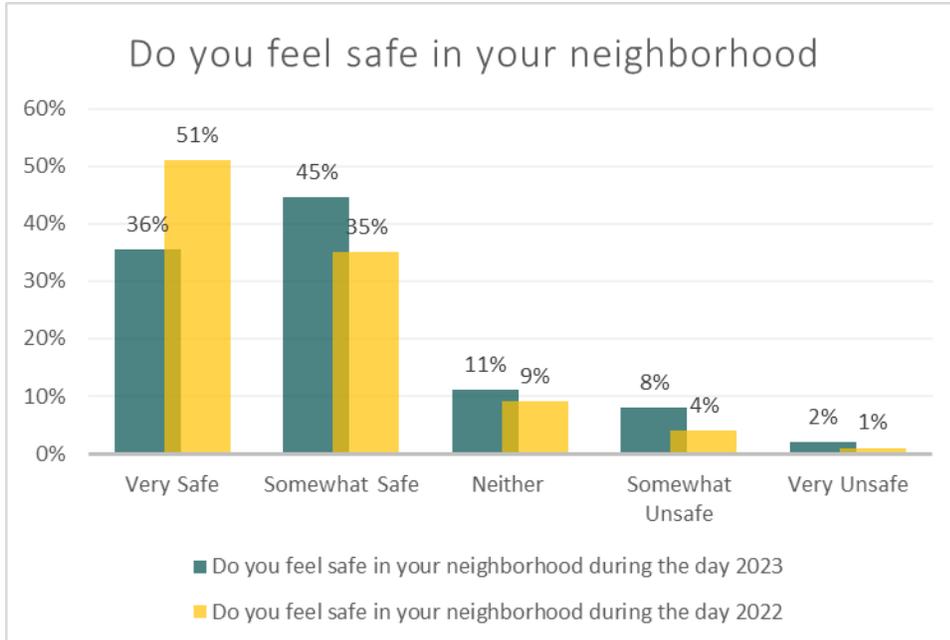
### 4.2 INDIVIDUAL QUESTIONS

The first question in this category asked residents as part of a multi-topic question to rate public safety efforts. There was a 3% increase in "excellent" responses, and a 6% increase in "good" responses. The "fair" responses decreased by 5% and the "poor" responses decreased by 3%. This question received 938 responses.

<sup>1</sup> City of Corona Strategic Plan 2021-2026

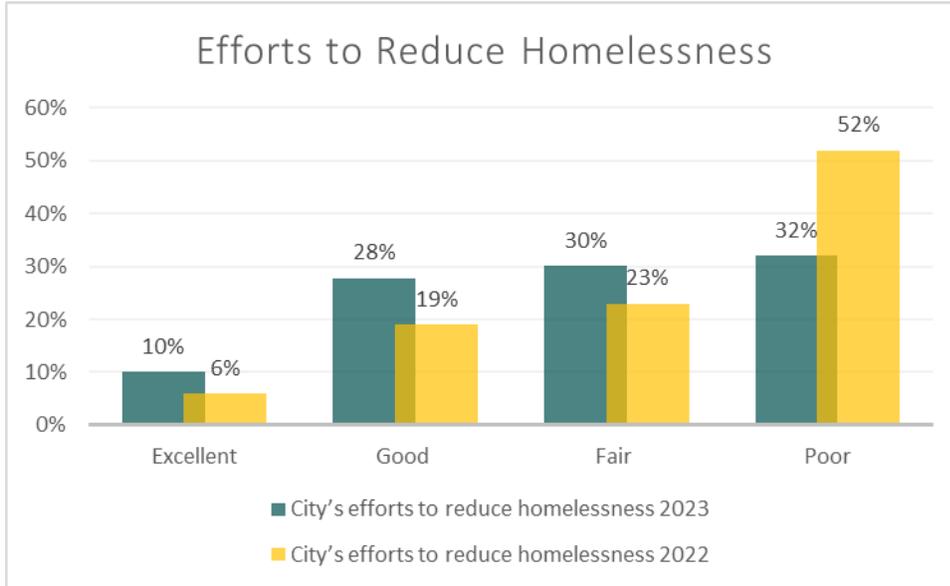


The second question asked residents "please rate how safe or unsafe you feel in your neighborhood during the day". Compared to 2022 there was a notable 15% decrease in those that feel "very safe" and a 10% increase in those that feel "somewhat safe". There was an increase of 4% in those that feel "somewhat unsafe" and a small 1% increase in those that feel very unsafe. There was also a 2% increase in neutral, "neither safe nor unsafe" responses. Overall, there were 970 answers to this question.

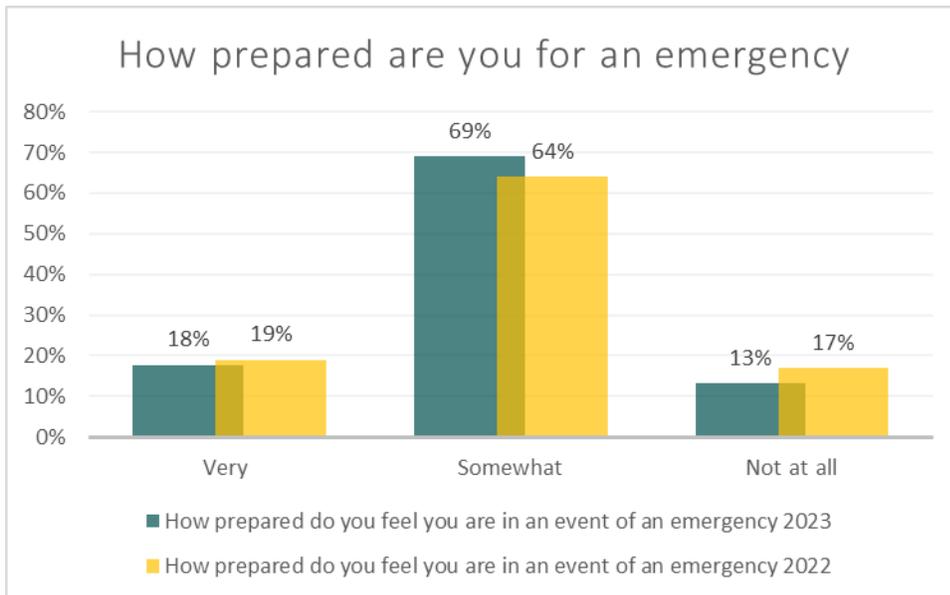


The third question in this topic was also part of a multi-topic question and asked residents to rate the City's efforts to reduce homelessness. There was a 4% increase in "excellent" responses and a 9% increase in "good" responses. Fair responses increased

by 7% while "poor" responses decreased by 20%. This question was answered by 819 participants.

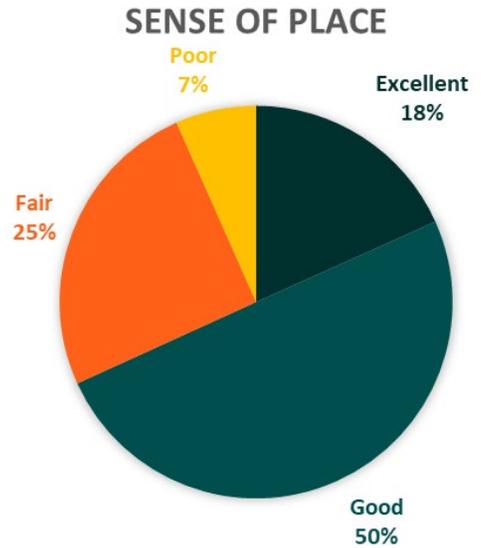


The last question in this category asked residents "how prepared, if at all, do you feel you are in the event of an emergency?" There was a decrease in respondents who feel very prepared by 1%, a decrease in respondents who feel not at all prepared by 4% and an increase of 5% in those who feel somewhat prepared. There were 963 participants who answered this question.



## 5 SENSE OF PLACE

"Build community through celebrating our rich heritage, increasing access to recreational and cultural activities, and improving the relationship between the City and residents<sup>2</sup>"



### 5.1 SUMMARY

In 2023 the residents were asked 10 questions that are performance indicators for the "Sense of Place" goal of the City's Strategic Plan.

There is an overall improvement in all response areas when comparing the results to 2022.

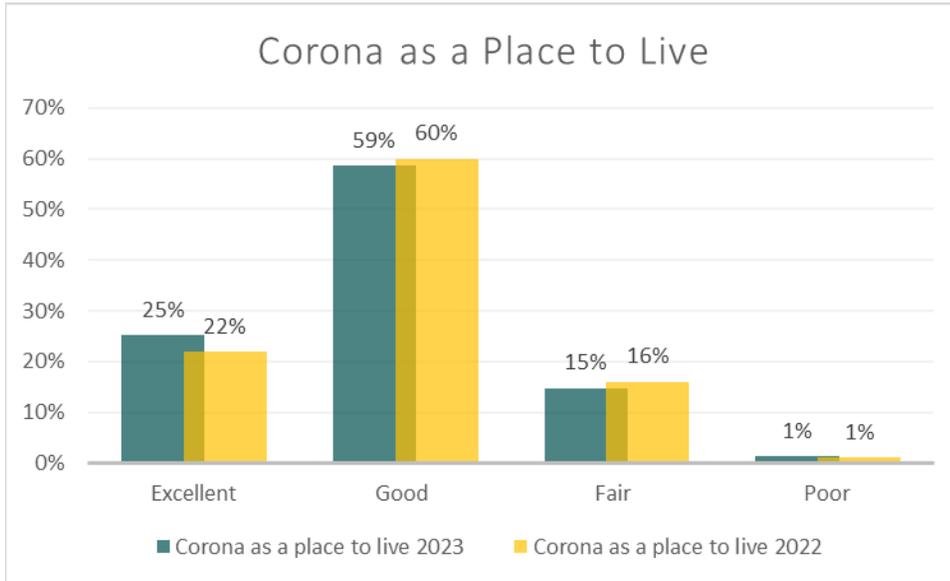
Percent "Excellent" or "Good"	2022	2023	Difference
Corona as a place to live	82%	84%	2%
Corona as a place to raise children	77%	79%	2%
Sense of community	54%	62%	8%
Recommend living in Corona to someone who asks	80%	88%	8%
Overall image or reputation of Corona	56%	69%	13%
Overall appearance of Corona	50%	64%	14%
Cleanliness of Corona	50%	60%	10%
Openness and acceptance	58%	74%	16%
Places to recreate, socialize, meet, and connect	37%	49%	12%
Variety and frequency of community events	39%	49%	10%

### 5.2 INDIVIDUAL QUESTIONS

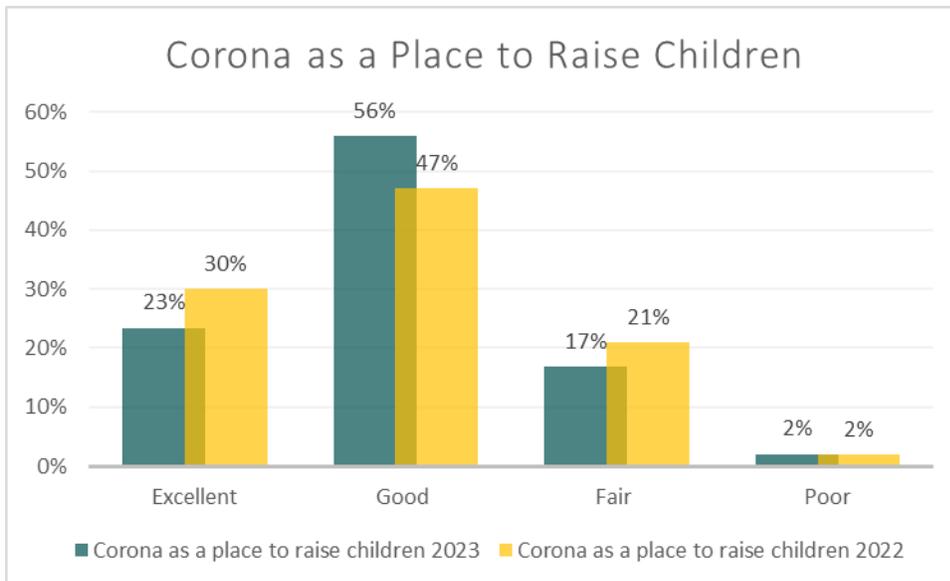
<sup>2</sup> City of Corona Strategic Plan 2021-2026

The first question of the survey contained 3 elements and asked the participants to rate Corona as a place to live, a place to raise children, and the sense of community.

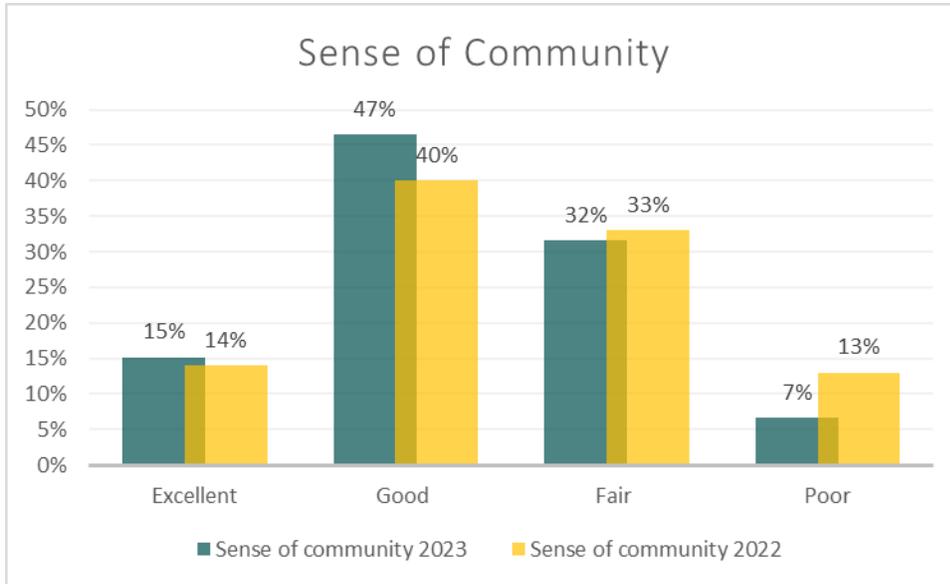
For rating "Corona as a place to live" there was a 3% positive changes in the "excellent" category, a 1% decrease in the "good" category, a 4% decrease in the "fair" category, while the "poor" category remained the same at 1% when compared to responses received in 2022. The total number of responses received for this question was 968.



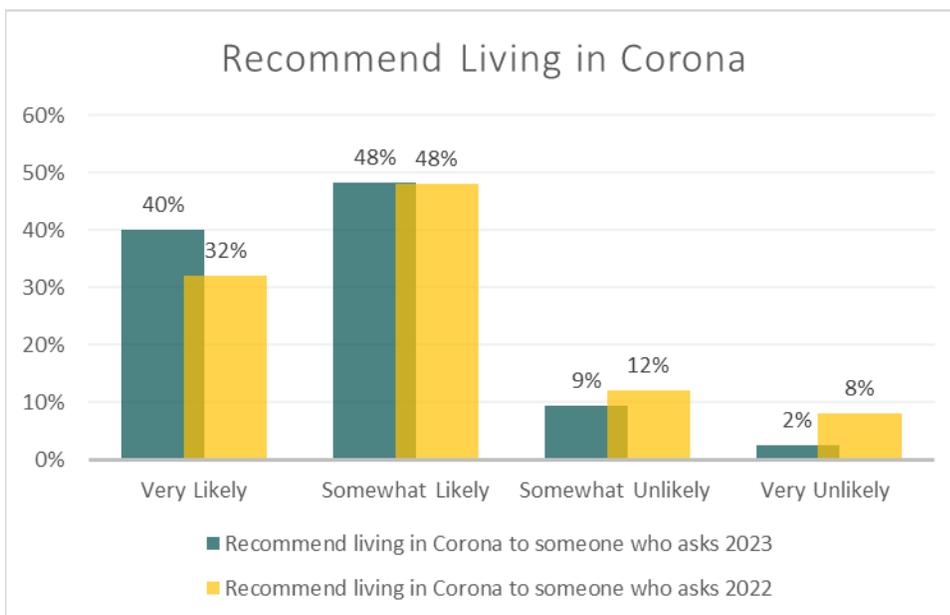
The second part of the question asked residents to rate "Corona as a place to raise children". There was a 7% decrease in "excellent" responses and a 9% increase in "good" responses. The "fair responses" 4% decrease in "fair" responses, while "poor" responses remained unchanged. There were 870 responses to this question.



For the third part of the question, residents were asked to rate the sense of community. There was a 1% increase in "excellent" responses and a 7% increase in "good" responses. The "fair" responses decreased by 6% and the "poor" responses increased by 8%. There were 935 responses to this question.

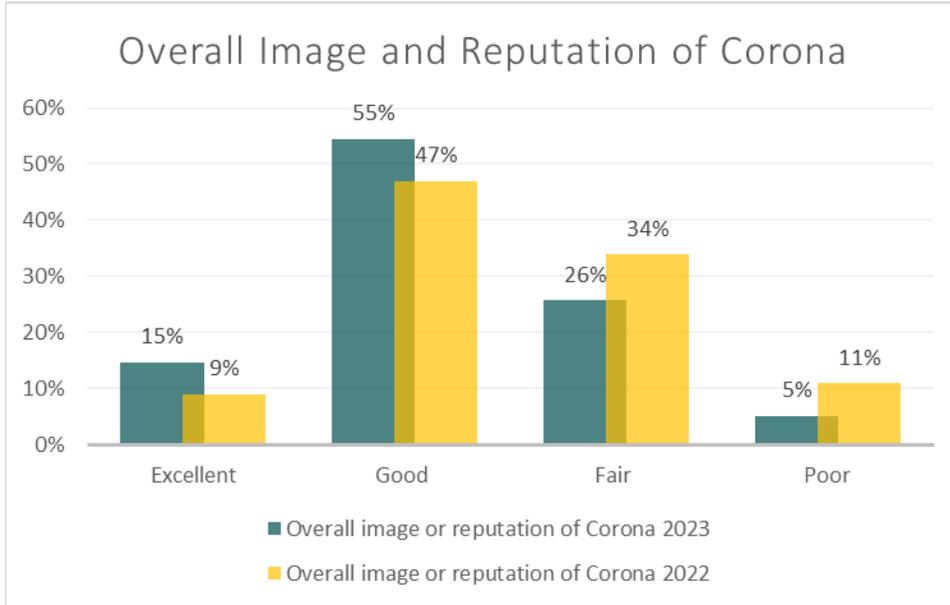


The next question asked respondents how likely or unlikely they are to "Recommend living in Corona to someone who asks". Compared to 2022, and a large (8%) increase in the "Very Likely" category, while the "Somewhat likely" category remained unchanged. The negative responses of "Somewhat unlikely" and "Very Unlikely" decreased by 3% and 6%, respectively. The total number of responses received to this question was 940.



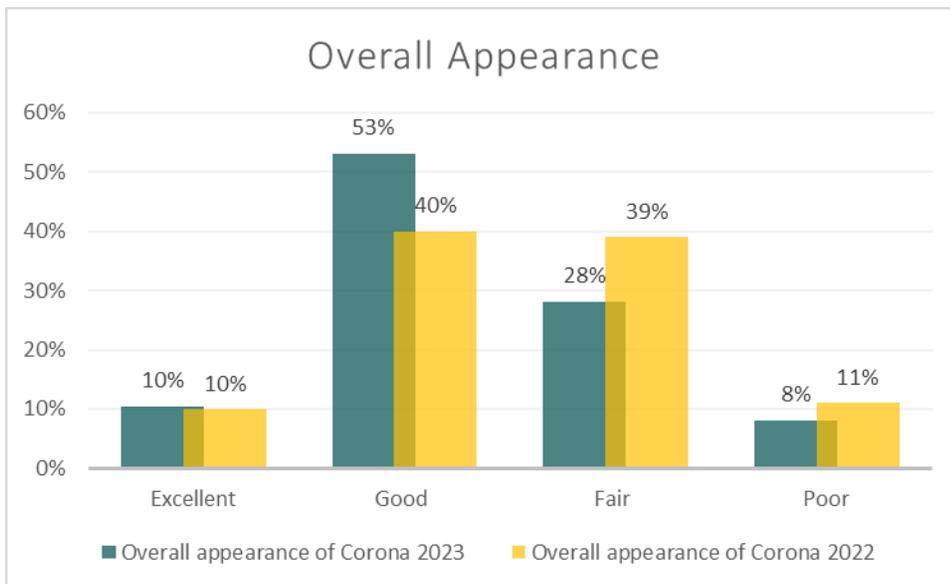
The third question asked respondents to rate the "Overall image or reputation of Corona. Compared to 2022 there were significant increases in both "Excellent" (6%) and

"Good" (8%) categories, while the "Fair" responses decreased by 8% and the "Poor" responses decreased by 6%. There were 961 responses received for this question.

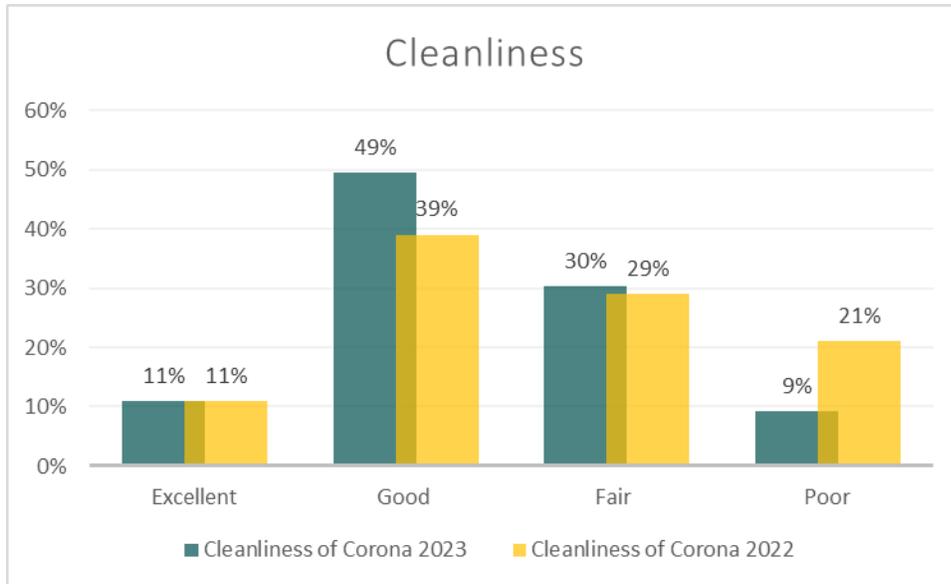


Next question assessing the "Sense of Place" was a multiple part question which asked residents to rate the overall appearance of Corona, the cleanliness of Corona, and the openness and acceptance of the community towards people of diverse backgrounds.

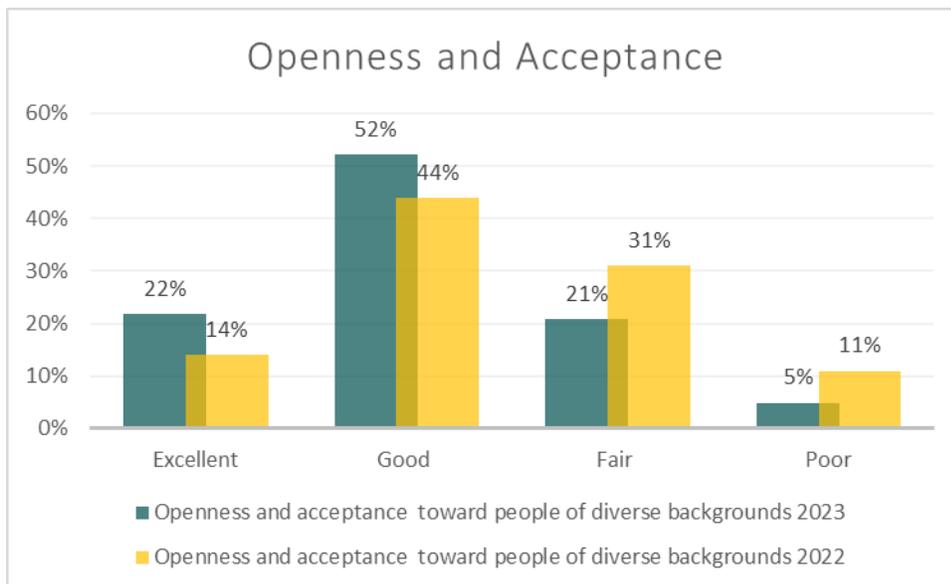
The "overall appearance" rating remained unchanged from last year in the "excellent" category, however it showed a 13% improvement in the "good" category. The "fair" rating decreased by 9% and the "poor" rating decreased by 3%. There were 972 responses for this question.



The "cleanliness" rating remained unchanged in the "excellent" category and increased by 10% in the "good" category. There was a 9% decrease in the "fair" category and a 2% decrease in the "poor" category. There were 968 responses for this question.

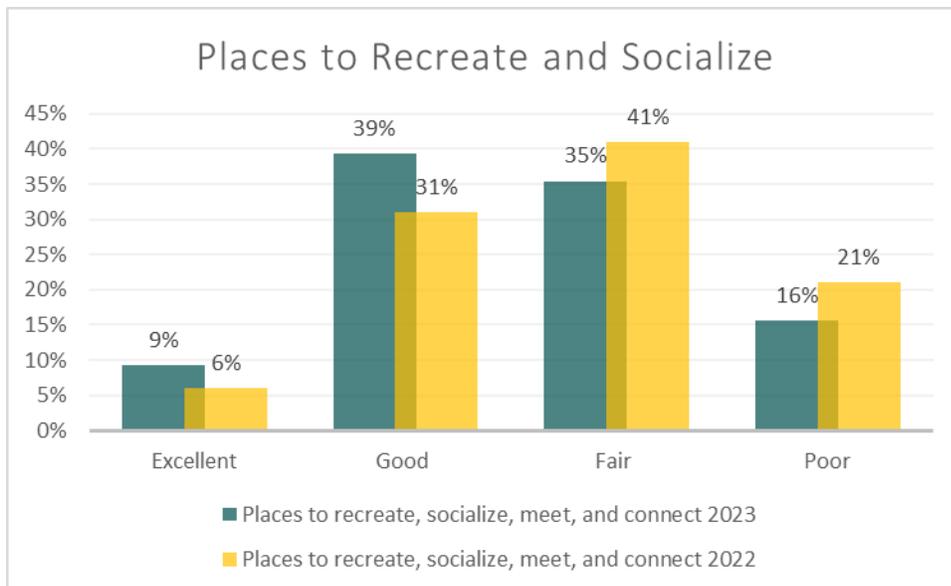


When asked to rate the community's acceptance towards people of diverse backgrounds, there was a significant increase of 8% in each for the "excellent" and "good" categories, and a decrease of 10% in the "fair" category and a decrease of 6% in the "poor" category. There were 871 responses for this question.

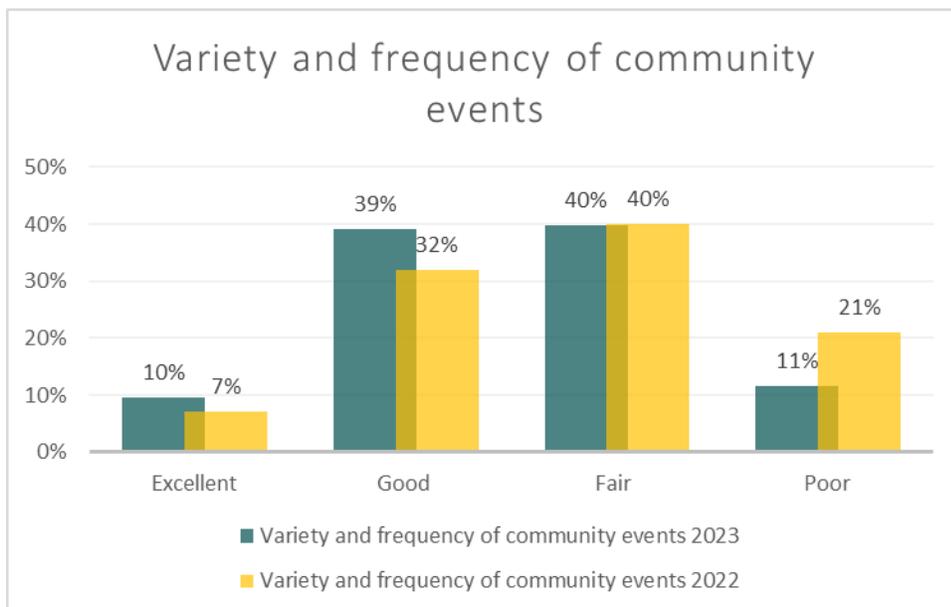


The residents were asked to rate the following in the Corona Community: places to recreate, socialize, meet, and connect with friends, neighbors and family and the variety and frequency of community events.

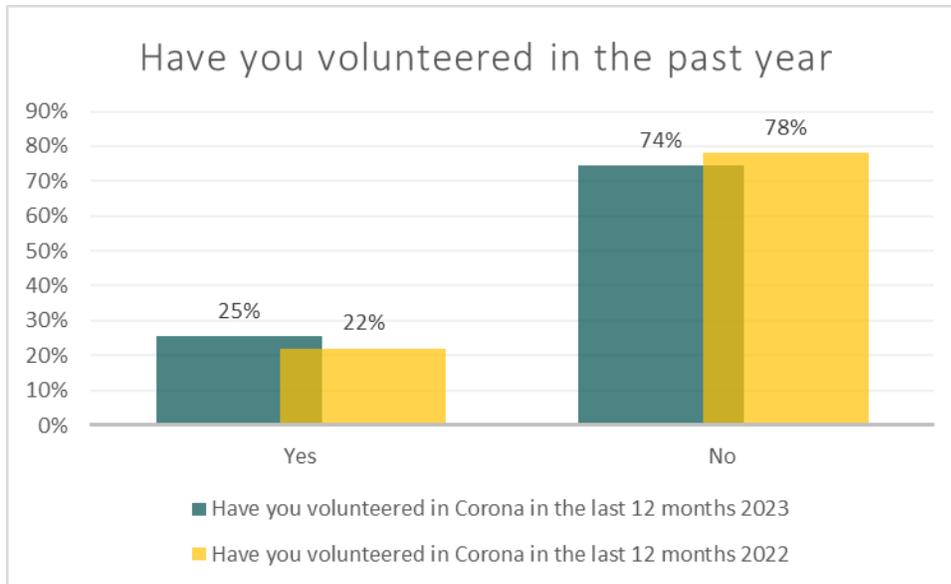
For the places to recreate, socialize, meet, and connect with others there was an increase of 3% in the "excellent" category and an 8% in the "good", while both negative, "fair" and "poor" responses decreased between 5% and 6%. There were 889 responses for this question.



The "variety and frequency of community events" showed a 3% increase in the "excellent" responses and a 7% increase in the "good" responses, remained unchanged in the "fair" responses, and decreased by 10% in the "poor" responses. There were 836 responses to this question.

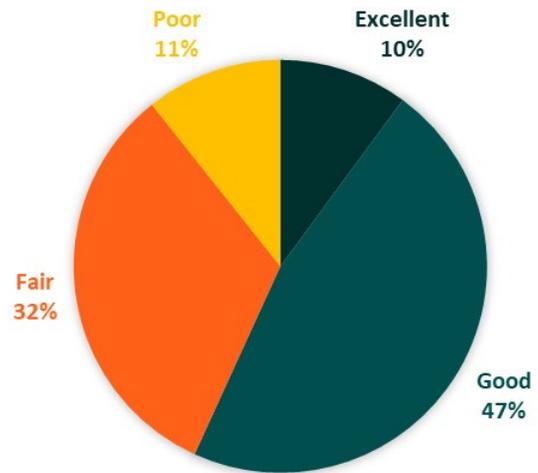


Finally, residents were asked "Have you volunteered your time to some group/activity in Corona in the last 12 months?" This question allowed for Yes/No responses and showed a 3% increase in positive responses. There were 969 responses for this question.



## 6 HIGH PERFORMING GOVERNMENT

Improve the efficiency of the City's services to bring government into the 21st century.



### 6.1 SUMMARY

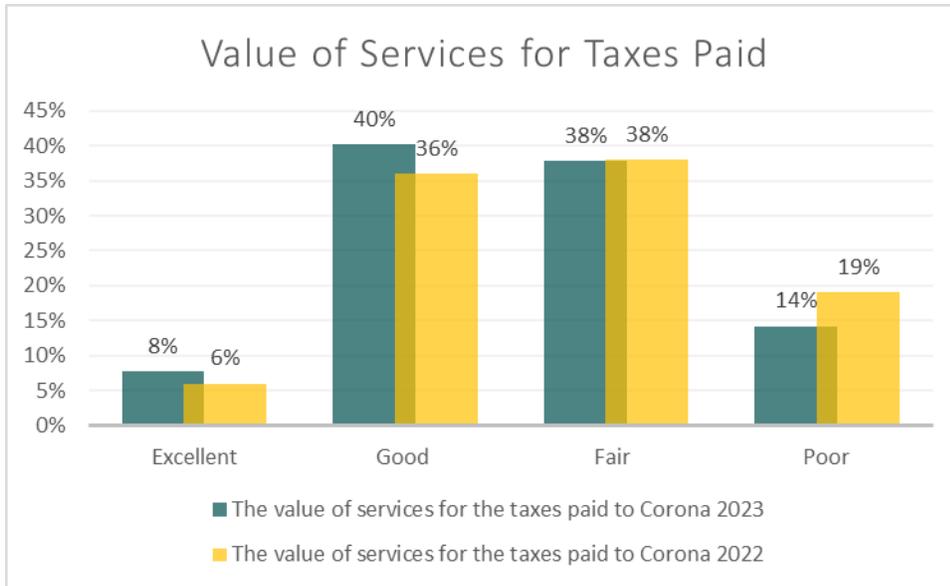
There were 5 questions that aligned with the performance indicators for the "High Performing Government" goal of the City's strategic plan. All these questions showed an improvement in the positive responses compared to last year's survey.

Percent "Excellent" or "Good"	2022	2023	Difference
The value of services for the taxes paid to Corona	42%	48%	6%
The overall direction that Corona is taking	51%	56%	5%
Overall confidence in Corona government	38%	51%	13%
Treating all residents fairly	50%	65%	15%
Quality of the services provided by the City of Corona	61%	65%	4%

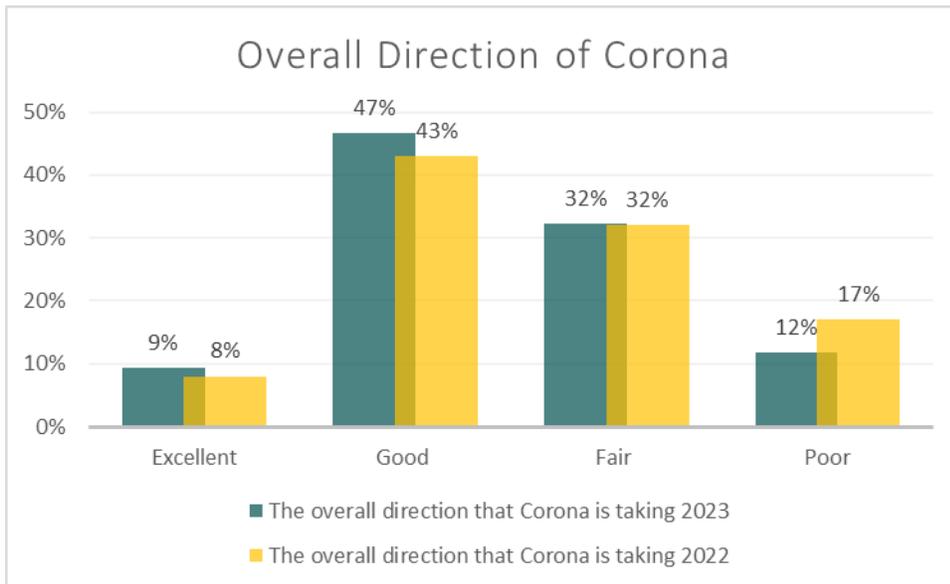
### 6.2 INDIVIDUAL QUESTIONS

The first question in this category contained 4 parts. The residents were asked to rate the value of services for the taxes paid to Corona, the overall direction Corona is taking, the overall confidence in Corona Government, and if all residents are treated fairly.

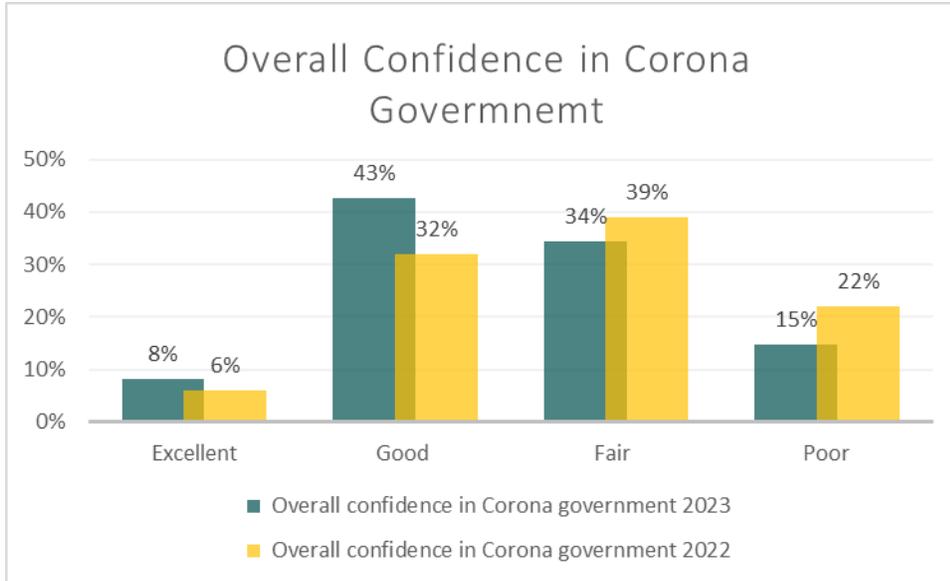
When asked to rate the value of services for taxes paid, the responses show a 2% improvement in "excellent" responses and a 4% improvement in "good" responses. The fair responses remained unchanged, and the "poor" responses decreased by 5%. There were 900 responses for this question.



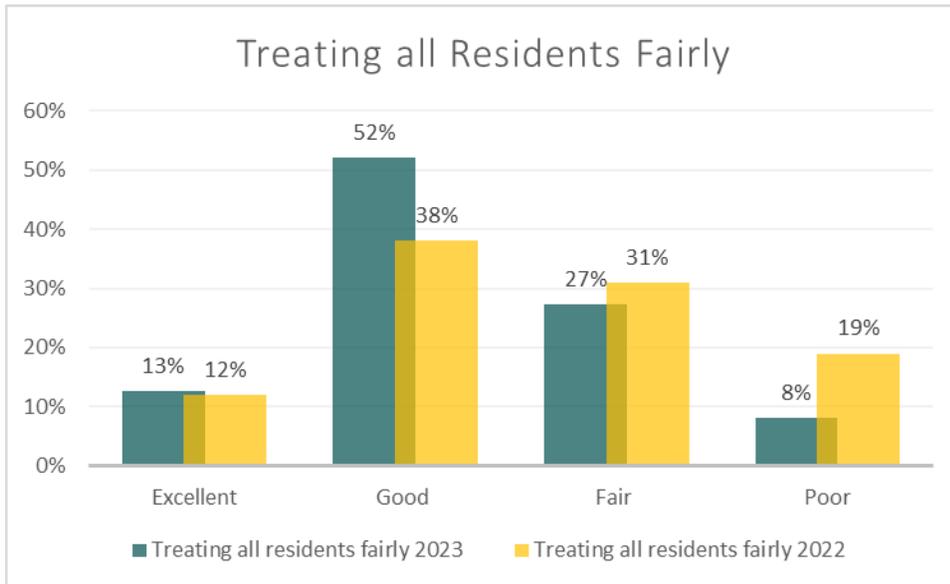
The next part of this question, which addresses the overall direction of Corona, there was a 1% improvement in the "excellent" category and a 4% improvement in the "good" category. The "fair" responses remained unchanged, and the "poor" responses decreased by 5%. There were 885 responses for this question.



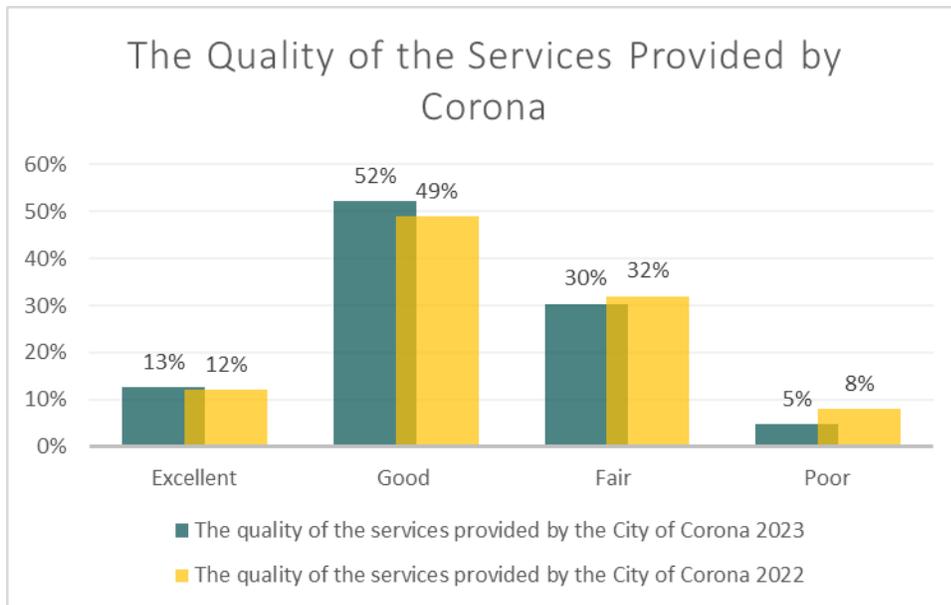
The third portion of this question asked residents to rate their "overall confidence in Corona government". There was a 2% increase in "excellent" responses, and a large 11% increase in good responses. The "fair" responses decreased by 5% and the "poor" responses decreased by 7%. There were 885 responses for this question.



The last part of this question asked residents to rate if all residents are treated fairly. The "excellent" responses increased by 1% and the "good" responses showed a large 14% increase. "Fair" responses decreased by 4% while "poor" responses showed a large decrease of 11%. There were 813 responses for this question.



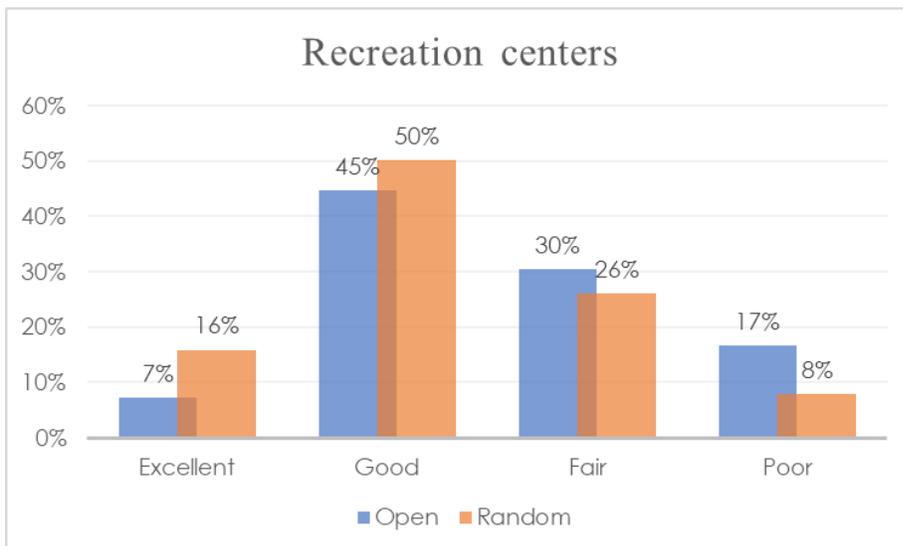
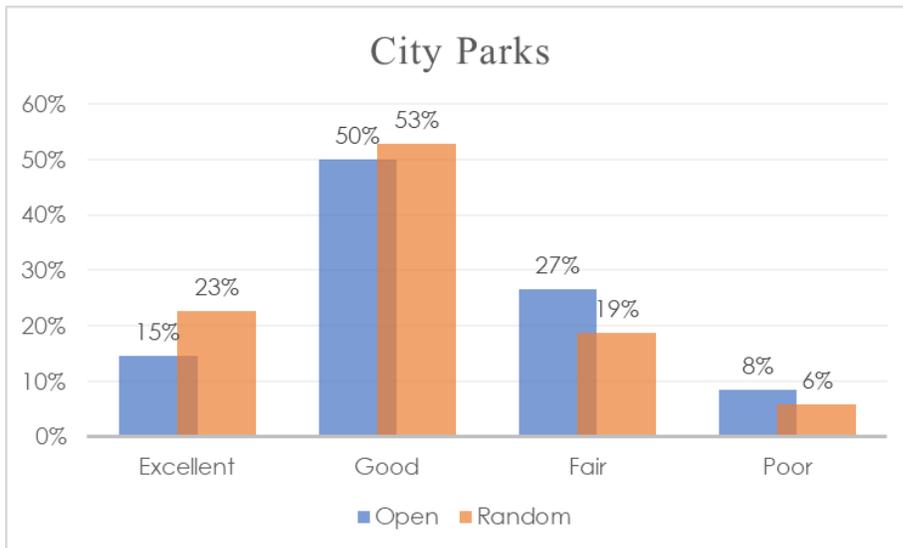
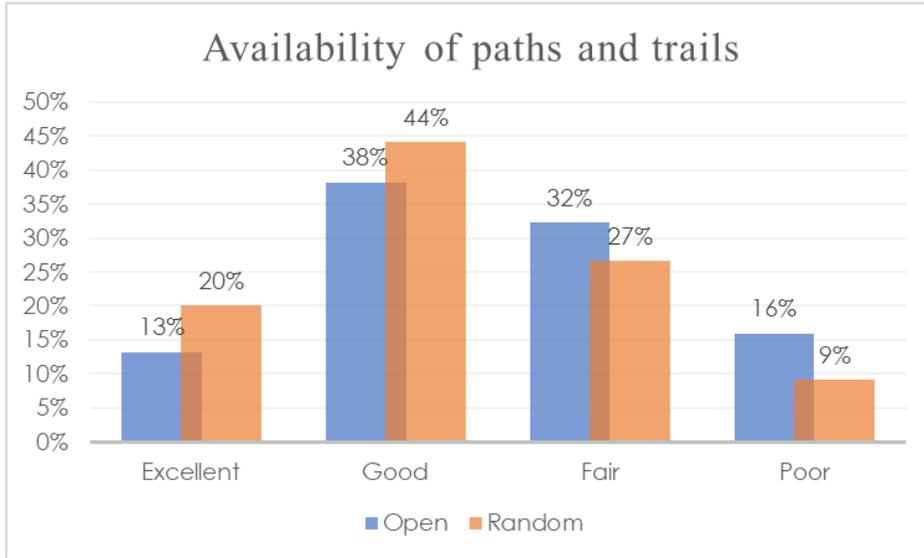
The last question in this category asked residents "overall, how would you rate the quality of the services provided by the City". There was a 1% increase in the "excellent" responses and a 3% increase in "good" responses, while there was a 2% decrease in "fair" responses and 3% decrease in "poor" responses. There were 938 responses for this question.

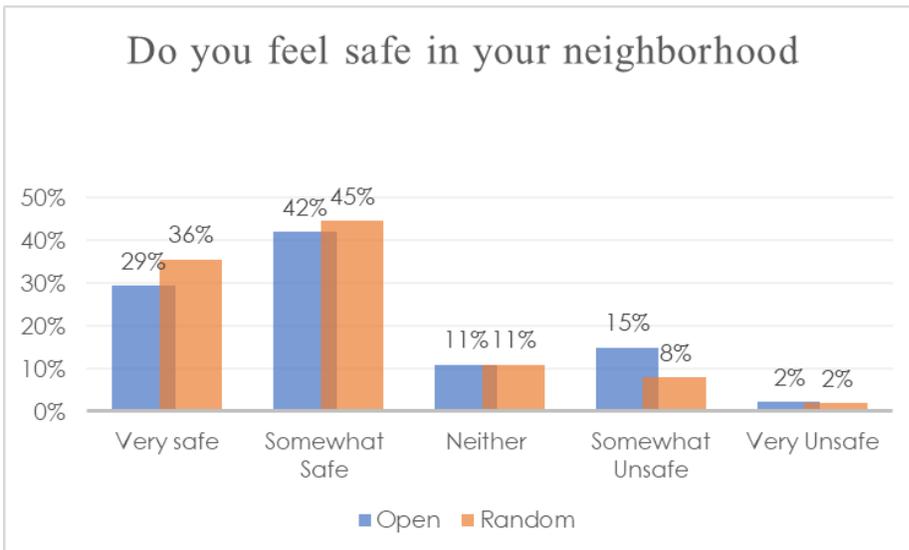
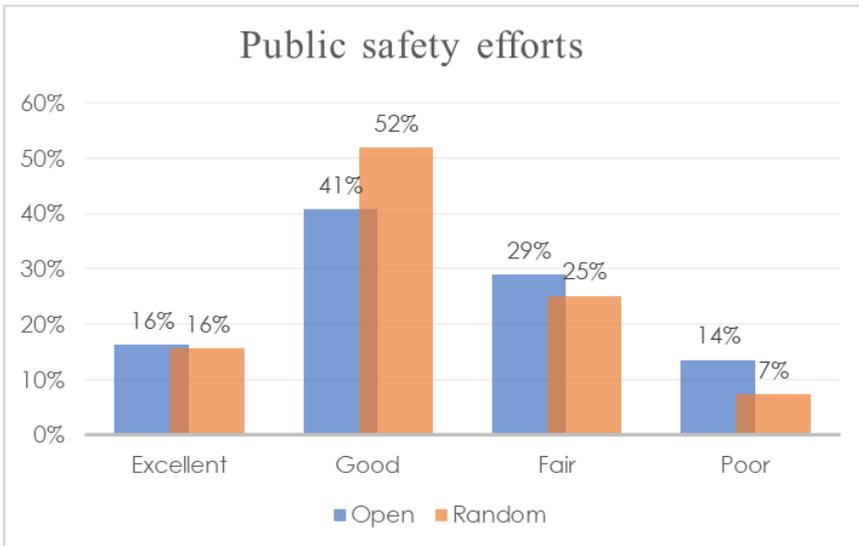
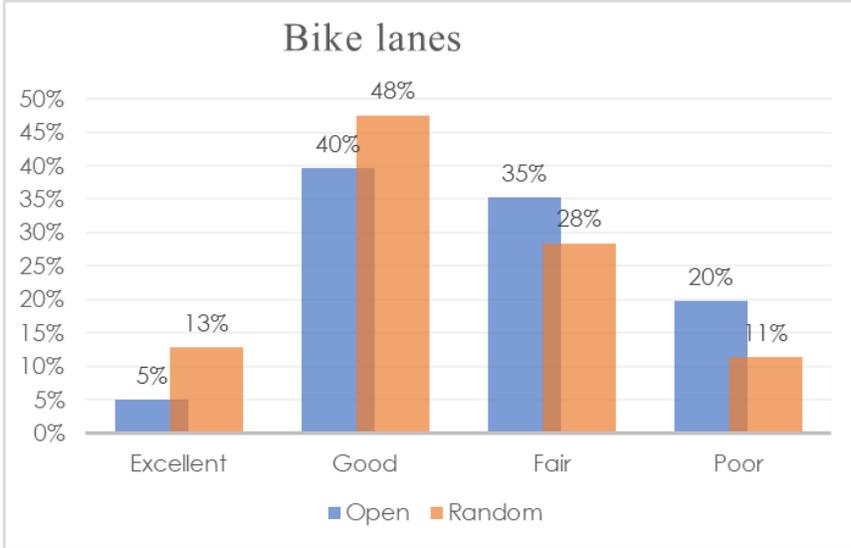


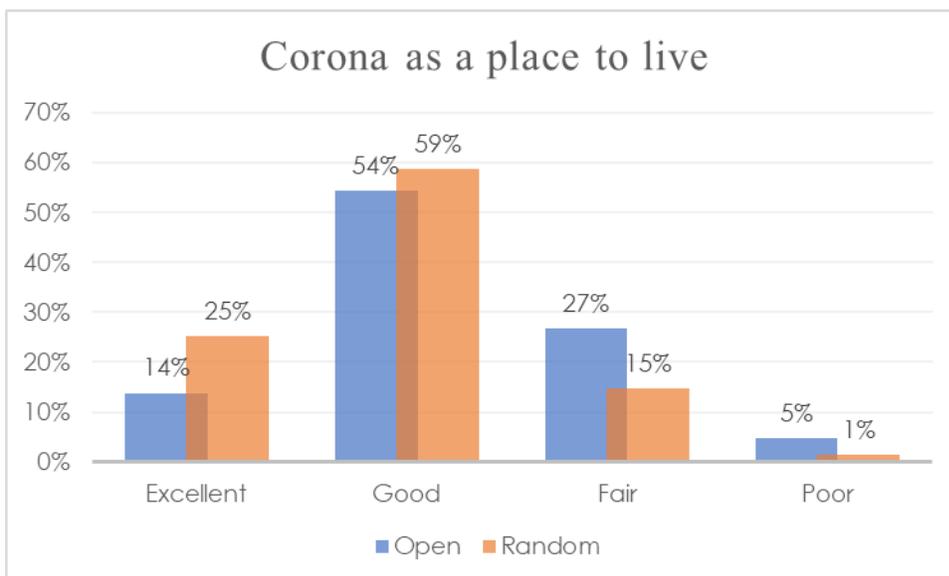
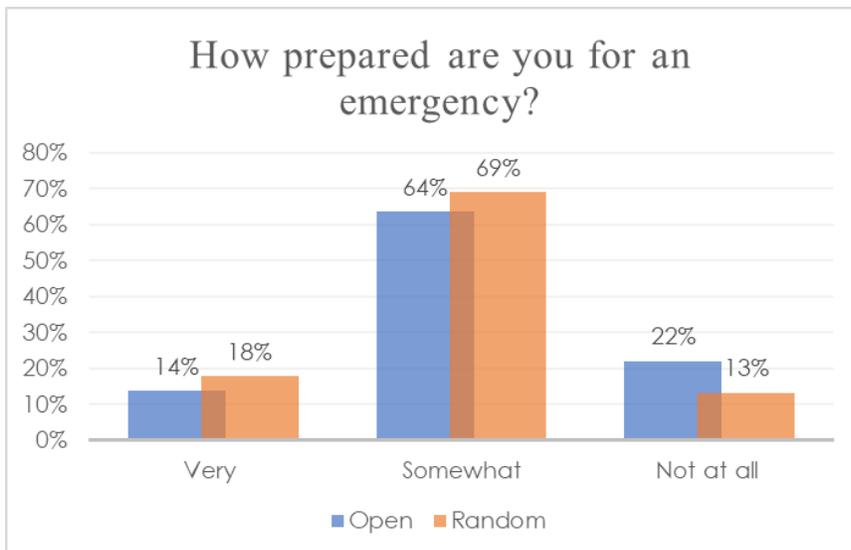
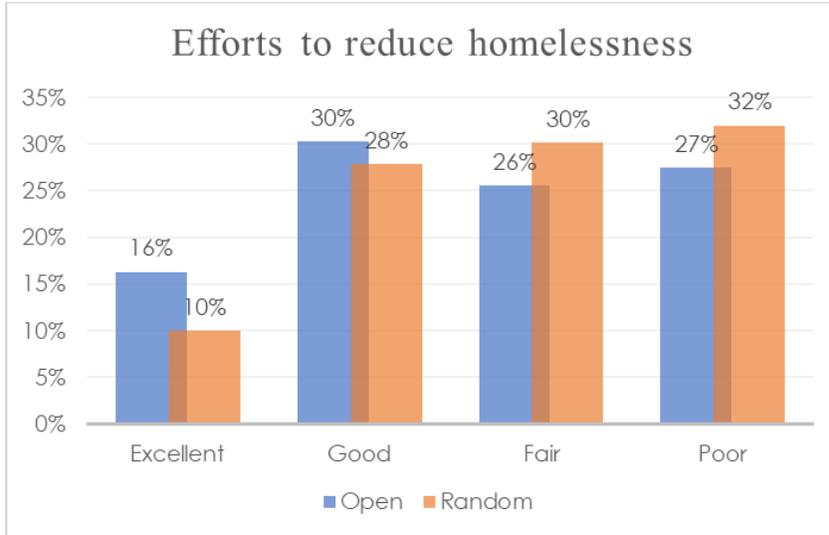
## 7 OPEN SURVEY RESPONSES

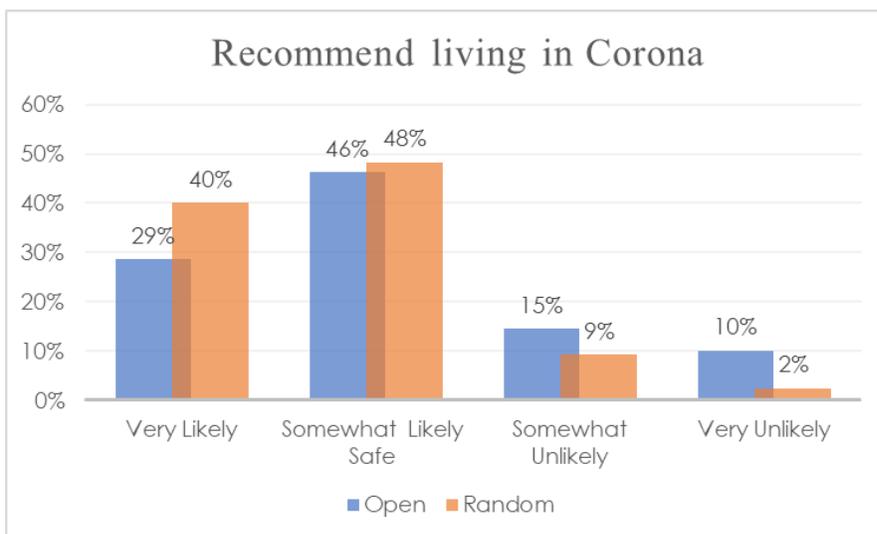
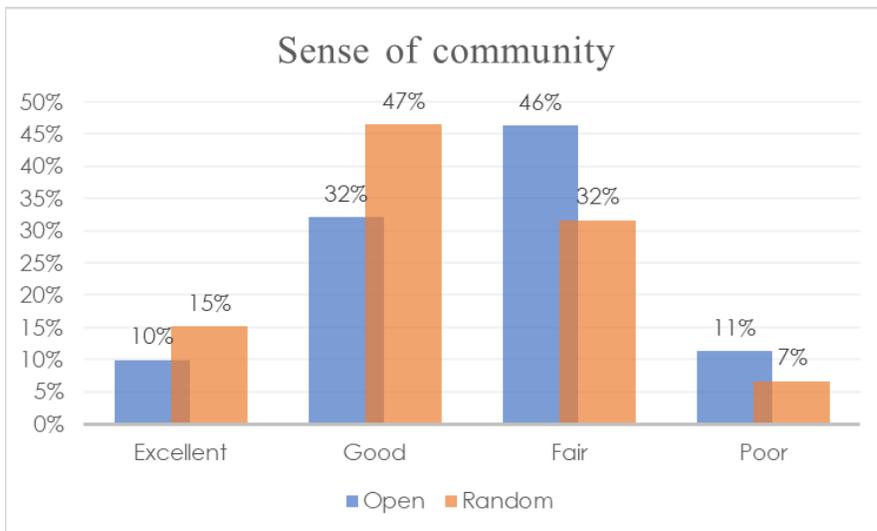
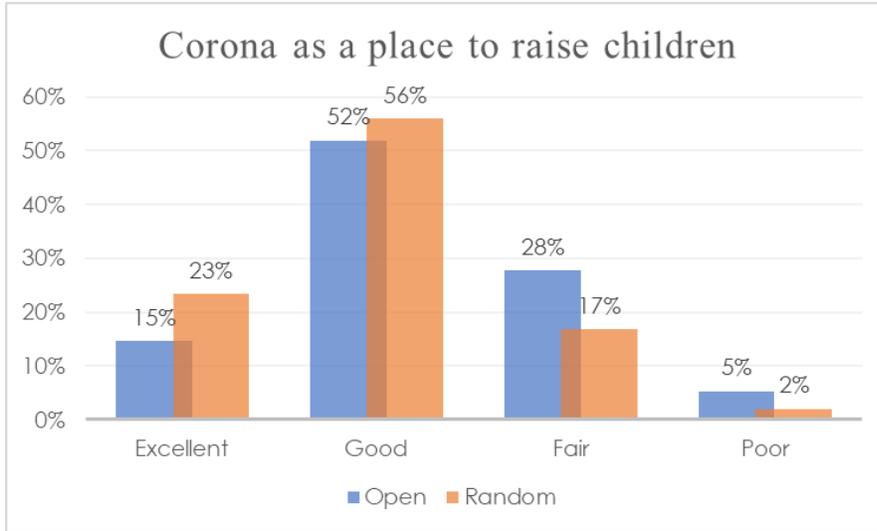
The survey was also posted on the City's website for open participation. These responses were recorded separately from the random survey. The open survey results are detailed below and compared to the results of the random survey. Overall, the open survey responses were significantly more negative than the random survey responses, except their perception of the City's efforts to reduce homelessness and the amount of their individual volunteering efforts.

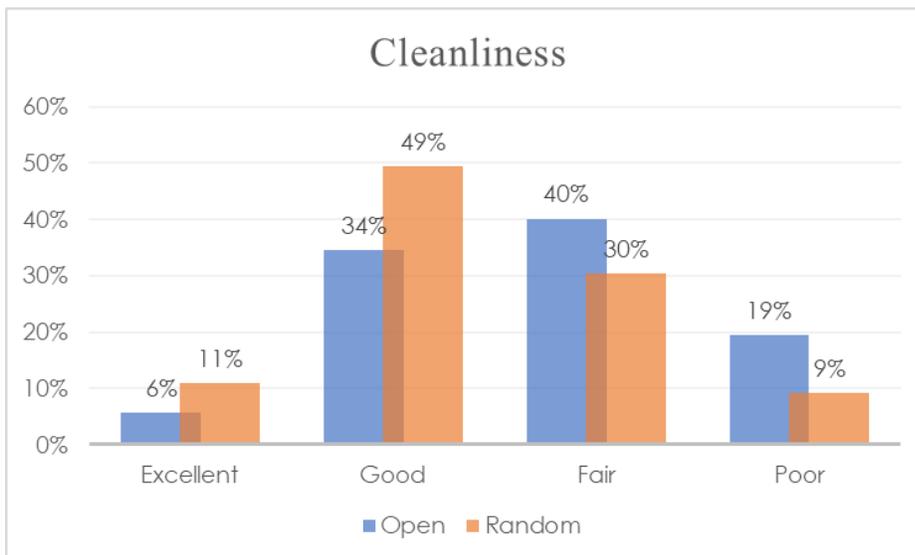
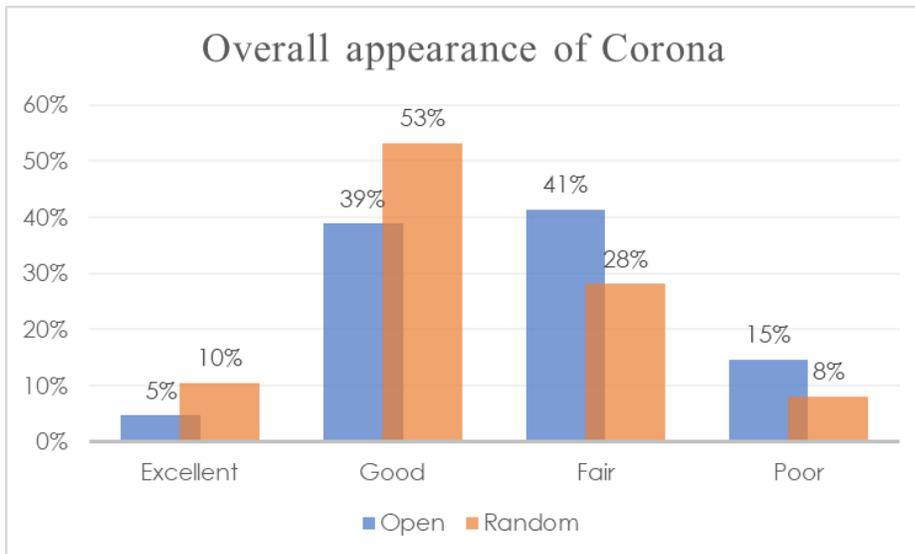
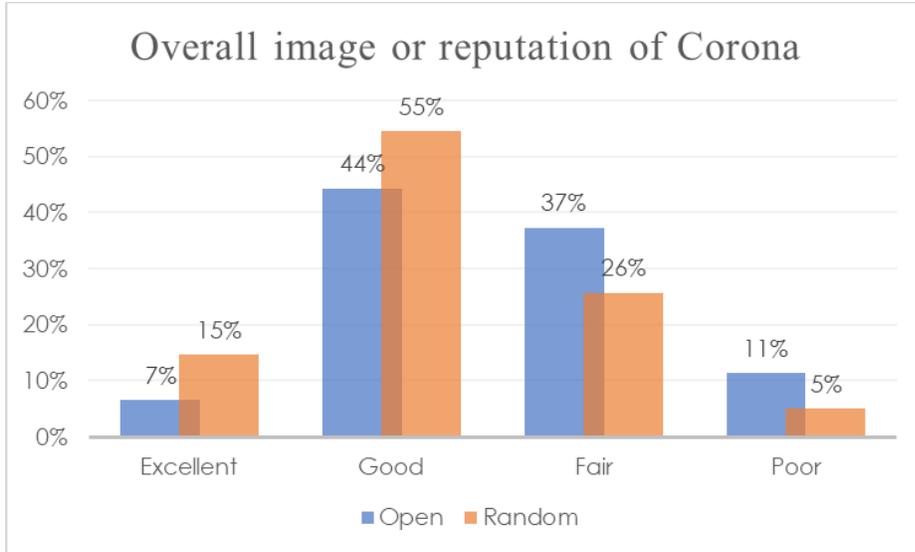
<b>Difference in positive perceptions between Open vs Random Respondents</b>	<b>Open</b>	<b>Random</b>	<b>Difference</b>
Availability of paths and walking trails	51%	64%	-13%
City parks	65%	75%	-11%
Recreation centers or facilities	52%	66%	-14%
Bike lanes	45%	60%	-16%
Public safety efforts	57%	67%	-10%
Do you feel safe in your neighborhood	71%	80%	-9%
Efforts to reduce homelessness	47%	38%	9%
How prepared are you for an emergency?	77%	87%	-9%
Corona as a place to live	68%	84%	-16%
Corona as a place to raise children	67%	79%	-13%
Sense of community	42%	62%	-20%
Recommend living in Corona	75%	88%	-13%
Overall image or reputation of Corona	51%	69%	-18%
Overall appearance of Corona	44%	64%	-20%
Cleanliness of Corona	40%	60%	-20%
Openness and acceptance	65%	74%	-9%
Places to recreate and connect	36%	49%	-13%
Variety and frequency of community events	42%	49%	-7%
Have you volunteered	34%	25%	9%
Value of services for the taxes paid to Corona	32%	48%	-16%
The overall direction that Corona is taking	45%	56%	-11%
Overall confidence in Corona government	40%	51%	-11%
Treating all residents fairly	50%	65%	-14%
Overall quality of services	52%	65%	-13%

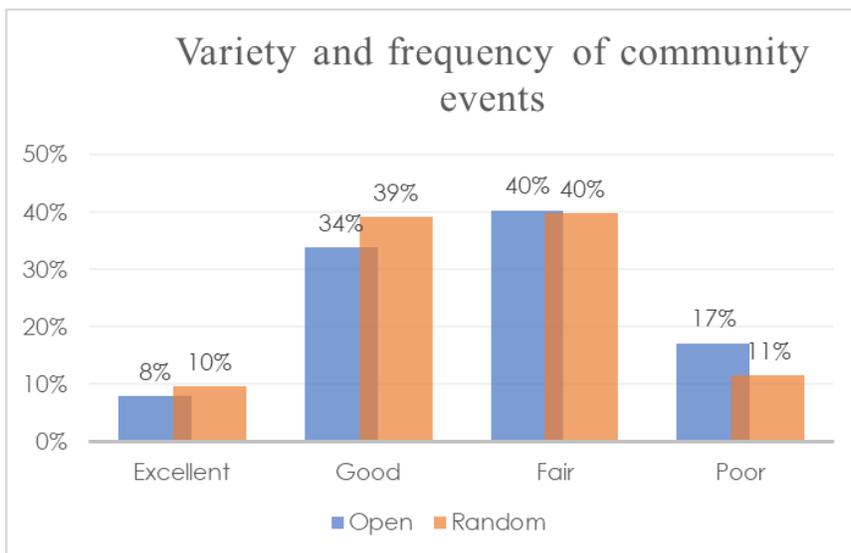
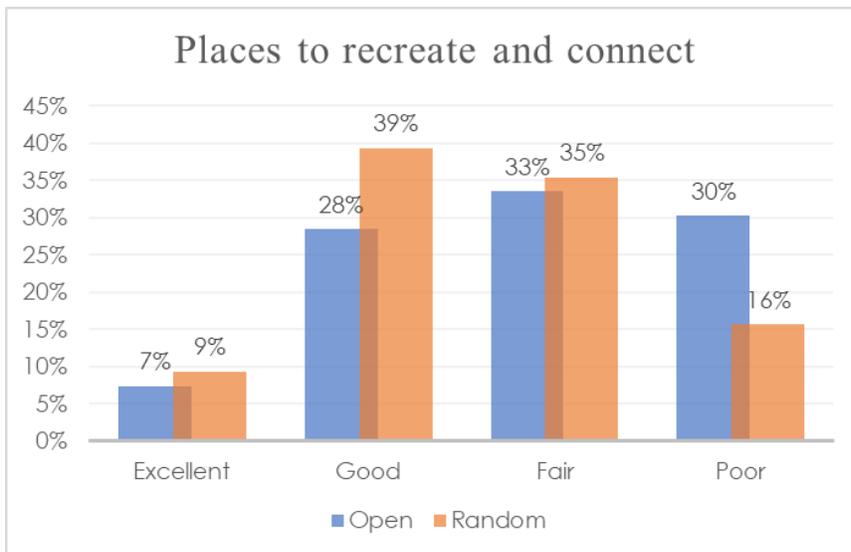
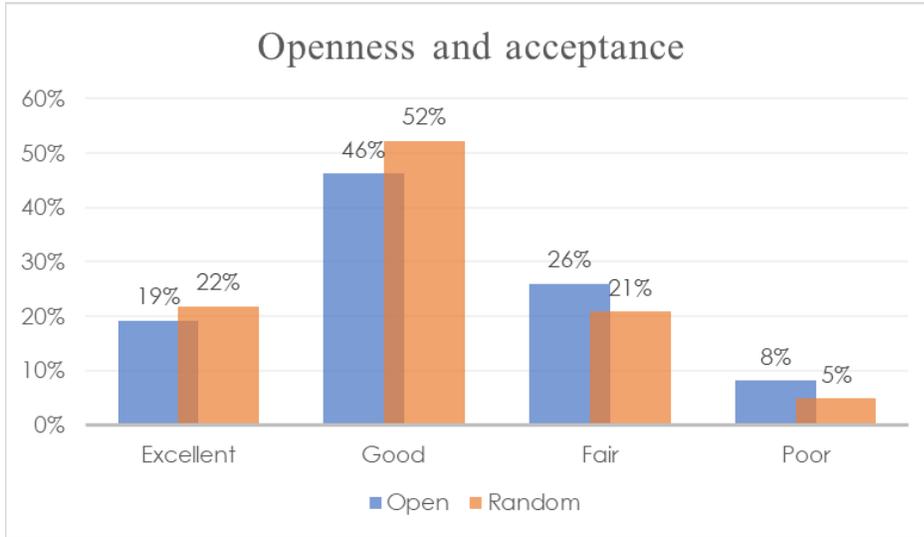


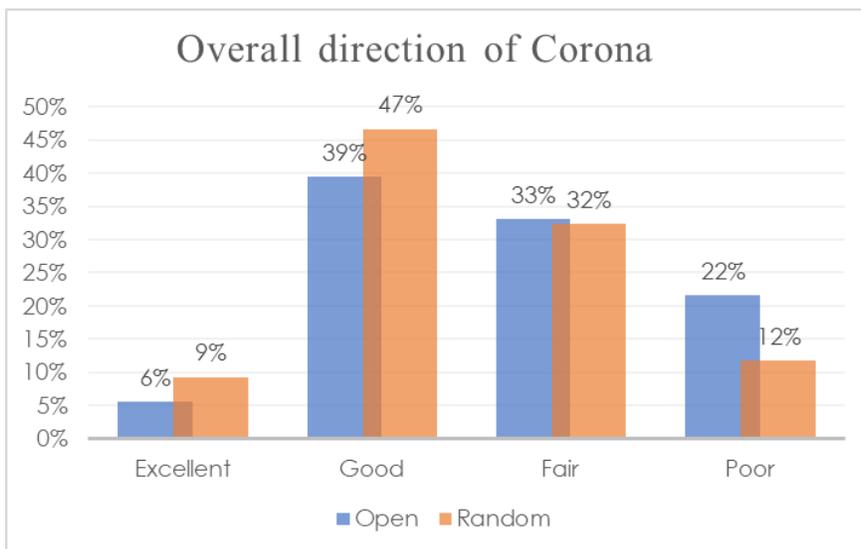
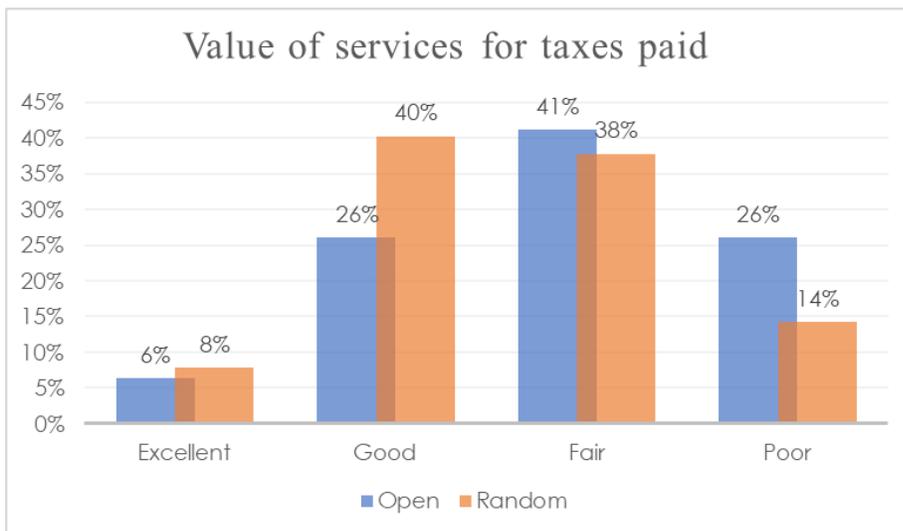
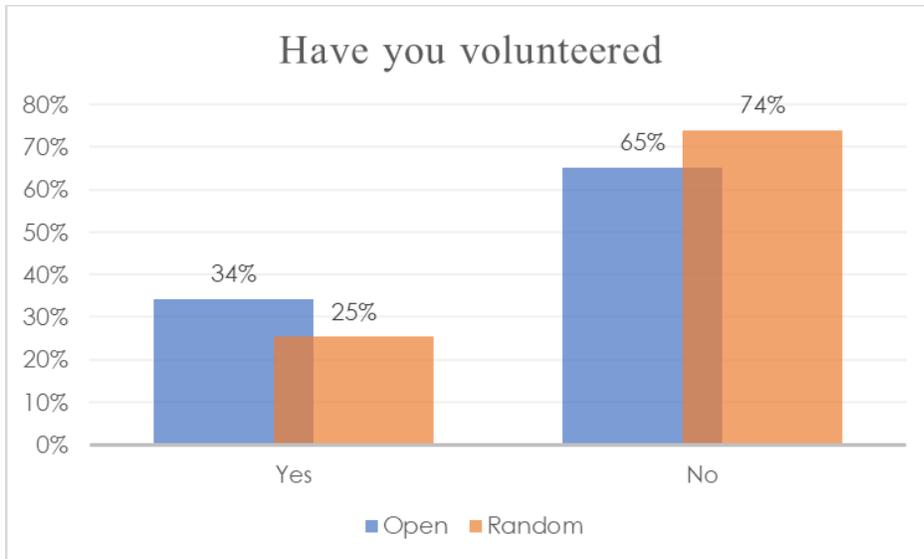


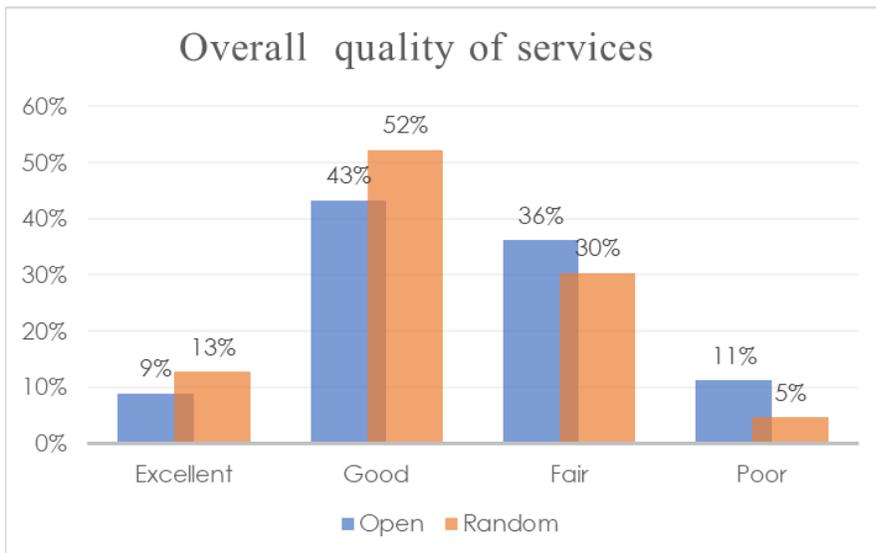
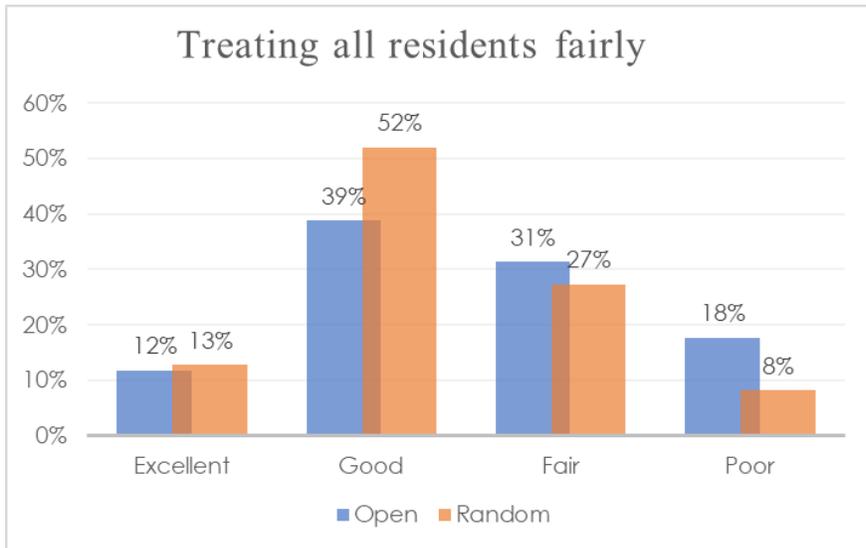
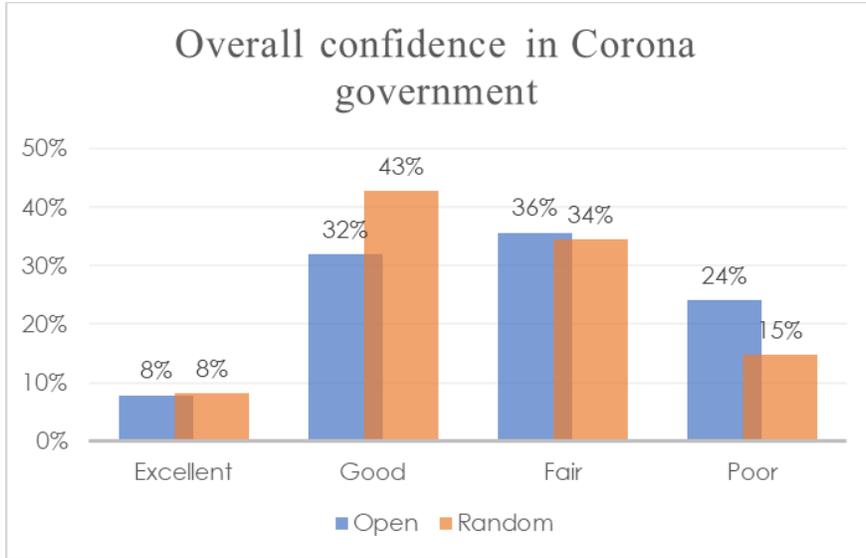












## 8 APPENDIX: SURVEY MATERIALS

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Please complete this survey if you are the adult (age 18 or older) in the household who most recently had a birthday (the year of birth does not matter). Your responses are confidential and no identifying information will be shared.

**1. Please rate each of the following aspects of quality of life in Corona.**

	Excellent	Good	Fair	Poor	Don't know
Corona as a place to live	1	2	3	4	5
Corona as a place to raise children	1	2	3	4	5
Sense of community	1	2	3	4	5

**2. Please indicate how likely or unlikely you are to:**

	Very likely	Somewhat likely	Somewhat unlikely	Very unlikely	Don't know
Recommend living in Corona to someone who asks	1	2	3	4	5

**3. Please rate how safe or unsafe you feel:**

	Very safe	Somewhat safe	Neither safe nor unsafe	Somewhat unsafe	Very unsafe	Don't know
In your neighborhood during the day	1	2	3	4	5	6

**4. Please rate the:**

	Excellent	Good	Fair	Poor	Don't know
Overall image or reputation of Corona	1	2	3	4	5

**5. Please also rate each of the following in the Corona community.**

	Excellent	Good	Fair	Poor	Don't know
Overall appearance of Corona	1	2	3	4	5
Cleanliness of Corona	1	2	3	4	5
Availability of paths and walking trails	1	2	3	4	5
Openness and acceptance of the community toward people of diverse backgrounds	1	2	3	4	5

**6. Please indicate whether or not you have:**

	Yes	No
Volunteered your time to some group/activity in Corona in the last 12 months.	<input type="checkbox"/>	<input type="checkbox"/>

**7. Please rate the quality of each of the following services in Corona.**

	Excellent	Good	Fair	Poor	Don't know
City parks	1	2	3	4	5
Recreation centers or facilities	1	2	3	4	5

**8. Please rate the following categories of Corona government performance.**

	Excellent	Good	Fair	Poor	Don't know
The value of services for the taxes paid to Corona	1	2	3	4	5
The overall direction that Corona is taking	1	2	3	4	5
Overall confidence in Corona government	1	2	3	4	5
Treating all residents fairly	1	2	3	4	5

**9. Overall, how would you rate:**

	Excellent	Good	Fair	Poor	Don't know
The quality of the services provided by the City of Corona?	1	2	3	4	5

**10. Please rate each of the following in the Corona Community.**

	Excellent	Good	Fair	Poor	Don't know
Public safety efforts (e.g., protection of the general public)	1	2	3	4	5
City's efforts to reduce homelessness	1	2	3	4	5
Places to recreate, socialize, meet, and connect with friends, neighbors and family	1	2	3	4	5
Variety and frequency of community events.	1	2	3	4	5
Bike lanes	1	2	3	4	5

**11. Given the chance to start over, how likely or unlikely would you be to choose to live in Corona again?**

- Very likely     Somewhat likely     Somewhat unlikely     Very unlikely

**12. How prepared, if at all, do you feel you are in an event of an emergency?**

- Very prepared     Somewhat prepared     Not prepared

**Our last questions are about you and your household. Again, all of your responses to this survey are confidential and no identifying information will be shared.**

**13. How many years have you lived in Corona?**

- Less than 2 years  
 2–5 years  
 6–10 years  
 11–20 years  
 More than 20 years

**14. Which best describes the building you live in?**

- One family house detached from any other houses  
 Building with two or more homes (duplex, townhome, apartment, or condominium)  
 Mobile home  
 Other

**15. Do you rent or own your home?**

- Rent  
 Own

**16. About how much is your monthly housing cost for the place you live (including rent, mortgage payment, property tax, property insurance, and homeowners' association (HOA) fees)?**

- Less than \$500     \$2,000 to \$2,499  
 \$500 to \$999     \$2,500 to \$2,999  
 \$1,000 to \$1,499     \$3,000 to \$3,499  
 \$1,500 to \$1,999     \$3,500 or more

**17. Do any children 17 or under live in your household?**

- No  
 Yes

**18. Are you or any other members of your household aged 65 or older?**

- No  
 Yes

**19. What was your total household income before taxes during the past 12 months?**

- Less than \$25,000     \$100,000 to \$149,999  
 \$25,000 to \$49,999     \$150,000 or more  
 \$50,000 to \$74,999     Prefer not to say  
 \$75,000 to \$99,999

**20. Are you Spanish, Hispanic or Latino?**

- Yes  
 No

**21. Choose one or more races that you consider yourself to be**

- White or Caucasian  
 Black or African American  
 American Indian/Native American or Alaska Native  
 Asian  
 Native Hawaiian or Pacific Islander  
 Other  
 Prefer not to say

**22. How old are you?**

- Under 18     45–54 years  
 18–24 years     55–64 years  
 25–34 years     65+ years  
 35–44 years

**23. How do you describe yourself?**

- Male  
 Female  
 Non-binary / third gender  
 Prefer to self-describe \_\_\_\_\_  
 Prefer not to say

**Optional: Help us update our records and get notified about the results of this community survey. Please provide the information below.**

**Name** \_\_\_\_\_

**Email** \_\_\_\_\_

**To take the survey online, please scan the QR code or use your unique survey link below!**



**SURVEY LINK HERE...**

Please note: The link is case sensitive.



City of Corona  
400 South Vicentia Avenue  
Corona, CA 92882

**SHARE YOUR  
THOUGHTS.**  
**COMPARTE TUS  
OPINIONES.**

## **You know best what life is like in Corona**

Please take our Community Survey and help us determine priorities for the future.

## **Tú sabes mejor que nadie cómo es la vida en Corona**

Responda nuestra Encuesta de la comunidad y ayúdenos a determinar las prioridades para el futuro.

Dear Corona Resident,

**It won't take much of your time to make a big difference in the community!**



Estimado Residente de Corona,

**¡No le tomará mucho de su tiempo para marcar una gran diferencia en su comunidad!**

Your household has been randomly selected to participate in the City of Corona's 2023 Community Survey. Your feedback will help the City make important decisions that affect our community.

Please do not share your survey link. This survey is for randomly selected households only. The city will conduct a separate survey that is open to all residents just a few weeks from now. **This survey will also arrive in the mail in a few days if you prefer to wait and fill it out then.**

Su hogar ha sido elegido al azar para participar en Encuesta de la Comunidad del 2023 de Corona. Puede completar la encuesta confidencial en línea en español.

Por favor no comparta el enlace de su encuesta. Esta encuesta es solamente para hogares seleccionados al azar. La ciudad conducirá una encuesta separada que está abierta a todos los residentes dentro de unas semanas. **También puede esperar unos días hasta que la encuesta llegue por correo.**

**Scan the QR code or use your unique survey link!**

Escanee el código QR o utilice su enlace único.



**SURVEY LINK HERE...**

*Please note: The link is case sensitive.*

*Tenga en cuenta: El enlace distingue entre mayúsculas y minúsculas.*



**QUESTIONS/PREGUNTAS: (951) 736-2371**



# 2023 Community Survey

Justin Tucker, Assistant City Manager

October 18, 2023

# 2023 Community Survey



- 22 questions from four elements of the Strategic Plan
  - Sound Infrastructure
  - Safe Community
  - Sense of Place
  - High Performing Government
- Results compared to the 2022 National Community Survey
- Survey available in English and Spanish



# 2023 Community Survey

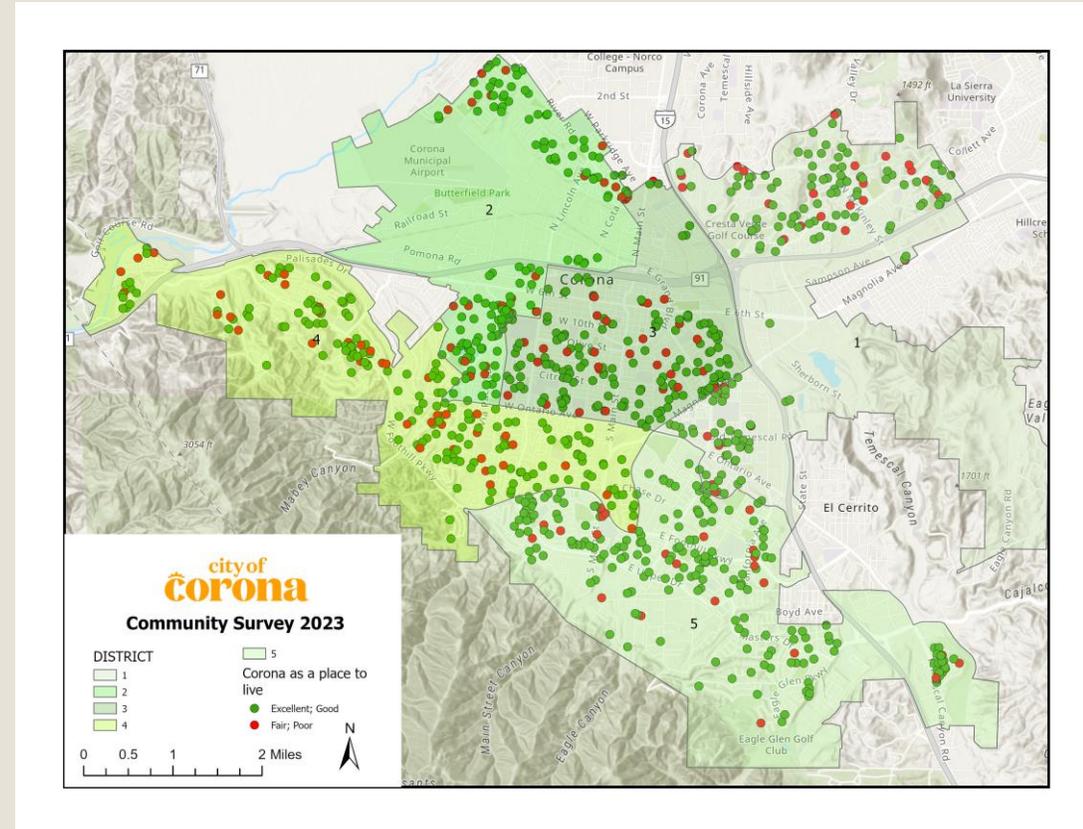


## Random Sample Survey

- 7000 Households (1400 per district)
- June 30<sup>th</sup> – Sept 27<sup>th</sup>, 2023
- Email invitation (4x) then by mail
- 972 completed surveys
  - 13.8% response rate
  - 3.1% margin of error

## Open Participation Survey

- Publicized on City Website and Social media
- 232 responses



# Respondent Demographics

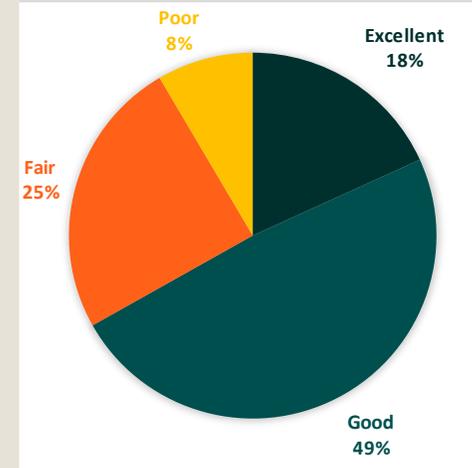
		2023	2022
<b>Age</b>	18-34	7%	6%
	35-54	43%	33%
	55+	50%	61%
<b>Sex</b>	Male	39%	44%
	Female	58%	56%
	Prefer not to Say/Other	3%	-
<b>District</b>	1	17%	17%
	2	17%	15%
	3	22%	19%
	4	20%	24%
	5	23%	24%
<b>Hispanic origin</b>	Yes	33%	24%
	No	67%	76%
<b>Housing Type</b>	Attached	13%	22%
	Detached	87%	78%

# Highlights



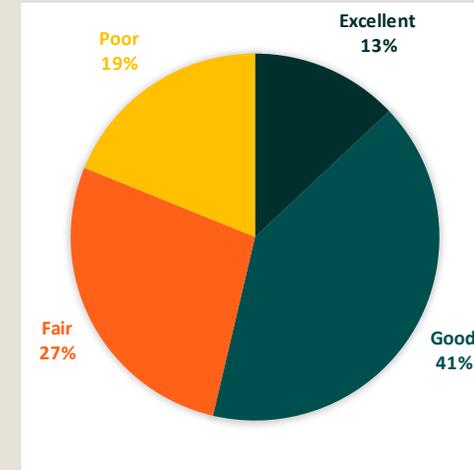
- Improved resident perceptions across almost all categories
- Biggest increases (13+%) in
  - Openness and acceptance of diverse backgrounds
  - Treating all residents fairly
  - Overall appearance of Corona
  - Overall image or reputation
  - Overall confidence in Corona government
  - Efforts to reduce homelessness

# Sound Infrastructure



Percent "Excellent" or "Good"	2022	2023	Difference
Availability of paths and walking trails	57%	64%	7%
City parks	64%	75%	11%
Recreation centers or facilities	59%	66%	7%
Bike lanes	50%	60%	10%

# Safe Community

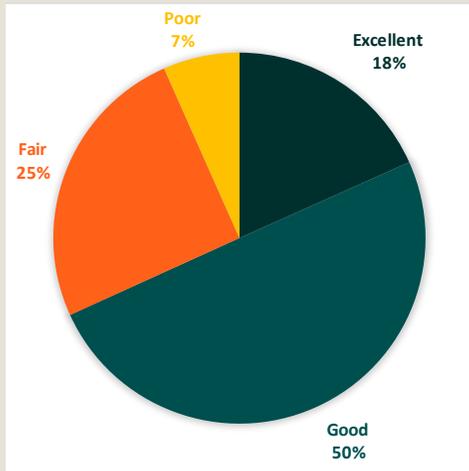


Percent "Excellent" or "Good"	2022	2023	Difference
Public safety efforts	59%	67%	8%
Do you feel safe in your neighborhood during the day	86%	80%	-6%
City's efforts to reduce homelessness	25%	38%	13%

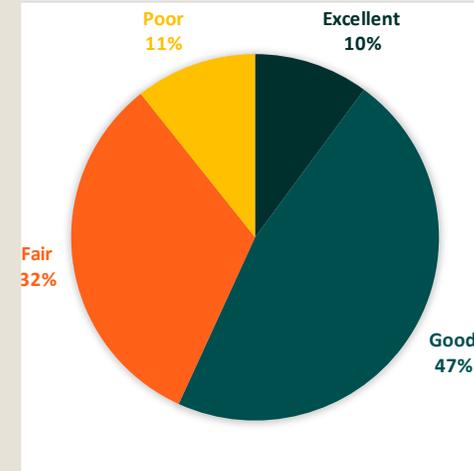
# Sense of Place



Percent "Excellent" or "Good"	2022	2023	Difference
Corona as a place to live	82%	84%	2%
Corona as a place to raise children	77%	79%	2%
Sense of community	54%	62%	8%
Recommend living in Corona to someone who asks	80%	88%	8%
Overall image or reputation of Corona	56%	69%	13%
Overall appearance of Corona	50%	64%	14%
Cleanliness of Corona	50%	60%	10%
Openness and acceptance	58%	74%	16%
Places to recreate, socialize, meet, and connect	37%	49%	12%
Variety and frequency of community events	39%	49%	10%



# High Performing Government



Percent "Excellent" and "Good" responses	2022	2023	Difference
The value of services for the taxes paid to Corona	42%	48%	6%
The overall direction that Corona is taking	51%	56%	5%
Overall confidence in Corona government	38%	51%	13%
Treating all residents fairly	50%	65%	15%
Quality of the services provided by the City of Corona?	61%	65%	4%

# Key Points

- Open survey results (n=232) were 10-20% more negative than the results from the random sample (n=972)
- Improved resident perceptions from 2022 to 2023 across almost all indicators using a scientific random sample

# Questions?



Staff Report

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**File #:** 23-0831

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**REQUEST FOR CITY COUNCIL ACTION**

**DATE:** 10/18/2023  
**TO:** Honorable Mayor and City Council Members  
**FROM:** Planning & Development Department

**SUBJECT:**  
ORDINANCE AMENDING [CHAPTER 5.55 OF THE CORONA MUNICIPAL CODE](#) TO PROHIBIT SHORT TERM RESIDENTIAL RENTALS, AN ORDINANCE ESTABLISHING AN AMORTIZATION PERIOD AND OPERATIONAL REQUIREMENTS FOR LEGAL NONCONFORMING SHORT TERM RESIDENTIAL RENTAL UNITS, AND RESOLUTION AMENDING THE ADMINISTRATIVE PENALTIES SCHEDULE FOR VIOLATIONS OF THE CORONA MUNICIPAL CODE

**EXECUTIVE SUMMARY:**

This staff report asks the City Council to approve an ordinance that would amend Chapter [5.55 of the Corona Municipal Code \(CMC\)](#) to prohibit short term residential rentals in the City. An additional ordinance is also proposed that would establish an amortization period and operational requirements for short term residential rental permits that have not yet expired. Short term residential rentals that were issued a short term residential rental permit from the City prior to the adoption of the proposed ordinance will be allowed to continue as a legal non-conforming use up to the expiration date of the permit. Short term residential rental permits are annual permits and expire 12 months from the date of issuance.

**RECOMMENDED ACTION:**

**That the City Council:**

- a. Adopt Urgency Ordinance No. 3380 approving an amendment to [Chapter 5.55](#) of the Corona Municipal Code to prohibit short term residential rentals.
- b. Introduce, by title only, and waive full reading of consideration of Ordinance No. 3381, first reading of an ordinance approving an amendment to [Chapter 5.55](#) of the Corona Municipal Code to prohibit short term residential rentals.
- c. Adopt Urgency Ordinance No. 3382 establishing an amortization period and operating

regulations for legally existing short-term residential rentals.

- d. Introduce, by title only, and waive full reading of consideration of Ordinance No. 3383, first reading of an ordinance establishing an amortization period and operating regulations for legally existing short-term residential rentals.
- e. Resolution No. 2023-100 amending the Administrative Penalties Schedule for violations of the Corona Municipal Code.

**BACKGROUND & HISTORY:**

The City Council at its meeting on October 4, 2017, adopted an ordinance to permit and establish operating regulations for short term residential rentals. Short term residential rentals are the rental of residential dwelling units for occupancy for a period of 30 consecutive calendar days or less. Short term residential rentals are regulated by CMC [Chapter 5.55](#).

Since the adoption of the City’s short term residential rental ordinance, staff has responded to various complaints regarding noise and parking from the short term rentals. Over time these complaints escalated to the City Council from constituents that were impacted by the disturbances created by the short term renters in residential neighborhoods. The most common complaint was noise generated by the short term renters that involved loud music and voices due to the congregation of people in the rental. Parking was another common complaint as multiple cars from renters would park on the residential streets.

The City Council at its Study Session on August 16, 2023, discussed prohibiting short term residential rentals in the City. At the conclusion of the study session, the Council supported prohibiting short term residential rentals because of the negative secondary impact it has on residential neighborhoods related to noise, traffic, safety and parking. The Council also requested that the administrative penalty fee for operating a non-permitted short term residential rental be increased to deter the illegal operations of short term residential rentals in the City.

**ANALYSIS:**

The following is a summary of the urgency and regular ordinances proposed to prohibit short term residential rentals in the City.

Ordinance	Description
Urgency Ordinance 3380	Becomes effective immediately upon adoption by the City Council. Amends <a href="#">Chapter 5.55</a> of the CMC to prohibit short term residential rentals starting on October 18, 2023, establishes various definitions used in reference to short term residential rentals, prohibits hosting platforms from booking short term residential rentals in the city, allows short term residential rentals that were issued a short term residential permit from the city prior to the adoption of this ordinance to continue as a legal nonconforming short term residential rental up to the expiration date of the permit, and establishes penalties and enforcement.
Regular Ordinance 3381	This ordinance is identical to Urgency Ordinance 3380 but follows the traditional ordinance process and becomes effective 30 days after the adoption by the City Council.
Urgency Ordinance 3382	Becomes effective immediately upon adoption by the City Council. Establishes an amortization period for legal nonconforming short term residential rentals to cease operation, and operational requirements for legal nonconforming short term residential rentals during the amortization period starting on October 18, 2023. Legal nonconforming short term residential rentals may continue up to the expiration date of the permit. Short term residential rental permits are annual permits and expire 12 months from the date of issuance.
Regular Ordinance 3383	This ordinance is identical to Urgency Ordinance 3382 but follows the traditional ordinance process and becomes effective 30 days after the adoption by the City Council.

As noted in Urgency Ordinance 3380, short term residential rentals that have been issued a short term residential rental permit by the City prior to the adoption of the ordinance would be allowed to continue up to the expiration date of the permit. Short term residential rental permits are annual and expire every 12 months. The City has 12 short term residential rental permits that will expire within the next 12 months ranging from October 2023 to September 2024.

Resolution 2023-100 increases the administrative penalty fee for operating an illegal short term residential rental. The following table identifies the administrative penalty fee increase.

Administrative Citation Notice	Existing Administrative Penalty Fee - Illegal Short Term Residential Rental	Proposed Administrative Penalty Fee - Illegal Short Term Residential Rental
1 <sup>st</sup> Citation	\$100	\$1,500
2 <sup>nd</sup> Citation	\$200	\$3,000
3 <sup>rd</sup> + Citation	\$500	\$5,000

**FINANCIAL IMPACT:**

The adoption of the ordinances will have a negligible fiscal impact on the City General Fund. In FY 2022, the City received \$73,021 in revenue from the transit occupancy tax from short term residential rentals compared to the City’s total transit occupancy tax revenue of \$3.2 million which includes hotels.

**ENVIRONMENTAL ANALYSIS:**

This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action amends the Corona Municipal Code to prohibit short-term residential rentals, and adopts an uncodified ordinance establishing an amortization period and operational standards for legal nonconforming short term residential rentals, and there is no possibility that adopting this Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**PREPARED BY:** JOANNE COLETTA, PLANNING & DEVELOPMENT DIRECTOR

**Attachments:**

1. Exhibit 1 - Urgency Ordinance No. 3380
2. Exhibit 2 - Ordinance No. 3381 (clean version)
3. Exhibit 3 - Ordinance No. 3381 (redline version)
4. Exhibit 4 - Urgency Ordinance No. 3382
5. Exhibit 5 - Ordinance No. 3383
6. Exhibit 6 - Resolution No. 2023-100 (clean version)
7. Exhibit 7 - Resolution No. 2023-100 (redline version)



**URGENCY ORDINANCE NO. 3380**

**AN URGENCY ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, AMENDING CHAPTER 5.55 OF THE  
CORONA MUNICIPAL CODE TO PROHIBIT SHORT  
TERM RESIDENTIAL RENTALS.**

**WHEREAS**, Article XI, § 7 of the California Constitution authorizes cities to make and enforce within their limits all local, police, sanitary, and other ordinances and regulations not in conflict with general laws; and

**WHEREAS**, on October 4, 2017, the City Council adopted Ordinance No. 3272 adding Chapter 5.55 to the Corona Municipal Code (“CMC”) to permit and establish regulations for short-term residential rentals, which is defined as the rental of any legally permitted dwelling unit, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less; and

**WHEREAS**, since the adoption of Ordinance No. 3272, the City has received numerous noise, nuisance and neighborhood disturbance complaints concerning short-term residential rentals; and

**WHEREAS**, the City Council has determined that the operation of short-term residential rentals within residential areas and neighborhoods has created significant negative secondary impacts and unreasonable nuisance conditions on surrounding residential properties related to noise, traffic, safety, parking, etc., and disrupts the quietude and character of residential neighborhoods; and

**WHEREAS**, the City Council desires to amend CMC Chapter 5.55 to prohibit short-term residential rentals; and

**WHEREAS**, the City Council desires to allow Legal Nonconforming Short Term Rentals, as defined herein, to continue to operate, in strict accordance with the City’s uncodified Ordinance No. 3383 and Urgency Ordinance No. 3382, which Ordinances are deemed to be incorporated herein by this reference, but only until the expiration of the current short-term residential rental unit permit issued for that unit; and

**WHEREAS**, California Government Code § 36937(b) authorizes the City Council to adopt by a four-fifths vote, without following the procedures otherwise required for the adoption for an ordinance, an urgency ordinance which is necessary for the immediate protection of the public peace, health and safety; and

**WHEREAS**, the City Council has determined that an urgency ordinance is necessary to amend the CMC to prohibit short-term residential rental units upon adoption of this Urgency Ordinance to ensure that applications for short-term residential rental unit permits are not

submitted or issued after the adoption of this Urgency Ordinance, which would thwart the City's efforts to eliminate short-term residential rental units within the City and require legal nonconforming short-term residential rental units to cease operations.

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA DOES ORDAIN AS FOLLOWS:**

**SECTION 1. Urgency Findings.** In accordance with California Government Code Section 36937(b) and in order to protect the public peace, health and safety, the City Council finds as follows:

A. The Recitals stated above are incorporated herein by reference.

B. CMC Chapter 5.55 currently regulates the establishment and operation of short-term residential rental units in the City and provides the application process and operational requirements for short-term residential rental units.

C. Based upon the significant negative secondary impacts and unreasonable nuisance conditions created by short-term residential rental units, the City Council has determined that short-term residential rental units should no longer be a permissible use within the City effective October 18, 2023.

D. This Urgency Ordinance must take effect immediately upon adoption to ensure that no new applications for short-term residential rental permits are issued after the adoption of this Urgency Ordinance. Waiting 30 days from adoption after a first and second reading of an ordinance prohibiting short-term residential rental units would pose a risk to the public peace, health and safety in that the City's lawful efforts to eliminate short-term residential rental units within the City would be thwarted by the possibility of persons submitting applications for a short-term residential rental unit permit under the current CMC regulations. With the adoption of Urgency Ordinance No. 3382 and Ordinance No. 3383, the City has provided an amortization period for legal nonconforming short-term residential rental units to cease operations and this Urgency Ordinance is necessary to prevent the establishment of additional legal nonconforming short-term residential rental units after the City Council has determined that short-term residential rental units will no longer be permitted within the City.

E. The proposed amendments to the CMC attached hereto are consistent with all of the objectives, policies, general land uses, programs and actions of all elements of the Corona General Plan, and none of the proposed regulations conflict with current General Plan.

F. The proposed amendments to the CMC attached hereto are not detrimental to and are instead necessary for the immediate preservation and protection of the public convenience, health, safety and general welfare of the City, its residents and businesses, since the amendments will prohibit the establishment of any new short-term residential rental units, which will address the significant negative secondary impacts and unreasonable nuisance conditions that such units impose upon surrounding residential properties.

G. All legal prerequisites to the adoption of this Urgency Ordinance have occurred.

**SECTION 2. CEQA Findings.** This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action amends the Corona Municipal Code to prohibit short-term residential rentals, and there is no possibility that adopting this Urgency Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3. Amendments to Chapter 5.55.** Chapter 5.55 (Short-Term Residential Rentals) of Title 5 (Business Licenses and Regulations) of the Corona Municipal Code is hereby amended in its entirety to read as set forth in Exhibit “A” attached hereto and incorporated herein by reference.

**SECTION 4. Severability.** If any provision or clause of this Urgency Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Urgency Ordinance which can be given effect without the invalid provision or application. To this end, the provisions of this Urgency Ordinance are declared to be severable.

**SECTION 5. Conflicting Ordinances.** This Urgency Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Urgency Ordinance.

**SECTION 6. Effective Date.** This Urgency Ordinance shall become effective immediately upon adoption, if adopted by at least a four-fifths (4/5) vote of the City Council.

**SECTION 7. Publication.** The Mayor shall sign this Urgency Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a newspaper and circulated in the City of Corona.

**PASSED, APPROVED AND ADOPTED** this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Urgency Ordinance was regularly introduced and adopted at a regular meeting of the City Council of the City of Corona, California duly held on the 18<sup>th</sup> day of October, 2023 by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October, 2023.

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City Clerk of the City of Corona, California

[SEAL]

**EXHIBIT “A”**

**CHAPTER 5.55  
SHORT-TERM RESIDENTIAL RENTALS**

Sections

5.55.010	Purpose.
5.55.020	Definitions.
5.55.030	Short-term residential rentals prohibited.
5.55.040	Hosting platforms.
5.55.050	Penalties and enforcement.

**5.55.010 Purpose.**

It is the purpose of this chapter to establish that, effective October 18, 2023, short-term residential rentals shall no longer be a permissible use on any property within the City. From and after October 18, 2023, the advertisement for, operation, and/or establishment of a short-term residential rental unit within the City shall be prohibited.

**5.55.020 Definitions.**

The following words and phrases, whenever used in this chapter, shall be construed as defined in this section unless from the context a different meaning is specifically defined and more particularly directed to the use of such words or phrases:

(A) “**Advertisement**” means any printed or lettered announcement, whether in a magazine, newspaper, handbill, notice, display, billboard, poster, email, internet website application, online marketplace, or any other form.

(B) “**Director**” means the Planning and Development Director for the City of Corona or his or her designee.

(C) “**Hosting platform**” means any person, corporation or entity of any kind who participates in the short-term residential rental business by placing, facilitating or hosting advertisements, or collecting or receiving a fee, directly or indirectly through an agent or intermediary, for short-term residential rental units in the City.

(D) “**Legal nonconforming short-term residential rental unit**” means a short-term residential rental unit that, as of October 18, 2023, has a short-term residential rental unit permit duly issued by the City, pursuant to the Corona Municipal Code sections in effect as of the date issued.

(E) “**Occupancy**” means the use or possession, or the right to the use or possession, of any short-term residential rental for dwelling, lodging or sleeping purposes.

(F) **"Owner"** means the person(s) or entity(ies) that hold(s) legal and/or equitable title to the subject short-term residential rental unit.

(G) **"Short-term residential rental"** is defined as the rental or occupancy of any dwelling unit, as that term is defined in Chapter 17.04 of this code, or one or more portions of any dwelling unit, for a period of 30 consecutive calendar days or less, counting portions of calendar days as full days. Any such person so occupying a short-term residential rental shall be deemed to be occupying for a period of 30 days or less until the period of 30 days has expired, unless there is a contract or agreement in writing between the owner and the occupant providing for a longer period of occupancy. **Short-term residential rental** includes any contract or agreement that initially defined the rental term to be greater than 30 consecutive days and which was subsequently amended, either orally or in writing, to permit the occupant(s) of the owner's short-term residential rental to surrender the subject dwelling unit before the expiration of the initial rental term that results in an actual rental term of 30 consecutive calendar days or less. The rental of rooms or units within city-approved hotels, motels, and bed and breakfasts shall not be considered a short-term rental.

(H) **"Short-term residential rental unit"** is any dwelling unit, as that term is defined in Chapter 17.04 of this code, all or any portion of which is made available or used as a short-term residential rental.

#### **5.55.030 Short-term residential rentals prohibited.**

(A) Rental Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to offer or make available for rent or to rent (by way of a rental agreement, lease, license, or any other means, whether oral or written), for compensation or any consideration, a short-term residential rental unit.

(B) Advertising Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to place or maintain any advertisement for a short-term residential rental unit prohibited by this chapter.

(C) Occupancy Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to occupy, pursuant to a rental agreement, lease, license, or any other means, whether oral or written, for compensation or any consideration, a short-term residential rental unit.

(D) Legal Nonconforming Short-Term Rentals. From and after October 18, 2023, legal nonconforming short-term residential rental units may continue to operate, in strict accordance with the City's uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023 and incorporated herein by this reference, but only until the expiration of the current short-term residential rental unit permit issued for that unit.

**5.55.040      Hosting platforms.**

(A) Booking Prohibited. Hosting platforms shall not complete any booking transaction for short-term residential rentals in the City.

(B) Notice to City. No later than 30 days after any person seeks to use a hosting platform to list a short-term residential rental in the City, the hosting platform shall provide, in writing, to the Director the names of and contact information for any person responsible for each such listing, the address of each such listing, the length of stay for each such listing, and the price quoted for each such stay.

(C) Compensation Prohibited. Hosting platforms shall not collect or receive any compensation, whether monetary or non-monetary, either directly or indirectly through an agent or intermediary, for facilitating or providing for the renting of a short-term residential rental unit in the City, including, but not limited to, any ancillary service related thereto, such as insurance, concierge services, catering, restaurant bookings, tours, guide services, entertainment, cleaning, property management, or maintenance of the property or unit.

(D) Severability. The provisions of this section shall be interpreted in accordance with otherwise applicable state and federal law(s) and will not apply if determined by the City to be in violation of, or preempted by, any such law(s).

**5.55.050      Penalties and enforcement.**

(A) Any person violating any of the provisions of this chapter, or uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023, shall be deemed guilty of an infraction, and shall be subject to all applicable penalties or punishments available to the city, including, without limitation, those provided for in Chapter 1.08 of this code and, to the extent applicable, uncodified Ordinance No. 3383.

(B) Except as otherwise provided, enforcement of this chapter and uncodified Ordinance No. 3383, is at the sole discretion of the persons authorized to enforce this chapter and or uncodified Ordinance No. 3383. Nothing in this chapter or uncodified Ordinance No. 3383 shall create a right of action in any person against the city or its agents for damages or to compel public enforcement of this chapter or uncodified Ordinance No. 3383 against private parties.

(C) In addition to any other penalties available to the city, in accordance with the provisions of Chapter 9.29 of this code, the owner, the owner's agent, the renter or any other person responsible for an event at a short-term residential rental unit, or at a legal nonconforming short-term residential rental unit, may be issued an administrative fine for a subsequent police response to such event.

**ORDINANCE NO. 3381**

**AN ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, AMENDING CHAPTER 5.55 OF THE  
CORONA MUNICIPAL CODE TO PROHIBIT SHORT  
TERM RESIDENTIAL RENTALS.**

**WHEREAS**, Article XI, § 7 of the California Constitution authorizes cities to make and enforce within their limits all local, police, sanitary, and other ordinances and regulations not in conflict with general laws; and

**WHEREAS**, on October 4, 2017, the City Council adopted Ordinance No. 3272 adding Chapter 5.55 to the Corona Municipal Code (“CMC”) to permit and establish regulations for short-term residential rentals, which is defined as the rental of any legally permitted dwelling unit, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less; and

**WHEREAS**, since the adoption of Ordinance No. 3272, the City has received numerous noise, nuisance and neighborhood disturbance complaints concerning short-term residential rentals; and

**WHEREAS**, the City Council has determined that the operation of short-term residential rentals within residential areas and neighborhoods has created significant negative secondary impacts and unreasonable nuisance conditions on surrounding residential properties related to noise, traffic, safety, parking, etc., and disrupts the quietude and character of residential neighborhoods; and

**WHEREAS**, the City Council desires to amend CMC Chapter 5.55 to prohibit short-term residential rentals; and

**WHEREAS**, the City Council desires to allow Legal Nonconforming Short Term Rentals, as defined herein, to continue to operate, in strict accordance with the City’s uncodified Ordinance No. 3383, which Ordinance is deemed to be incorporated herein by this reference, but only until the expiration of the current short-term residential rental unit permit issued for that unit.

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA  
DOES ORDAIN AS FOLLOWS:**

**SECTION 1. Incorporation of Recitals.** The City Council finds and determines that the foregoing Recitals are true and correct and incorporates the Recitals herein.

**SECTION 2. CEQA Findings.** This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that

CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action amends the Corona Municipal Code to prohibit short-term residential rentals, and there is no possibility that adopting this Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3. Amendments to Chapter 5.55.** Chapter 5.55 (Short-Term Residential Rentals) of Title 5 (Business Licenses and Regulations) of the Corona Municipal Code is hereby amended in its entirety to read as set forth in Exhibit “A” attached hereto and incorporated herein by reference.

**SECTION 4. Severability.** If any provision or clause of this Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Ordinance which can be given effect without the invalid provision or application. To this end, the provisions of this Ordinance are declared to be severable.

**SECTION 5. Conflicting Ordinances.** This Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Ordinance.

**SECTION 6. Effective Date.** The Mayor shall sign this Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a general circulation newspaper published and circulated in the City of Corona. This Ordinance shall take effect and be in force 30 days after its adoption.

**PASSED, APPROVED AND ADOPTED** this 1<sup>st</sup> day of November 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Ordinance was regularly introduced at a regular meeting of the City Council of the City of Corona, California duly held on the 18<sup>th</sup> day of October 2023 and thereafter at a regular meeting held on the 1<sup>st</sup> day of November 2023 it was duly passed and adopted by the following vote of the City Council:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 1<sup>st</sup> day of November, 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

**EXHIBIT “A”**

**CHAPTER 5.55  
SHORT-TERM RESIDENTIAL RENTALS**

Sections

5.55.010	Purpose.
5.55.020	Definitions.
5.55.030	Short-term residential rentals prohibited.
5.55.040	Hosting platforms.
5.55.050	Penalties and enforcement.

**5.55.010 Purpose.**

It is the purpose of this chapter to establish that, effective October 18, 2023, short-term residential rentals shall no longer be a permissible use on any property within the City. From and after October 18, 2023, the advertisement for, operation, and/or establishment of a short-term residential rental unit within the City shall be prohibited.

**5.55.020 Definitions.**

The following words and phrases, whenever used in this chapter, shall be construed as defined in this section unless from the context a different meaning is specifically defined and more particularly directed to the use of such words or phrases:

(A) “**Advertisement**” means any printed or lettered announcement, whether in a magazine, newspaper, handbill, notice, display, billboard, poster, email, internet website application, online marketplace, or any other form.

(B) “**Director**” means the Planning and Development Director for the City of Corona or his or her designee.

(C) “**Hosting platform**” means any person, corporation or entity of any kind who participates in the short-term residential rental business by placing, facilitating or hosting advertisements, or collecting or receiving a fee, directly or indirectly through an agent or intermediary, for short-term residential rental units in the City.

(D) “**Legal nonconforming short-term residential rental unit**” means a short-term residential rental unit that, as of October 18, 2023, has a short-term residential rental unit permit duly issued by the City, pursuant to the Corona Municipal Code sections in effect as of the date issued.

(E) “**Occupancy**” means the use or possession, or the right to the use or possession, of any short-term residential rental for dwelling, lodging or sleeping purposes.

(F) **"Owner"** means the person(s) or entity(ies) that hold(s) legal and/or equitable title to the subject short-term residential rental unit.

(G) **"Short-term residential rental"** is defined as the rental or occupancy of any dwelling unit, as that term is defined in Chapter 17.04 of this code, or one or more portions of any dwelling unit, for a period of 30 consecutive calendar days or less, counting portions of calendar days as full days. Any such person so occupying a short-term residential rental shall be deemed to be occupying for a period of 30 days or less until the period of 30 days has expired, unless there is a contract or agreement in writing between the owner and the occupant providing for a longer period of occupancy. **Short-term residential rental** includes any contract or agreement that initially defined the rental term to be greater than 30 consecutive days and which was subsequently amended, either orally or in writing, to permit the occupant(s) of the owner's short-term residential rental to surrender the subject dwelling unit before the expiration of the initial rental term that results in an actual rental term of 30 consecutive calendar days or less. The rental of rooms or units within city-approved hotels, motels, and bed and breakfasts shall not be considered a short-term rental.

(H) **"Short-term residential rental unit"** is any dwelling unit, as that term is defined in Chapter 17.04 of this code, all or any portion of which is made available or used as a short-term residential rental.

#### **5.55.030 Short-term residential rentals prohibited.**

(A) Rental Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to offer or make available for rent or to rent (by way of a rental agreement, lease, license, or any other means, whether oral or written), for compensation or any consideration, a short-term residential rental unit.

(B) Advertising Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to place or maintain any advertisement for a short-term residential rental unit prohibited by this chapter.

(C) Occupancy Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to occupy, pursuant to a rental agreement, lease, license, or any other means, whether oral or written, for compensation or any consideration, a short-term residential rental unit.

(D) Legal Nonconforming Short-Term Rentals. From and after October 18, 2023, legal nonconforming short-term residential rental units may continue to operate, in strict accordance with the City's uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023 and incorporated herein by this reference, but only until the expiration of the current short-term residential rental unit permit issued for that unit.

**5.55.040      Hosting platforms.**

(A) Booking Prohibited. Hosting platforms shall not complete any booking transaction for short-term residential rentals in the City.

(B) Notice to City. No later than 30 days after any person seeks to use a hosting platform to list a short-term residential rental in the City, the hosting platform shall provide, in writing, to the Director the names of and contact information for any person responsible for each such listing, the address of each such listing, the length of stay for each such listing, and the price quoted for each such stay.

(C) Compensation Prohibited. Hosting platforms shall not collect or receive any compensation, whether monetary or non-monetary, either directly or indirectly through an agent or intermediary, for facilitating or providing for the renting of a short-term residential rental unit in the City, including, but not limited to, any ancillary service related thereto, such as insurance, concierge services, catering, restaurant bookings, tours, guide services, entertainment, cleaning, property management, or maintenance of the property or unit.

(D) Severability. The provisions of this section shall be interpreted in accordance with otherwise applicable state and federal law(s) and will not apply if determined by the City to be in violation of, or preempted by, any such law(s).

**5.55.050      Penalties and enforcement.**

(A) Any person violating any of the provisions of this chapter, or uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023, shall be deemed guilty of an infraction, and shall be subject to all applicable penalties or punishments available to the city, including, without limitation, those provided for in Chapter 1.08 of this code and, to the extent applicable, uncodified Ordinance No. 3383.

(B) Except as otherwise provided, enforcement of this chapter and uncodified Ordinance No. 3383, is at the sole discretion of the persons authorized to enforce this chapter and or uncodified Ordinance No. 3383. Nothing in this chapter or uncodified Ordinance No. 3383 shall create a right of action in any person against the city or its agents for damages or to compel public enforcement of this chapter or uncodified Ordinance No. 3383 against private parties.

(C) In addition to any other penalties available to the city, in accordance with the provisions of Chapter 9.29 of this code, the owner, the owner's agent, the renter or any other person responsible for an event at a short-term residential rental unit, or at a legal nonconforming short-term residential rental unit, may be issued an administrative fine for a subsequent police response to such event.

**ORDINANCE NO. 3381**

**AN ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, AMENDING CHAPTER 5.55 OF THE  
CORONA MUNICIPAL CODE TO PROHIBIT SHORT  
TERM RESIDENTIAL RENTALS**

**WHEREAS**, Article XI, § 7 of the California Constitution authorizes cities to make and enforce within their limits all local, police, sanitary, and other ordinances and regulations not in conflict with general laws; and

**WHEREAS**, on October 4, 2017, the City Council adopted Ordinance No. 3272 adding Chapter 5.55 to the Corona Municipal Code (“CMC”) to permit and establish regulations for short-term residential rentals, which is defined as the rental of any legally permitted dwelling unit, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less; and

**WHEREAS**, since the adoption of Ordinance No. 3272, the City has received numerous noise, nuisance and neighborhood disturbance complaints concerning short-term residential rentals; and

**WHEREAS**, the City Council has determined that the operation of short-term residential rentals within residential areas and neighborhoods has created significant negative secondary impacts and unreasonable nuisance conditions on surrounding residential properties related to noise, traffic, safety, parking, etc., and disrupts the quietude and character of residential neighborhoods; and

**WHEREAS**, the City Council desires to amend CMC Chapter 5.55 to prohibit short-term residential rentals; and

**WHEREAS**, the City Council desires to allow Legal Nonconforming Short Term Rentals, as defined herein, to continue to operate, in strict accordance with the City’s uncodified Ordinance No. 3383, which Ordinance is deemed to be incorporated herein by this reference, but only until the expiration of the current short-term residential rental unit permit issued for that unit.

**NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA,  
CALIFORNIA, DOES ORDAIN AS FOLLOWS:**

**SECTION 1. Incorporation of Recitals.** The City Council finds and determines that the foregoing Recitals are true and correct and incorporates the Recitals herein.

**SECTION 2. CEQA Findings.** This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that

CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action amends the Corona Municipal Code to prohibit short-term residential rentals, and there is no possibility that adopting this Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3.** Amendments to Chapter 5.55. Chapter 5.55 (Short-Term Residential Rentals) of Title 5 (Business Licenses and Regulations) of the Corona Municipal Code is hereby amended in its entirety to read as set forth in Exhibit “A” attached hereto and incorporated herein by reference.

**SECTION 4.** Severability. If any provision or clause of this Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Ordinance which can be given effect without the invalid provision or application. To this end, the provisions of this Ordinance are declared to be severable.

**SECTION 5.** Conflicting Ordinances. This Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Ordinance.

**SECTION 6.** Effective Date. The Mayor shall sign this Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a general circulation newspaper published and circulated in the City of Corona. This Ordinance shall take effect and be in force on the 30<sup>th</sup> day after its adoption.

**PASSED, APPROVED AND ADOPTED** this \_\_\_\_ day of \_\_\_\_\_ 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

CERTIFICATION

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Ordinance was regularly introduced at a regular meeting of the City Council of the City of Corona, California, duly held on the \_\_\_\_ day of \_\_\_\_\_ 2023, and thereafter at a regular meeting held on the \_\_\_\_ day of \_\_\_\_\_ 2023, it was duly passed and adopted by the following vote of the City Council:

**AYES:**

**NOES:**

**ABSTAINED:**

**ABSENT:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this \_\_\_\_ day of \_\_\_\_\_ 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

(SEAL)

**EXHIBIT “A”**

**CHAPTER 5.55  
SHORT-TERM RESIDENTIAL RENTALS**

Sections

5.55.010	Purpose.
5.55.020	Definitions.
5.55.030	<u>Authorized agent Short-term residential rentals prohibited.</u>
<del>5.55.040</del>	<del>Business license required.</del>
<del>5.55.050</del>	<del>Permit required.</del>
<del>5.55.060</del>	<del>Obtaining and renewing permit; revocation.</del>
<del>5.55.070</del>	<del>Operational requirements.</del>
<del>5.55.080</del> 5.55.040	<u>Hosting platforms.</u>
5.55.050	Penalties and enforcement.
<del>5.55.090</del>	<del>Interpretation</del>

**5.55.010- Purpose.**

~~(A) — The~~ It is the purpose of this chapter ~~is to establish regulations for that, effective October 18, 2023~~ short-term residential rentals ~~in order to safeguard the peace, safety and general welfare of neighborhoods shall no longer be a permissible use on any property within the City of Corona by minimizing negative secondary effects related to short term residential rentals, including, but not limited to, excessive noise, disorderly conduct, illegal parking, overcrowding and excessive accumulation of refuse; and to ensure that. From and after October 18, 2023, the city is collecting transient occupancy tax pursuant to Chapter 3.34 of this code.~~

~~(B) — This chapter is not intended to provide any owner of residential property with the right advertisement for, operation, and/or privilege to violate any deed restrictions or private conditions, covenants and restrictions applicable to the owner's property that may prohibit the use of such owner's residential property for establishment of a short-term residential rental purposes as defined in this chapter. Short term residential rentals are not permitted in dwelling units that have deed restrictions for affordable housing purposes or have other city, housing authority or other government imposed conditions of approval or restrictions which prohibit the use of said dwelling unit as a short term residential rental as defined herein. within the City shall be prohibited.~~

**5.55.020 Definitions.**

— The following words and phrases, whenever used in this chapter, shall be construed as defined in this section unless from the context a different meaning is specifically defined and more particularly directed to the use of such words or phrases:

(A) ~~"Broker"~~ "Advertisement" means any ~~entity~~ printed or lettered announcement, whether in a magazine, newspaper, handbill, notice, display, billboard, poster, email, internet website application, online marketplace, or any other form.

(B) "Director" means the Planning and Development Director for the City of Corona or his or her designee.

(C) "Hosting platform" means any person, including but not limited to, on-line websites, on-line travel agencies and on-line booking agents that offers, lists, advertises, accepts reservations and/or collects whole or partial payment for a corporation or entity of any kind who participates in the short-term residential rental business by placing, facilitating or hosting advertisements, or collecting or receiving a fee, directly or indirectly through an agent or intermediary, for short-term residential rental units in the City.

(D) "Legal nonconforming short-term residential rental unit" means a short-term residential rental unit that, as of October 18, 2023, has a short-term residential rental unit permit duly issued by the City, pursuant to the Corona Municipal Code sections in effect as of the date issued.

~~(B) "Designated Local Contacts" means at least two persons, one of whom shall be available 24 hours per day, seven days per week for the purpose of responding to the location of the residential unit within 45 minutes to address complaints regarding the condition or operation of the short-term residential rental unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit.~~

~~(C) "Good Neighbor Brochure" means the city's brochure titled "Short-Term Residential Rental Good Neighbor Standards" or a similarly titled brochure made available by the city which briefly describes the city's requirements for the operation, maintenance and use of short-term residential rentals.~~

~~(E)~~ **(E)** "Occupancy" means the use or possession, or the right to the use or possession, of any short-term residential rental for dwelling, lodging or sleeping purposes.

~~(F)~~ **(F)** "Owner" means the person(s) or entity(ies) that hold(s) legal and/or equitable title to the subject short-term residential rental unit.

~~(F) "Owner's authorized agent" means an agent designated by the owner to comply with the requirements of this chapter on behalf of the owner, as provided for in Section 5.55.030 of this chapter.~~

**(G) "Short-term residential rental" is defined as the rental or occupancy of any ~~legally permitted~~ dwelling unit, as that term is defined in Chapter 17.04 of this code, or one or more portions of any ~~legally permitted~~ dwelling unit, ~~for occupancy purposes~~ for a period of 30 consecutive calendar days or less, counting portions of calendar days as full days. Any such person so occupying a short-term residential rental shall be deemed to be occupying for a period of 30 days or less until the period of 30 days has expired, unless there is a contract or agreement in writing between the owner and the occupant providing for a longer period of occupancy. **Short-****

**term residential rental** includes any contract or agreement that initially defined the rental term to be greater than 30 consecutive days and which was subsequently amended, either orally or in writing, to permit the occupant(s) of the owner's short-term residential rental to surrender the subject dwelling unit before the expiration of the initial rental term that results in an actual rental term of 30 consecutive calendar days or less. The rental of rooms or units within city-approved hotels, motels, and bed and breakfasts shall not be considered a short-term rental.

(H) "**Short-term residential rental unit**" is any ~~legally permitted~~ dwelling unit, as that term is defined in Chapter 17.04 of this code, all or any portion of which is made available or used as a short-term residential rental. ~~Each short-term residential rental unit shall have an assigned real property address.~~

#### **5.55.030 Authorized agent.**

~~(A) — An owner may in writing authorize an agent to comply with the requirements of this chapter on behalf of the owner. The authorized agent shall submit a copy of the authorization to the city during the initial permit and all renewal permit process(es).~~

~~(B) — Notwithstanding subsection (A) of this section, the owner shall not be relieved from any personal responsibility and personal liability for noncompliance with any applicable law, rule or regulation pertaining to the use and occupancy of the subject short-term residential rental unit, regardless of whether such noncompliance was committed by the owner, the owner's authorized agent, the occupants of the owner's short-term residential rental unit or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit.~~ **rentals prohibited.**

#### ~~5.55.040 Business license required.~~

~~— The owner (A) Rental Prohibited. From and after October 18, 2023, it shall be unlawful for any person or owner's authorized agent is required to obtain a business license pursuant to Chapter 5.02 of this code before renting or advertising the availability of make available for rent or to rent (by way of a rental agreement, lease, license, or any other means, whether oral or written), for compensation or any consideration, a short-term residential rental unit.~~

#### **5.55.050 Permit required.**

~~(A) — Before renting or advertising the availability of (B) Advertising Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to place or maintain any advertisement for a short-term residential rental unit, the owner or owner's authorized agent is required to obtain prohibited by this chapter.~~

~~(C) Occupancy Prohibited. From and after October 18, 2023, it shall be unlawful for any person or entity to occupy, pursuant to a rental agreement, lease, license, or any other means, whether oral or written, for compensation or any consideration, a short-term residential rental unit.~~

~~(D) Legal Nonconforming Short Term Rentals. From and after October 18, 2023, legal nonconforming short-term residential rental permit from the city pursuant to this chapter. Each short-term residential rental unit must have its own permit.~~

~~(B) — A short-term residential rental permit shall be valid for one calendar year from the date of issuance and must be renewed annually thereafter.~~

~~(C) — Every broker shall ensure that each short-term residential rental unit is registered with the city and that the owner or owner's authorized agent has obtained the requisite short-term residential rental permit and business license prior to listing or advertising said unit for rent.~~

~~(D) — The requirement for a short-term residential rental permit shall be based on the actual duration of the rental period and not the stated time period of the reservation, rental or other contract or agreement.~~

#### ~~5.55.060 — Obtaining and renewing permit; revocation.~~

~~(A) — The owner or owner's authorized agent must provide the following information on a short-term residential rental permit application form provided by the Community Development Department:~~

~~(1) — The name, address and telephone number of the owner of the short-term residential rental unit.~~

~~(2) — If applicable, the name, address and telephone number of the authorized agent of the owner of the short-term residential rental unit.~~

~~(3) — The name, address and telephone number of all designated local contacts for each short-term residential unit.~~

~~(4) — The address of the real property at which the proposed short-term residential rental unit is located.~~

~~(5) — The name and contact information for all intended brokers for the short-term residential rental unit, as well as any applicable listing numbers.~~

~~(6) — The number of rooms capable of being rented in the short-term residential rental unit.~~

~~(7) — Acknowledgment of receipt of, and commitment to comply with, the city's good neighbor brochure.~~

~~(8) — Such other information as the community development director, or his/her designee, deems reasonably necessary to administer this chapter.~~

~~(B) — Any fee for a short-term residential rental permit shall be established by resolution of the city council.~~

~~(C) — The community development director, or his/her designee, shall approve or renew a short-term residential rental permit, unless any of the following conditions apply in his/her reasonable discretion:~~

~~(1) — Any false statements or other false information has been provided in the application for the subject permit or any other short-term residential rental permit submitted by the owner and/or the owner's authorized agent.~~

~~(2) — If, within the past 12 months, the owner or the owner's authorized agent has had a prior short-term residential rental permit denied for the same or other short-term residential rental unit.~~

~~(3) — If, within the past 36 months, the owner or the owner's authorized agent has had a prior short-term residential rental permit revoked for the same or other short-term residential rental unit.~~

~~(4) — If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the business license requirements of Chapter 5.02 of this code for the same or other short-term residential rental unit.~~

~~(5) — If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the transient occupancy tax requirements of Chapter 3.34 of this code for the same or other short-term residential rental unit.~~

~~(D) — The community development director, or his/her designee, shall have the authority to revoke a short-term residential rental permit if any of the following conditions apply in his/her reasonable discretion:~~

~~(1) — Any of the conditions provided for in § 5.55.060(C) of this code.~~

~~(2) — A violation of any of the conditions included in the short-term residential rental permit.~~

~~(3) — A violation of any of the operational requirements provided for in § 5.55.070 of this code.~~

~~(E) — Any decision of the community development director, or his/her designee, regarding the denial or revocation of a short-term residential rental permit may be appealed pursuant to Chapter 1.09 of this code.~~

**5.55.070 — Operational requirements.**

~~————The following requirements shall be applicable to each short-term residential rental unit and shall be provided for in the short-term residential rental permit:~~

~~(A)——The owner and, if applicable, the owner's authorized agent shall ensure that the provisions of Chapter 3.34 of this code regarding the collection and remittance of transient occupancy taxes are complied with for the short-term residential rental unit. A broker that collects any revenue from arranging or listing a short-term rental unit shall have primary responsibility for collecting, paying and transmitting all revenues due to the city pursuant to Chapter 3.34 of this code.~~

~~(B)——The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the short-term residential rental unit is used in a manner that complies with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the subject short-term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards.~~

~~(C)——The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the short-term residential rental unit is operated, maintained and used in a manner that complies with the city's good neighbor brochure.~~

~~(D)——While a short-term residential rental unit is rented, at least one designated local contact person shall be available 24 hours per day, seven days per week. The owner and, if applicable, the owner's authorized agent shall be responsible for making sure that at least one designated local contact is available at all times and that any person who attempts to contact the designated local contacts can easily determine which designated local contact(s) are available at that time.~~

~~(E)——The owner and, if applicable, the owner's authorized agent shall post the name and telephone number of the designated local contact who is on-call that day on the exterior of the unit within plain and conspicuous view for the general public. The name and telephone number shall be typewritten in a bold and clearly readable type font which is either at least a 14 point font or at least one-quarter inch in height.~~

~~(F)——Upon oral or written notification of a violation, the owner and, if applicable, the owner's authorized agent shall ensure that a designated local contact or other authorized person responds in a timely and appropriate manner to immediately address the situation and prevent a recurrence. Failure of the owner and, if applicable, the owner's authorized agent to respond to such notifications in a timely and appropriate manner shall subject the owner to all administrative, legal and equitable remedies available to the city. For purposes of this section, a violation shall mean any condition or operation of the short-term residential rental unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit which allegedly is causing a violation of applicable federal, state or local laws, rules and regulations pertaining to the use or occupancy of the subject~~

~~short term residential rental unit, including, without limitation, any noise or other nuisance avoidance standard.~~

~~(G) — In units may continue to operate, in strict accordance with the provisions of Chapter 9.29 of this code, the owner, the owner's agent, the renter or any other person responsible for an event held at the short term residential rental unit may be issued an administrative fine for any subsequent police response to such event.~~

~~(H) — Occupants of the short term residential rental unit shall not generate loud and unnecessary noises inconsistent with Chapter 9.24 of this code.~~

~~(I) — The owner and, if applicable, the owner's authorized agent shall use reasonably prudent business practices to ensure that the short term residential rental unit is used for residential purposes City's uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023 and incorporated herein by this reference, but only.~~

~~(J) — Prior to occupancy of a short term residential rental unit, the owner and, if applicable, the owner's authorized agent shall:~~

~~(1) — Obtain the name, address and telephone number of the renter.~~

~~(2) — Provide a copy of the city's good neighbor brochure to the renter. In addition, require the renter to execute a formal acknowledgment that he or she has received the good neighbor brochure and that he or she will be responsible for compliance with the good neighbor brochure by the renter, all occupants and all invited or uninvited guests of the renter and occupants.~~

~~(3) — Require the renter to execute a formal acknowledgment that he or she is legally responsible for compliance with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the short term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards by the renter, all occupants and all invited or uninvited guests of the renter and occupants.~~

~~(4) — The information required in subsections (J)(1) through (J)(3) above shall be maintained by the owner and, if applicable, the owner's authorized agent for a period of three years following the end of the rental period. Written copies of the information shall be made available upon request to any city representative for the enforcement of any provision of the municipal code or any other applicable law, rule or regulation pertaining to the use and occupancy of the short term residential rental unit.~~

~~(K) — Trash and refuse shall not be left stored within public view, except in proper containers as required by this code for the purpose of collection by the city's authorized waste hauler on scheduled trash collection days.~~

~~(L) — On site parking shall be allowed on approved driveway, garage, and/or carport areas only. Parking of over-sized vehicles must comply with the provisions of § 10.20.250 of this code.~~

~~(M) — The number of occupants allowed to occupy any given short term residential rental unit shall be limited to two people per bedroom plus one person per unit.~~

~~(N) — The community development director, or his/her designee, shall have the authority to impose additional conditions on the use of any given short term residential rental unit to ensure that any potential secondary effects unique to the subject short term residential rental unit are avoided or adequately mitigated.~~

~~(O) — The owner or, if applicable, the owner's authorized agent shall post the until the expiration of the current short-term residential rental permit number for a short term residential unit on or in any advertisement appearing in any written publication or on any website that promotes the availability or existence of the short term residential rental unit permit issued for that unit.~~

**5.55.080040 Hosting platforms.**

(A) Booking Prohibited. Hosting platforms shall not complete any booking transaction for short-term residential rentals in the City.

(B) Notice to City. No later than 30 days after any person seeks to use a hosting platform to list a short-term residential rental in the City, the hosting platform shall provide, in writing, to the Director the names of and contact information for any person responsible for each such listing, the address of each such listing, the length of stay for each such listing, and the price quoted for each such stay.

(C) Compensation Prohibited. Hosting platforms shall not collect or receive any compensation, whether monetary or non-monetary, either directly or indirectly through an agent or intermediary, for facilitating or providing for the renting of a short-term residential rental unit in the City, including, but not limited to, any ancillary service related thereto, such as insurance, concierge services, catering, restaurant bookings, tours, guide services, entertainment, cleaning, property management, or maintenance of the property or unit.

(D) Severability. The provisions of this section shall be interpreted in accordance with otherwise applicable state and federal law(s) and will not apply if determined by the City to be in violation of, or preempted by, any such law(s).

**5.55.050 Penalties and enforcement.**

(A) Any person violating any of the provisions of this chapter, or uncodified Ordinance No. 3383 adopted by the City Council on October 18, 2023, shall be deemed guilty of an infraction, and shall be subject to all applicable penalties or punishments available to the city, including, without limitation, those provided for in Chapter 1.08 of this code and, to the extent applicable, uncodified Ordinance No. 3383.

~~(B) In addition to any other penalties available to the city, the community development director, or his/her designee, may, in lieu of revoking a permit pursuant to § 5.55.060 of this code, impose additional permit conditions on the use of any short term residential rental unit pursuant to § 5.55.070(M) of this code. Any decision of the community development director, or his/her designee, pursuant to this provision may be appealed pursuant to Chapter 1.09 of this code.~~

~~(B)~~ Except as otherwise provided, enforcement of this chapter and uncodified Ordinance No. 3383, is at the sole discretion of the persons authorized to enforce this chapter: and or uncodified Ordinance No. 3383. Nothing in this chapter or uncodified Ordinance No. 3383 shall create a right of action in any person against the city or its agents for damages or to compel public enforcement of this chapter or uncodified Ordinance No. 3383 against private parties.

~~(C)~~ In addition to any other penalties available to the city, in accordance with the provisions of Chapter 9.29 of this ~~edöecode~~, the owner, the owner's agent, the renter or any other person responsible for an event at a short-term residential rental unit, or at a legal nonconforming

short-term residential rental unit, may be issued an administrative fine for a subsequent police response to such event.

**5.55.090 Interpretation.**

~~———— This chapter shall be construed liberally in favor of regulation, as determined if necessary and appropriate by the community development director, or his/her designee, for the public protection and welfare and in order to accomplish its purpose and intent.~~

**URGENCY ORDINANCE NO. 3382**

**AN URGENCY ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, ESTABLISHING AN AMORTIZATION  
PERIOD AND OPERATIONAL REQUIREMENTS FOR  
LEGAL NONCONFORMING SHORT-TERM RESIDENTIAL  
RENTAL UNITS.**

**WHEREAS**, Article XI, § 7 of the California Constitution authorizes cities to make and enforce within their limits all local, police, sanitary, and other ordinances and regulations not in conflict with general laws; and

**WHEREAS**, on October 4, 2017, the City Council adopted Ordinance No. 3272 adding Chapter 5.55 to the Corona Municipal Code (“CMC”) to permit and establish regulations for short-term residential rentals, which is defined as the rental of any legally permitted dwelling unit, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less; and

**WHEREAS**, since the adoption of Ordinance No. 3272, the City has received numerous noise, nuisance and neighborhood disturbance complaints concerning short-term residential rentals; and

**WHEREAS**, the City Council has determined that the operation of short-term residential rentals within residential areas and neighborhoods has created significant negative secondary impacts and unreasonable nuisance conditions on surrounding residential properties related to noise, traffic, safety, parking, etc., and disrupts the quietude and character of residential neighborhoods; and

**WHEREAS**, on October 18, 2023, the City Council adopted Urgency Ordinance No. 3380 and introduced and conducted first reading of Ordinance No. 3381 amending CMC Chapter 5.55 to prohibit short-term residential rental units; and

**WHEREAS**, the City Council desires to establish an amortization period for legal nonconforming short-term residential rental units to cease operations, as well as to establish operational requirements for legal nonconforming short-term residential rental units to meet during such amortization period; and

**WHEREAS**, California Government Code § 36937(b) authorizes the City Council to adopt by a four-fifths vote, without following the procedures otherwise required for the adoption for an ordinance, an urgency ordinance which is necessary for the immediate protection of the public peace, health and safety; and

**WHEREAS**, the City Council has determined that an urgency ordinance is necessary to establish an amortization period and operational requirements for legal

nonconforming short-term residential rental units because Urgency Ordinance No. 3380 and Ordinance No. 3381 prohibits short-term residential rental units effective October 18, 2023 and it is necessary to have regulations in place by October 18, 2023 to ensure that legal nonconforming short-term residential rental units are permitted to continue to operate during the amortization period and there are operational standards to apply to legal nonconforming short-term residential rental units during the amortization period.

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA DOES ORDAIN AS FOLLOWS:**

**SECTION 1. Urgency Findings.** In accordance with California Government Code Section 36937(b) and in order to protect the public peace, health and safety, the City Council finds as follows:

- A. The Recitals stated above are incorporated herein by reference.
- B. Prior to the adoption of Urgency Ordinance No. 3380 and Ordinance No. 3381, CMC Chapter 5.55 regulated the establishment and operation of short-term residential rental units in the City and provided an application process and operational requirements for short-term residential rental units. Pursuant to Urgency Ordinance No. 3380 and Ordinance No. 3381, short-term residential rental units are no longer permitted within the City effective October 18, 2023. The short-term residential rental unit permits that were issued pursuant to CMC Chapter 5.55 prior to October 18, 2023 were valid for a period of one-year from the date of issuance.
- C. This Urgency Ordinance must take effect immediately upon adoption and is necessary to ensure that the City has regulations in place for legal nonconforming short-term residential rental units that have a valid, unexpired short-term residential rental permit issued by the City for such unit prior to October 18, 2023. This Urgency Ordinance will provide an amortization period equal to the remaining term of the permit issued for a legal nonconforming short-term residential rental unit and will facilitate the wind down of operations for such units. This Urgency Ordinance will also provide operational standards and regulations that will apply to legal nonconforming short-term residential rental units during the amortization period.
- E. The regulations set forth in this Urgency Ordinance are consistent with all of the objectives, policies, general land uses, programs and actions of all elements of the Corona General Plan, and none of the proposed regulations conflict with current General Plan.
- F. The regulations set forth in this Urgency Ordinance are not detrimental to and are instead necessary for the immediate preservation and protection of the public convenience, health, safety and general welfare of the City, its residents and businesses, since the regulations will provide a regulatory framework for owners of legal nonconforming short-term residential rental units to cease operations by the end of the amortization period, as well as operational standards that will apply to such units during the amortization period, which will safeguard the peace, safety and general welfare of neighborhoods within the City by minimizing negative secondary effects related to short-term residential rentals.

G. All legal prerequisites to the adoption of this Urgency Ordinance have occurred.

**SECTION 2. CEQA Findings.** This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action establishes an amortization period and provides operational standards for legal nonconforming short-term rentals, and there is no possibility that adopting this Urgency Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3. Purpose.** The purpose of this Urgency Ordinance is to impose operational requirements and regulations for the continued use, operation and occupancy of legal nonconforming short-term residential rental units until the end of the amortization period set forth in Section 4 of this Urgency Ordinance in order to safeguard the peace, safety and general welfare of neighborhoods within the City of Corona by minimizing negative secondary effects related to short-term residential rental units, including, but not limited to, excessive noise, disorderly conduct, illegal parking, overcrowding and excessive accumulation of refuse; and to ensure that the city is collecting transient occupancy tax pursuant to Chapter 3.34 of the Corona Municipal Code.

**SECTION 4. Legal Non-Conforming Short Term Rental Units.** The following shall apply to all legal nonconforming short-term residential rental units:

**AMORTIZATION PERIOD - OPERATIONAL REQUIREMENTS  
(LEGAL NONCONFORMING SHORT-TERM RESIDENTIAL RENTAL UNITS)**

**I. Definitions.**

The following words and phrases, whenever used in this Urgency Ordinance, shall be construed as defined in this section, unless from the context a different meaning is specifically defined and more particularly directed to the use of such words or phrases:

(A) “**Advertisement**” means any printed or lettered announcement, whether in a magazine, newspaper, handbill, notice, display, billboard, poster, email, internet website application, online marketplace, or any other form.

(B) “**Amortization period**” for each nonconforming short-term residential rental unit shall mean the period between October 18, 2023 and the date that the pre-existing permit expires for that unit.

(C) “**Broker**” means any entity or person, including but not limited to, on-line websites, on-line travel agencies and on-line booking agents that offers, lists, advertises, accepts reservations and/or collects whole or partial payment for a short-term residential rental unit.

(D) **"Designated local contacts"** means at least two persons, one of whom shall be available 24 hours per day, seven days per week for the purpose of responding to the location of a nonconforming short-term residential rental unit within 45 minutes to address complaints regarding the condition or operation of said unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's nonconforming short-term residential rental unit.

(E) **"Director"** means the Planning and Development Director for the City of Corona or his or her designee.

(F) **"Good neighbor brochure"** means the city's brochure titled "Short-Term Residential Rental Good Neighbor Standards" or a similarly titled brochure made available by the city which briefly describes the city's requirements for the operation, maintenance and use of short-term residential rental units.

(G) **"Legal nonconforming short-term residential rental unit"** means a short-term residential rental unit that, as of October 18, 2023, has a pre-existing permit.

(H) **"Occupancy"** means the use or possession, or the right to the use or possession, of any short-term residential rental unit for dwelling, lodging or sleeping purposes.

(I) **"Owner"** means the person(s) or entity(ies) that hold(s) legal and/or equitable title to the subject short-term residential rental unit.

(J) **"Owner's authorized agent"** means an agent designated by the owner to comply with the requirements of this Urgency Ordinance on behalf of the owner, as provided for in Section 4 of this Urgency Ordinance.

(K) **"Pre-Existing Permit"** means a short-term residential rental unit permit that was duly issued by the City, pursuant to the Corona Municipal Code sections in effect as of the date issued, on or before October 18, 2023.

(L) **"Short-term residential rental"** is defined as the rental of any legally permitted dwelling unit, as that term is defined in Chapter 17.04 of the Corona Municipal Code, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less, counting portions of calendar days as full days. Any such person so occupying a short-term residential rental shall be deemed to be occupying for a period of 30 days or less until the period of 30 days has expired, unless there is a contract or agreement in writing between the owner and the occupant providing for a longer period of occupancy. **Short-term residential rental** includes any contract or agreement that initially defined the rental term to be greater than 30 consecutive days and which was subsequently amended, either orally or in writing, to permit the occupant(s) of the owner's short-term residential rental to surrender the subject dwelling unit before the expiration of the initial rental term that results in an actual rental

term of 30 consecutive calendar days or less. The rental of rooms or units within city-approved hotels, motels, and bed and breakfasts shall not be considered a short-term rental.

(M) **"Short-term residential rental unit"** is any legally permitted dwelling unit, as that term is defined in Chapter 17.04 of the Corona Municipal Code, all or any portion of which is made available as a short-term residential rental. Each short-term residential rental unit shall have an assigned real property address.

## **II. Owner's Authorized Agent.**

(A) Agent. An owner may in writing authorize an agent to comply with the requirements of this Urgency Ordinance on behalf of the owner. The written authorization for the owner's authorized agent shall be provided to the city.

(B) Owner Responsibility. Notwithstanding subsection (A) of this section, the owner shall not be relieved from any personal responsibility and personal liability for noncompliance with any applicable law, rule or regulation pertaining to the use and occupancy of the subject short-term residential rental unit, regardless of whether such noncompliance was committed by the owner, the owner's authorized agent, the occupants of the owner's short-term residential rental unit or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit.

## **III. Legal nonconforming short-term residential rental units; Amortization period until expiration of pre-existing permit.**

(A) Amortization Period. From and after October 18, 2023, legal nonconforming short-term residential rental units may continue to operate, in strict accordance with this Urgency Ordinance, but only until the expiration of the pre-existing permit issued for that unit.

(B) Allowed During Amortization Period. The renting, occupancy or advertisement of a legal nonconforming short-term residential rental unit shall be permitted during the amortization period, provided that the owner or owner's authorized agent has a pre-existing permit for such unit. Each legal nonconforming short-term residential rental unit must have its own pre-existing permit.

(C) Broker Pre-Listing Obligation. Prior to listing a short-term residential rental unit for rent or placing an advertisement for a short-term residential rental unit, a broker shall ensure that said unit is a legal nonconforming short-term residential rental unit.

## **IV. Expiration of pre-existing permit.**

(A) No Renewal. No pre-existing permit shall be renewed upon its expiration or revocation.

(B) Cease Operations. The owner and, if applicable, the owner's authorized agent, shall cease all operation of the legal nonconforming short-term residential rental unit on or before the date that the unit's pre-existing permit expires.

(C) Rental Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to offer or make available for rent or to rent (by way of a rental agreement, lease, license, or any other means, whether oral or written), for compensation or any consideration, such legal nonconforming short-term residential rental unit.

(D) Advertising Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to place or maintain any advertisement for such legal nonconforming short-term residential rental unit.

(E) Occupancy Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to occupy, pursuant to a rental agreement, lease, license, or any other means, whether oral or written, for compensation or any consideration, such legal nonconforming short-term residential rental unit.

(F) No New Permit Applications. Commencing on October 18, 2023, no application shall be accepted by the city for a short-term residential rental unit permit.

**V. Revocation of pre-existing permit.**

(A) Revocation Grounds. The director shall have the authority to revoke a pre-existing permit if any of the following conditions apply in his/her reasonable discretion:

(1) Any false statements or other false information has been provided in the application for a pre-existing permit submitted by the owner and/or the owner's authorized agent.

(2) If, within the past 36 months, the owner or the owner's authorized agent has had a prior short-term residential rental unit permit revoked for the same or other short-term residential rental unit.

(3) If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the business license requirements of Chapter 5.02 of the Corona Municipal Code for the same or other short-term residential rental unit.

(4) If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the transient occupancy tax requirements of Chapter 3.34 of the Corona Municipal Code for the same or other short-term residential rental unit.

(5) A violation of any of the conditions included in the pre-existing permit.

(6) A violation of any of the operational requirements provided for in Section VI of this Urgency Ordinance.

(B) Appeal. Any decision of the director regarding the revocation of a pre-existing permit may be appealed pursuant to Chapter 1.09 of the Corona Municipal Code.

**VI. Operational requirements for legal nonconforming short-term residential rental units.**

The following requirements shall be applicable to each nonconforming short-term residential rental unit:

(A) Transient Occupancy Tax. The owner and, if applicable, the owner's authorized agent shall ensure that the provisions of Chapter 3.34 of the Corona Municipal Code regarding the collection and remittance of transient occupancy taxes are complied with for the nonconforming short-term residential rental unit. A broker that collects any revenue from arranging or listing a nonconforming short-term residential rental unit shall have primary responsibility for collecting, paying and transmitting all revenues due to the city pursuant to Chapter 3.34 of the Corona Municipal Code.

(B) Use and Occupancy Requirements. The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is used in a manner that complies with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the subject legal nonconforming short-term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards.

(C) Good Neighbor Brochure. The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is operated, maintained and used in a manner that complies with the city's good neighbor brochure.

(D) Local Contact(s) - Designation. While a legal nonconforming short-term residential rental unit is rented, at least one designated local contact person shall be available 24 hours per day, seven days per week. The owner and, if applicable, the owner's authorized agent shall be responsible for making sure that at least one designated local contact is available at all times and that any person who attempts to contact the designated local contacts can easily determine which designated local contact(s) are available at that time.

(E) Local Contact(s) – Posting Notice. The owner and, if applicable, the owner's authorized agent shall post the name and telephone number of the designated local contact who is on-call that day on the exterior of the legal nonconforming short-term residential rental unit within plain and conspicuous view for the general public. The name and telephone number shall be

typewritten in a bold and clearly readable type font which is either at least a 14 point font or at least one-quarter inch in height.

(F) Local Contact(s) - Response. Upon oral or written notification of a violation, the owner and, if applicable, the owner's authorized agent shall ensure that a designated local contact or other authorized person responds in a timely and appropriate manner to immediately address the situation and prevent a recurrence. Failure of the owner and, if applicable, the owner's authorized agent to respond to such notifications in a timely and appropriate manner shall subject the owner to all administrative, legal and equitable remedies available to the city. For purposes of this section, a violation shall mean any condition or operation of the legal nonconforming short-term residential rental unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit which allegedly is causing a violation of applicable federal, state or local laws, rules and regulations pertaining to the use or occupancy of the subject short-term residential rental unit, including, without limitation, any noise or other nuisance avoidance standard.

(G) Subsequent Police Response. In accordance with the provisions of Chapter 9.29 of the Corona Municipal Code, the owner, the owner's agent, the renter or any other person responsible for an event held at a nonconforming short-term residential rental unit may be issued an administrative fine for any subsequent police response to such event.

(H) Noise. Occupants of the legal nonconforming short term residential rental unit shall not generate loud and unnecessary noises inconsistent with Chapter 9.24 of the Corona Municipal Code.

(I) Residential Use. The owner and, if applicable, the owner's authorized agent shall use reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is used for residential purposes only.

(J) Renter Requirements. Prior to occupancy of a legal nonconforming short-term residential rental unit, the owner and, if applicable, the owner's authorized agent shall:

(1) Obtain the name, address and telephone number of the renter.

(2) Provide a copy of the city's good neighbor brochure to the renter. In addition, require the renter to execute a formal acknowledgment that he or she has received the good neighbor brochure and that he or she will be responsible for compliance with the good neighbor brochure by the renter, all occupants and all invited or uninvited guests of the renter and occupants.

(3) Require the renter to execute a formal acknowledgment that he or she is legally responsible for compliance with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the legal nonconforming short-term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards by the renter, all occupants and all invited or uninvited guests of the renter and occupants.

(4) The information required in subsections (J)(1) through (J)(3) above shall be maintained by the owner and, if applicable, the owner's authorized agent for a period of three years following the end of the rental period. Written copies of the information shall be made available upon request to any city representative for the enforcement of any provision of the Corona Municipal Code or any other applicable law, rule or regulation pertaining to the use and occupancy of the short-term residential rental unit.

(K) Trash and Refuse. Trash and refuse shall not be left stored within public view, except in proper containers as required by the Corona Municipal Code for the purpose of collection by the city's authorized waste hauler on scheduled trash collection days.

(L) On-Site Parking. On-site parking shall be allowed on approved driveway, garage, and/or carport areas only. Parking of over-sized vehicles must comply with the provisions of § 10.20.250 of the Corona Municipal Code.

(M) Occupant Quantity. The number of occupants allowed to occupy any given legal nonconforming short-term residential rental unit shall be limited to two people per bedroom plus one person per unit.

(N) Additional Conditions. The director shall have the authority to impose additional conditions on the use of any given legal nonconforming short-term residential rental unit to ensure that any potential secondary effects unique to the subject short-term residential rental unit are avoided or adequately mitigated.

(O) Permit Number - Advertisements. The owner or, if applicable, the owner's authorized agent shall post the current short-term residential rental permit number for a legal nonconforming short-term residential rental unit on or in any advertisement that promotes the availability or existence of the nonconforming short-term residential rental unit.

## **VII. Penalties and enforcement.**

(A) Any person violating any of the provisions of this Urgency Ordinance shall be deemed guilty of an infraction, and shall be subject to all applicable penalties or punishments available to the city, including, without limitation, those provided for in Chapter 1.08 of the Corona Municipal Code.

(B) Except as otherwise provided, enforcement of this Urgency Ordinance is at the sole discretion of the persons authorized to enforce this Urgency Ordinance. Nothing in this Urgency Ordinance shall create a right of action in any person against the city or its agents for damages or to compel public enforcement of this Urgency Ordinance against private parties.

(C) In addition to any other penalties available to the city, in accordance with the provisions of Chapter 9.29 of the Corona Municipal Code, the owner, the owner's agent, the renter

or any other person responsible for an event at a legal nonconforming short-term residential rental unit may be issued an administrative fine for a subsequent police response to such event.

**SECTION 5. Severability.** If any provision or clause of this Urgency Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Urgency Ordinance which can be given effect without the invalid provision or application. To this end, the provisions of this Urgency Ordinance are declared to be severable.

**SECTION 6. Conflicting Ordinances.** This Urgency Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Urgency Ordinance.

**SECTION 7. Effective Date.** This Urgency Ordinance shall become effective immediately upon adoption, if adopted by at least a four-fifths (4/5) vote of the City Council.

**SECTION 8. Publication.** The Mayor shall sign this Urgency Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a newspaper and circulated in the City of Corona.

**PASSED, APPROVED AND ADOPTED** this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Urgency Ordinance was regularly introduced and adopted at a regular meeting of the City Council of the City of Corona, California duly held on the 18<sup>th</sup> day of October 2023 by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

**ORDINANCE NO. 3383**

**AN ORDINANCE OF THE CITY OF CORONA,  
CALIFORNIA, ESTABLISHING AN AMORTIZATION  
PERIOD AND OPERATIONAL REQUIREMENTS FOR  
LEGAL NONCONFORMING SHORT-TERM RESIDENTIAL  
RENTAL UNITS.**

**WHEREAS**, Article XI, § 7 of the California Constitution authorizes cities to make and enforce within their limits all local, police, sanitary, and other ordinances and regulations not in conflict with general laws; and

**WHEREAS**, on October 4, 2017, the City Council adopted Ordinance No. 3272 adding Chapter 5.55 to the Corona Municipal Code (“CMC”) to permit and establish regulations for short-term residential rentals, which is defined as the rental of any legally permitted dwelling unit, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less; and

**WHEREAS**, since the adoption of Ordinance No. 3272, the City has received numerous noise, nuisance and neighborhood disturbance complaints concerning short-term residential rentals; and

**WHEREAS**, the City Council has determined that the operation of short-term residential rentals within residential areas and neighborhoods has created significant negative secondary impacts and unreasonable nuisance conditions on surrounding residential properties related to noise, traffic, safety, parking, etc., and disrupts the quietude and character of residential neighborhoods; and

**WHEREAS**, on October 18, 2023, the City Council introduced and conducted first reading of Ordinance No. 3381 amending CMC Chapter 5.55 to prohibit short-term residential rental units; and

**WHEREAS**, the City Council desires to establish an amortization period for legal nonconforming short-term residential rental units to cease operations, as well as to establish operational requirements for legal nonconforming short-term residential rental units to meet during such amortization period.

**NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF CORONA  
DOES ORDAIN AS FOLLOWS:**

**SECTION 1. Incorporation of Recitals.** The City Council finds and determines that the foregoing Recitals are true and correct and incorporates the Recitals herein.

**SECTION 2. CEQA Findings.** This action is exempt pursuant to Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (CEQA), which states that a project is exempt from CEQA if the activity is covered by the common sense exemption that CEQA applies only to projects that have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. This action establishes an amortization period and provides operational standards for legal nonconforming short-term rentals, and there is no possibility that adopting this Ordinance will have a significant effect on the environment. Therefore, no environmental analysis is required.

**SECTION 3. Purpose.** The purpose of this Ordinance is to impose operational requirements and regulations for the continued use, operation and occupancy of legal nonconforming short-term residential rental units until the end of the amortization period set forth in Section 4 of this Ordinance in order to safeguard the peace, safety and general welfare of neighborhoods within the City of Corona by minimizing negative secondary effects related to short-term residential rental units, including, but not limited to, excessive noise, disorderly conduct, illegal parking, overcrowding and excessive accumulation of refuse; and to ensure that the city is collecting transient occupancy tax pursuant to Chapter 3.34 of the Corona Municipal Code.

**SECTION 4. Legal Non-Conforming Short Term Rental Units.** The following shall apply to all legal nonconforming short-term residential rental units:

**AMORTIZATION PERIOD - OPERATIONAL REQUIREMENTS  
(LEGAL NONCONFORMING SHORT-TERM RESIDENTIAL RENTAL UNITS)**

**I. Definitions.**

The following words and phrases, whenever used in this Ordinance, shall be construed as defined in this section, unless from the context a different meaning is specifically defined and more particularly directed to the use of such words or phrases:

(A) “**Advertisement**” means any printed or lettered announcement, whether in a magazine, newspaper, handbill, notice, display, billboard, poster, email, internet website application, online marketplace, or any other form.

(B) “**Amortization period**” for each nonconforming short-term residential rental unit shall mean the period between October 18, 2023 and the date that the pre-existing permit expires for that unit.

(C) “**Broker**” means any entity or person, including but not limited to, on-line websites, on-line travel agencies and on-line booking agents that offers, lists, advertises, accepts reservations and/or collects whole or partial payment for a short-term residential rental unit.

(D) "**Designated local contacts**" means at least two persons, one of whom shall be available 24 hours per day, seven days per week for the purpose of responding to the location of a nonconforming short-term residential rental unit within 45 minutes to address complaints regarding the condition or operation of said unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's nonconforming short-term residential rental unit.

(E) "**Director**" means the Planning and Development Director for the City of Corona or his or her designee.

(F) "**Good neighbor brochure**" means the city's brochure titled "Short-Term Residential Rental Good Neighbor Standards" or a similarly titled brochure made available by the city which briefly describes the city's requirements for the operation, maintenance and use of short-term residential rental units.

(G) "**Legal nonconforming short-term residential rental unit**" means a short-term residential rental unit that, as of October 18, 2023, has a pre-existing permit.

(H) "**Occupancy**" means the use or possession, or the right to the use or possession, of any short-term residential rental unit for dwelling, lodging or sleeping purposes.

(I) "**Owner**" means the person(s) or entity(ies) that hold(s) legal and/or equitable title to the subject short-term residential rental unit.

(J) "**Owner's authorized agent**" means an agent designated by the owner to comply with the requirements of this Ordinance on behalf of the owner, as provided for in Section 4 of this Ordinance.

(K) "**Pre-Existing Permit**" means a short-term residential rental unit permit that was duly issued by the City, pursuant to the Corona Municipal Code sections in effect as of the date issued, on or before October 18, 2023.

(L) "**Short-term residential rental**" is defined as the rental of any legally permitted dwelling unit, as that term is defined in Chapter 17.04 of the Corona Municipal Code, or one or more portions of any legally permitted dwelling unit, for occupancy purposes for a period of 30 consecutive calendar days or less, counting portions of calendar days as full days. Any such person so occupying a short-term residential rental shall be deemed to be occupying for a period of 30 days or less until the period of 30 days has expired, unless there is a contract or agreement in writing between the owner and the occupant providing for a longer period of occupancy. **Short-term residential rental** includes any contract or agreement that initially defined the rental term to be greater than 30 consecutive days and which was subsequently amended, either orally or in writing, to permit the occupant(s) of the owner's short-term residential rental to surrender the subject dwelling unit before the expiration of the initial rental term that results in an actual rental term of 30 consecutive calendar days or less. The rental of rooms or units within city-approved hotels, motels, and bed and breakfasts shall not be considered a short-term rental.

(M) **"Short-term residential rental unit"** is any legally permitted dwelling unit, as that term is defined in Chapter 17.04 of the Corona Municipal Code, all or any portion of which is made available as a short-term residential rental. Each short-term residential rental unit shall have an assigned real property address.

## **II. Owner's Authorized Agent.**

(A) Agent. An owner may in writing authorize an agent to comply with the requirements of this Ordinance on behalf of the owner. The written authorization for the owner's authorized agent shall be provided to the city.

(B) Owner Responsibility. Notwithstanding subsection (A) of this section, the owner shall not be relieved from any personal responsibility and personal liability for noncompliance with any applicable law, rule or regulation pertaining to the use and occupancy of the subject short-term residential rental unit, regardless of whether such noncompliance was committed by the owner, the owner's authorized agent, the occupants of the owner's short-term residential rental unit or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit.

## **III. Legal nonconforming short-term residential rental units; Amortization period until expiration of pre-existing permit.**

(A) Amortization Period. From and after October 18, 2023, legal nonconforming short-term residential rental units may continue to operate, in strict accordance with this Ordinance, but only until the expiration of the pre-existing permit issued for that unit.

(B) Allowed During Amortization Period. The renting, occupancy or advertisement of a legal nonconforming short-term residential rental unit shall be permitted during the amortization period, provided that the owner or owner's authorized agent has a pre-existing permit for such unit. Each legal nonconforming short-term residential rental unit must have its own pre-existing permit.

(C) Broker Pre-Listing Obligation. Prior to listing a short-term residential rental unit for rent or placing an advertisement for a short-term residential rental unit, a broker shall ensure that said unit is a legal nonconforming short-term residential rental unit.

## **IV. Expiration of pre-existing permit.**

(A) No Renewal. No pre-existing permit shall be renewed upon its expiration or revocation.

(B) Cease Operations. The owner and, if applicable, the owner's authorized agent, shall cease all operation of the legal nonconforming short-term residential rental unit on or before the date that the unit's pre-existing permit expires.

(C) Rental Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to offer or make available for rent or to rent (by way of a rental agreement, lease, license, or any other means, whether oral or written), for compensation or any consideration, such legal nonconforming short-term residential rental unit.

(D) Advertising Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to place or maintain any advertisement for such legal nonconforming short-term residential rental unit.

(E) Occupancy Prohibited. From and after the date that a legal nonconforming short-term residential rental unit's pre-existing permit expires, it shall be unlawful for any person or entity to occupy, pursuant to a rental agreement, lease, license, or any other means, whether oral or written, for compensation or any consideration, such legal nonconforming short-term residential rental unit.

(F) No New Permit Applications. Commencing on October 18, 2023, no application shall be accepted by the city for a short-term residential rental unit permit.

**V. Revocation of pre-existing permit.**

(A) Revocation Grounds. The director shall have the authority to revoke a pre-existing permit if any of the following conditions apply in his/her reasonable discretion:

(1) Any false statements or other false information has been provided in the application for a pre-existing permit submitted by the owner and/or the owner's authorized agent.

(2) If, within the past 36 months, the owner or the owner's authorized agent has had a prior short-term residential rental unit permit revoked for the same or other short-term residential rental unit.

(3) If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the business license requirements of Chapter 5.02 of the Corona Municipal Code for the same or other short-term residential rental unit.

(4) If, within the past 36 months, the owner or the owner's authorized agent has failed to comply with the transient occupancy tax requirements of Chapter 3.34 of the Corona Municipal Code for the same or other short-term residential rental unit.

(5) A violation of any of the conditions included in the pre-existing permit.

(6) A violation of any of the operational requirements provided for in Section VI of this Ordinance.

(B) Appeal. Any decision of the director regarding the revocation of a pre-existing permit may be appealed pursuant to Chapter 1.09 of the Corona Municipal Code.

**VI. Operational requirements for legal nonconforming short-term residential rental units.**

The following requirements shall be applicable to each nonconforming short-term residential rental unit:

(A) Transient Occupancy Tax. The owner and, if applicable, the owner's authorized agent shall ensure that the provisions of Chapter 3.34 of the Corona Municipal Code regarding the collection and remittance of transient occupancy taxes are complied with for the nonconforming short-term residential rental unit. A broker that collects any revenue from arranging or listing a nonconforming short-term residential rental unit shall have primary responsibility for collecting, paying and transmitting all revenues due to the city pursuant to Chapter 3.34 of the Corona Municipal Code.

(B) Use and Occupancy Requirements. The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is used in a manner that complies with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the subject legal nonconforming short-term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards.

(C) Good Neighbor Brochure. The owner and, if applicable, the owner's authorized agent shall use all reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is operated, maintained and used in a manner that complies with the city's good neighbor brochure.

(D) Local Contact(s) - Designation. While a legal nonconforming short-term residential rental unit is rented, at least one designated local contact person shall be available 24 hours per day, seven days per week. The owner and, if applicable, the owner's authorized agent shall be responsible for making sure that at least one designated local contact is available at all times and that any person who attempts to contact the designated local contacts can easily determine which designated local contact(s) are available at that time.

(E) Local Contact(s) – Posting Notice. The owner and, if applicable, the owner's authorized agent shall post the name and telephone number of the designated local contact who is on-call that day on the exterior of the legal nonconforming short-term residential rental unit within plain and conspicuous view for the general public. The name and telephone number shall be typewritten in a bold and clearly readable type font which is either at least a 14 point font or at least one-quarter inch in height.

(F) Local Contact(s) - Response. Upon oral or written notification of a violation, the owner and, if applicable, the owner's authorized agent shall ensure that a designated local contact

or other authorized person responds in a timely and appropriate manner to immediately address the situation and prevent a recurrence. Failure of the owner and, if applicable, the owner's authorized agent to respond to such notifications in a timely and appropriate manner shall subject the owner to all administrative, legal and equitable remedies available to the city. For purposes of this section, a violation shall mean any condition or operation of the legal nonconforming short-term residential rental unit or the conduct of the occupants or the invited or uninvited guests of the owner, the owner's authorized agent or the occupants of the owner's short-term residential rental unit which allegedly is causing a violation of applicable federal, state or local laws, rules and regulations pertaining to the use or occupancy of the subject short-term residential rental unit, including, without limitation, any noise or other nuisance avoidance standard.

(G) Subsequent Police Response. In accordance with the provisions of Chapter 9.29 of the Corona Municipal Code, the owner, the owner's agent, the renter or any other person responsible for an event held at a nonconforming short-term residential rental unit may be issued an administrative fine for any subsequent police response to such event.

(H) Noise. Occupants of the legal nonconforming short term residential rental unit shall not generate loud and unnecessary noises inconsistent with Chapter 9.24 of the Corona Municipal Code.

(I) Residential Use. The owner and, if applicable, the owner's authorized agent shall use reasonably prudent business practices to ensure that the legal nonconforming short-term residential rental unit is used for residential purposes only.

(J) Renter Requirements. Prior to occupancy of a legal nonconforming short-term residential rental unit, the owner and, if applicable, the owner's authorized agent shall:

(1) Obtain the name, address and telephone number of the renter.

(2) Provide a copy of the city's good neighbor brochure to the renter. In addition, require the renter to execute a formal acknowledgment that he or she has received the good neighbor brochure and that he or she will be responsible for compliance with the good neighbor brochure by the renter, all occupants and all invited or uninvited guests of the renter and occupants.

(3) Require the renter to execute a formal acknowledgment that he or she is legally responsible for compliance with all applicable federal, state and local laws, rules and regulations pertaining to the use and occupancy of the legal nonconforming short-term residential rental unit, including, without limitation, all noise or other nuisance avoidance standards by the renter, all occupants and all invited or uninvited guests of the renter and occupants.

(4) The information required in subsections (J)(1) through (J)(3) above shall be maintained by the owner and, if applicable, the owner's authorized agent for a period of three years following the end of the rental period. Written copies of the information shall be made available upon request to any city representative for the enforcement of any provision of the Corona

Municipal Code or any other applicable law, rule or regulation pertaining to the use and occupancy of the short-term residential rental unit.

(K) Trash and Refuse. Trash and refuse shall not be left stored within public view, except in proper containers as required by the Corona Municipal Code for the purpose of collection by the city's authorized waste hauler on scheduled trash collection days.

(L) On-Site Parking. On-site parking shall be allowed on approved driveway, garage, and/or carport areas only. Parking of over-sized vehicles must comply with the provisions of § 10.20.250 of the Corona Municipal Code.

(M) Occupant Quantity. The number of occupants allowed to occupy any given legal nonconforming short-term residential rental unit shall be limited to two people per bedroom plus one person per unit.

(N) Additional Conditions. The director shall have the authority to impose additional conditions on the use of any given legal nonconforming short-term residential rental unit to ensure that any potential secondary effects unique to the subject short-term residential rental unit are avoided or adequately mitigated.

(O) Permit Number - Advertisements. The owner or, if applicable, the owner's authorized agent shall post the current short-term residential rental permit number for a legal nonconforming short-term residential rental unit on or in any advertisement that promotes the availability or existence of the nonconforming short-term residential rental unit.

## **VII. Penalties and enforcement.**

(A) Any person violating any of the provisions of this Ordinance shall be deemed guilty of an infraction, and shall be subject to all applicable penalties or punishments available to the city, including, without limitation, those provided for in Chapter 1.08 of the Corona Municipal Code.

(B) Except as otherwise provided, enforcement of this Ordinance is at the sole discretion of the persons authorized to enforce this Ordinance. Nothing in this Ordinance shall create a right of action in any person against the city or its agents for damages or to compel public enforcement of this Ordinance against private parties.

(C) In addition to any other penalties available to the city, in accordance with the provisions of Chapter 9.29 of the Corona Municipal Code, the owner, the owner's agent, the renter or any other person responsible for an event at a legal nonconforming short-term residential rental unit may be issued an administrative fine for a subsequent police response to such event.

**SECTION 5. Severability.** If any provision or clause of this Ordinance or any application of it to any person, firm, organization, partnership or corporation is held invalid, such invalidity shall not affect other provisions of this Ordinance which can be given effect without the

invalid provision or application. To this end, the provisions of this Ordinance are declared to be severable.

**SECTION 6. Conflicting Ordinances.** This Ordinance shall supersede all other previous City Council resolutions and ordinances that may conflict with, or be contrary to, this Ordinance.

**SECTION 7. Effective Date.** The Mayor shall sign this Ordinance and the City Clerk shall attest thereto and shall within fifteen (15) days of its adoption cause it, or a summary of it, to be published in a general circulation newspaper published and circulated in the City of Corona. This Ordinance shall take effect and be in force 30 days after its adoption.

**PASSED, APPROVED AND ADOPTED** this 1<sup>st</sup> day of November 2023.

\_\_\_\_\_  
Mayor of the City of Corona, California

**ATTEST:**

\_\_\_\_\_  
City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Ordinance was regularly introduced at a regular meeting of the City Council of the City of Corona, California duly held on the 18<sup>th</sup> day of October 2023 and thereafter at a regular meeting held on the 1<sup>st</sup> day of November 2023, it was duly passed and adopted by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 1<sup>st</sup> day of November, 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

**RESOLUTION NO. 2023-100**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CORONA, CALIFORNIA, AMENDING THE ADMINISTRATIVE PENALTIES SCHEDULE FOR VIOLATIONS OF THE CORONA MUNICIPAL CODE.**

**WHEREAS**, California Government Code Section 53069.4 authorizes cities to impose and collect administrative fines and penalties for violations of any of its codes and ordinances; and

**WHEREAS**, the City Council of the City of Corona (“City Council”) has adopted an ordinance for the imposition, enforcement, review and collection of administrative penalties for violations of the Corona Municipal Code (“CMC”), which is codified in Sections 1.08.110 through 1.08.190 of the CMC; and

**WHEREAS**, Section 1.08.140 of the CMC provides that the amount of administrative penalties imposed for violations of the CMC shall be established by resolution of the City Council; and

**WHEREAS**, on April 6, 2022, the City Council adopted Resolution No. 2022-024, approving the most recent version of Administrative Penalties Schedule for violations of the CMC; and

**WHEREAS**, on October 18, 2023, the City Council conducted first reading of Ordinance No. 3381 which amended Chapter 5.55 of the CMC to prohibit short-term residential rentals within the City; and

**WHEREAS**, on October 18, 2023, the City Council also conducted first reading of Ordinance No. 3383, which established an amortization schedule and operational requirements for legal nonconforming short-term residential rental units; and

**WHEREAS**, CMC Section 5.55.050 authorizes the imposition of administrative penalties for violations of the provision of CMC Chapter 5.55 or Ordinance No. 3383; and

**WHEREAS**, the City Council now desires to amend the Administrative Penalties Schedule to establish the penalties for violations of CMC Chapter 5.55 and/or Ordinance No. 3383; and

**WHEREAS**, except as otherwise provided in the Administrative Penalties Schedule, the amount of an administrative penalty to be imposed for any violation of the CMC and assessed by means of an administrative citation pursuant to Chapter 1.08 of the CMC shall be: (1) \$100 for the first occurrence of a violation; (2) \$200 for the second occurrence of the

same violation within a twelve (12) month period; and (3) \$500 for each additional occurrence of the same violation within a twelve (12) month period; and

**WHEREAS**, the City Council wishes to establish the amount of an administrative penalty to be imposed for any violation of CMC Chapter 5.55 and/or Ordinance No. 3383 and assessed by means of an administrative citation pursuant to Chapter 1.08 of the CMC to be: (1) \$1,500 for the first occurrence of a violation; (2) \$3,000 for the second occurrence of the same violation within a twelve (12) month period; and (3) \$5,000 for each additional occurrence of the same violation within a twelve (12) month period;

**NOW THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF CORONA AS FOLLOWS:**

**SECTION 1.** The above recitals are true and correct, and incorporated herein by reference.

**SECTION 2.** The City Council hereby adopts the Administrative Penalties Schedule set forth in Exhibit “A” attached hereto and incorporated herein. The amount of an administrative penalty to be imposed for any violation of the CMC and/or Ordinance No. 3383 and assessed by means of an administrative citation pursuant to Chapter 1.08 of the CMC shall be as provided for in the Administrative Penalties Schedule. Nothing in this Resolution shall be interpreted to limit or otherwise restrict the amount of a fine or penalty that the City is entitled to impose or collect under applicable federal, state or local laws, rules or regulations outside of its administrative penalties enforcement process codified in Sections 1.08.110 through 1.08.190 of the CMC.

**SECTION 3.** By adoption of this Resolution, all prior resolutions related to the establishment of administrative penalties for violations of the CMC shall be deemed repealed, including, without limitation, Resolution 2022-024 approved on April 6, 2022.

**SECTION 4.** The Mayor shall sign this Resolution and the City Clerk shall attest thereto, and this Resolution shall take effect and be in force on the date that Ordinance Nos. 3381 and 3383 becomes effective.

**PASSED, APPROVED, AND ADOPTED** this 18<sup>th</sup> day of October, 2023.

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Mayor of the City of Corona, California

ATTEST:

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City Clerk of the City of Corona, California

**CERTIFICATION**

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Resolution was regularly introduced and adopted by the City Council of the City of Corona, California, at a regular meeting thereof held on the 18<sup>th</sup> day of October 2023 by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

**ABSTAINED:**

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
City Clerk of the City of Corona, California

[SEAL]

**EXHIBIT “A”**

<b>CITY OF CORONA ADMINISTRATIVE PENALTIES SCHEDULE</b>						
<b>CORONA MUNICIPAL CODE (CMC) PROVISION</b>	<b>DESCRIPTION</b>	<b>INFRACTION VIOLATION</b>	<b>MISDEMEANOR VIOLATION</b>	<b>FIRST OFFENSE</b>	<b>SECOND OFFENSE</b>	<b>EACH ADDITIONAL OFFENSE (12 MONTH PERIOD)</b>
UPDATED: 10-18-23 (RESO 2023-100)						
CMC 5.44	Garage Sale permit required/regulations	X		\$25	\$50	\$100
CMC 5.55 and/or Ordinance No. 3383	Short-term residential rental units		X	\$1,500	\$3,000	\$5,000
CMC 8.32.030(H)	Property maintenance regulations/Trash & recycling containers	X		\$35	\$100	\$200
CMC 9.17	Host Obligations	X	X	\$250	\$500	\$1000
CMC 9.19 (& other CMC provisions)	Cannabis Businesses		X	\$1,000	\$1,000	\$1,000
CMC 9.31	Graffiti Prevention		X	\$1,000	\$1,000	\$1,000
CMC 9.33.020	Fireworks prohibited – “safe & sane”		X	\$1,000	\$1,000	\$1,000
CMC 9.33.020	Fireworks prohibited – ”dangerous”		X	\$1,000	\$2,000	\$5,000
CMC 13.08	Public Sewerage System Waste Regulations		X	\$1,000	\$2,000	\$5,000
CMC 13.14.170	Water Theft		X	\$130	\$700	\$1,300
All Others	---	X	X	\$100	\$200	\$500

**RESOLUTION NO. 2023-100**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CORONA, CALIFORNIA, AMENDING THE ADMINISTRATIVE PENALTIES SCHEDULE FOR VIOLATIONS OF THE CORONA MUNICIPAL CODE.**

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**SECTION 4.** The Mayor shall sign this Resolution and the City Clerk shall attest thereto, and this Resolution shall take effect and be in force on the date that Ordinance Nos. 3381 and 3383 becomes effective.

**PASSED, APPROVED, AND ADOPTED** this 18<sup>th</sup> day of October 2023.

---

Mayor of the City of Corona, California

ATTEST:

---

City Clerk of the City of Corona, California

CERTIFICATION

I, Sylvia Edwards, City Clerk of the City of Corona, California, do hereby certify that the foregoing Resolution was regularly introduced and adopted by the City Council of the City of Corona, California, at a regular meeting thereof held on the 18<sup>th</sup> day of October 2023 by the following vote of the Council:

AYES:

NOES:

ABSENT:

ABSTAINED:

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the City of Corona, California, this 18<sup>th</sup> day of October 2023.

\_\_\_\_\_  
Sylvia Edwards, City Clerk

(SEAL)

**EXHIBIT “A”**

<b>CITY OF CORONA ADMINISTRATIVE PENALTIES SCHEDULE</b>						
<u>CORONA</u> MUNICIPAL CODE (CMC) PROVISION	DESCRIPTION	INFRACTION VIOLATION	MISDEMEANOR VIOLATION	FIRST OFFENSE	SECOND OFFENSE	EACH ADDITIONAL OFFENSE (12 MONTH PERIOD)
<u>UPDATED: 10-18-23 (RESO 2023-100)</u>						
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CMC 8.32.030(H)	Property maintenance regulations/Trash & recycling containers	X		\$35	\$100	\$200
CMC 9.17	Host Obligations	X	X	\$250	\$500	\$1000
CMC 9.19 (& other CMC provisions)	<del>Marijuana Cannabis</del> Businesses		X	\$1,000	\$1,000	\$1,000
CMC 9.31	Graffiti Prevention		X	\$1,000	\$1,000	\$1,000
CMC 9.33.020	Fireworks prohibited – “safe & sane”		X	\$1,000	\$1,000	\$1,000
CMC 9.33.020	Fireworks prohibited – ”dangerous”		X	\$1,000	\$2,000	\$5,000
CMC 13.08	Public Sewerage System Waste Regulations		X	\$1,000	\$2,000	\$5,000
CMC 13.14.170	Water Theft		X	\$130	\$700	\$1,300
All Others	- - -	X	X	\$100	\$200	\$500

RECORDED AT REQUEST OF  
AND WHEN RECORDED RETURN TO:  
City of Corona  
400 S. Vicentia Ave  
Corona, California 92882  
Attn: City Clerk (CUD)

Fee Exempt - Gov't Code §27383

APN: 116-151-011

(Space above for Recorder's Use)

**CITY OF CORONA  
NEW WATER SERVICE FEE AGREEMENT  
(RESIDENTIAL USER)**

This New Water Service Fee Agreement (“Agreement”) is made and entered into this \_\_\_\_ day of October, 2023, (“Effective Date”) by and between the City of Corona, a California municipal corporation (“City”), and Celia Godsey, (“Owner”). All parties are at times referred to collectively as “Parties” and individually as “Party” herein.

**RECITALS**

**WHEREAS**, the City imposes several types of fees in relation to connection of new customers to the City’s potable water system, comprised of a new service setup fee, meter and service installation fee, and development fee for water system improvements (collectively, the “New Water Service Fees”); and

**WHEREAS**, pursuant to Section 13.14.050, the New Water Service Fees are ordinarily due in full prior to the commencement of water service but Section 13.14.060 of the Corona Municipal Code allows for New Water Service Fees to be paid over time in certain circumstances; and

**WHEREAS**, Owner owns real property located at 3702 Howe Street, Corona, California (“Property”), which is currently served by a private water well system; and

**WHEREAS**, Owner wishes to obtain water services from the City ; and

**WHEREAS**, it is to the benefit of the Owner that the Owner pay the New Water Service Fees over time in installments; and

**WHEREAS**, the City is willing to allow Owner to pay the New Water Service Fees in monthly installments per the terms of this Agreement.

## AGREEMENT

**NOW THEREFORE**, in consideration of the preceding recitals and the mutual covenants and consideration contained herein, the Parties agree as follows:

1. **Incorporation of Recitals.** The Parties acknowledge that the above recitals are true and correct, and incorporate those recitals by reference into this Agreement.

2. **Property Location.** The Property is located at 3702 Howe Street, Corona, California and more particularly described in Exhibit “A” attached hereto and incorporated herein by reference. All references to the Property in this Agreement shall include the parcel or parcels of real property upon which the Property is situated.

3. New **Water Service Fee.** The Owner shall pay the New Water Service Fees required by the City for the requested 3/4-inch service to the Property, consisting of ten thousand six hundred eighty dollars and sixty-eight cents (\$10,680.68) plus interest at the rate of six percent (6%) in the sum of three thousand five hundred forty-eight dollars and sixty-one cents (\$3,548.61), for a total sum of fourteen thousand two hundred twenty-nine dollars and twenty-nine cents (\$14,229.29). This amount shall be paid in monthly payments of one hundred eighteen dollars and fifty-eight cents (\$118.58) for a duration of one hundred twenty (120) months.

4. **Monthly Fee Installment Schedule.** Owner agrees that the New Water Service Fee, plus interest, in the monthly amount of one hundred eighteen dollars and fifty-eight cents (\$118.58), shall be collected as a City of Corona Billing Invoice sent by the City of Corona Finance Department to commence September 30, 2023, except to the extent that payment becomes due sooner pursuant to Paragraph 12 herein. Owner further agrees and acknowledges that in addition to the City’s right to discontinue water service for non-payment of the New Water Service Fee, the City may take such other measures as it deems necessary to collect the fee in the event of non-payment, including tendering the debt to a collection agency and/or initiating legal action for collection.

5. **Non-Transferable.** Owner understands and agrees that the connection rights supplied by the City are not transferable and that these rights shall remain with the Property, and that neither Owner, or any other person or party shall be entitled to a refund of any amounts paid under this Agreement, for any reason.

6. **Discontinuation of Water Service.** Owner agrees that City shall reserve the right to discontinue water service to the Property, if any monthly payment of the New Water Service Fee installment is not timely made in accordance with Paragraph 4 of this Agreement. City shall have the right to completely discontinue water service to the Property until the unpaid balance of the total amount specified in Paragraph 3 has been paid, and shall not be required to provide limited service according to a pro rata formula. Furthermore, if Owner requests to discontinue water service, Owner agrees to continue paying the monthly installment payments of the New Water Service Fee pursuant to Section 4 of this Agreement. Owner expressly waives

any and all rights it may have under any uniform codes (including, but not limited to, the California Building Code), or under any other applicable law(s), to receive water service, except in compliance with this Agreement.

7. **Monthly Water Rates and Ready to Serve Charge.** Owner understands Monthly Water Rates and Ready to Serve Charges are separate fees from the New Water Service Fee and shall be paid to the Corona Utilities Department as set forth in the City's applicable Rules and Regulations as established pursuant to Chapter 13.14 of the Corona Municipal Code.

8. **Contractual Lien.** Owner further agrees for itself, its heirs, successors and assigns, that effective upon the execution of this Agreement, City shall have a lien upon the Property to guarantee the full and timely performance by Owner of its obligations under this Agreement. The lien upon the Property shall be in an amount equal to the unpaid portion of the New Water Service Fee, plus any costs incurred by the City to enforce this Agreement. Such lien may be enforced in the manner provided by law. This lien is in addition to any rights or remedies which the City may have which may arise by operation of any applicable law, including, without implied limitation, the Corona Municipal Code. The lien created pursuant to this Agreement shall occupy a priority position against the Property senior to all other non-statutory monetary liens and encumbrances against the Property, except to the extent that Owner lacks the right to grant the lien priority over other liens and encumbrances against the Property existing as of the date of this Agreement.

9. **Recordation and Enforcement.** City may record this Agreement in the official records of the County of Riverside, and may take such action in law, equity, or otherwise, as City deems necessary to enforce the provisions of this Agreement, including but not limited to actions for injunctive relief. This Agreement shall run with the land. The obligations of and the lien created by this Agreement shall run with the Property, and the requirements imposed by this Agreement shall bind the heirs, successors and assigns of Owner as owner of the Property until satisfied in full.

10. **Attorneys' Fees.** If any legal action, or any arbitration or other proceeding is initiated for the enforcement of this Agreement or because of any alleged dispute, breach, default or misrepresentation in connection with any of the provisions of this Agreement, the successful or prevailing party shall be entitled to recover reasonable attorneys' fees, witness fees and other costs incurred in that action or proceeding, in addition to any other relief to which it may be entitled.

11. **Indemnity.** Owner shall indemnify, defend and hold harmless City, its elected officials, board members, officers, agents, employees and authorized volunteers from and against any and all claims, damages, demands, liability, costs, losses and expenses, including, without limitation, court costs, reasonable attorneys' fees, and expert witness fees, arising out of, in connection with or in any way related to the negligence or misconduct of Owner relating to this Agreement, including but not limited to any breach of this Agreement by Owner, and including, without limitation, all costs of collection, including attorneys' fees and all costs of suit, in the event any payment required under this Agreement is not made when due.

12. **Term.** This Agreement shall be in full force and effect from the Effective Date and shall continue in full force and effect until Owner has paid all money due to City, as specified in Paragraphs 3, 4 and 12 of this Agreement.

13. **Events of Default.** Upon the occurrence of any of the events listed below, an “Event of Default” shall be deemed to have occurred and City may, at City’s option, without prior notice, (i) declare the then-unpaid principal amount of the New Water Service Fee, plus any accrued interest (collectively, the “Payment Amount”) to be immediately due and payable, and the same shall immediately become due and payable; and (ii) exercise all rights and remedies provided in this Agreement:

13.1 Owner shall fail to make any payment under this Agreement when due or within ten (10) days following written notice of such failure from City; or

13.2 There shall occur any breach of this Agreement by Owner; or

13.3 Owner shall (i) become insolvent or unable to pay Owner’s debts generally as they mature, (ii) make a general assignment for the benefit of creditors, (iii) admit in writing Owner’s inability to pay Owner’s debts generally as they mature, (iv) file or have filed against it a petition in bankruptcy or a petition or answer seeking a reorganization, arrangement with creditors or other similar relief under the Federal bankruptcy laws or under any other applicable law of the United States of America or any state thereof, or (v) consent to the appointment of a trustee or receiver for it or for a substantial part of Owner’s property; or

13.4 Any order, judgment or decree shall be entered appointing, without Owner’s consent, a trustee or receiver for it or for a substantial part of Owner’s property that is not removed within sixty (60) days from such entry; or

13.5 A judgment against Owner for the payment of money totaling in excess of \$10,000 shall be outstanding for a period of sixty (60) days without a stay of execution thereof; or

13.6 The holder of any senior or junior encumbrance on the personal property collateral encumbered by this Agreement shall institute foreclosure or other proceedings for the enforcement of its remedies thereunder; or

13.7 Owner permits or suffers Owner’s leasehold or other interest in the Property to be divested, sold, transferred, terminated or otherwise conveyed, whether voluntarily or involuntarily. This provision shall apply to each and every sale, transfer or conveyance, regardless of whether or not City has consented to, or waived, City’s right hereunder, whether by action or nonaction, in connection with any previous sale, transfer, or conveyance, whether one or more.

Notwithstanding the above, in the event of an actual or deemed entry of an order for relief with respect to Owner under the United States Bankruptcy Code, this Agreement and all interest and other amounts due hereon shall automatically become and be due and payable, without presentment, demand, protest or any notice of any kind, all of which are hereby

expressly waived by Owner. City may exercise its option to accelerate after any Event of Default, regardless of any prior forbearance.

14. **Entire Agreement.** This Agreement contains the entire Agreement of the parties with respect to the subject matter hereof, and supersedes all prior negotiations, understandings or agreements.

15. **Modification.** This Agreement may be modified only in writing, signed by both parties.

16. **Notice.** Written notice, whenever required by this Agreement, shall become effective upon personal service or deposit in the United States mail, postage prepaid, addressed to the following:

CITY:  
Attn: Tom Moody  
Director of Utilities  
City of Corona  
755 Public Safety Way  
Corona, CA 92880

OWNER:  
Celia Godsey  
3702 Howe Street  
Corona, CA 92881

16. **Venue.** This Agreement shall be interpreted according to the laws of the State of California. Venue shall be in Riverside County, California.

17. **Counterparts.** This Agreement may be executed in counterparts, all of which, taken together, shall be deemed one original.

18. **Corona Utility Authority.** Owner understands that the City has entered into a Water Enterprise Management Agreement and a Wastewater Enterprise Management Agreement, both dated as of February 6, 2002, with the Corona Utility Authority ('CUA') for the maintenance, management and operation of those utility systems (collectively, the 'CUA Management Agreements'). To the extent that this Agreement is deemed to be a "material contract" under either of the CUA Management Agreements, the following provisions shall apply: (1) City enters into this Agreement on behalf of the CUA and subject to the terms of the applicable CUA Management Agreement(s); and (2) Owner has no right to terminate this Agreement, either with or without cause, based upon the existence or non-existence of either or both of the CUA Management Agreements. Therefore, if an applicable CUA Management Agreement expires or terminates for any reason, Owner shall remain fully obligated to perform under this Agreement on behalf of the CUA or another third party contracted by the CUA for the maintenance, management and operation of the applicable utility system.

In recognition of the foregoing, the parties hereto have executed this New Water Service Fee Agreement the day and year first stated above.

**[SIGNATURES ON NEXT PAGE]**

**SIGNATURE PAGE TO**  
**CITY OF CORONA**  
**NEW WATER SERVICE FEE AGREEMENT**  
**(RESIDENTIAL USER –3702 HOWE STREET)**  
**WITH CELIA GODSEY**

**CITY OF CORONA**

By: DocuSigned by:  
*Tom Moody*  
DS19EFD915CF45C...  
\_\_\_\_\_  
Tom Moody  
Director of Utilities

Approved as to Form:

By: \_\_\_\_\_  
Dean Derleth  
City Attorney

**CELIA GODSEY an unmarried woman**

By: DocuSigned by:  
*Celia Godsey*  
4154038F5EC744B...  
\_\_\_\_\_  
Celia Godsey  
Owner

EXHIBIT "A"  
LEGAL DESCRIPTION/DEPICTION OF PROPERTY

[SEE ATTACHED ONE (1) PAGE]

LEGAL DESCRIPTION

LOTS 28, 29 AND 30, BLOCK E, OVERLOOK ADDITION TO CORONA. IN THE CITY OF CORONA, COUNTY OF RIVERSIDE, STATE OF CALIFORNIA, AS SHOWN BY MAP ON FILE IN BOOK 13, PAGES 65, 66, AND 67 OF MAPS. IN THE OFFICE OF THE COUNTY RECORDER OF SAID COUNTY.

MORE commonly known as: 3702 Howe Street  
Corona, CA 92881



## City of Corona Investment Portfolio Report Month Ending August 31, 2023

In accordance with the City Investment Policy, a monthly investment report shall be filed with the City Council which provides a clear picture of the status of the current investments. The report for the month ending August 31, 2023 has been prepared by Chandler Asset Management. We hereby certify that this report accurately reflects all pooled investments and is in compliance with the City's Investment Policy. Combined with anticipated revenues, the portfolio contains sufficient investment liquidity to meet budgeted expenditures for the next six months.

SUBMITTED BY:

DocuSigned by:

*Chad Willardson*

AC0F0B8DE62B4FC...

Chad T. Willardson  
City Treasurer

DocuSigned by:

*Brett Channing*

B077051CB5E54C9...

Brett Channing  
Assistant City Manager

DocuSigned by:

*Kim Sitton*

AE0AA49B0BD44E7...

Kim Sitton  
Finance Director

REVIEWED BY:

DocuSigned by:

*Christine Thompson*

C477487B2FB3438...

EN  
Christine Thompson  
Accounting Manager

### Investment Summary

	City's Investment	Pension Trust	Total Investment
Beginning balance July 31, 2023	\$ 517,728,619	\$ 32,766,094	\$ 550,494,713
Acquisitions / Additions:			
Agency Notes	22,900,994		22,900,994
US Corporate Notes	3,010,920		3,010,920
LAIF	15,750,000		15,750,000
Money Market Fund	4,061,601	16,852	4,078,453
	<u>\$ 45,723,515</u>	<u>\$ 16,852</u>	<u>\$ 45,740,367</u>
Dispositions / Withdrawals:			
Agency Notes	20,106,714		20,106,714
US Corporate Notes	6,013,855		6,013,855
LAIF	27,000,000		27,000,000
Money Market Fund	2,998,940	-	2,998,940
	<u>\$ 56,119,509</u>	<u>\$ -</u>	<u>\$ 56,119,509</u>
Gain/(Loss) on Dispositions	\$ (168,076)	\$ -	\$ (168,076)
Ending balance, August 31, 2023	<u>\$ 507,164,549</u>	<u>\$ 32,782,946</u>	<u>\$ 539,947,495</u>

City of Corona Consolidated

Account #10003

Portfolio Summary

As of August 31, 2023



PORTFOLIO CHARACTERISTICS

Average Modified Duration	1.62
Average Coupon	3.01%
Average Purchase YTM	3.13%
Average Market YTM	5.02%
Average S&P/Moody Rating	AA+/Aa1
Average Final Maturity	1.85 yrs
Average Life	1.69 yrs

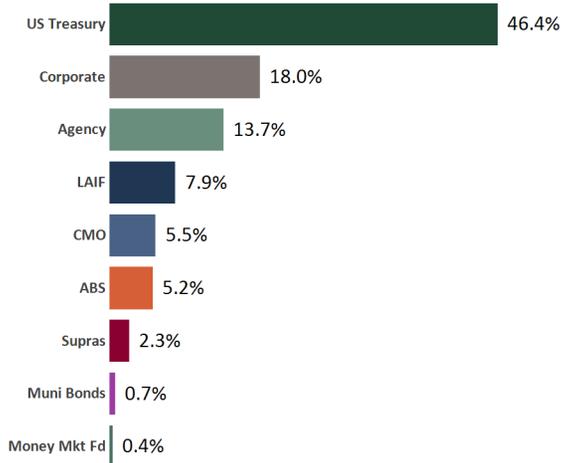
ACCOUNT SUMMARY

	Beg. Values as of 7/31/23	End Values as of 8/31/23
Market Value	500,418,938	490,346,665
Accrued Interest	2,121,640	2,574,090
<b>Total Market Value</b>	<b>502,540,578</b>	<b>492,920,755</b>
Income Earned	1,415,909	1,306,456
Cont/WD		0
Par	520,728,022	510,529,290
Book Value	517,728,619	507,164,549
Cost Value	517,728,619	507,164,549

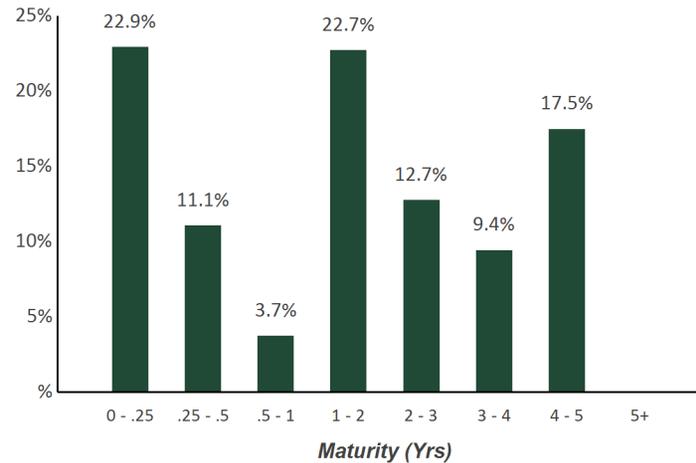
TOP ISSUERS

Government of United States	46.4%
Federal Home Loan Mortgage Corp	9.1%
Local Agency Investment Fund	7.9%
Federal National Mortgage Assoc	6.0%
Federal Home Loan Bank	3.3%
Inter-American Dev Bank	1.2%
Intl Bank Recon and Development	1.2%
Bank of America Corp	1.1%
<b>Total</b>	<b>76.2%</b>

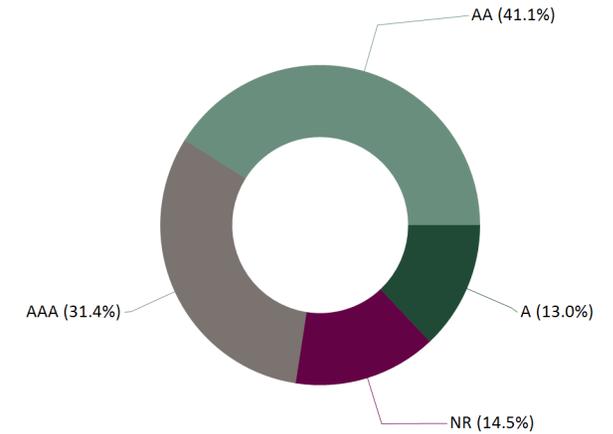
SECTOR ALLOCATION



MATURITY DISTRIBUTION



CREDIT QUALITY (S&P)



# Statement of Compliance

As of August 31, 2023



## City of Corona Consolidated

This portfolio is a consolidation of assets managed by Chandler Asset Management and assets managed internally by Client. Chandler relies on Client to provide accurate information for reporting assets and producing this compliance statement.

Investment Type	Minimum Rating	Max%	Max % Issuer	Max Maturity	Miscellaneous	Comment
U.S. Treasuries		100%		5 years		Complies
Federal Agencies		100%		5 years	20% max agency callable securities	Complies
Supranational Obligations	"AA" rating or higher by a NRSRO	30%	10%	5 years	Washington D.C. based issuers: IADB, IBRD, and IFC	Complies
Municipal Securities (CA, Local Agency)	"A" rating or higher by a NRSRO	25%	5%	5 years		Complies
Municipal Securities (CA, Other States)	"A" rating or higher by a NRSRO	25%	5%	5 years		Complies
Corporate Medium Term Notes	"A" rating category or higher by a NRSRO	30%	5%	5 years	U.S. domiciled corporations or U.S. licensed depository	Complies
Asset-Backed, Mortgage-Backed, Mortgage Pass-Through Securities, and Collateralized Mortgage Obligations (Non-Agency)	"AA" rating category or better by a NRSRO	20%	5%	5 years	Non-Government issued	Complies
Agency Mortgage Securities		100%		5 years		Complies
Negotiable Certificates of Deposit (NCD)	"A-1/P-1" or better short term debt rating; and "A2/A" or better long term debt rating;	20%	5%	3 years	May not exceed shareholder's equity of issuing bank or net worth of issuing S&L or Federal Association; Issued by a nationally or state chartered bank, a federal association, or a state licensed branch of a foreign owned bank (insured by federal government)	Complies
FDIC Insured Time Deposits (Non-negotiable CD)			5%			Complies
Collateralized Time Deposits (Non-negotiable CD)			5%			Complies
Banker's Acceptances		20%	25%	180 days		Complies
Commercial Paper	"A-1/P-1" rating for issuer's Commercial Paper; "A2/A" or higher rating on long term debt	40% if AUM >\$100 million (sunsetting 1/1/26)	5%	270 days	10% max of the outstanding commercial paper of any single issuer; U.S. domiciled corporations with assets > \$500 million	Complies
Money Market Mutual Funds	"AAA" or Highest rating by two NRSROs	20%	20%		Have an SEC registered investment adviser with > 5 years experience and AUM >\$500 million; 20% max combined Money Market Mutual Funds and Mutual Funds	Complies

Mutual Funds	"AAA" or Highest rating by two NRSROs	10%			Have an SEC registered investment adviser with > 5 years experience and AUM >\$500 million; 20% max combined Money Market Mutual Funds and Mutual Funds	<i>Complies</i>
Local Agency Investment Fund (LAIF)					Limit set by LAIF	<i>Complies</i>
Local Government Investment Pool						<i>Complies</i>
Repurchase Agreements		10%	5%	14 days	102% Collateralized	<i>Complies</i>
Max Per Issuer					5% max per issuer, unless otherwise specified in the policy, other than U.S. Government, its Agencies and instrumentalities, Money Market Mutual Funds, and LAIF	<i>Complies</i>
Maximum Maturity				5 years		<i>Complies</i>

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	06/08/2021 0.34%	163,654.21 163,654.21	99.80 7.54%	163,357.63 24.01	0.03% (296.58)	Aaa / AAA NR	0.80 0.03
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	23,249.98	05/18/2020 0.83%	23,248.15 23,248.15	99.81 7.85%	23,205.60 8.47	0.00% (42.55)	Aaa / AAA NR	0.87 0.03
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	252,167.16	09/22/2020 0.38%	252,130.11 252,130.11	98.99 5.89%	249,624.31 33.69	0.05% (2,505.80)	NR / AAA AAA	1.13 0.18
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	941,913.87	08/10/2021 0.39%	941,901.06 941,901.06	99.11 6.07%	933,576.99 112.24	0.19% (8,324.07)	NR / AAA AAA	1.14 0.15
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	32,991.17	07/14/2020 0.52%	32,986.14 32,986.14	99.61 6.34%	32,862.14 7.48	0.01% (124.00)	Aaa / NR AAA	1.21 0.07
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	268,168.92	09/08/2021 0.34%	268,141.25 268,141.25	99.23 5.88%	266,107.51 14.75	0.05% (2,033.74)	Aaa / NR AAA	1.32 0.14
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	158,393.93	10/06/2020 0.36%	158,364.42 158,364.42	99.29 6.19%	157,272.33 24.64	0.03% (1,092.09)	NR / AAA AAA	1.38 0.12
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	1,054,083.77	01/11/2022 1.16%	1,054,060.47 1,054,060.47	98.68 6.12%	1,040,174.08 543.44	0.21% (13,886.39)	Aaa / AAA NR	1.38 0.26
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	98,761.27	08/04/2020 0.48%	98,740.53 98,740.53	99.71 4.38%	98,473.48 14.18	0.02% (267.05)	Aaa / NR AAA	1.48 0.07
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	2,565,000.00	02/23/2022 1.98%	2,564,596.27 2,564,596.27	98.49 5.98%	2,526,332.63 1,536.15	0.51% (38,263.64)	NR / AAA AAA	1.48 0.37
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	1,952,408.75	02/15/2022 1.91%	1,952,391.96 1,952,391.96	98.58 6.03%	1,924,682.59 1,133.48	0.39% (27,709.37)	Aaa / NR AAA	1.55 0.34
05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	636,691.09	01/11/2022 1.11%	636,595.90 636,595.90	98.67 5.94%	628,218.64 116.73	0.13% (8,377.26)	NR / AAA AAA	1.57 0.27
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	245,925.60	02/17/2021 0.27%	245,921.10 245,921.10	98.13 5.87%	241,319.90 18.44	0.05% (4,601.20)	Aaa / NR AAA	1.64 0.33
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	430,953.36	10/20/2020 0.39%	430,854.12 430,854.12	98.56 6.04%	424,765.31 72.78	0.09% (6,088.81)	NR / AAA AAA	1.71 0.25

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	904,204.77	02/02/2021 0.27%	904,036.96 904,036.96	98.09 6.22%	886,954.35 104.49	0.18% (17,082.61)	Aaa / NR AAA	1.71 0.32
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	650,648.17	04/20/2021 0.38%	650,579.73 650,579.73	97.81 5.87%	636,392.47 109.89	0.13% (14,187.26)	NR / AAA AAA	2.04 0.40
43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	938,780.24	11/16/2021 0.89%	938,582.34 938,582.34	96.23 6.20%	903,420.14 229.48	0.18% (35,162.20)	Aaa / NR AAA	2.39 0.71
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	1,002,388.75	07/13/2021 0.52%	1,002,299.34 1,002,299.34	96.42 5.86%	966,471.16 231.66	0.20% (35,828.18)	Aaa / NR AAA	2.54 0.67
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	880,557.60	11/09/2021 0.71%	880,538.85 880,538.85	96.13 6.10%	846,501.15 277.86	0.17% (34,037.70)	NR / AAA AAA	2.62 0.72
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	669,545.37	11/09/2021 0.75%	669,395.92 669,395.92	96.51 5.94%	646,153.46 220.21	0.13% (23,242.46)	NR / AAA AAA	2.71 0.67
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	1,650,000.00	02/15/2022 1.89%	1,649,751.84 1,649,751.84	96.09 5.91%	1,585,447.07 1,378.67	0.32% (64,304.77)	Aaa / AAA NR	2.71 0.98
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	1,065,000.00	05/10/2022 3.23%	1,064,944.62 1,064,944.62	97.47 5.83%	1,038,066.15 569.78	0.21% (26,878.47)	Aaa / AAA NR	2.99 0.98
362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	713,465.72	10/13/2021 0.68%	713,447.52 713,447.52	95.84 6.19%	683,811.94 202.15	0.14% (29,635.58)	Aaa / AAA NR	3.05 0.75
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	1,010,000.00	03/10/2022 2.34%	1,009,776.59 1,009,776.59	96.82 5.91%	977,842.61 1,041.42	0.20% (31,933.98)	Aaa / NR AAA	3.05 0.89
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	1,350,000.00	03/09/2022 2.23%	1,349,948.03 1,349,948.03	96.29 6.01%	1,299,863.70 1,332.00	0.26% (50,084.33)	NR / AAA AAA	3.13 0.99
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	660,000.00	01/11/2022 1.27%	659,942.65 659,942.65	96.06 5.99%	633,963.00 346.50	0.13% (25,979.65)	NR / AAA AAA	3.21 0.84
02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	2,765,000.00	05/17/2022 3.42%	2,764,388.38 2,764,388.38	96.75 5.46%	2,675,057.32 4,165.93	0.54% (89,331.06)	NR / AAA AAA	3.71 1.60

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>ABS</b>									
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	1,150,000.00	08/02/2022 3.75%	1,149,949.40 1,149,949.40	99.17 5.09%	1,140,414.75 1,307.17	0.23% (9,534.65)	NR / AAA AAA	3.89 0.93
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	1,880,000.00	02/22/2023 5.07%	1,879,657.46 1,879,657.46	99.30 5.42%	1,866,866.32 4,186.13	0.38% (12,791.14)	Aaa / NR AAA	4.21 1.93
<b>Total ABS</b>		<b>26,113,978.25</b>	<b>1.96%</b>	<b>26,110,825.32</b>	<b>5.87%</b>	<b>25,497,198.73</b> <b>19,363.82</b>	<b>5.18%</b> <b>(613,626.59)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>2.54</b> <b>0.79</b>
<b>AGENCY</b>									
3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	Various 1.95%	5,740,000.40 5,740,000.40	98.13 5.33%	5,396,957.50 33,821.18	1.10% (343,042.90)	Aaa / AA+ NR	0.79 0.76
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	4,000,000.00	09/13/2019 1.79%	4,206,760.00 4,206,760.00	97.46 5.43%	3,898,560.00 53,666.67	0.80% (308,200.00)	Aaa / AA+ AAA	1.04 0.99
3135G0W66	FNMA Note 1.625% Due 10/15/2024	3,500,000.00	Various 1.21%	3,564,275.00 3,564,275.00	96.10 5.24%	3,363,661.00 21,486.11	0.69% (200,614.00)	Aaa / AA+ AA+	1.13 1.08
3130AQF40	FHLB Note 1% Due 12/20/2024	4,300,000.00	12/21/2021 1.02%	4,297,205.00 4,297,205.00	94.71 5.25%	4,072,422.50 8,480.56	0.83% (224,782.50)	Aaa / AA+ AAA	1.31 1.26
3135G0X24	FNMA Note 1.625% Due 1/7/2025	4,220,000.00	Various 1.30%	4,282,878.20 4,282,878.20	95.45 5.16%	4,027,956.24 10,286.25	0.82% (254,921.96)	Aaa / AA+ AA+	1.36 1.30
3137EAEPO	FHLMC Note 1.5% Due 2/12/2025	6,575,000.00	02/13/2020 1.52%	6,569,937.25 6,569,937.25	94.96 5.16%	6,243,587.13 5,205.21	1.27% (326,350.12)	Aaa / AA+ AA+	1.45 1.40
3135G03U5	FNMA Note 0.625% Due 4/22/2025	5,270,000.00	04/22/2020 0.67%	5,259,143.80 5,259,143.80	93.14 5.03%	4,908,736.23 11,802.60	1.00% (350,407.57)	Aaa / AA+ AA+	1.64 1.59
3135G04Z3	FNMA Note 0.5% Due 6/17/2025	6,200,000.00	Various 0.51%	6,196,731.90 6,196,731.90	92.40 4.98%	5,728,744.20 6,372.22	1.16% (467,987.70)	Aaa / AA+ AA+	1.80 1.74
3137EAEU9	FHLMC Note 0.375% Due 7/21/2025	6,300,000.00	Various 0.45%	6,277,899.60 6,277,899.60	91.87 4.94%	5,787,696.60 2,625.00	1.17% (490,203.00)	Aaa / AA+ AA+	1.89 1.84
3135G05X7	FNMA Note 0.375% Due 8/25/2025	6,285,000.00	Various 0.46%	6,260,439.20 6,260,439.20	91.42 4.97%	5,746,042.40 392.81	1.17% (514,396.80)	Aaa / AA+ AA+	1.99 1.93
3137EAEX3	FHLMC Note 0.375% Due 9/23/2025	6,295,000.00	Various 0.44%	6,276,346.05 6,276,346.05	91.24 4.89%	5,743,803.51 10,360.52	1.17% (532,542.54)	Aaa / AA+ AA+	2.07 2.00
3135G06G3	FNMA Note 0.5% Due 11/7/2025	6,300,000.00	Various 0.57%	6,279,895.00 6,279,895.00	91.11 4.84%	5,739,785.11 9,975.00	1.17% (540,109.89)	Aaa / AA+ AA+	2.19 2.12

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>AGENCY</b>									
880591EZ1	Tennessee Valley Authority Note 3.875% Due 3/15/2028	3,500,000.00	05/04/2023 3.56%	3,548,755.00 3,548,755.00	97.97 4.37%	3,428,876.50 56,887.15	0.71% (119,878.50)	Aaa / AA+ AA+	4.54 4.05
3130AWN63	FHLB Note 4% Due 6/30/2028	3,000,000.00	08/21/2023 4.53%	2,931,360.00 2,931,360.00	98.82 4.27%	2,964,705.00 15,666.67	0.60% 33,345.00	Aaa / AA+ NR	4.84 4.32
<b>Total Agency</b>		<b>71,245,000.00</b>	<b>1.22%</b>	<b>71,691,626.40</b>	<b>5.01%</b>	<b>67,051,533.92</b> <b>247,027.95</b>	<b>13.65%</b> <b>(4,640,092.48)</b>	<b>Aaa / AA+</b> <b>AA+</b>	<b>1.90</b> <b>1.80</b>
<b>CMO</b>									
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	487,843.24	05/16/2019 2.57%	506,861.51 506,861.51	99.58 4.95%	485,808.45 286.77	0.10% (21,053.06)	Aaa / NR AAA	0.15 0.13
3137BSP72	FHLMC K058 A2 2.653% Due 8/25/2026	1,500,000.00	11/12/2021 1.35%	1,586,425.78 1,586,425.78	93.54 5.02%	1,403,173.50 3,316.25	0.29% (183,252.28)	NR / NR AAA	2.99 2.75
3137BSRE5	FHLMC K059 A2 3.12% Due 9/25/2026	3,000,000.00	02/18/2022 1.98%	3,128,437.50 3,128,437.50	94.74 5.01%	2,842,248.00 7,800.00	0.58% (286,189.50)	NR / AAA AAA	3.07 2.76
3137FBBX3	FHLMC K068 A2 3.244% Due 8/25/2027	2,650,000.00	11/02/2022 4.79%	2,482,408.20 2,482,408.20	93.98 4.93%	2,490,597.20 7,163.83	0.51% 8,189.00	Aaa / NR NR	3.99 3.57
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	4,690,000.00	07/20/2023 4.60%	4,471,072.27 4,471,072.27	94.44 4.89%	4,429,432.98 13,460.30	0.90% (41,639.29)	NR / NR AAA	4.32 3.84
3137F4D41	FHLMC K074 A2 3.6% Due 1/25/2028	3,000,000.00	03/16/2023 4.93%	2,911,054.69 2,911,054.69	95.00 4.88%	2,849,871.00 1,800.00	0.58% (61,183.69)	NR / NR AAA	4.41 3.89
3137FETN0	FHLMC K073 A2 3.35% Due 1/25/2028	6,000,000.00	Various 4.55%	5,707,999.69 5,707,999.69	94.05 4.88%	5,642,988.00 3,350.00	1.15% (65,011.69)	NR / NR AAA	4.41 3.90
3137FG6X8	FHLMC K077 A2 3.85% Due 5/25/2028	5,320,000.00	Various 4.60%	5,229,125.01 5,229,125.01	95.86 4.84%	5,099,496.64 3,413.66	1.04% (129,628.37)	NR / NR AAA	4.74 4.14
3137FGR31	FHLMC K078 A2 3.854% Due 6/25/2028	1,997,000.00	06/22/2023 4.42%	1,951,677.46 1,951,677.46	95.87 4.83%	1,914,467.98 1,282.74	0.39% (37,209.48)	NR / NR AAA	4.82 4.17
<b>Total CMO</b>		<b>28,644,843.24</b>	<b>4.11%</b>	<b>27,975,062.11</b>	<b>4.90%</b>	<b>27,158,083.75</b> <b>41,873.55</b>	<b>5.52%</b> <b>(816,978.36)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>4.16</b> <b>3.68</b>
<b>CORPORATE</b>									
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/1/2024	3,600,000.00	12/05/2019 2.26%	3,598,128.00 3,598,128.00	96.15 5.71%	3,461,302.80 27,000.00	0.71% (136,825.20)	A1 / A AA-	1.17 1.12

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<b>CORPORATE</b>									
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/8/2024	2,593,000.00	Various 1.88%	2,624,484.21 2,624,484.21	96.29 5.42%	2,496,841.19 17,499.15	0.51% (127,643.02)	A2 / A A+	1.19 1.14
74153WCQ0	Priscoa Global Funding Note 1.15% Due 12/6/2024	1,975,000.00	12/01/2021 1.19%	1,972,965.75 1,972,965.75	94.49 5.73%	1,866,173.55 5,362.67	0.38% (106,792.20)	Aa3 / AA- AA-	1.27 1.22
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	2,700,000.00	01/10/2022 1.50%	2,696,382.00 2,696,382.00	94.94 5.34%	2,563,434.00 5,220.00	0.52% (132,948.00)	A1 / A+ A+	1.37 1.32
64952WEK5	New York Life Global Note 1.45% Due 1/14/2025	3,745,000.00	01/11/2022 1.49%	3,740,955.40 3,740,955.40	94.49 5.69%	3,538,744.13 7,089.49	0.72% (202,211.27)	Aaa / AA+ AAA	1.38 1.32
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	4,145,000.00	01/16/2020 2.10%	4,136,171.15 4,136,171.15	95.09 5.78%	3,941,422.47 9,441.39	0.80% (194,748.68)	A2 / A+ A+	1.39 1.33
69371RR73	Paccar Financial Corp Note 2.85% Due 4/7/2025	2,970,000.00	03/31/2022 2.86%	2,969,227.80 2,969,227.80	96.17 5.38%	2,856,349.98 33,858.00	0.59% (112,877.82)	A1 / A+ NR	1.60 1.52
78016EZ59	Royal Bank of Canada Note 3.375% Due 4/14/2025	1,270,000.00	04/07/2022 3.39%	1,269,530.10 1,269,530.10	96.74 5.51%	1,228,575.14 16,311.56	0.25% (40,954.96)	A1 / A AA-	1.62 1.53
06367WB85	Bank of Montreal Note 1.85% Due 5/1/2025	2,761,000.00	07/23/2021 0.85%	2,862,660.02 2,862,660.02	93.94 5.71%	2,593,650.27 17,026.17	0.53% (269,009.75)	A2 / A- AA-	1.67 1.59
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	3,295,000.00	05/24/2021 2.03%	3,299,268.65 3,299,268.65	96.03 6.11%	3,164,089.65 6,787.70	0.64% (135,179.00)	A1 / A- AA-	1.75 1.67
63743HFE7	National Rural Utilities Note 3.45% Due 6/15/2025	940,000.00	04/27/2022 3.46%	939,746.20 939,746.20	96.42 5.58%	906,311.34 6,846.33	0.19% (33,434.86)	A2 / A- A	1.79 1.69
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	1,565,000.00	Various 2.17%	1,565,968.20 1,565,968.20	95.86 6.12%	1,500,155.79 2,864.47	0.30% (65,812.41)	A1 / A- AA-	1.81 1.73
66815L2J7	Northwestern Mutual Gbl Note 4% Due 7/1/2025	2,350,000.00	06/27/2022 4.01%	2,349,201.00 2,349,201.00	97.40 5.51%	2,288,935.25 15,666.67	0.47% (60,265.75)	Aaa / AA+ AAA	1.84 1.73
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	3,000,000.00	Various 1.12%	2,969,700.00 2,969,700.00	89.74 5.75%	2,692,092.00 5,906.26	0.55% (277,608.00)	Aa1 / AA+ NR	2.28 2.19
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 1/14/2026	1,870,000.00	12/06/2021 1.47%	1,820,388.90 1,820,388.90	89.88 5.41%	1,680,795.27 1,953.11	0.34% (139,593.63)	Aaa / AA+ AAA	2.38 2.29
46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	1,000,000.00	05/20/2021 2.28%	1,037,330.00 1,037,330.00	94.06 5.92%	940,584.00 7,464.08	0.19% (96,746.00)	A1 / A- AA-	2.64 1.57

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<b>CORPORATE</b>									
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	1,600,000.00	05/18/2022 4.99%	1,511,376.00 1,511,376.00	94.24 5.87%	1,507,916.80 11,961.07	0.31% (3,459.20)	A1 / A- A+	2.66 1.58
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	4,865,000.00	05/10/2021 1.09%	4,843,983.20 4,843,983.20	90.37 4.85%	4,396,510.23 14,730.14	0.89% (447,472.97)	A1 / AA AA-	2.70 2.59
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	805,000.00	Various 1.08%	807,605.05 807,605.05	90.47 4.96%	728,255.33 2,725.82	0.15% (79,349.72)	A2 / A+ A	2.71 2.60
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	3,325,000.00	06/15/2021 1.13%	3,323,537.00 3,323,537.00	89.95 5.02%	2,990,907.33 7,585.16	0.61% (332,629.67)	A1 / A+ A+	2.80 2.69
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	3,000,000.00	Various 2.27%	3,007,920.00 3,007,920.00	92.03 6.05%	2,760,780.00 7,914.00	0.56% (247,140.00)	A1 / A- AA-	2.80 1.73
57629WDE7	Mass Mutual Global funding Note 1.2% Due 7/16/2026	2,272,000.00	08/10/2021 1.21%	2,271,369.92 2,271,369.92	88.86 5.44%	2,019,003.72 3,408.00	0.41% (252,366.20)	Aa3 / AA+ AA+	2.88 2.75
58989V2D5	Met Tower Global Funding Note 1.25% Due 9/14/2026	1,715,000.00	09/07/2021 1.27%	1,713,422.20 1,713,422.20	88.20 5.53%	1,512,581.98 9,944.62	0.31% (200,840.22)	Aa3 / AA- AA-	3.04 2.89
931142ER0	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	785,000.00	09/08/2021 1.09%	783,516.35 783,516.35	89.62 4.75%	703,513.08 3,754.92	0.14% (80,003.27)	Aa2 / AA AA	3.05 2.92
59217GER6	Metlife Note 1.875% Due 1/11/2027	2,485,000.00	01/03/2022 1.90%	2,482,167.10 2,482,167.10	89.34 5.38%	2,220,161.13 6,471.35	0.45% (262,005.97)	Aa3 / AA- AA-	3.37 3.17
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	1,300,000.00	03/09/2022 2.73%	1,282,866.00 1,282,866.00	89.99 5.64%	1,169,922.00 15,748.06	0.24% (112,944.00)	A2 / A- A	3.51 3.24
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	1,900,000.00	03/09/2022 2.97%	1,884,933.00 1,884,933.00	91.73 5.41%	1,742,832.00 25,270.00	0.36% (142,101.00)	A1 / A NR	3.53 3.24
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	3,000,000.00	Various 4.95%	2,906,255.64 2,906,255.64	94.74 5.72%	2,842,254.00 37,962.67	0.58% (64,001.64)	A1 / A- AA-	3.65 2.44
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	1,345,000.00	05/05/2022 4.04%	1,342,821.10 1,342,821.10	96.03 5.19%	1,291,655.96 16,588.33	0.27% (51,165.14)	A2 / A+ A+	3.69 3.33

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<b>CORPORATE</b>									
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	1,365,000.00	05/17/2022 3.69%	1,365,638.40 1,365,638.40	96.13 4.85%	1,312,186.80 14,870.91	0.27% (53,451.60)	A2 / A+ A	3.71 3.37
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	2,500,000.00	07/15/2022 3.53%	2,441,975.00 2,441,975.00	94.43 4.65%	2,360,807.50 21,458.33	0.48% (81,167.50)	Aa3 / A+ NR	3.72 3.43
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	1,400,000.00	08/26/2022 4.18%	1,395,310.00 1,395,310.00	95.50 5.44%	1,337,029.40 13,259.71	0.27% (58,280.60)	A1 / A NR	3.77 3.40
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	4,500,000.00	Various 4.99%	4,242,810.00 4,242,810.00	93.52 5.33%	4,208,247.00 20,987.50	0.86% (34,563.00)	A3 / A- NR	4.38 3.94
24422EWR6	John Deere Capital Corp Note 4.75% Due 1/20/2028	2,450,000.00	01/23/2023 4.40%	2,487,705.50 2,487,705.50	99.55 4.86%	2,439,068.10 13,253.82	0.50% (48,637.40)	A2 / A A+	4.39 3.90
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	1,500,000.00	02/16/2023 4.76%	1,532,220.00 1,532,220.00	101.93 4.75%	1,528,953.00 3,500.00	0.31% (3,267.00)	A2 / A+ A	4.46 3.87
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	2,090,000.00	03/06/2023 4.90%	2,087,972.70 2,087,972.70	100.31 4.79%	2,096,485.27 48,679.58	0.44% 8,512.57	Aa3 / A+ NR	4.53 3.86
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	1,650,000.00	05/08/2023 4.01%	1,649,083.50 1,649,083.50	97.73 4.54%	1,612,596.15 20,350.00	0.33% (36,487.35)	Aaa / AA+ NR	4.70 4.17
58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	1,975,000.00	05/08/2023 4.05%	1,975,392.25 1,975,392.25	97.60 4.62%	1,927,562.48 23,107.50	0.40% (47,829.77)	A1 / A+ NR	4.72 4.18
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	4,000,000.00	Various 5.11%	3,959,713.70 3,959,713.70	98.96 5.12%	3,958,556.00 34,125.00	0.81% (1,157.70)	A3 / A NR	4.79 4.18
69371RS64	Paccar Financial Corp Note 4.95% Due 8/10/2028	1,670,000.00	08/03/2023 4.99%	1,667,361.40 1,667,361.40	100.44 4.85%	1,677,289.55 4,822.13	0.34% 9,928.15	A1 / A+ NR	4.95 4.33
<b>Total Corporate</b>		<b>93,276,000.00</b>	<b>2.76%</b>	<b>92,819,062.39</b>	<b>5.38%</b>	<b>88,064,531.64</b> <b>568,775.67</b>	<b>17.98%</b> <b>(4,754,530.75)</b>	<b>A1 / A+</b> <b>AA-</b>	<b>2.82</b> <b>2.51</b>

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<b>LAIF</b>									
90LAIF\$00	Local Agency Investment Fund State Pool	38,526,879.61	Various 3.48%	38,526,879.61 38,526,879.61	1.00 3.48%	38,526,879.61 242,646.47	7.87% 0.00	NR / NR NR	0.00 0.00
<b>Total LAIF</b>		<b>38,526,879.61</b>	<b>3.48%</b>	<b>38,526,879.61</b>	<b>3.48%</b>	<b>242,646.47</b>	<b>0.00</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>MONEY MARKET FUND</b>									
60934N807	Federated Investors Govt Oblig Fund Inst.	313,727.42	08/15/2023 4.96%	313,727.42 313,727.42	1.00 4.96%	313,727.42 0.00	0.06% 0.00	Aaa / AAA AAA	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	1,513,861.61	Various 4.96%	1,513,861.61 1,513,861.61	1.00 4.96%	1,513,861.61 0.00	0.31% 0.00	Aaa / AAA AAA	0.00 0.00
<b>Total Money Market Fund</b>		<b>1,827,589.03</b>	<b>4.96%</b>	<b>1,827,589.03</b>	<b>4.96%</b>	<b>0.00</b>	<b>0.37%</b> <b>0.00</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>0.00</b> <b>0.00</b>
<b>MUNICIPAL BONDS</b>									
13063DRK6	California State Taxable GO 2.4% Due 10/1/2024	3,385,000.00	10/16/2019 1.91%	3,462,753.45 3,462,753.45	96.77 5.52%	3,275,495.25 33,850.00	0.67% (187,258.20)	Aa2 / AA- AA	1.09 1.04
<b>Total Municipal Bonds</b>		<b>3,385,000.00</b>	<b>1.91%</b>	<b>3,462,753.45</b>	<b>5.52%</b>	<b>33,850.00</b>	<b>0.67%</b> <b>(187,258.20)</b>	<b>Aa2 / AA-</b> <b>AA</b>	<b>1.09</b> <b>1.04</b>
<b>SUPRANATIONAL</b>									
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	6,300,000.00	Various 0.59%	6,272,360.85 6,272,360.85	91.22 4.83%	5,747,036.40 10,762.50	1.17% (525,324.45)	Aaa / AAA AAA	2.16 2.09
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 4/20/2026	6,390,000.00	04/13/2021 0.97%	6,360,733.80 6,360,733.80	90.62 4.70%	5,790,918.33 20,345.94	1.18% (569,815.47)	Aaa / AAA AAA	2.64 2.54
<b>Total Supranational</b>		<b>12,690,000.00</b>	<b>0.78%</b>	<b>12,633,094.65</b>	<b>4.76%</b>	<b>31,108.44</b>	<b>2.35%</b> <b>(1,095,139.92)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>2.40</b> <b>2.32</b>

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<b>US TREASURY</b>									
912796CQ0	US Treasury Bill 5.085% Due 9/14/2023	21,500,000.00	06/15/2023 5.22%	21,226,681.25 21,226,681.25	98.73 5.22%	21,226,681.25 233,839.38	4.35% 0.00	P-1 / A-1+ F-1+	0.04 0.04
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	50,750,000.00	Various 5.40%	50,076,248.64 50,076,248.64	98.67 5.40%	50,076,248.64 318,366.03	10.22% 0.00	P-1 / A-1+ F-1+	0.13 0.13
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	30,000,000.00	06/15/2023 5.34%	29,225,923.33 29,225,923.33	97.42 5.34%	29,225,923.33 329,303.33	6.00% 0.00	P-1 / A-1+ F-1+	0.29 0.28
912797GM3	US Treasury Bill 5.262% Due 2/8/2024	20,500,000.00	08/14/2023 5.48%	19,969,634.25 19,969,634.25	97.41 5.48%	19,969,634.25 50,939.08	4.06% 0.00	P-1 / A-1+ F-1+	0.44 0.43
912828B66	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	Various 2.21%	5,121,796.88 5,121,796.88	98.80 5.44%	4,939,845.00 6,351.90	1.00% (181,951.88)	Aaa / AA+ AA+	0.46 0.45
912828X70	US Treasury Note 2% Due 4/30/2024	3,150,000.00	Various 1.79%	3,177,480.46 3,177,480.46	97.79 5.42%	3,080,479.50 21,228.26	0.63% (97,000.96)	Aaa / AA+ AA+	0.67 0.64
912828XX3	US Treasury Note 2% Due 6/30/2024	4,900,000.00	Various 1.81%	4,942,253.91 4,942,253.91	97.25 5.42%	4,765,441.10 16,777.17	0.97% (176,812.81)	Aaa / AA+ AA+	0.83 0.80
912828D56	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	12/12/2019 1.75%	5,140,234.38 5,140,234.38	97.18 5.44%	4,858,790.00 5,485.73	0.99% (281,444.38)	Aaa / AA+ AA+	0.96 0.93
9128283D0	US Treasury Note 2.25% Due 10/31/2024	4,000,000.00	11/07/2019 1.77%	4,090,468.75 4,090,468.75	96.60 5.29%	3,864,064.00 30,326.09	0.79% (226,404.75)	Aaa / AA+ AA+	1.17 1.12
912828ZC7	US Treasury Note 1.125% Due 2/28/2025	7,000,000.00	03/18/2020 0.81%	7,108,007.81 7,108,007.81	94.32 5.10%	6,602,694.00 216.35	1.34% (505,313.81)	Aaa / AA+ AA+	1.50 1.45
91282CED9	US Treasury Note 1.75% Due 3/15/2025	7,000,000.00	04/05/2022 2.65%	6,823,085.94 6,823,085.94	95.11 5.09%	6,657,658.00 56,589.67	1.36% (165,427.94)	Aaa / AA+ AA+	1.54 1.48
91282CAB7	US Treasury Note 0.25% Due 7/31/2025	6,000,000.00	03/12/2021 0.72%	5,879,062.50 5,879,062.50	91.61 4.89%	5,496,330.00 1,304.35	1.12% (382,732.50)	Aaa / AA+ AA+	1.92 1.87
91282CAM3	US Treasury Note 0.25% Due 9/30/2025	3,200,000.00	03/29/2021 0.74%	3,130,375.00 3,130,375.00	91.11 4.79%	2,915,500.80 3,366.12	0.59% (214,874.20)	Aaa / AA+ AA+	2.08 2.03
91282CAT8	US Treasury Note 0.25% Due 10/31/2025	6,000,000.00	02/19/2021 0.53%	5,923,125.00 5,923,125.00	90.79 4.78%	5,447,112.00 5,054.35	1.11% (476,013.00)	Aaa / AA+ AA+	2.17 2.11
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	3,800,000.00	03/26/2021 0.77%	3,731,867.19 3,731,867.19	90.77 4.75%	3,449,092.80 3,620.90	0.70% (282,774.39)	Aaa / AA+ AA+	2.25 2.19
91282CCW9	US Treasury Note 0.75% Due 8/31/2026	1,570,000.00	09/23/2021 0.90%	1,558,899.61 1,558,899.61	89.53 4.52%	1,405,578.61 32.35	0.29% (153,321.00)	Aaa / AA+ AA+	3.00 2.90

## City of Corona Consolidated

Account #10003

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US TREASURY</b>									
91282CCZ2	US Treasury Note 0.875% Due 9/30/2026	3,130,000.00	10/18/2021 1.19%	3,083,172.27 3,083,172.27	89.74 4.48%	2,808,808.79 11,523.70	0.57% (274,363.48)	Aaa / AA+ AA+	3.08 2.97
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	3,120,000.00	11/15/2021 1.25%	3,100,987.50 3,100,987.50	90.15 4.50%	2,812,630.08 11,827.17	0.57% (288,357.42)	Aaa / AA+ AA+	3.17 3.04
91282CET4	US Treasury Note 2.625% Due 5/31/2027	2,400,000.00	06/09/2022 3.07%	2,351,156.25 2,351,156.25	93.87 4.42%	2,252,812.80 16,008.20	0.46% (98,343.45)	Aaa / AA+ AA+	3.75 3.49
91282CEW7	US Treasury Note 3.25% Due 6/30/2027	6,650,000.00	Various 3.12%	6,690,380.86 6,690,380.86	96.00 4.39%	6,384,000.00 36,999.66	1.30% (306,380.86)	Aaa / AA+ AA+	3.83 3.53
91282CFB2	US Treasury Note 2.75% Due 7/31/2027	1,300,000.00	08/22/2022 3.12%	1,277,960.94 1,277,960.94	94.14 4.40%	1,223,777.10 3,108.70	0.25% (54,183.84)	Aaa / AA+ AA+	3.92 3.64
91282CFH9	US Treasury Note 3.125% Due 8/31/2027	3,450,000.00	Various 3.45%	3,399,517.58 3,399,517.58	95.42 4.39%	3,292,055.55 296.19	0.67% (107,462.03)	Aaa / AA+ AA+	4.00 3.70
91282CFM8	US Treasury Note 4.125% Due 9/30/2027	2,800,000.00	Various 4.37%	2,770,000.01 2,770,000.01	99.07 4.37%	2,774,077.60 48,598.36	0.57% 4,077.59	Aaa / AA+ AA+	4.08 3.65
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	4,600,000.00	Various 3.74%	4,628,085.94 4,628,085.94	98.16 4.35%	4,515,548.60 45,293.03	0.93% (112,537.34)	Aaa / AA+ AA+	4.25 3.83
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	7,000,000.00	Various 3.78%	7,028,945.31 7,028,945.31	98.17 4.34%	6,871,760.00 46,436.82	1.40% (157,185.31)	Aaa / AA+ AA+	4.34 3.92
91282CGH8	US Treasury Note 3.5% Due 1/31/2028	7,000,000.00	02/16/2023 4.05%	6,828,007.81 6,828,007.81	96.70 4.33%	6,768,944.00 21,304.35	1.38% (59,063.81)	Aaa / AA+ AA+	4.42 4.02
91282CGP0	US Treasury Note 4% Due 2/29/2028	7,000,000.00	02/23/2023 4.16%	6,949,960.94 6,949,960.94	98.77 4.30%	6,913,865.00 769.23	1.40% (36,095.94)	Aaa / AA+ AA+	4.50 4.07
91282CHE4	US Treasury Note 3.625% Due 5/31/2028	7,000,000.00	06/14/2023 4.00%	6,884,335.94 6,884,335.94	97.26 4.27%	6,808,046.00 64,477.46	1.39% (76,289.94)	Aaa / AA+ AA+	4.75 4.27
<b>Total US Treasury</b>		<b>234,820,000.00</b>	<b>3.95%</b>	<b>232,117,656.25</b> <b>232,117,656.25</b>	<b>5.07%</b>	<b>227,407,398.80</b> <b>1,389,443.93</b>	<b>46.42%</b> <b>(4,710,257.45)</b>	<b>Aaa / AAA</b> <b>AAA</b>	<b>1.43</b> <b>1.33</b>
<b>TOTAL PORTFOLIO</b>		<b>510,529,290.13</b>	<b>3.13%</b>	<b>507,164,549.21</b> <b>507,164,549.21</b>	<b>5.02%</b>	<b>490,346,665.46</b> <b>2,574,089.83</b>	<b>100.00%</b> <b>(16,817,883.75)</b>	<b>Aa1 / AA+</b> <b>AAA</b>	<b>1.85</b> <b>1.62</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>492,920,755.29</b>			

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/02/2023	60934N807	2,576.60	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,576.60	0.00	2,576.60	0.00
Purchase	08/02/2023	60934N807	15,656.40	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	15,656.40	0.00	15,656.40	0.00
Purchase	08/07/2023	60934N807	1,427,111.07	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,427,111.07	0.00	1,427,111.07	0.00
Purchase	08/10/2023	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	99.842	4.99%	1,667,361.40	0.00	1,667,361.40	0.00
Purchase	08/12/2023	60934N807	49,312.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	49,312.50	0.00	49,312.50	0.00
Purchase	08/15/2023	60934N807	20,000,000.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	20,000,000.00	0.00	20,000,000.00	0.00
Purchase	08/15/2023	60934N807	167,500.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	167,500.00	0.00	167,500.00	0.00
Purchase	08/15/2023	60934N807	1,952.67	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,952.67	0.00	1,952.67	0.00
Purchase	08/15/2023	60934N807	7,849.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,849.00	0.00	7,849.00	0.00
Purchase	08/15/2023	60934N807	2,497.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,497.50	0.00	2,497.50	0.00
Purchase	08/15/2023	60934N807	2,585.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,585.00	0.00	2,585.00	0.00
Purchase	08/15/2023	60934N807	7,811.13	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,811.13	0.00	7,811.13	0.00
Purchase	08/15/2023	60934N807	30,822.61	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	30,822.61	0.00	30,822.61	0.00
Purchase	08/15/2023	60934N807	71,785.35	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	71,785.35	0.00	71,785.35	0.00
Purchase	08/15/2023	60934N807	184,052.70	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	184,052.70	0.00	184,052.70	0.00
Purchase	08/15/2023	60934N807	140,174.51	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	140,174.51	0.00	140,174.51	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/15/2023	60934N807	69,549.97	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	69,549.97	0.00	69,549.97	0.00
Purchase	08/15/2023	60934N807	44,720.66	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	44,720.66	0.00	44,720.66	0.00
Purchase	08/15/2023	60934N807	18,953.21	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	18,953.21	0.00	18,953.21	0.00
Purchase	08/15/2023	60934N807	64,915.68	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	64,915.68	0.00	64,915.68	0.00
Purchase	08/15/2023	60934N807	48,607.74	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	48,607.74	0.00	48,607.74	0.00
Purchase	08/15/2023	60934N807	53,086.15	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	53,086.15	0.00	53,086.15	0.00
Purchase	08/15/2023	60934N807	113,458.90	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	113,458.90	0.00	113,458.90	0.00
Purchase	08/15/2023	912797GM3	20,500,000.00	US Treasury Bill 5.262% Due 2/8/2024	97.413	5.48%	19,969,634.25	0.00	19,969,634.25	0.00
Purchase	08/16/2023	60934N807	693.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	693.00	0.00	693.00	0.00
Purchase	08/16/2023	60934N807	40,599.64	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	40,599.64	0.00	40,599.64	0.00
Purchase	08/18/2023	60934N807	59,333.28	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	59,333.28	0.00	59,333.28	0.00
Purchase	08/21/2023	60934N807	3,565.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	3,565.00	0.00	3,565.00	0.00
Purchase	08/21/2023	60934N807	4,189.50	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	4,189.50	0.00	4,189.50	0.00
Purchase	08/21/2023	60934N807	198,106.14	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	198,106.14	0.00	198,106.14	0.00
Purchase	08/21/2023	60934N807	155,924.17	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	155,924.17	0.00	155,924.17	0.00
Purchase	08/21/2023	60934N807	31,819.93	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	31,819.93	0.00	31,819.93	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/21/2023	60934N807	61,227.45	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	61,227.45	0.00	61,227.45	0.00
Purchase	08/21/2023	60934N807	98,705.16	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	98,705.16	0.00	98,705.16	0.00
Purchase	08/23/2023	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	97.712	4.53%	2,931,360.00	13,000.00	2,944,360.00	0.00
Purchase	08/23/2023	74340XCG4	1,370,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	98.070	5.33%	1,343,559.00	10,203.65	1,353,762.65	0.00
Purchase	08/25/2023	60934N807	11,784.38	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	11,784.38	0.00	11,784.38	0.00
Purchase	08/25/2023	60934N807	6,413.70	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	6,413.70	0.00	6,413.70	0.00
Purchase	08/25/2023	60934N807	17,068.33	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	17,068.33	0.00	17,068.33	0.00
Purchase	08/25/2023	60934N807	16,750.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	16,750.00	0.00	16,750.00	0.00
Purchase	08/25/2023	60934N807	13,460.30	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	13,460.30	0.00	13,460.30	0.00
Purchase	08/25/2023	60934N807	7,163.83	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,163.83	0.00	7,163.83	0.00
Purchase	08/25/2023	60934N807	9,000.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	9,000.00	0.00	9,000.00	0.00
Purchase	08/25/2023	60934N807	7,800.00	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	7,800.00	0.00	7,800.00	0.00
Purchase	08/25/2023	60934N807	2,848.88	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	2,848.88	0.00	2,848.88	0.00
Purchase	08/25/2023	60934N807	3,316.25	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	3,316.25	0.00	3,316.25	0.00
Purchase	08/25/2023	60934N807	85,387.89	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	85,387.89	0.00	85,387.89	0.00
Purchase	08/25/2023	60934N807	71,880.35	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	71,880.35	0.00	71,880.35	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/25/2023	60934N807	360,049.49	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	360,049.49	0.00	360,049.49	0.00
Purchase	08/31/2023	60934N807	239,168.75	Federated Investors Govt Oblig Fund Inst.	1.000	4.96%	239,168.75	0.00	239,168.75	0.00
<b>Subtotal</b>			<b>50,571,234.77</b>				<b>49,943,149.42</b>	<b>23,203.65</b>	<b>49,966,353.07</b>	<b>0.00</b>
Security Contribution	08/01/2023	90LAIF\$00	600,000.00	Local Agency Investment Fund State Pool	1.000		600,000.00	0.00	600,000.00	0.00
Security Contribution	08/07/2023	90LAIF\$00	900,000.00	Local Agency Investment Fund State Pool	1.000		900,000.00	0.00	900,000.00	0.00
Security Contribution	08/07/2023	90LAIF\$00	1,000,000.00	Local Agency Investment Fund State Pool	1.000		1,000,000.00	0.00	1,000,000.00	0.00
Security Contribution	08/08/2023	90LAIF\$00	550,000.00	Local Agency Investment Fund State Pool	1.000		550,000.00	0.00	550,000.00	0.00
Security Contribution	08/22/2023	90LAIF\$00	1,200,000.00	Local Agency Investment Fund State Pool	1.000		1,200,000.00	0.00	1,200,000.00	0.00
Security Contribution	08/28/2023	90LAIF\$00	500,000.00	Local Agency Investment Fund State Pool	1.000		500,000.00	0.00	500,000.00	0.00
Security Contribution	08/29/2023	90LAIF\$00	6,000,000.00	Local Agency Investment Fund State Pool	1.000		6,000,000.00	0.00	6,000,000.00	0.00
Security Contribution	08/29/2023	90LAIF\$00	5,000,000.00	Local Agency Investment Fund State Pool	1.000		5,000,000.00	0.00	5,000,000.00	0.00
<b>Subtotal</b>			<b>15,750,000.00</b>				<b>15,750,000.00</b>	<b>0.00</b>	<b>15,750,000.00</b>	<b>0.00</b>
Short Sale	08/15/2023	60934N807	-19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000		-19,969,634.25	0.00	-19,969,634.25	0.00
<b>Subtotal</b>			<b>-19,969,634.25</b>				<b>-19,969,634.25</b>	<b>0.00</b>	<b>-19,969,634.25</b>	<b>0.00</b>
<b>TOTAL ACQUISITIONS</b>			<b>46,351,600.52</b>				<b>45,723,515.17</b>	<b>23,203.65</b>	<b>45,746,718.82</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Closing Purchase	08/15/2023	60934N807	-19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000		-19,969,634.25	0.00	-19,969,634.25	0.00
<b>Subtotal</b>			<b>-19,969,634.25</b>				<b>-19,969,634.25</b>	<b>0.00</b>	<b>-19,969,634.25</b>	<b>0.00</b>
Sale	08/07/2023	14913R2V8	1,460,000.00	Caterpillar Financial Service Note 3.4% Due 5/13/2025	96.954	5.22%	1,415,528.40	11,582.67	1,427,111.07	-42,617.40
Sale	08/10/2023	60934N807	1,667,361.40	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,667,361.40	0.00	1,667,361.40	0.00
Sale	08/15/2023	60934N807	19,969,634.25	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	19,969,634.25	0.00	19,969,634.25	0.00
Sale	08/23/2023	60934N807	1,331,578.51	Federated Investors Govt Oblig Fund Inst.	1.000	4.89%	1,331,578.51	0.00	1,331,578.51	0.00
Sale	08/23/2023	79466LAG9	3,100,000.00	Salesforce.com Inc Callable Note Cont 7/15/2022 0.625% Due 7/15/2024	95.629	5.71%	2,964,499.00	2,045.14	2,966,544.14	-111,693.60
<b>Subtotal</b>			<b>27,528,574.16</b>				<b>27,348,601.56</b>	<b>13,627.81</b>	<b>27,362,229.37</b>	<b>-154,311.00</b>
Paydown	08/15/2023	02582JIT8	0.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	100.000		0.00	7,811.13	7,811.13	0.00
Paydown	08/15/2023	43813DAC2	30,785.69	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	100.000		30,785.69	36.92	30,822.61	2.42
Paydown	08/15/2023	43815BAC4	0.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	100.000		0.00	2,585.00	2,585.00	0.00
Paydown	08/15/2023	44891RAC4	71,626.20	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	100.000		71,626.20	159.15	71,785.35	16.49
Paydown	08/15/2023	44891VAC5	183,957.10	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	100.000		183,957.10	95.60	184,052.70	27.59
Paydown	08/15/2023	44891WAC3	139,021.18	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	100.000		139,021.18	1,153.33	140,174.51	3.07

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/15/2023	448977AD0	0.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	100.000		0.00	2,497.50	2,497.50	0.00
Paydown	08/15/2023	44933LAC7	69,321.98	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	100.000		69,321.98	227.99	69,549.97	7.29
Paydown	08/15/2023	44935FAD6	44,280.47	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	100.000		44,280.47	440.19	44,720.66	9.88
Paydown	08/15/2023	47787JAC2	0.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	100.000		0.00	1,952.67	1,952.67	0.00
Paydown	08/15/2023	47787NAC3	18,931.14	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	100.000		18,931.14	22.07	18,953.21	2.88
Paydown	08/15/2023	47789QAC4	64,453.38	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	100.000		64,453.38	462.30	64,915.68	5.75
Paydown	08/15/2023	47800CAC0	0.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	100.000		0.00	7,849.00	7,849.00	0.00
Paydown	08/15/2023	89236XAC0	48,547.38	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100.000		48,547.38	60.36	48,607.74	9.05
Paydown	08/15/2023	89238JAC9	52,534.07	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	100.000		52,534.07	552.08	53,086.15	1.12
Paydown	08/15/2023	89240BAC2	113,238.45	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	100.000		113,238.45	220.45	113,458.90	21.02
Paydown	08/16/2023	362554AC1	40,172.58	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	100.000		40,172.58	427.06	40,599.64	1.02
Paydown	08/16/2023	380146AC4	0.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	100.000		0.00	693.00	693.00	0.00
Paydown	08/18/2023	43813KAC6	59,237.26	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		59,237.26	96.02	59,333.28	8.70

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/21/2023	36262XAC8	197,735.75	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	100.000		197,735.75	370.39	198,106.14	2.69
Paydown	08/21/2023	36265MAC9	152,591.25	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	100.000		152,591.25	3,332.92	155,924.17	1.31
Paydown	08/21/2023	43813GAC5	31,757.45	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	100.000		31,757.45	62.48	31,819.93	0.58
Paydown	08/21/2023	43815GAC3	60,494.65	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	100.000		60,494.65	732.80	61,227.45	12.75
Paydown	08/21/2023	89238LAC4	0.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	100.000		0.00	4,189.50	4,189.50	0.00
Paydown	08/21/2023	92290BAA9	98,627.85	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	100.000		98,627.85	77.31	98,705.16	20.72
Paydown	08/21/2023	92348KAV5	0.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	100.000		0.00	3,565.00	3,565.00	0.00
Paydown	08/25/2023	05601XAC3	84,726.59	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	100.000		84,726.59	661.30	85,387.89	12.67
Paydown	08/25/2023	05602RAD3	0.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	100.000		0.00	2,848.88	2,848.88	0.00
Paydown	08/25/2023	09690AAC7	71,786.86	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	100.000		71,786.86	93.49	71,880.35	7.41
Paydown	08/25/2023	3137B7MZ9	357,564.70	FHLMC K036 A2Due 10/25/2023	100.000		357,564.70	2,484.79	360,049.49	-13,939.44
Paydown	08/25/2023	3137BSP72	0.00	FHLMC K058 A2 2.653% Due 8/25/2026	100.000		0.00	3,316.25	3,316.25	0.00
Paydown	08/25/2023	3137BSRE5	0.00	FHLMC K059 A2 3.12% Due 9/25/2026	100.000		0.00	7,800.00	7,800.00	0.00
Paydown	08/25/2023	3137F4D41	0.00	FHLMC K074 A2 3.6% Due 1/25/2028	100.000		0.00	9,000.00	9,000.00	0.00
Paydown	08/25/2023	3137FBBX3	0.00	FHLMC K068 A2 3.244% Due 8/25/2027	100.000		0.00	7,163.83	7,163.83	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Paydown	08/25/2023	3137FEBQ2	0.00	FHLMC K072 A2 3.444% Due 12/25/2027	100.000		0.00	13,460.30	13,460.30	0.00
Paydown	08/25/2023	3137FETN0	0.00	FHLMC K073 A2 3.35% Due 1/25/2028	100.000		0.00	16,750.00	16,750.00	0.00
Paydown	08/25/2023	3137FG6X8	0.00	FHLMC K077 A2 3.85% Due 5/25/2028	100.000		0.00	17,068.33	17,068.33	0.00
Paydown	08/25/2023	3137FGR31	0.00	FHLMC K078 A2 3.854% Due 6/25/2028	100.000		0.00	6,413.70	6,413.70	0.00
<b>Subtotal</b>			<b>1,991,391.98</b>				<b>1,991,391.98</b>	<b>126,733.09</b>	<b>2,118,125.07</b>	<b>-13,765.03</b>
Maturity	08/15/2023	912797GG6	20,000,000.00	US Treasury Bill 5.017% Due 8/15/2023	100.000		19,749,150.00	250,850.00	20,000,000.00	0.00
<b>Subtotal</b>			<b>20,000,000.00</b>				<b>19,749,150.00</b>	<b>250,850.00</b>	<b>20,000,000.00</b>	<b>0.00</b>
Security Withdrawal	08/03/2023	90LAIF\$00	1,000,000.00	Local Agency Investment Fund State Pool	1.000		1,000,000.00	0.00	1,000,000.00	0.00
Security Withdrawal	08/03/2023	90LAIF\$00	1,700,000.00	Local Agency Investment Fund State Pool	1.000		1,700,000.00	0.00	1,700,000.00	0.00
Security Withdrawal	08/09/2023	90LAIF\$00	1,600,000.00	Local Agency Investment Fund State Pool	1.000		1,600,000.00	0.00	1,600,000.00	0.00
Security Withdrawal	08/10/2023	90LAIF\$00	2,500,000.00	Local Agency Investment Fund State Pool	1.000		2,500,000.00	0.00	2,500,000.00	0.00
Security Withdrawal	08/10/2023	90LAIF\$00	2,400,000.00	Local Agency Investment Fund State Pool	1.000		2,400,000.00	0.00	2,400,000.00	0.00
Security Withdrawal	08/17/2023	90LAIF\$00	2,500,000.00	Local Agency Investment Fund State Pool	1.000		2,500,000.00	0.00	2,500,000.00	0.00
Security Withdrawal	08/17/2023	90LAIF\$00	4,600,000.00	Local Agency Investment Fund State Pool	1.000		4,600,000.00	0.00	4,600,000.00	0.00
Security Withdrawal	08/23/2023	90LAIF\$00	400,000.00	Local Agency Investment Fund State Pool	1.000		400,000.00	0.00	400,000.00	0.00
Security Withdrawal	08/24/2023	90LAIF\$00	600,000.00	Local Agency Investment Fund State Pool	1.000		600,000.00	0.00	600,000.00	0.00
Security Withdrawal	08/30/2023	90LAIF\$00	2,600,000.00	Local Agency Investment Fund State Pool	1.000		2,600,000.00	0.00	2,600,000.00	0.00

## City of Corona Consolidated

## Transaction Ledger



Account #10003

As of August 31, 2023

Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>DISPOSITIONS</b>										
Security Withdrawal	08/30/2023	90LAIF\$00	2,800,000.00	Local Agency Investment Fund State Pool	1.000		2,800,000.00	0.00	2,800,000.00	0.00
Security Withdrawal	08/31/2023	90LAIF\$00	1,900,000.00	Local Agency Investment Fund State Pool	1.000		1,900,000.00	0.00	1,900,000.00	0.00
Security Withdrawal	08/31/2023	90LAIF\$00	2,400,000.00	Local Agency Investment Fund State Pool	1.000		2,400,000.00	0.00	2,400,000.00	0.00
<b>Subtotal</b>			<b>27,000,000.00</b>				<b>27,000,000.00</b>	<b>0.00</b>	<b>27,000,000.00</b>	<b>0.00</b>
<b>TOTAL DISPOSITIONS</b>			<b>56,550,331.89</b>				<b>56,119,509.29</b>	<b>391,210.90</b>	<b>56,510,720.19</b>	<b>-168,076.03</b>
<b>OTHER TRANSACTIONS</b>										
Interest	08/12/2023	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.000		49,312.50	0.00	49,312.50	0.00
Interest	08/15/2023	912828B66	5,000,000.00	US Treasury Note 2.75% Due 2/15/2024	0.000		68,750.00	0.00	68,750.00	0.00
Interest	08/15/2023	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	0.000		59,375.00	0.00	59,375.00	0.00
Interest	08/15/2023	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.000		39,375.00	0.00	39,375.00	0.00
Interest	08/25/2023	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.000		11,784.38	0.00	11,784.38	0.00
Interest	08/31/2023	912828ZC7	7,000,000.00	US Treasury Note 1.125% Due 2/28/2025	0.000		39,375.00	0.00	39,375.00	0.00
Interest	08/31/2023	91282CCW9	1,570,000.00	US Treasury Note 0.75% Due 8/31/2026	0.000		5,887.50	0.00	5,887.50	0.00
Interest	08/31/2023	91282CFH9	3,450,000.00	US Treasury Note 3.125% Due 8/31/2027	0.000		53,906.25	0.00	53,906.25	0.00
Interest	08/31/2023	91282CGP0	7,000,000.00	US Treasury Note 4% Due 2/29/2028	0.000		140,000.00	0.00	140,000.00	0.00
<b>Subtotal</b>			<b>43,380,000.00</b>				<b>467,765.63</b>	<b>0.00</b>	<b>467,765.63</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>OTHER TRANSACTIONS</b>										
Dividend	08/02/2023	60934N807	56,076,063.61	Federated Investors Govt Oblig Fund Inst.	0.000		15,656.40	0.00	15,656.40	0.00
Dividend	08/02/2023	60934N807	9,228,514.26	Federated Investors Govt Oblig Fund Inst.	0.000		2,576.60	0.00	2,576.60	0.00
<b>Subtotal</b>			<b>65,304,577.87</b>				<b>18,233.00</b>	<b>0.00</b>	<b>18,233.00</b>	<b>0.00</b>
<b>TOTAL OTHER TRANSACTIONS</b>			<b>108,684,577.87</b>				<b>485,998.63</b>	<b>0.00</b>	<b>485,998.63</b>	<b>0.00</b>

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
<b>FIXED INCOME</b>						
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 05/12/2026	05/10/2021 05/12/2021 4,865,000.00	4,843,983.20 0.00 0.00 4,843,983.20	10,675.97 0.00 14,730.14 4,054.17	0.00 0.00 0.00 4,054.17	4,054.17
02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 05/17/2027	05/17/2022 05/24/2022 2,765,000.00	2,764,388.38 0.00 0.00 2,764,388.38	4,165.93 7,811.13 4,165.93 7,811.13	0.00 0.00 0.00 7,811.13	7,811.13
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 05/10/2028	05/08/2023 05/10/2023 1,650,000.00	1,649,083.50 0.00 0.00 1,649,083.50	14,850.00 0.00 20,350.00 5,500.00	0.00 0.00 0.00 5,500.00	5,500.00
05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 03/25/2025	01/11/2022 01/19/2022 636,691.09	721,309.82 0.00 84,713.92 636,595.90	132.26 661.30 116.73 645.77	0.00 0.00 0.00 645.77	645.77
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 08/25/2026	05/10/2022 05/18/2022 1,065,000.00	1,064,944.62 0.00 0.00 1,064,944.62	569.78 2,848.88 569.78 2,848.88	0.00 0.00 0.00 2,848.88	2,848.88
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 04/23/2027	Various Various 3,000,000.00	2,906,255.64 0.00 0.00 2,906,255.64	29,065.16 0.00 37,962.67 8,897.51	0.00 0.00 0.00 8,897.51	8,897.51
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 06/19/2026	Various Various 3,000,000.00	3,007,920.00 0.00 0.00 3,007,920.00	4,616.50 0.00 7,914.00 3,297.50	0.00 0.00 0.00 3,297.50	3,297.50
06367WB85	Bank of Montreal Note 1.85% Due 05/01/2025	07/23/2021 07/27/2021 2,761,000.00	2,862,660.02 0.00 0.00 2,862,660.02	12,769.63 0.00 17,026.17 4,256.54	0.00 0.00 0.00 4,256.54	4,256.54
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	09/08/2021 09/15/2021 268,168.92	339,920.70 0.00 71,779.45 268,141.25	18.70 93.49 14.75 89.54	0.00 0.00 0.00 89.54	89.54

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
13063DRK6	California State Taxable GO 2.4% Due 10/01/2024	10/16/2019 10/24/2019 3,385,000.00	3,462,753.45 0.00 0.00 3,462,753.45	27,080.00 0.00 33,850.00 6,770.00	0.00 0.00 0.00 6,770.00	6,770.00
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/08/2024	Various Various 2,593,000.00	2,624,484.21 0.00 0.00 2,624,484.21	12,853.36 0.00 17,499.15 4,645.79	0.00 0.00 0.00 4,645.79	4,645.79
14913R2V8	Caterpillar Financial Service Note Due 05/13/2025	05/10/2022 05/13/2022 0.00	1,458,145.80 0.00 1,458,145.80 0.00	10,755.33 11,582.67 0.00 827.34	0.00 0.00 0.00 827.34	827.34
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 05/18/2027	07/15/2022 07/19/2022 2,500,000.00	2,441,975.00 0.00 0.00 2,441,975.00	15,208.33 0.00 21,458.33 6,250.00	0.00 0.00 0.00 6,250.00	6,250.00
24422EWR6	John Deere Capital Corp Note 4.75% Due 01/20/2028	01/23/2023 01/25/2023 2,450,000.00	2,487,705.50 0.00 0.00 2,487,705.50	3,555.90 0.00 13,253.82 9,697.92	0.00 0.00 0.00 9,697.92	9,697.92
3130A1XJ2	FHLB Note 2.875% Due 06/14/2024	Various Various 5,500,000.00	5,740,000.40 0.00 0.00 5,740,000.40	20,644.10 0.00 33,821.18 13,177.08	0.00 0.00 0.00 13,177.08	13,177.08
3130A2UW4	FHLB Note 2.875% Due 09/13/2024	09/13/2019 09/16/2019 4,000,000.00	4,206,760.00 0.00 0.00 4,206,760.00	44,083.33 0.00 53,666.67 9,583.34	0.00 0.00 0.00 9,583.34	9,583.34
3130AQF40	FHLB Note 1% Due 12/20/2024	12/21/2021 12/22/2021 4,300,000.00	4,297,205.00 0.00 0.00 4,297,205.00	4,897.22 0.00 8,480.56 3,583.34	0.00 0.00 0.00 3,583.34	3,583.34
3130AWN63	FHLB Note 4% Due 06/30/2028	08/21/2023 08/23/2023 3,000,000.00	0.00 2,931,360.00 0.00 2,931,360.00	0.00 (13,000.00) 15,666.67 2,666.67	0.00 0.00 0.00 2,666.67	2,666.67

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
3135G03U5	FNMA Note 0.625% Due 04/22/2025	04/22/2020 04/24/2020 5,270,000.00	5,259,143.80 0.00 0.00 5,259,143.80	9,057.81 0.00 11,802.60 2,744.79	0.00 0.00 0.00 2,744.79	2,744.79
3135G04Z3	FNMA Note 0.5% Due 06/17/2025	Various Various 6,200,000.00	6,196,731.90 0.00 0.00 6,196,731.90	3,788.89 0.00 6,372.22 2,583.33	0.00 0.00 0.00 2,583.33	2,583.33
3135G05X7	FNMA Note 0.375% Due 08/25/2025	Various Various 6,285,000.00	6,260,439.20 0.00 0.00 6,260,439.20	10,213.13 11,784.38 392.81 1,964.06	0.00 0.00 0.00 1,964.06	1,964.06
3135G06G3	FNMA Note 0.5% Due 11/07/2025	Various Various 6,300,000.00	6,279,895.00 0.00 0.00 6,279,895.00	7,350.00 0.00 9,975.00 2,625.00	0.00 0.00 0.00 2,625.00	2,625.00
3135G0W66	FNMA Note 1.625% Due 10/15/2024	Various Various 3,500,000.00	3,564,275.00 0.00 0.00 3,564,275.00	16,746.52 0.00 21,486.11 4,739.59	0.00 0.00 0.00 4,739.59	4,739.59
3135G0X24	FNMA Note 1.625% Due 01/07/2025	Various Various 4,220,000.00	4,282,878.20 0.00 0.00 4,282,878.20	4,571.67 0.00 10,286.25 5,714.58	0.00 0.00 0.00 5,714.58	5,714.58
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	05/16/2019 05/21/2019 487,843.24	878,365.65 0.00 371,504.14 506,861.51	496.96 2,484.79 286.77 2,274.60	0.00 0.00 0.00 2,274.60	2,274.60
3137BSP72	FHLMC K058 A2 2.653% Due 08/25/2026	11/12/2021 11/17/2021 1,500,000.00	1,586,425.78 0.00 0.00 1,586,425.78	3,316.25 3,316.25 3,316.25 3,316.25	0.00 0.00 0.00 3,316.25	3,316.25
3137BSRE5	FHLMC K059 A2 3.12% Due 09/25/2026	02/18/2022 02/24/2022 3,000,000.00	3,128,437.50 0.00 0.00 3,128,437.50	7,800.00 7,800.00 7,800.00 7,800.00	0.00 0.00 0.00 7,800.00	7,800.00

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3137EAEP0	FHLMC Note 1.5% Due 02/12/2025	02/13/2020 02/14/2020 6,575,000.00	6,569,937.25 0.00 0.00 6,569,937.25	46,298.96 49,312.50 5,205.21 8,218.75	0.00 0.00 0.00 8,218.75	8,218.75
3137EAEU9	FHLMC Note 0.375% Due 07/21/2025	Various Various 6,300,000.00	6,277,899.60 0.00 0.00 6,277,899.60	656.25 0.00 2,625.00 1,968.75	0.00 0.00 0.00 1,968.75	1,968.75
3137EAEX3	FHLMC Note 0.375% Due 09/23/2025	Various Various 6,295,000.00	6,276,346.05 0.00 0.00 6,276,346.05	8,393.34 0.00 10,360.52 1,967.18	0.00 0.00 0.00 1,967.18	1,967.18
3137F4D41	FHLMC K074 A2 3.6% Due 01/25/2028	03/16/2023 03/21/2023 3,000,000.00	2,911,054.69 0.00 0.00 2,911,054.69	1,800.00 9,000.00 1,800.00 9,000.00	0.00 0.00 0.00 9,000.00	9,000.00
3137FBBX3	FHLMC K068 A2 3.244% Due 08/25/2027	11/02/2022 11/07/2022 2,650,000.00	2,482,408.20 0.00 0.00 2,482,408.20	7,163.83 7,163.83 7,163.83 7,163.83	0.00 0.00 0.00 7,163.83	7,163.83
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	07/20/2023 07/25/2023 4,690,000.00	4,471,072.27 0.00 0.00 4,471,072.27	13,460.30 13,460.30 13,460.30 13,460.30	0.00 0.00 0.00 13,460.30	13,460.30
3137FETN0	FHLMC K073 A2 3.35% Due 01/25/2028	Various Various 6,000,000.00	5,707,999.69 0.00 0.00 5,707,999.69	3,350.00 16,750.00 3,350.00 16,750.00	0.00 0.00 0.00 16,750.00	16,750.00
3137FG6X8	FHLMC K077 A2 3.85% Due 05/25/2028	05/24/2023 05/30/2023 5,320,000.00	5,229,125.01 0.00 0.00 5,229,125.01	3,413.66 17,068.33 3,413.66 17,068.33	0.00 0.00 0.00 17,068.33	17,068.33
3137FGR31	FHLMC K078 A2 3.854% Due 06/25/2028	06/22/2023 06/27/2023 1,997,000.00	1,951,677.46 0.00 0.00 1,951,677.46	1,282.74 6,413.70 1,282.74 6,413.70	0.00 0.00 0.00 6,413.70	6,413.70

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362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 09/16/2026	10/13/2021 10/21/2021 713,465.72	753,619.08 0.00 40,171.56 713,447.52	213.53 427.06 202.15 415.68	0.00 0.00 0.00 415.68	415.68
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	08/10/2021 08/18/2021 941,913.87	1,139,634.12 0.00 197,733.06 941,901.06	135.81 370.39 112.24 346.82	0.00 0.00 0.00 346.82	346.82
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 03/20/2025	02/15/2022 02/23/2022 1,952,408.75	2,104,981.90 0.00 152,589.94 1,952,391.96	1,222.07 3,332.92 1,133.48 3,244.33	0.00 0.00 0.00 3,244.33	3,244.33
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	01/11/2022 01/19/2022 660,000.00	659,942.65 0.00 0.00 659,942.65	346.50 693.00 346.50 693.00	0.00 0.00 0.00 693.00	693.00
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	Various Various 3,000,000.00	2,969,700.00 0.00 0.00 2,969,700.00	3,718.76 0.00 5,906.26 2,187.50	0.00 0.00 0.00 2,187.50	2,187.50
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 07/15/2024	05/18/2020 05/27/2020 23,249.98	54,031.42 0.00 30,783.27 23,248.15	19.69 36.92 8.47 25.70	0.00 0.00 0.00 25.70	25.70
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 04/21/2025	02/17/2021 02/24/2021 245,925.60	277,677.97 0.00 31,756.87 245,921.10	20.83 62.48 18.44 60.09	0.00 0.00 0.00 60.09	60.09
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	09/22/2020 09/29/2020 252,167.16	311,358.67 0.00 59,228.56 252,130.11	41.61 96.02 33.69 88.10	0.00 0.00 0.00 88.10	88.10
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 05/15/2026	02/15/2022 02/23/2022 1,650,000.00	1,649,751.84 0.00 0.00 1,649,751.84	1,378.67 2,585.00 1,378.67 2,585.00	0.00 0.00 0.00 2,585.00	2,585.00

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43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 01/21/2026	11/16/2021 11/24/2021 938,780.24	999,064.24 0.00 60,481.90 938,582.34	244.27 732.80 229.48 718.01	0.00 0.00 0.00 718.01	718.01
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 05/15/2025	10/20/2020 10/28/2020 430,953.36	502,463.83 0.00 71,609.71 430,854.12	84.88 159.15 72.78 147.05	0.00 0.00 0.00 147.05	147.05
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 06/17/2024	06/08/2021 06/16/2021 163,678.76	347,583.72 0.00 183,929.51 163,654.21	50.99 95.60 24.01 68.62	0.00 0.00 0.00 68.62	68.62
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 01/15/2025	01/11/2022 01/19/2022 1,054,083.77	1,193,078.58 0.00 139,018.11 1,054,060.47	615.11 1,153.33 543.44 1,081.66	0.00 0.00 0.00 1,081.66	1,081.66
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	03/09/2022 03/16/2022 1,350,000.00	1,349,948.03 0.00 0.00 1,349,948.03	1,332.00 2,497.50 1,332.00 2,497.50	0.00 0.00 0.00 2,497.50	2,497.50
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 09/15/2025	04/20/2021 04/28/2021 650,648.17	719,894.42 0.00 69,314.69 650,579.73	121.59 227.99 109.89 216.29	0.00 0.00 0.00 216.29	216.29
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 05/15/2026	11/09/2021 11/17/2021 669,545.37	713,666.51 0.00 44,270.59 669,395.92	234.77 440.19 220.21 425.63	0.00 0.00 0.00 425.63	425.63
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 04/20/2026	04/13/2021 04/20/2021 6,390,000.00	6,360,733.80 0.00 0.00 6,360,733.80	15,686.56 0.00 20,345.94 4,659.38	0.00 0.00 0.00 4,659.38	4,659.38
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	Various Various 6,300,000.00	6,272,360.85 0.00 0.00 6,272,360.85	8,137.51 0.00 10,762.50 2,624.99	0.00 0.00 0.00 2,624.99	2,624.99

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46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 04/22/2026	05/20/2021 05/24/2021 1,000,000.00	1,037,330.00 0.00 0.00 1,037,330.00	5,728.25 0.00 7,464.08 1,735.83	0.00 0.00 0.00 1,735.83	1,735.83
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 06/01/2025	05/24/2021 06/01/2021 3,295,000.00	3,299,268.65 0.00 0.00 3,299,268.65	4,525.13 0.00 6,787.70 2,262.57	0.00 0.00 0.00 2,262.57	2,262.57
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 06/23/2025	Various Various 1,565,000.00	1,565,968.20 0.00 0.00 1,565,968.20	1,600.73 0.00 2,864.47 1,263.74	0.00 0.00 0.00 1,263.74	1,263.74
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 09/16/2026	03/10/2022 03/16/2022 1,010,000.00	1,009,776.59 0.00 0.00 1,009,776.59	1,041.42 1,952.67 1,041.42 1,952.67	0.00 0.00 0.00 1,952.67	1,952.67
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	07/14/2020 07/22/2020 32,991.17	51,914.40 0.00 18,928.26 32,986.14	11.77 22.07 7.48 17.78	0.00 0.00 0.00 17.78	17.78
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 03/16/2026	07/13/2021 07/21/2021 1,002,388.75	1,066,746.97 0.00 64,447.63 1,002,299.34	246.56 462.30 231.66 447.40	0.00 0.00 0.00 447.40	447.40
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	02/22/2023 03/02/2023 1,880,000.00	1,879,657.46 0.00 0.00 1,879,657.46	4,186.13 7,849.00 4,186.13 7,849.00	0.00 0.00 0.00 7,849.00	7,849.00
57629WDE7	Mass Mutual Global funding Note 1.2% Due 07/16/2026	08/10/2021 08/12/2021 2,272,000.00	2,271,369.92 0.00 0.00 2,271,369.92	1,136.00 0.00 3,408.00 2,272.00	0.00 0.00 0.00 2,272.00	2,272.00
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 03/09/2028	03/06/2023 03/09/2023 2,090,000.00	2,087,972.70 0.00 0.00 2,087,972.70	40,188.96 0.00 48,679.58 8,490.62	0.00 0.00 0.00 8,490.62	8,490.62

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58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 05/17/2028	05/08/2023 05/17/2023 1,975,000.00	1,975,392.25 0.00 0.00 1,975,392.25	16,441.88 0.00 23,107.50 6,665.62	0.00 0.00 0.00 6,665.62	6,665.62
58989V2D5	Met Tower Global Funding Note 1.25% Due 09/14/2026	09/07/2021 09/14/2021 1,715,000.00	1,713,422.20 0.00 0.00 1,713,422.20	8,158.16 0.00 9,944.62 1,786.46	0.00 0.00 0.00 1,786.46	1,786.46
59217GER6	Metlife Note 1.875% Due 01/11/2027	01/03/2022 01/11/2022 2,485,000.00	2,482,167.10 0.00 0.00 2,482,167.10	2,588.54 0.00 6,471.35 3,882.81	0.00 0.00 0.00 3,882.81	3,882.81
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 04/28/2026	05/18/2022 05/20/2022 1,600,000.00	1,511,376.00 0.00 0.00 1,511,376.00	9,043.73 0.00 11,961.07 2,917.34	0.00 0.00 0.00 2,917.34	2,917.34
63743HFE7	National Rural Utilities Note 3.45% Due 06/15/2025	04/27/2022 05/04/2022 940,000.00	939,746.20 0.00 0.00 939,746.20	4,143.83 0.00 6,846.33 2,702.50	0.00 0.00 0.00 2,702.50	2,702.50
64952WEK5	New York Life Global Note 1.45% Due 01/14/2025	01/11/2022 01/14/2022 3,745,000.00	3,740,955.40 0.00 0.00 3,740,955.40	2,564.28 0.00 7,089.49 4,525.21	0.00 0.00 0.00 4,525.21	4,525.21
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 05/10/2027	05/05/2022 05/10/2022 1,345,000.00	1,342,821.10 0.00 0.00 1,342,821.10	12,105.00 0.00 16,588.33 4,483.33	0.00 0.00 0.00 4,483.33	4,483.33
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 01/14/2026	12/06/2021 12/08/2021 1,870,000.00	1,820,388.90 0.00 0.00 1,820,388.90	706.44 0.00 1,953.11 1,246.67	0.00 0.00 0.00 1,246.67	1,246.67
66815L2J7	Northwestern Mutual Gbl Note 4% Due 07/01/2025	06/27/2022 07/01/2022 2,350,000.00	2,349,201.00 0.00 0.00 2,349,201.00	7,833.33 0.00 15,666.67 7,833.34	0.00 0.00 0.00 7,833.34	7,833.34

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69371RR73	Paccar Financial Corp Note 2.85% Due 04/07/2025	03/31/2022 04/07/2022 2,970,000.00	2,969,227.80 0.00 0.00 2,969,227.80	26,804.25 0.00 33,858.00 7,053.75	0.00 0.00 0.00 7,053.75	7,053.75
69371RS64	Paccar Financial Corp Note 4.95% Due 08/10/2028	08/03/2023 08/10/2023 1,670,000.00	0.00 1,667,361.40 0.00 1,667,361.40	0.00 0.00 4,822.13 4,822.13	0.00 0.00 0.00 4,822.13	4,822.13
74153WCQ0	Pricoa Global Funding Note 1.15% Due 12/06/2024	12/01/2021 12/08/2021 1,975,000.00	1,972,965.75 0.00 0.00 1,972,965.75	3,469.97 0.00 5,362.67 1,892.70	0.00 0.00 0.00 1,892.70	1,892.70
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 06/15/2028	Various Various 4,000,000.00	2,616,154.70 1,343,559.00 0.00 3,959,713.70	11,752.81 (10,203.65) 34,125.00 12,168.54	0.00 0.00 0.00 12,168.54	12,168.54
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 01/15/2028	Various Various 4,500,000.00	4,242,810.00 0.00 0.00 4,242,810.00	7,300.00 0.00 20,987.50 13,687.50	0.00 0.00 0.00 13,687.50	13,687.50
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/01/2024	12/05/2019 12/09/2019 3,600,000.00	3,598,128.00 0.00 0.00 3,598,128.00	20,250.00 0.00 27,000.00 6,750.00	0.00 0.00 0.00 6,750.00	6,750.00
78016EZ59	Royal Bank of Canada Note 3.375% Due 04/14/2025	04/07/2022 04/14/2022 1,270,000.00	1,269,530.10 0.00 0.00 1,269,530.10	12,739.69 0.00 16,311.56 3,571.87	0.00 0.00 0.00 3,571.87	3,571.87
79466LAG9	Salesforce.com Inc Callable Note Cont 7/15/2022 Due 07/15/2024	Various Various 0.00	3,076,192.60 0.00 3,076,192.60 0.00	861.11 2,045.14 0.00 1,184.03	0.00 0.00 0.00 1,184.03	1,184.03
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 03/03/2027	03/09/2022 03/11/2022 1,300,000.00	1,282,866.00 0.00 0.00 1,282,866.00	13,093.89 0.00 15,748.06 2,654.17	0.00 0.00 0.00 2,654.17	2,654.17

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880591EZ1	Tennessee Valley Authority Note 3.875% Due 03/15/2028	05/04/2023 05/05/2023 3,500,000.00	3,548,755.00 0.00 0.00 3,548,755.00	45,585.07 0.00 56,887.15 11,302.08	0.00 0.00 0.00 11,302.08	11,302.08
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 03/10/2027	03/09/2022 03/11/2022 1,900,000.00	1,884,933.00 0.00 0.00 1,884,933.00	20,836.67 0.00 25,270.00 4,433.33	0.00 0.00 0.00 4,433.33	4,433.33
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 06/08/2027	08/26/2022 08/30/2022 1,400,000.00	1,395,310.00 0.00 0.00 1,395,310.00	8,467.04 0.00 13,259.71 4,792.67	0.00 0.00 0.00 4,792.67	4,792.67
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 06/18/2026	06/15/2021 06/18/2021 3,325,000.00	3,323,537.00 0.00 0.00 3,323,537.00	4,467.97 0.00 7,585.16 3,117.19	0.00 0.00 0.00 3,117.19	3,117.19
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 01/13/2025	01/10/2022 01/13/2022 2,700,000.00	2,696,382.00 0.00 0.00 2,696,382.00	1,957.50 0.00 5,220.00 3,262.50	0.00 0.00 0.00 3,262.50	3,262.50
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 01/15/2025	10/06/2020 10/13/2020 158,393.93	206,902.75 0.00 48,538.33 158,364.42	32.19 60.36 24.64 52.81	0.00 0.00 0.00 52.81	52.81
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 04/15/2026	11/09/2021 11/15/2021 880,557.60	933,071.80 0.00 52,532.95 880,538.85	294.44 552.08 277.86 535.50	0.00 0.00 0.00 535.50	535.50
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 02/20/2025	02/23/2022 02/28/2022 2,565,000.00	2,564,596.27 0.00 0.00 2,564,596.27	1,536.15 4,189.50 1,536.15 4,189.50	0.00 0.00 0.00 4,189.50	4,189.50
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 05/15/2025	02/02/2021 02/08/2021 904,204.77	1,017,254.39 0.00 113,217.43 904,036.96	117.57 220.45 104.49 207.37	0.00 0.00 0.00 207.37	207.37

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 01/21/2025	01/16/2020 01/21/2020 4,145,000.00	4,136,171.15 0.00 0.00 4,136,171.15	2,360.35 0.00 9,441.39 7,081.04	0.00 0.00 0.00 7,081.04	7,081.04
9128283D0	US Treasury Note 2.25% Due 10/31/2024	11/07/2019 11/08/2019 4,000,000.00	4,090,468.75 0.00 0.00 4,090,468.75	22,744.57 0.00 30,326.09 7,581.52	0.00 0.00 0.00 7,581.52	7,581.52
912828B66	US Treasury Note 2.75% Due 02/15/2024	Various Various 5,000,000.00	5,121,796.88 0.00 0.00 5,121,796.88	63,432.32 68,750.00 6,351.90 11,669.58	0.00 0.00 0.00 11,669.58	11,669.58
912828D56	US Treasury Note 2.375% Due 08/15/2024	12/12/2019 12/13/2019 5,000,000.00	5,140,234.38 0.00 0.00 5,140,234.38	54,782.46 59,375.00 5,485.73 10,078.27	0.00 0.00 0.00 10,078.27	10,078.27
912828X70	US Treasury Note 2% Due 04/30/2024	Various Various 3,150,000.00	3,177,480.46 0.00 0.00 3,177,480.46	15,921.19 0.00 21,228.26 5,307.07	0.00 0.00 0.00 5,307.07	5,307.07
912828XX3	US Treasury Note 2% Due 06/30/2024	Various Various 4,900,000.00	4,942,253.91 0.00 0.00 4,942,253.91	8,521.74 0.00 16,777.17 8,255.43	0.00 0.00 0.00 8,255.43	8,255.43
912828ZC7	US Treasury Note 1.125% Due 02/28/2025	03/18/2020 03/19/2020 7,000,000.00	7,108,007.81 0.00 0.00 7,108,007.81	32,955.16 39,375.00 216.35 6,636.19	0.00 0.00 0.00 6,636.19	6,636.19
91282CAB7	US Treasury Note 0.25% Due 07/31/2025	03/12/2021 03/15/2021 6,000,000.00	5,879,062.50 0.00 0.00 5,879,062.50	40.76 0.00 1,304.35 1,263.59	0.00 0.00 0.00 1,263.59	1,263.59
91282CAM3	US Treasury Note 0.25% Due 09/30/2025	03/29/2021 03/30/2021 3,200,000.00	3,130,375.00 0.00 0.00 3,130,375.00	2,688.52 0.00 3,366.12 677.60	0.00 0.00 0.00 677.60	677.60

## City of Corona Consolidated

## Income Earned



Account #10003

As of August 31, 2023

CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
91282CAT8	US Treasury Note 0.25% Due 10/31/2025	02/19/2021 02/22/2021 6,000,000.00	5,923,125.00 0.00 0.00 5,923,125.00	3,790.76 0.00 5,054.35 1,263.59	0.00 0.00 0.00 1,263.59	1,263.59
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	03/26/2021 03/29/2021 3,800,000.00	3,731,867.19 0.00 0.00 3,731,867.19	2,413.93 0.00 3,620.90 1,206.97	0.00 0.00 0.00 1,206.97	1,206.97
91282CCW9	US Treasury Note 0.75% Due 08/31/2026	09/23/2021 09/24/2021 1,570,000.00	1,558,899.61 0.00 0.00 1,558,899.61	4,927.58 5,887.50 32.35 992.27	0.00 0.00 0.00 992.27	992.27
91282CCZ2	US Treasury Note 0.875% Due 09/30/2026	10/18/2021 10/19/2021 3,130,000.00	3,083,172.27 0.00 0.00 3,083,172.27	9,204.00 0.00 11,523.70 2,319.70	0.00 0.00 0.00 2,319.70	2,319.70
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	11/15/2021 11/17/2021 3,120,000.00	3,100,987.50 0.00 0.00 3,100,987.50	8,870.38 0.00 11,827.17 2,956.79	0.00 0.00 0.00 2,956.79	2,956.79
91282CED9	US Treasury Note 1.75% Due 03/15/2025	04/05/2022 04/07/2022 7,000,000.00	6,823,085.94 0.00 0.00 6,823,085.94	46,270.38 0.00 56,589.67 10,319.29	0.00 0.00 0.00 10,319.29	10,319.29
91282CET4	US Treasury Note 2.625% Due 05/31/2027	06/09/2022 06/10/2022 2,400,000.00	2,351,156.25 0.00 0.00 2,351,156.25	10,672.13 0.00 16,008.20 5,336.07	0.00 0.00 0.00 5,336.07	5,336.07
91282CEW7	US Treasury Note 3.25% Due 06/30/2027	Various Various 6,650,000.00	6,690,380.86 0.00 0.00 6,690,380.86	18,793.48 0.00 36,999.66 18,206.18	0.00 0.00 0.00 18,206.18	18,206.18
91282CFB2	US Treasury Note 2.75% Due 07/31/2027	08/22/2022 08/23/2022 1,300,000.00	1,277,960.94 0.00 0.00 1,277,960.94	97.15 0.00 3,108.70 3,011.55	0.00 0.00 0.00 3,011.55	3,011.55

## City of Corona Consolidated

## Income Earned



Account #10003

As of August 31, 2023

CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
91282CFH9	US Treasury Note 3.125% Due 08/31/2027	Various Various 3,450,000.00	3,399,517.58 0.00 0.00 3,399,517.58	45,117.19 53,906.25 296.19 9,085.25	0.00 0.00 0.00 9,085.25	9,085.25
91282CFM8	US Treasury Note 4.125% Due 09/30/2027	Various Various 2,800,000.00	2,770,000.01 0.00 0.00 2,770,000.01	38,815.57 0.00 48,598.36 9,782.79	0.00 0.00 0.00 9,782.79	9,782.79
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	Various Various 4,600,000.00	4,628,085.94 0.00 0.00 4,628,085.94	30,195.35 0.00 45,293.03 15,097.68	0.00 0.00 0.00 15,097.68	15,097.68
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	Various Various 7,000,000.00	7,028,945.31 0.00 0.00 7,028,945.31	23,586.95 0.00 46,436.82 22,849.87	0.00 0.00 0.00 22,849.87	22,849.87
91282CGH8	US Treasury Note 3.5% Due 01/31/2028	02/16/2023 02/17/2023 7,000,000.00	6,828,007.81 0.00 0.00 6,828,007.81	665.76 0.00 21,304.35 20,638.59	0.00 0.00 0.00 20,638.59	20,638.59
91282CGP0	US Treasury Note 4% Due 02/29/2028	02/23/2023 02/28/2023 7,000,000.00	6,949,960.94 0.00 0.00 6,949,960.94	117,173.91 140,000.00 769.23 23,595.32	0.00 0.00 0.00 23,595.32	23,595.32
91282CHE4	US Treasury Note 3.625% Due 05/31/2028	06/14/2023 06/20/2023 7,000,000.00	6,884,335.94 0.00 0.00 6,884,335.94	42,984.97 0.00 64,477.46 21,492.49	0.00 0.00 0.00 21,492.49	21,492.49
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 05/15/2026	Various Various 805,000.00	807,605.05 0.00 0.00 807,605.05	1,954.36 0.00 2,725.82 771.46	0.00 0.00 0.00 771.46	771.46
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 05/15/2027	05/17/2022 05/20/2022 1,365,000.00	1,365,638.40 0.00 0.00 1,365,638.40	10,662.16 0.00 14,870.91 4,208.75	0.00 0.00 0.00 4,208.75	4,208.75

## City of Corona Consolidated

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## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 02/15/2028	02/16/2023 02/21/2023 1,500,000.00	1,532,220.00 0.00 0.00 1,532,220.00	36,312.50 39,375.00 3,500.00 6,562.50	0.00 0.00 0.00 6,562.50	6,562.50
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 02/20/2025	08/04/2020 08/12/2020 98,761.27	197,347.66 0.00 98,607.13 98,740.53	28.35 77.31 14.18 63.14	0.00 0.00 0.00 63.14	63.14
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 07/20/2027	08/02/2022 08/11/2022 1,150,000.00	1,149,949.40 0.00 0.00 1,149,949.40	1,307.17 3,565.00 1,307.17 3,565.00	0.00 0.00 0.00 3,565.00	3,565.00
931142ERO	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 09/17/2026	09/08/2021 09/17/2021 785,000.00	783,516.35 0.00 0.00 783,516.35	3,068.04 0.00 3,754.92 686.88	0.00 0.00 0.00 686.88	686.88
			<b>346,908,808.11</b>	<b>1,360,882.56</b>	<b>0.00</b>	
			<b>5,942,280.40</b>	<b>584,922.88</b>	<b>0.00</b>	
			<b>6,539,495.41</b>	<b>1,398,995.54</b>	<b>0.00</b>	
<b>Total Fixed Income</b>		<b>347,424,821.49</b>	<b>346,311,593.10</b>	<b>623,035.86</b>	<b>623,035.86</b>	<b>623,035.86</b>
<b>CASH &amp; EQUIVALENT</b>						
60934N807	Federated Investors Govt Oblig Fund Inst.	Various Various 1,827,589.03	764,928.42 4,061,600.52 2,998,939.91 1,827,589.03	0.00 18,233.00 0.00 18,233.00	0.00 0.00 0.00 18,233.00	18,233.00
912796CQ0	US Treasury Bill 5.085% Due 09/14/2023	06/15/2023 06/16/2023 21,500,000.00	21,226,681.25 0.00 0.00 21,226,681.25	139,696.25 0.00 233,839.38 94,143.13	0.00 0.00 0.00 94,143.13	94,143.13
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	Various 07/20/2023 50,750,000.00	50,076,248.64 0.00 0.00 50,076,248.64	88,846.33 0.00 318,366.03 229,519.70	0.00 0.00 0.00 229,519.70	229,519.70

## City of Corona Consolidated

Account #10003

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	06/15/2023 06/16/2023 30,000,000.00	29,225,923.33 0.00 0.00 29,225,923.33	196,726.67 0.00 329,303.33 132,576.66	0.00 0.00 0.00 132,576.66	132,576.66
912797GG6	US Treasury Bill Due 08/15/2023	05/16/2023 05/17/2023 0.00	19,749,150.00 0.00 19,749,150.00 0.00	211,828.89 250,850.00 0.00 39,021.11	0.00 0.00 0.00 39,021.11	39,021.11
912797GM3	US Treasury Bill 5.262% Due 02/08/2024	08/14/2023 08/15/2023 20,500,000.00	0.00 19,969,634.25 0.00 19,969,634.25	0.00 0.00 50,939.08 50,939.08	0.00 0.00 0.00 50,939.08	50,939.08
<b>Total Cash &amp; Equivalent</b>			<b>124,577,589.03</b>	<b>564,432.68</b>	<b>564,432.68</b>	<b>564,432.68</b>
<b>LOCAL AGENCY INVESTMENT FUND</b>						
90LAIF\$00	Local Agency Investment Fund State Pool	Various Various 38,526,879.61	49,776,879.61 15,750,000.00 27,000,000.00 38,526,879.61	123,659.40 0.00 242,646.47 118,987.07	0.00 0.00 0.00 118,987.07	118,987.07
<b>Total Local Agency Investment Fund</b>			<b>38,526,879.61</b>	<b>118,987.07</b>	<b>118,987.07</b>	<b>118,987.07</b>
<b>TOTAL PORTFOLIO</b>			<b>510,529,290.13</b>	<b>1,306,455.61</b>	<b>1,306,455.61</b>	<b>1,306,455.61</b>

## City of Corona Consolidated

## Cash Flow Report



Account #10003

As of August 31, 2023

Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/01/2023	Paydown	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	407,893.94	239.77	408,133.71
09/03/2023	Interest	808513BY0	1,300,000.00	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	0.00	15,925.00	15,925.00
09/09/2023	Interest	57636QAW4	2,090,000.00	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	0.00	50,943.75	50,943.75
09/10/2023	Interest	89114TZT2	1,900,000.00	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	0.00	26,600.00	26,600.00
09/13/2023	Interest	3130A2UW4	4,000,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	57,500.00	57,500.00
09/14/2023	Interest	58989V2D5	1,715,000.00	Met Tower Global Funding Note 1.25% Due 9/14/2026	0.00	10,718.75	10,718.75
09/14/2023	Maturity	912796CQ0	21,500,000.00	US Treasury Bill 5.085% Due 9/14/2023	21,226,681.25	273,318.75	21,500,000.00
09/15/2023	Interest	880591EZ1	3,500,000.00	Tennessee Valley Authority Note 3.875% Due 3/15/2028	0.00	62,161.46	62,161.46
09/15/2023	Interest	91282CED9	7,000,000.00	US Treasury Note 1.75% Due 3/15/2025	0.00	61,250.00	61,250.00
09/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,609.92	46.20	9,656.12
09/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,106.42	15.89	2,122.31
09/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,759.75	136.47	71,896.22
09/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,154.02	7,849.00	41,003.02
09/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	0.00	2,585.00	2,585.00
09/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,674.30	521.00	49,195.30
09/15/2023	Paydown	44891VAC5	163,678.76	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	45.01	163,723.77
09/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,332.53	412.89	39,745.42

## City of Corona Consolidated

## Cash Flow Report



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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	0.00	1,952.67	1,952.67
09/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
09/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,169.78	1,018.95	132,188.73
09/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	0.00	2,497.50	2,497.50
09/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,200.31	206.04	72,406.35
09/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,593.07	14.02	6,607.09
09/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,713.79	434.37	53,148.16
09/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,046.22	195.91	129,242.13
09/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,472.03	404.30	37,876.33
09/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,073.04	693.00	30,766.04
09/17/2023	Interest	931142ER0	785,000.00	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	0.00	4,121.25	4,121.25
09/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,029.81	77.75	84,107.56
09/19/2023	Paydown	3137FBBX3	0.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
09/19/2023	Paydown	3137BSRE5	0.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
09/19/2023	Paydown	3137BSP72	0.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
09/19/2023	Paydown	3137FEBQ2	0.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
09/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,307.44	306.12	188,613.56

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## Cash Flow Report



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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	240,331.51	3,091.31	243,422.82
09/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,468.50	38.68	5,507.18
09/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	0.00	4,189.50	4,189.50
09/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
09/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,106.77	55.33	35,162.10
09/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,051.09	688.44	52,739.53
09/23/2023	Interest	3137EAEX3	6,295,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	11,803.13	11,803.13
09/25/2023	Interest	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	234.98	234.98
09/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
09/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
09/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,089.87	17,068.34	101,158.21
09/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	89,766.78	583.63	90,350.41
09/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	0.00	2,848.88	2,848.88
09/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,017.93	73.75	67,091.68
09/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
09/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
09/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,580.23	9,000.00	60,580.23
09/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
09/30/2023	Interest	91282CCZ2	3,130,000.00	US Treasury Note 0.875% Due 9/30/2026	0.00	13,693.75	13,693.75
09/30/2023	Interest	91282CAM3	3,200,000.00	US Treasury Note 0.25% Due 9/30/2025	0.00	4,000.00	4,000.00
09/30/2023	Interest	91282CFM8	2,800,000.00	US Treasury Note 4.125% Due 9/30/2027	0.00	57,750.00	57,750.00
<b>SEP 2023</b>					<b>23,359,909.06</b>	<b>805,331.13</b>	<b>24,165,240.19</b>
10/01/2023	Interest	13063DRK6	3,385,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	40,620.00	40,620.00
10/01/2023	Paydown	3137B7MZ9	487,843.24	FHLMC K036 A2 3.527% Due 10/25/2023	79,949.30	47.00	79,996.30
10/07/2023	Interest	69371RR73	2,970,000.00	Paccar Financial Corp Note 2.85% Due 4/7/2025	0.00	42,322.50	42,322.50
10/14/2023	Interest	78016EZ59	1,270,000.00	Royal Bank of Canada Note 3.375% Due 4/14/2025	0.00	21,431.25	21,431.25
10/15/2023	Interest	3135G0W66	3,500,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	28,437.50	28,437.50
10/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,595.65	11.22	6,606.87
10/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,718.62	411.53	53,130.15
10/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
10/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,107.86	14.45	2,122.31
10/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,338.12	892.15	132,230.27
10/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	0.00	2,497.50	2,497.50

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,339.09	388.63	39,727.72
10/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,465.57	1,952.67	43,418.24
10/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,575.17	43.40	9,618.57
10/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,088.16	167.95	129,256.11
10/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,117.15	2,585.00	81,702.15
10/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,703.10	492.20	49,195.30
10/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,786.06	113.74	71,899.80
10/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,223.77	183.18	72,406.95
10/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,292.44	7,710.58	41,003.02
10/16/2023	Dividend	90LAIF\$00	2,636,178,367.46	Local Agency Investment Fund State Pool	0.00	243,173.08	243,173.08
10/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,480.78	383.06	37,863.84
10/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,204.87	661.42	30,866.29
10/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,055.72	51.84	84,107.56
10/19/2023	Maturity	912797FB8	50,750,000.00	US Treasury Bill 5.252% Due 10/19/2023	50,076,248.64	673,751.36	50,750,000.00
10/20/2023	Interest	4581X0DV7	6,390,000.00	Inter-American Dev Bank Note 0.875% Due 4/20/2026	0.00	27,956.25	27,956.25
10/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,345.10	244.92	188,590.02
10/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	241,384.96	2,710.79	244,095.75

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,470.64	36.54	5,507.18
10/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	0.00	4,189.50	4,189.50
10/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
10/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,115.25	47.43	35,162.68
10/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,063.24	650.27	52,713.51
10/22/2023	Interest	3135G03U5	5,270,000.00	FNMA Note 0.625% Due 4/22/2025	0.00	16,468.75	16,468.75
10/22/2023	Interest	46647PBK1	1,000,000.00	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	0.00	10,415.00	10,415.00
10/23/2023	Interest	06051GHT9	3,000,000.00	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	0.00	53,385.01	53,385.01
10/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
10/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,160.25	501.35	90,661.60
10/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,034.12	55.32	67,089.44
10/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
10/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,398.21	16,798.54	101,196.75
10/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	112,900.38	2,848.88	115,749.26
10/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
10/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,762.05	8,845.26	60,607.31
10/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
10/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
10/28/2023	Interest	459058JL8	6,300,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	15,750.00	15,750.00
10/28/2023	Interest	6174468Q5	1,600,000.00	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	0.00	17,504.00	17,504.00
10/31/2023	Interest	912828X70	3,150,000.00	US Treasury Note 2% Due 4/30/2024	0.00	31,500.00	31,500.00
10/31/2023	Interest	91282CDG3	3,120,000.00	US Treasury Note 1.125% Due 10/31/2026	0.00	17,550.00	17,550.00
10/31/2023	Interest	9128283D0	4,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	45,000.00	45,000.00
10/31/2023	Interest	91282CAT8	6,000,000.00	US Treasury Note 0.25% Due 10/31/2025	0.00	7,500.00	7,500.00
<b>OCT 2023</b>					<b>51,953,924.27</b>	<b>1,414,581.23</b>	<b>53,368,505.50</b>
11/01/2023	Interest	78015K7C2	3,600,000.00	Royal Bank of Canada Note 2.25% Due 11/1/2024	0.00	40,500.00	40,500.00
11/01/2023	Interest	06367WB85	2,761,000.00	Bank of Montreal Note 1.85% Due 5/1/2025	0.00	25,539.25	25,539.25
11/07/2023	Interest	3135G06G3	6,300,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	15,750.00	15,750.00
11/08/2023	Interest	14913Q3B3	2,593,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	27,874.75	27,874.75
11/10/2023	Interest	037833ET3	1,650,000.00	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	0.00	33,000.00	33,000.00
11/10/2023	Interest	665859AW4	1,345,000.00	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	0.00	26,900.00	26,900.00
11/12/2023	Interest	023135BX3	4,865,000.00	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	0.00	24,325.00	24,325.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/15/2023	Interest	91324PEC2	805,000.00	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	0.00	4,628.75	4,628.75
11/15/2023	Interest	91324PEG3	1,365,000.00	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	0.00	25,252.50	25,252.50
11/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,812.38	91.01	71,903.39
11/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,506.67	765.19	132,271.86
11/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,680.76	2,497.50	69,178.26
11/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,247.25	160.30	72,407.55
11/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,731.92	463.38	49,195.30
11/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
11/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,463.94	2,461.05	81,924.99
11/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,598.23	8.42	6,606.65
11/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,723.46	388.68	53,112.14
11/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,130.12	139.98	129,270.10
11/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,109.30	13.01	2,122.31
11/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,345.65	364.37	39,710.02
11/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,518.79	1,872.50	43,391.29
11/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,431.43	7,571.59	41,003.02

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,540.07	40.60	9,580.67
11/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,337.26	629.71	30,966.97
11/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,489.52	361.82	37,851.34
11/17/2023	Interest	58933YBH7	1,975,000.00	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	0.00	39,993.75	39,993.75
11/18/2023	Interest	22160KAM7	2,500,000.00	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	0.00	37,500.00	37,500.00
11/18/2023	Paydown	43813KAC6	252,167.16	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	84,081.63	25.93	84,107.56
11/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
11/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	319,187.63	4,189.50	323,377.13
11/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,472.78	34.40	5,507.18
11/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,382.77	183.71	188,566.48
11/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	242,443.03	2,328.60	244,771.63
11/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,075.39	612.09	52,687.48
11/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,123.74	39.53	35,163.27
11/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
11/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	51,944.52	8,689.97	60,634.49
11/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
11/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	106,757.51	2,546.87	109,304.38
11/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
11/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,555.46	418.70	90,974.16
11/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,050.33	36.88	67,087.21
11/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
11/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
11/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	84,707.67	16,527.77	101,235.44
11/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
11/30/2023	Interest	91282CHE4	7,000,000.00	US Treasury Note 3.625% Due 5/31/2028	0.00	126,875.00	126,875.00
11/30/2023	Interest	91282CET4	2,400,000.00	US Treasury Note 2.625% Due 5/31/2027	0.00	31,500.00	31,500.00
11/30/2023	Interest	91282CAZ4	3,800,000.00	US Treasury Note 0.375% Due 11/30/2025	0.00	7,125.00	7,125.00
11/30/2023	Interest	91282CFZ9	4,600,000.00	US Treasury Note 3.875% Due 11/30/2027	0.00	89,125.00	89,125.00
<b>NOV 2023</b>					<b>2,180,449.21</b>	<b>675,632.27</b>	<b>2,856,081.48</b>
12/01/2023	Interest	46647PCH7	3,295,000.00	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	0.00	13,575.40	13,575.40
12/06/2023	Interest	74153WCQ0	1,975,000.00	Pricoa Global Funding Note 1.15% Due 12/6/2024	0.00	11,356.25	11,356.25
12/08/2023	Interest	89115A2C5	1,400,000.00	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	0.00	28,756.00	28,756.00
12/10/2023	Interest	40139LBC6	3,000,000.00	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	0.00	13,125.00	13,125.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/14/2023	Interest	3130A1XJ2	5,500,000.00	FHLB Note 2.875% Due 6/14/2024	0.00	79,062.51	79,062.51
12/14/2023	Maturity	912797FU6	30,000,000.00	US Treasury Bill 5.132% Due 12/14/2023	29,225,923.33	774,076.67	30,000,000.00
12/15/2023	Interest	63743HFE7	940,000.00	National Rural Utilities Note 3.45% Due 6/15/2025	0.00	16,215.00	16,215.00
12/15/2023	Interest	74340XCG4	4,000,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	0.00	90,458.34	90,458.34
12/15/2023	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,110.74	11.57	2,122.31
12/15/2023	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	79,812.26	2,336.56	82,148.82
12/15/2023	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,504.60	37.82	9,542.42
12/15/2023	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,172.09	112.00	129,284.09
12/15/2023	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,571.01	7,432.01	41,003.02
12/15/2023	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,675.43	638.07	132,313.50
12/15/2023	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,766.33	2,374.14	69,140.47
12/15/2023	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,352.20	340.11	39,692.31
12/15/2023	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,572.07	1,792.23	43,364.30
12/15/2023	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,760.75	434.55	49,195.30
12/15/2023	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
12/15/2023	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,838.71	68.27	71,906.98
12/15/2023	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,270.72	137.43	72,408.15

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12/15/2023	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,600.82	5.61	6,606.43
12/15/2023	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,728.29	365.83	53,094.12
12/16/2023	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,498.27	340.58	37,838.85
12/16/2023	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,470.24	597.85	31,068.09
12/17/2023	Interest	3135G04Z3	6,200,000.00	FNMA Note 0.5% Due 6/17/2025	0.00	15,500.00	15,500.00
12/18/2023	Interest	89236TJK2	3,325,000.00	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	0.00	18,703.13	18,703.13
12/19/2023	Interest	06051GJD2	3,000,000.00	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	0.00	19,785.00	19,785.00
12/20/2023	Interest	3130AQF40	4,300,000.00	FHLB Note 1% Due 12/20/2024	0.00	21,500.00	21,500.00
12/20/2023	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,420.44	122.49	188,542.93
12/20/2023	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	243,505.74	1,944.73	245,450.47
12/20/2023	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,474.93	32.25	5,507.18
12/20/2023	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	0.00	3,565.00	3,565.00
12/20/2023	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	319,597.25	3,668.16	323,265.41
12/21/2023	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,132.22	31.63	35,163.85
12/21/2023	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,087.54	573.90	52,661.44
12/23/2023	Interest	46647PCK0	1,565,000.00	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	0.00	7,582.43	7,582.43
12/25/2023	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/25/2023	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,018.26	16,256.00	101,274.26
12/25/2023	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	100,602.77	2,261.29	102,864.06
12/25/2023	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
12/25/2023	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
12/25/2023	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	90,952.39	335.69	91,288.08
12/25/2023	Paydown	09690AAC7	268,168.92	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	67,066.54	18.44	67,084.98
12/25/2023	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,127.62	8,534.14	60,661.76
12/25/2023	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
12/25/2023	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
12/25/2023	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
12/30/2023	Interest	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	0.00	55,333.33	55,333.33
12/31/2023	Interest	91282CEW7	6,650,000.00	US Treasury Note 3.25% Due 6/30/2027	0.00	108,062.50	108,062.50
12/31/2023	Interest	912828XX3	4,900,000.00	US Treasury Note 2% Due 6/30/2024	0.00	49,000.00	49,000.00
12/31/2023	Interest	91282CGC9	7,000,000.00	US Treasury Note 3.875% Due 12/31/2027	0.00	135,625.00	135,625.00
<b>DEC 2023</b>					<b>31,319,613.56</b>	<b>1,574,800.12</b>	<b>32,894,413.68</b>
01/01/2024	Interest	66815L2J7	2,350,000.00	Northwestern Mutual Glbl Note 4% Due 7/1/2025	0.00	47,000.00	47,000.00
01/07/2024	Interest	3135G0X24	4,220,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	34,287.50	34,287.50

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01/11/2024	Interest	59217GER6	2,485,000.00	Metlife Note 1.875% Due 1/11/2027	0.00	23,296.88	23,296.88
01/13/2024	Interest	89236TJT3	2,700,000.00	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	0.00	19,575.00	19,575.00
01/14/2024	Interest	66815L2A6	1,870,000.00	Northwestern Mutual Glbl Note 0.8% Due 1/14/2026	0.00	7,480.00	7,480.00
01/14/2024	Interest	64952WEK5	3,745,000.00	New York Life Global Note 1.45% Due 1/14/2025	0.00	27,151.25	27,151.25
01/15/2024	Interest	756109AU8	4,500,000.00	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	0.00	82,125.00	82,125.00
01/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,214.06	84.02	129,298.08
01/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,112.19	10.12	2,122.31
01/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,733.12	342.99	53,076.11
01/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,358.76	315.84	39,674.60
01/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,711.17	7,291.85	41,003.02
01/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,468.77	35.05	9,503.82
01/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
01/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,162.10	2,211.52	82,373.62
01/15/2024	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,865.06	45.52	71,910.58
01/15/2024	Paydown	47787NAC3	32,991.17	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	6,603.40	2.81	6,606.21
01/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,789.60	405.70	49,195.30
01/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	131,844.42	510.78	132,355.20

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,852.02	2,250.62	69,102.64
01/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,294.22	114.54	72,408.76
01/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,625.42	1,711.86	43,337.28
01/16/2024	Interest	57629WDE7	2,272,000.00	Mass Mutual Global funding Note 1.2% Due 7/16/2026	0.00	13,632.00	13,632.00
01/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,507.02	319.33	37,826.35
01/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,603.80	565.86	31,169.66
01/20/2024	Interest	24422EWR6	2,450,000.00	John Deere Capital Corp Note 4.75% Due 1/20/2028	0.00	58,187.50	58,187.50
01/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	244,573.10	1,559.18	246,132.28
01/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	65,984.99	3,565.00	69,549.99
01/20/2024	Paydown	36262XAC8	941,913.87	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	188,458.13	61.25	188,519.38
01/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,007.41	3,146.15	323,153.56
01/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,477.07	30.11	5,507.18
01/21/2024	Interest	3137EAEU9	6,300,000.00	FHLMC Note 0.375% Due 7/21/2025	0.00	11,812.50	11,812.50
01/21/2024	Interest	90331HPL1	4,145,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	42,486.25	42,486.25
01/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,140.71	23.73	35,164.44
01/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,099.69	535.70	52,635.39
01/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83

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01/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	91,351.06	252.32	91,603.38
01/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
01/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,330.00	15,983.23	101,313.23
01/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
01/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,311.37	8,377.76	60,689.13
01/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
01/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
01/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	94,436.14	1,992.18	96,428.32
01/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
01/31/2024	Interest	91282CAB7	6,000,000.00	US Treasury Note 0.25% Due 7/31/2025	0.00	7,500.00	7,500.00
01/31/2024	Interest	91282CFB2	1,300,000.00	US Treasury Note 2.75% Due 7/31/2027	0.00	17,875.00	17,875.00
01/31/2024	Interest	91282CGH8	7,000,000.00	US Treasury Note 3.5% Due 1/31/2028	0.00	122,500.00	122,500.00
<b>JAN 2024</b>					<b>2,089,914.80</b>	<b>629,369.11</b>	<b>2,719,283.91</b>
02/08/2024	Maturity	912797GM3	20,500,000.00	US Treasury Bill 5.262% Due 2/8/2024	19,969,634.25	530,365.75	20,500,000.00
02/10/2024	Interest	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	0.00	41,332.50	41,332.50
02/12/2024	Interest	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	49,312.50	49,312.50
02/15/2024	Interest	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	0.00	59,375.00	59,375.00

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02/15/2024	Interest	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.00	39,375.00	39,375.00
02/15/2024	Maturity	912828B66	5,000,000.00	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	68,750.00	5,068,750.00
02/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,013.62	383.33	132,396.95
02/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,317.71	91.65	72,409.36
02/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,365.32	291.57	39,656.89
02/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,818.47	376.83	49,195.30
02/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
02/15/2024	Paydown	44891RAC4	430,953.36	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	71,891.40	22.77	71,914.17
02/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	66,937.80	2,126.95	69,064.75
02/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,678.84	1,631.38	43,310.22
02/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,113.63	8.68	2,122.31
02/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,513.48	2,085.93	82,599.41
02/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,737.96	320.13	53,058.09
02/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,256.06	56.02	129,312.08
02/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,851.91	7,151.11	41,003.02
02/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,432.58	32.29	9,464.87

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,737.94	533.73	31,271.67
02/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,515.77	298.08	37,813.85
02/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,189.54	3,360.45	69,549.99
02/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,418.08	2,623.47	323,041.55
02/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	245,645.15	1,171.94	246,817.09
02/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,479.22	27.96	5,507.18
02/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,111.84	497.50	52,609.34
02/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,149.21	15.82	35,165.03
02/25/2024	Interest	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	11,784.38	11,784.38
02/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
02/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
02/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,642.88	15,709.46	101,352.34
02/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
02/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	91,751.49	168.58	91,920.07
02/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
02/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
02/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,495.77	8,220.82	60,716.59

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	88,257.61	1,739.56	89,997.17
02/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
02/29/2024	Interest	912828ZC7	7,000,000.00	US Treasury Note 1.125% Due 2/28/2025	0.00	39,375.00	39,375.00
02/29/2024	Interest	91282CFH9	3,450,000.00	US Treasury Note 3.125% Due 8/31/2027	0.00	53,906.25	53,906.25
02/29/2024	Interest	91282CGP0	7,000,000.00	US Treasury Note 4% Due 2/29/2028	0.00	140,000.00	140,000.00
02/29/2024	Interest	91282CCW9	1,570,000.00	US Treasury Note 0.75% Due 8/31/2026	0.00	5,887.50	5,887.50
<b>FEB 2024</b>					<b>26,861,957.53</b>	<b>1,151,125.10</b>	<b>28,013,082.63</b>
03/03/2024	Interest	808513BY0	1,300,000.00	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	0.00	15,925.00	15,925.00
03/09/2024	Interest	57636QAW4	2,090,000.00	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	0.00	50,943.75	50,943.75
03/10/2024	Interest	89114TZT2	1,900,000.00	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	0.00	26,600.00	26,600.00
03/13/2024	Interest	3130A2UW4	4,000,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	57,500.00	57,500.00
03/14/2024	Interest	58989V2D5	1,715,000.00	Met Tower Global Funding Note 1.25% Due 9/14/2026	0.00	10,718.75	10,718.75
03/15/2024	Interest	91282CED9	7,000,000.00	US Treasury Note 1.75% Due 3/15/2025	0.00	61,250.00	61,250.00
03/15/2024	Interest	880591EZ1	3,500,000.00	Tennessee Valley Authority Note 3.875% Due 3/15/2028	0.00	67,812.50	67,812.50
03/15/2024	Paydown	89240BAC2	904,204.77	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	129,298.07	28.01	129,326.08
03/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,371.88	267.30	39,639.18
03/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,847.35	347.95	49,195.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
03/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,115.07	7.24	2,122.31
03/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,183.04	255.72	132,438.76
03/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,023.71	2,003.11	69,026.82
03/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,341.21	68.75	72,409.96
03/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,732.33	1,550.80	43,283.13
03/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,742.79	297.28	53,040.07
03/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	33,993.25	7,009.77	41,003.02
03/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	80,866.40	1,959.79	82,826.19
03/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,396.03	29.53	9,425.56
03/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,524.52	276.82	37,801.34
03/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	30,872.68	501.45	31,374.13
03/17/2024	Interest	931142ER0	785,000.00	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	0.00	4,121.25	4,121.25
03/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,481.36	25.82	5,507.18
03/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	320,829.29	2,100.12	322,929.41
03/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,394.73	3,155.26	69,549.99
03/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	246,721.89	783.00	247,504.89

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/21/2024	Paydown	43813GAC5	245,925.60	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	35,157.70	7.91	35,165.61
03/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,124.01	459.28	52,583.29
03/23/2024	Interest	3137EAEX3	6,295,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	11,803.13	11,803.13
03/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
03/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
03/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	85,956.89	15,434.70	101,391.59
03/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,680.81	8,063.34	60,744.15
03/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
03/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
03/25/2024	Paydown	05601XAC3	636,691.09	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	92,153.67	84.47	92,238.14
03/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	82,067.15	1,503.47	83,570.62
03/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
03/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
03/31/2024	Interest	91282CCZ2	3,130,000.00	US Treasury Note 0.875% Due 9/30/2026	0.00	13,693.75	13,693.75
03/31/2024	Interest	91282CFM8	2,800,000.00	US Treasury Note 4.125% Due 9/30/2027	0.00	57,750.00	57,750.00
03/31/2024	Interest	91282CAM3	3,200,000.00	US Treasury Note 0.25% Due 9/30/2025	0.00	4,000.00	4,000.00
<b>MAR 2024</b>					<b>1,817,875.83</b>	<b>491,054.23</b>	<b>2,308,930.06</b>

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/01/2024	Interest	13063DRK6	3,385,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	40,620.00	40,620.00
04/07/2024	Interest	69371RR73	2,970,000.00	Paccar Financial Corp Note 2.85% Due 4/7/2025	0.00	42,322.50	42,322.50
04/14/2024	Interest	78016EZ59	1,270,000.00	Royal Bank of Canada Note 3.375% Due 4/14/2025	0.00	21,431.25	21,431.25
04/15/2024	Interest	3135G0W66	3,500,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	28,437.50	28,437.50
04/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
04/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,116.52	5.79	2,122.31
04/15/2024	Paydown	44891WAC3	1,054,083.77	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	132,352.67	127.94	132,480.61
04/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,364.72	45.84	72,410.56
04/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,378.44	243.02	39,621.46
04/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,747.62	274.43	53,022.05
04/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,220.86	1,833.10	83,053.96
04/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,876.25	319.05	49,195.30
04/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,109.72	1,879.12	68,988.84
04/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,785.88	1,470.12	43,256.00
04/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,135.17	6,867.85	41,003.02
04/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,359.10	26.79	9,385.89
04/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,533.28	255.55	37,788.83

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,008.00	469.04	31,477.04
04/20/2024	Interest	4581X0DV7	6,390,000.00	Inter-American Dev Bank Note 0.875% Due 4/20/2026	0.00	27,956.25	27,956.25
04/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,483.51	23.67	5,507.18
04/20/2024	Paydown	36265MAC9	1,952,408.75	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	247,803.36	392.36	248,195.72
04/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	321,241.02	1,576.10	322,817.12
04/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,600.55	2,949.44	69,549.99
04/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,136.17	421.06	52,557.23
04/22/2024	Interest	3135G03U5	5,270,000.00	FNMA Note 0.625% Due 4/22/2025	0.00	16,468.75	16,468.75
04/22/2024	Interest	46647PBK1	1,000,000.00	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	0.00	10,415.00	10,415.00
04/23/2024	Interest	06051GHT9	3,000,000.00	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	0.00	53,385.01	53,385.01
04/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
04/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
04/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,272.08	15,158.91	101,430.99
04/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
04/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	52,866.52	7,905.29	60,771.81
04/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	75,864.74	1,283.94	77,148.68
04/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
04/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
04/28/2024	Interest	459058JL8	6,300,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	15,750.00	15,750.00
04/28/2024	Interest	6174468Q5	1,600,000.00	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	0.00	17,504.00	17,504.00
04/30/2024	Interest	91282CAT8	6,000,000.00	US Treasury Note 0.25% Due 10/31/2025	0.00	7,500.00	7,500.00
04/30/2024	Interest	91282CDG3	3,120,000.00	US Treasury Note 1.125% Due 10/31/2026	0.00	17,550.00	17,550.00
04/30/2024	Interest	9128283D0	4,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	45,000.00	45,000.00
04/30/2024	Maturity	912828X70	3,150,000.00	US Treasury Note 2% Due 4/30/2024	3,150,000.00	31,500.00	3,181,500.00
<b>APR 2024</b>					<b>4,708,256.18</b>	<b>482,083.88</b>	<b>5,190,340.06</b>
05/01/2024	Interest	06367WB85	2,761,000.00	Bank of Montreal Note 1.85% Due 5/1/2025	0.00	25,539.25	25,539.25
05/01/2024	Interest	78015K7C2	3,600,000.00	Royal Bank of Canada Note 2.25% Due 11/1/2024	0.00	40,500.00	40,500.00
05/07/2024	Interest	3135G06G3	6,300,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	15,750.00	15,750.00
05/08/2024	Interest	14913Q3B3	2,593,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	27,874.75	27,874.75
05/10/2024	Interest	037833ET3	1,650,000.00	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	0.00	33,000.00	33,000.00
05/10/2024	Interest	665859AW4	1,345,000.00	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	0.00	26,900.00	26,900.00
05/12/2024	Interest	023135BX3	4,865,000.00	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	0.00	24,325.00	24,325.00

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05/15/2024	Interest	91324PEC2	805,000.00	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	0.00	4,628.75	4,628.75
05/15/2024	Interest	91324PEG3	1,365,000.00	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	0.00	25,252.50	25,252.50
05/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,195.85	1,754.96	68,950.81
05/15/2024	Paydown	44933LAC7	650,648.17	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	72,388.25	22.92	72,411.17
05/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,839.51	1,389.33	43,228.84
05/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,277.68	6,725.34	41,003.02
05/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
05/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,576.88	1,705.86	83,282.74
05/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,905.17	290.13	49,195.30
05/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,385.01	218.73	39,603.74
05/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,321.80	24.06	9,345.86
05/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,117.97	4.34	2,122.31
05/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,752.46	251.57	53,004.03
05/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,143.92	436.48	31,580.40
05/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,542.03	234.29	37,776.32
05/17/2024	Interest	58933YBH7	1,975,000.00	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	0.00	39,993.75	39,993.75

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/18/2024	Interest	22160KAM7	2,500,000.00	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	0.00	37,500.00	37,500.00
05/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	321,653.27	1,051.41	322,704.68
05/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,485.66	21.52	5,507.18
05/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	66,807.02	2,742.97	69,549.99
05/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,148.34	382.82	52,531.16
05/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
05/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
05/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
05/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	69,650.37	1,081.00	70,731.37
05/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
05/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,052.87	7,746.69	60,799.56
05/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
05/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
05/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,588.41	14,882.12	101,470.53
05/31/2024	Interest	91282CFZ9	4,600,000.00	US Treasury Note 3.875% Due 11/30/2027	0.00	89,125.00	89,125.00
05/31/2024	Interest	91282CHE4	7,000,000.00	US Treasury Note 3.625% Due 5/31/2028	0.00	126,875.00	126,875.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/31/2024	Interest	91282CAZ4	3,800,000.00	US Treasury Note 0.375% Due 11/30/2025	0.00	7,125.00	7,125.00
05/31/2024	Interest	91282CET4	2,400,000.00	US Treasury Note 2.625% Due 5/31/2027	0.00	31,500.00	31,500.00
<b>MAY 2024</b>					<b>1,173,832.47</b>	<b>659,570.75</b>	<b>1,833,403.22</b>
06/01/2024	Interest	46647PCH7	3,295,000.00	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	0.00	13,575.40	13,575.40
06/06/2024	Interest	74153WCQ0	1,975,000.00	Pricoa Global Funding Note 1.15% Due 12/6/2024	0.00	11,356.25	11,356.25
06/08/2024	Interest	89115A2C5	1,400,000.00	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	0.00	28,756.00	28,756.00
06/10/2024	Interest	40139LBC6	3,000,000.00	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	0.00	13,125.00	13,125.00
06/14/2024	Maturity	3130A1XJ2	5,500,000.00	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	79,062.51	5,579,062.51
06/15/2024	Interest	63743HFE7	940,000.00	National Rural Utilities Note 3.45% Due 6/15/2025	0.00	16,215.00	16,215.00
06/15/2024	Interest	74340XCG4	4,000,000.00	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	0.00	97,500.00	97,500.00
06/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,934.11	261.19	49,195.30
06/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,391.57	194.45	39,586.02
06/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
06/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,282.09	1,630.65	68,912.74
06/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,893.21	1,308.44	43,201.65
06/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,119.41	2.90	2,122.31
06/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	81,934.46	1,578.05	83,512.51

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,757.30	228.71	52,986.01
06/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,420.79	6,582.23	41,003.02
06/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,284.11	21.35	9,305.46
06/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,280.43	403.78	31,684.21
06/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,550.80	213.01	37,763.81
06/17/2024	Interest	3135G04Z3	6,200,000.00	FNMA Note 0.5% Due 6/17/2025	0.00	15,500.00	15,500.00
06/18/2024	Interest	89236TJK2	3,325,000.00	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	0.00	18,703.13	18,703.13
06/19/2024	Interest	06051GJD2	3,000,000.00	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	0.00	19,785.00	19,785.00
06/20/2024	Interest	3130AQF40	4,300,000.00	FHLB Note 1% Due 12/20/2024	0.00	21,500.00	21,500.00
06/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,487.81	19.37	5,507.18
06/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,014.12	2,535.87	69,549.99
06/20/2024	Paydown	89238LAC4	2,565,000.00	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	322,066.06	526.04	322,592.10
06/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,160.50	344.58	52,505.08
06/23/2024	Interest	46647PCK0	1,565,000.00	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	0.00	7,582.43	7,582.43
06/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	63,424.00	894.69	64,318.69
06/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
06/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
06/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,239.89	7,587.53	60,827.42
06/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
06/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	86,905.90	14,604.32	101,510.22
06/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
06/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
06/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
06/30/2024	Interest	3130AWN63	3,000,000.00	FHLB Note 4% Due 6/30/2028	0.00	60,000.00	60,000.00
06/30/2024	Interest	91282CEW7	6,650,000.00	US Treasury Note 3.25% Due 6/30/2027	0.00	108,062.50	108,062.50
06/30/2024	Interest	91282CGC9	7,000,000.00	US Treasury Note 3.875% Due 12/31/2027	0.00	135,625.00	135,625.00
06/30/2024	Maturity	912828XX3	4,900,000.00	US Treasury Note 2% Due 6/30/2024	4,900,000.00	49,000.00	4,949,000.00
<b>JUN 2024</b>					<b>11,497,146.56</b>	<b>797,000.59</b>	<b>12,294,147.15</b>
07/01/2024	Interest	66815L2J7	2,350,000.00	Northwestern Mutual Glbl Note 4% Due 7/1/2025	0.00	47,000.00	47,000.00
07/07/2024	Interest	3135G0X24	4,220,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	34,287.50	34,287.50
07/11/2024	Interest	59217GER6	2,485,000.00	Metlife Note 1.875% Due 1/11/2027	0.00	23,296.88	23,296.88
07/13/2024	Interest	89236TJT3	2,700,000.00	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	0.00	19,575.00	19,575.00
07/14/2024	Interest	64952WEK5	3,745,000.00	New York Life Global Note 1.45% Due 1/14/2025	0.00	27,151.25	27,151.25
07/14/2024	Interest	66815L2A6	1,870,000.00	Northwestern Mutual Glbl Note 0.8% Due 1/14/2026	0.00	7,480.00	7,480.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/15/2024	Interest	756109AU8	4,500,000.00	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	0.00	82,125.00	82,125.00
07/15/2024	Paydown	43813DAC2	23,249.98	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	2,120.86	1.45	2,122.31
07/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,368.43	1,506.18	68,874.61
07/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	41,946.97	1,227.45	43,174.42
07/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,762.13	205.85	52,967.98
07/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
07/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,398.14	170.15	39,568.29
07/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,963.06	232.24	49,195.30
07/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	82,293.60	1,449.69	83,743.29
07/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,246.06	18.64	9,264.70
07/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,564.50	6,438.52	41,003.02
07/16/2024	Interest	57629WDE7	2,272,000.00	Mass Mutual Global funding Note 1.2% Due 7/16/2026	0.00	13,632.00	13,632.00
07/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,417.55	370.93	31,788.48
07/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,559.56	191.73	37,751.29
07/20/2024	Interest	24422EWR6	2,450,000.00	John Deere Capital Corp Note 4.75% Due 1/20/2028	0.00	58,187.50	58,187.50
07/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,221.86	2,328.13	69,549.99
07/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,489.95	17.23	5,507.18

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
07/21/2024	Interest	3137EAEU9	6,300,000.00	FHLMC Note 0.375% Due 7/21/2025	0.00	11,812.50	11,812.50
07/21/2024	Interest	90331HPL1	4,145,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	42,486.25	42,486.25
07/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,172.67	306.33	52,479.00
07/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,427.55	7,427.82	60,855.37
07/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
07/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
07/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	57,185.62	725.03	57,910.65
07/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
07/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
07/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
07/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	87,224.55	14,325.50	101,550.05
07/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
07/31/2024	Interest	91282CGH8	7,000,000.00	US Treasury Note 3.5% Due 1/31/2028	0.00	122,500.00	122,500.00
07/31/2024	Interest	91282CAB7	6,000,000.00	US Treasury Note 0.25% Due 7/31/2025	0.00	7,500.00	7,500.00
07/31/2024	Interest	91282CFB2	1,300,000.00	US Treasury Note 2.75% Due 7/31/2027	0.00	17,875.00	17,875.00
<b>JUL 2024</b>					<b>770,363.06</b>	<b>614,566.96</b>	<b>1,384,930.02</b>
08/10/2024	Interest	69371RS64	1,670,000.00	Paccar Financial Corp Note 4.95% Due 8/10/2028	0.00	41,332.50	41,332.50

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08/12/2024	Interest	3137EAEP0	6,575,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	49,312.50	49,312.50
08/15/2024	Interest	91324PEP3	1,500,000.00	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	0.00	39,375.00	39,375.00
08/15/2024	Maturity	912828D56	5,000,000.00	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	59,375.00	5,059,375.00
08/15/2024	Paydown	448977AD0	1,350,000.00	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	67,454.88	1,381.55	68,836.43
08/15/2024	Paydown	47800CAC0	1,880,000.00	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	34,708.80	6,294.22	41,003.02
08/15/2024	Paydown	89236XAC0	158,393.93	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	9,207.63	15.94	9,223.57
08/15/2024	Paydown	43815BAC4	1,650,000.00	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	82,654.33	1,320.76	83,975.09
08/15/2024	Paydown	89238JAC9	880,557.60	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	48,992.03	203.27	49,195.30
08/15/2024	Paydown	44935FAD6	669,545.37	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	39,404.70	145.86	39,550.56
08/15/2024	Paydown	47787JAC2	1,010,000.00	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	42,000.80	1,146.35	43,147.15
08/15/2024	Paydown	47789QAC4	1,002,388.75	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	52,766.97	182.98	52,949.95
08/15/2024	Paydown	02582JIT8	2,765,000.00	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	0.00	7,811.13	7,811.13
08/16/2024	Paydown	362554AC1	713,465.72	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	37,568.32	170.45	37,738.77
08/16/2024	Paydown	380146AC4	660,000.00	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	31,555.27	337.94	31,893.21
08/20/2024	Paydown	92290BAA9	98,761.27	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	5,492.10	15.08	5,507.18
08/20/2024	Paydown	92348KAV5	1,150,000.00	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	67,430.25	2,119.74	69,549.99

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## Cash Flow Report

As of August 31, 2023



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/21/2024	Paydown	43815GAC3	938,780.24	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	52,184.85	268.07	52,452.92
08/25/2024	Interest	3135G05X7	6,285,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	11,784.38	11,784.38
08/25/2024	Paydown	3137FBBX3	2,650,000.00	FHLMC K068 A2 3.244% Due 8/25/2027	0.00	7,163.83	7,163.83
08/25/2024	Paydown	3137BSRE5	3,000,000.00	FHLMC K059 A2 3.12% Due 9/25/2026	0.00	7,800.00	7,800.00
08/25/2024	Paydown	3137FG6X8	5,320,000.00	FHLMC K077 A2 3.85% Due 5/25/2028	87,544.38	14,045.65	101,590.03
08/25/2024	Paydown	3137BSP72	1,500,000.00	FHLMC K058 A2 2.653% Due 8/25/2026	0.00	3,316.25	3,316.25
08/25/2024	Paydown	3137F4D41	3,000,000.00	FHLMC K074 A2 3.6% Due 1/25/2028	53,615.89	7,267.53	60,883.42
08/25/2024	Paydown	3137FETN0	6,000,000.00	FHLMC K073 A2 3.35% Due 1/25/2028	0.00	16,750.00	16,750.00
08/25/2024	Paydown	05602RAD3	1,065,000.00	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	50,935.21	572.06	51,507.27
08/25/2024	Paydown	3137FEBQ2	4,690,000.00	FHLMC K072 A2 3.444% Due 12/25/2027	0.00	13,460.30	13,460.30
08/25/2024	Paydown	3137FGR31	1,997,000.00	FHLMC K078 A2 3.854% Due 6/25/2028	0.00	6,413.70	6,413.70
<b>AUG 2024</b>					<b>5,763,516.41</b>	<b>299,382.04</b>	<b>6,062,898.45</b>
<b>TOTAL</b>					<b>163,496,758.94</b>	<b>9,594,497.41</b>	<b>173,091,256.35</b>

## City of Corona Consolidated

Account #10003

## Holdings by Maturity

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
90LAIF\$00	Local Agency Investment Fund State Pool	38,526,879.61	Various 3.48%	38,526,879.61 38,526,879.61	1.00 3.48%	38,526,879.61 242,646.47	7.87% 0.00	NR NR	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	313,727.42	08/15/2023 4.96%	313,727.42 313,727.42	1.00 4.96%	313,727.42 0.00	0.06% 0.00	Aaa AAA	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	1,513,861.61	Various 4.96%	1,513,861.61 1,513,861.61	1.00 4.96%	1,513,861.61 0.00	0.31% 0.00	Aaa AAA	0.00 0.00
3137B7M29	FHLMC K036 A2 3.527% Due 10/25/2023	487,843.24	05/16/2019 2.57%	506,861.51 506,861.51	99.58 4.95%	485,808.45 286.77	0.10% (21,053.06)	Aaa NR	0.02 0.13
912796CQ0	US Treasury Bill 5.085% Due 9/14/2023	21,500,000.00	06/15/2023 5.22%	21,226,681.25 21,226,681.25	98.73 5.22%	21,226,681.25 233,839.38	4.35% 0.00	P-1 A-1+	0.04 0.04
44891VAC5	Hyundai Auto Lease Trust 2021-B A3 0.33% Due 6/17/2024	163,678.76	06/08/2021 0.34%	163,654.21 163,654.21	99.80 7.54%	163,357.63 24.01	0.03% (296.58)	Aaa AAA	0.04 0.03
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	252,167.16	09/22/2020 0.38%	252,130.11 252,130.11	98.99 5.89%	249,624.31 33.69	0.05% (2,505.80)	NR AAA	0.13 0.18
912797FB8	US Treasury Bill 5.252% Due 10/19/2023	50,750,000.00	Various 5.40%	50,076,248.64 50,076,248.64	98.67 5.40%	50,076,248.64 318,366.03	10.22% 0.00	P-1 A-1+	0.13 0.13
09690AAC7	BMW Vehicle Lease Trust 2021-2 A3 0.33% Due 12/26/2024	268,168.92	09/08/2021 0.34%	268,141.25 268,141.25	99.23 5.88%	266,107.51 14.75	0.05% (2,033.74)	Aaa NR	0.19 0.14
47787NAC3	John Deere Owner Trust 2020-B A3 0.51% Due 11/15/2024	32,991.17	07/14/2020 0.52%	32,986.14 32,986.14	99.61 6.34%	32,862.14 7.48	0.01% (124.00)	Aaa NR	0.21 0.07
36262XAC8	GM Financial Auto Lease Trust 2021-3 A2 0.39% Due 10/21/2024	941,913.87	08/10/2021 0.39%	941,901.06 941,901.06	99.11 6.07%	933,576.99 112.24	0.19% (8,324.07)	NR AAA	0.22 0.15
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	430,953.36	10/20/2020 0.39%	430,854.12 430,854.12	98.56 6.04%	424,765.31 72.78	0.09% (6,088.81)	NR AAA	0.25 0.25
912797FU6	US Treasury Bill 5.132% Due 12/14/2023	30,000,000.00	06/15/2023 5.34%	29,225,923.33 29,225,923.33	97.42 5.34%	29,225,923.33 329,303.33	6.00% 0.00	P-1 A-1+	0.29 0.28
89240BAC2	Toyota Auto Receivables Owners 2021-A A3 0.26% Due 5/15/2025	904,204.77	02/02/2021 0.27%	904,036.96 904,036.96	98.09 6.22%	886,954.35 104.49	0.18% (17,082.61)	Aaa NR	0.29 0.32
43813GAC5	Honda Auto Receivables Trust 2021-1 A3 0.27% Due 4/21/2025	245,925.60	02/17/2021 0.27%	245,921.10 245,921.10	98.13 5.87%	241,319.90 18.44	0.05% (4,601.20)	Aaa NR	0.31 0.33

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
05601XAC3	BMW Vehicle Lease Trust 2022-1 A3 1.1% Due 3/25/2025	636,691.09	01/11/2022 1.11%	636,595.90 636,595.90	98.67 5.94%	628,218.64 116.73	0.13% (8,377.26)	NR AAA	0.32 0.27
44891WAC3	Hyundai Auto Lease Trust 2022-A A3 1.16% Due 1/15/2025	1,054,083.77	01/11/2022 1.16%	1,054,060.47 1,054,060.47	98.68 6.12%	1,040,174.08 543.44	0.21% (13,886.39)	Aaa AAA	0.33 0.26
36265MAC9	GM Financial Auto Lease Trust 2022-1 A3 1.9% Due 3/20/2025	1,952,408.75	02/15/2022 1.91%	1,952,391.96 1,952,391.96	98.58 6.03%	1,924,682.59 1,133.48	0.39% (27,709.37)	Aaa NR	0.35 0.34
44933LAC7	Hyundai Auto Receivables Trust 2021-A A3 0.38% Due 9/15/2025	650,648.17	04/20/2021 0.38%	650,579.73 650,579.73	97.81 5.87%	636,392.47 109.89	0.13% (14,187.26)	NR AAA	0.38 0.40
912797GM3	US Treasury Bill 5.262% Due 2/8/2024	20,500,000.00	08/14/2023 5.48%	19,969,634.25 19,969,634.25	97.41 5.48%	19,969,634.25 50,939.08	4.06% 0.00	P-1 A-1+	0.44 0.43
43813DAC2	Honda Auto Receivables 2020-2 A3 0.82% Due 7/15/2024	23,249.98	05/18/2020 0.83%	23,248.15 23,248.15	99.81 7.85%	23,205.60 8.47	0.00% (42.55)	Aaa AAA	0.46 0.03
912828B66	US Treasury Note 2.75% Due 2/15/2024	5,000,000.00	Various 2.21%	5,121,796.88 5,121,796.88	98.80 5.44%	4,939,845.00 6,351.90	1.00% (181,951.88)	Aaa AA+	0.46 0.45
89238LAC4	Toyota Lease Owner Trust 2022-A A3 1.96% Due 2/20/2025	2,565,000.00	02/23/2022 1.98%	2,564,596.27 2,564,596.27	98.49 5.98%	2,526,332.63 1,536.15	0.51% (38,263.64)	NR AAA	0.51 0.37
05602RAD3	BMW Vehicle Owner Trust 2022-A A3 3.21% Due 8/25/2026	1,065,000.00	05/10/2022 3.23%	1,064,944.62 1,064,944.62	97.47 5.83%	1,038,066.15 569.78	0.21% (26,878.47)	Aaa AAA	0.61 0.98
912828X70	US Treasury Note 2% Due 4/30/2024	3,150,000.00	Various 1.79%	3,177,480.46 3,177,480.46	97.79 5.42%	3,080,479.50 21,228.26	0.63% (97,000.96)	Aaa AA+	0.67 0.64
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	158,393.93	10/06/2020 0.36%	158,364.42 158,364.42	99.29 6.19%	157,272.33 24.64	0.03% (1,092.09)	NR AAA	0.70 0.12
44935FAD6	Hyundai Auto Receivables Trust 2021-C A3 0.74% Due 5/15/2026	669,545.37	11/09/2021 0.75%	669,395.92 669,395.92	96.51 5.94%	646,153.46 220.21	0.13% (23,242.46)	NR AAA	0.71 0.67
89238JAC9	Toyota Auto Receivables Trust 2021-D A3 0.71% Due 4/15/2026	880,557.60	11/09/2021 0.71%	880,538.85 880,538.85	96.13 6.10%	846,501.15 277.86	0.17% (34,037.70)	NR AAA	0.75 0.72
92290BAA9	Verizon Owner Trust 2020-B A 0.47% Due 2/20/2025	98,761.27	08/04/2020 0.48%	98,740.53 98,740.53	99.71 4.38%	98,473.48 14.18	0.02% (267.05)	Aaa NR	0.76 0.07
43815GAC3	Honda Auto Receivables Trust 2021-4 A3 0.88% Due 1/21/2026	938,780.24	11/16/2021 0.89%	938,582.34 938,582.34	96.23 6.20%	903,420.14 229.48	0.18% (35,162.20)	Aaa NR	0.77 0.71

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	5,500,000.00	Various 1.95%	5,740,000.40 5,740,000.40	98.13 5.33%	5,396,957.50 33,821.18	1.10% (343,042.90)	Aaa AA+	0.79 0.76
47789QAC4	John Deere Owner Trust 2021-B A3 0.52% Due 3/16/2026	1,002,388.75	07/13/2021 0.52%	1,002,299.34 1,002,299.34	96.42 5.86%	966,471.16 231.66	0.20% (35,828.18)	Aaa NR	0.79 0.67
362554AC1	GM Financial Securitized Term 2021-4 A3 0.68% Due 9/16/2026	713,465.72	10/13/2021 0.68%	713,447.52 713,447.52	95.84 6.19%	683,811.94 202.15	0.14% (29,635.58)	Aaa AAA	0.79 0.75
912828XX3	US Treasury Note 2% Due 6/30/2024	4,900,000.00	Various 1.81%	4,942,253.91 4,942,253.91	97.25 5.42%	4,765,441.10 16,777.17	0.97% (176,812.81)	Aaa AA+	0.83 0.80
380146AC4	GM Financial Auto Receivables 2022-1 A3 1.26% Due 11/16/2026	660,000.00	01/11/2022 1.27%	659,942.65 659,942.65	96.06 5.99%	633,963.00 346.50	0.13% (25,979.65)	NR AAA	0.89 0.84
43815BAC4	Honda Auto Receivables Trust 2022-1 A3 1.88% Due 5/15/2026	1,650,000.00	02/15/2022 1.89%	1,649,751.84 1,649,751.84	96.09 5.91%	1,585,447.07 1,378.67	0.32% (64,304.77)	Aaa AAA	0.93 0.98
912828D56	US Treasury Note 2.375% Due 8/15/2024	5,000,000.00	12/12/2019 1.75%	5,140,234.38 5,140,234.38	97.18 5.44%	4,858,790.00 5,485.73	0.99% (281,444.38)	Aaa AA+	0.96 0.93
448977AD0	Hyundai Auto Receivables Trust 2022-A A3 2.22% Due 10/15/2026	1,350,000.00	03/09/2022 2.23%	1,349,948.03 1,349,948.03	96.29 6.01%	1,299,863.70 1,332.00	0.26% (50,084.33)	NR AAA	1.01 0.99
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	4,000,000.00	09/13/2019 1.79%	4,206,760.00 4,206,760.00	97.46 5.43%	3,898,560.00 53,666.67	0.80% (308,200.00)	Aaa AA+	1.04 0.99
92348KAV5	Verizon Master Trust 2022-5 A1A 3.72% Due 7/20/2027	1,150,000.00	08/02/2022 3.75%	1,149,949.40 1,149,949.40	99.17 5.09%	1,140,414.75 1,307.17	0.23% (9,534.65)	NR AAA	1.06 0.93
13063DRK6	California State Taxable GO 2.4% Due 10/1/2024	3,385,000.00	10/16/2019 1.91%	3,462,753.45 3,462,753.45	96.77 5.52%	3,275,495.25 33,850.00	0.67% (187,258.20)	Aa2 AA-	1.09 1.04
47787JAC2	John Deere Owner Trust 2022-A A3 2.32% Due 9/16/2026	1,010,000.00	03/10/2022 2.34%	1,009,776.59 1,009,776.59	96.82 5.91%	977,842.61 1,041.42	0.20% (31,933.98)	Aaa NR	1.09 0.89
3135G0W66	FNMA Note 1.625% Due 10/15/2024	3,500,000.00	Various 1.21%	3,564,275.00 3,564,275.00	96.10 5.24%	3,363,661.00 21,486.11	0.69% (200,614.00)	Aaa AA+	1.13 1.08
9128283D0	US Treasury Note 2.25% Due 10/31/2024	4,000,000.00	11/07/2019 1.77%	4,090,468.75 4,090,468.75	96.60 5.29%	3,864,064.00 30,326.09	0.79% (226,404.75)	Aaa AA+	1.17 1.12
78015K7C2	Royal Bank of Canada Note 2.25% Due 11/1/2024	3,600,000.00	12/05/2019 2.26%	3,598,128.00 3,598,128.00	96.15 5.71%	3,461,302.80 27,000.00	0.71% (136,825.20)	A1 A	1.17 1.12

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/8/2024	2,593,000.00	Various 1.88%	2,624,484.21 2,624,484.21	96.29 5.42%	2,496,841.19 17,499.15	0.51% (127,643.02)	A2 A	1.19 1.14
74153WCQ0	Pricoa Global Funding Note 1.15% Due 12/6/2024	1,975,000.00	12/01/2021 1.19%	1,972,965.75 1,972,965.75	94.49 5.73%	1,866,173.55 5,362.67	0.38% (106,792.20)	Aa3 AA-	1.27 1.22
3130AQF40	FHLB Note 1% Due 12/20/2024	4,300,000.00	12/21/2021 1.02%	4,297,205.00 4,297,205.00	94.71 5.25%	4,072,422.50 8,480.56	0.83% (224,782.50)	Aaa AA+	1.31 1.26
3135G0X24	FNMA Note 1.625% Due 1/7/2025	4,220,000.00	Various 1.30%	4,282,878.20 4,282,878.20	95.45 5.16%	4,027,956.24 10,286.25	0.82% (254,921.96)	Aaa AA+	1.36 1.30
89236TJT3	Toyota Motor Credit Corp Note 1.45% Due 1/13/2025	2,700,000.00	01/10/2022 1.50%	2,696,382.00 2,696,382.00	94.94 5.34%	2,563,434.00 5,220.00	0.52% (132,948.00)	A1 A+	1.37 1.32
64952WEK5	New York Life Global Note 1.45% Due 1/14/2025	3,745,000.00	01/11/2022 1.49%	3,740,955.40 3,740,955.40	94.49 5.69%	3,538,744.13 7,089.49	0.72% (202,211.27)	Aaa AA+	1.38 1.32
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	4,145,000.00	01/16/2020 2.10%	4,136,171.15 4,136,171.15	95.09 5.78%	3,941,422.47 9,441.39	0.80% (194,748.68)	A2 A+	1.39 1.33
3137EAEP0	FHLMC Note 1.5% Due 2/12/2025	6,575,000.00	02/13/2020 1.52%	6,569,937.25 6,569,937.25	94.96 5.16%	6,243,587.13 5,205.21	1.27% (326,350.12)	Aaa AA+	1.45 1.40
912828ZC7	US Treasury Note 1.125% Due 2/28/2025	7,000,000.00	03/18/2020 0.81%	7,108,007.81 7,108,007.81	94.32 5.10%	6,602,694.00 216.35	1.34% (505,313.81)	Aaa AA+	1.50 1.45
91282CED9	US Treasury Note 1.75% Due 3/15/2025	7,000,000.00	04/05/2022 2.65%	6,823,085.94 6,823,085.94	95.11 5.09%	6,657,658.00 56,589.67	1.36% (165,427.94)	Aaa AA+	1.54 1.48
69371RR73	Paccar Financial Corp Note 2.85% Due 4/7/2025	2,970,000.00	03/31/2022 2.86%	2,969,227.80 2,969,227.80	96.17 5.38%	2,856,349.98 33,858.00	0.59% (112,877.82)	A1 A+	1.60 1.52
78016EZ59	Royal Bank of Canada Note 3.375% Due 4/14/2025	1,270,000.00	04/07/2022 3.39%	1,269,530.10 1,269,530.10	96.74 5.51%	1,228,575.14 16,311.56	0.25% (40,954.96)	A1 A	1.62 1.53
3135G03U5	FNMA Note 0.625% Due 4/22/2025	5,270,000.00	04/22/2020 0.67%	5,259,143.80 5,259,143.80	93.14 5.03%	4,908,736.23 11,802.60	1.00% (350,407.57)	Aaa AA+	1.64 1.59
46647PBK1	JP Morgan Chase & Co Callable Note Cont 4/22/2025 2.083% Due 4/22/2026	1,000,000.00	05/20/2021 2.28%	1,037,330.00 1,037,330.00	94.06 5.92%	940,584.00 7,464.08	0.19% (96,746.00)	A1 A-	1.64 1.57
6174468Q5	Morgan Stanley Callable Note Cont 4/28/2025 2.188% Due 4/28/2026	1,600,000.00	05/18/2022 4.99%	1,511,376.00 1,511,376.00	94.24 5.87%	1,507,916.80 11,961.07	0.31% (3,459.20)	A1 A-	1.66 1.58
06367WB85	Bank of Montreal Note 1.85% Due 5/1/2025	2,761,000.00	07/23/2021 0.85%	2,862,660.02 2,862,660.02	93.94 5.71%	2,593,650.27 17,026.17	0.53% (269,009.75)	A2 A-	1.67 1.59

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02582JIT8	American Express Credit Trust 2022-2 A 3.39% Due 5/17/2027	2,765,000.00	05/17/2022 3.42%	2,764,388.38 2,764,388.38	96.75 5.46%	2,675,057.32 4,165.93	0.54% (89,331.06)	NR AAA	1.71 1.60
46647PCH7	JP Morgan Chase & Co Callable Note Cont 6/1/2024 0.824% Due 6/1/2025	3,295,000.00	05/24/2021 2.03%	3,299,268.65 3,299,268.65	96.03 6.11%	3,164,089.65 6,787.70	0.64% (135,179.00)	A1 A-	1.75 1.67
63743HFE7	National Rural Utilities Note 3.45% Due 6/15/2025	940,000.00	04/27/2022 3.46%	939,746.20 939,746.20	96.42 5.58%	906,311.34 6,846.33	0.19% (33,434.86)	A2 A-	1.79 1.69
3135G04Z3	FNMA Note 0.5% Due 6/17/2025	6,200,000.00	Various 0.51%	6,196,731.90 6,196,731.90	92.40 4.98%	5,728,744.20 6,372.22	1.16% (467,987.70)	Aaa AA+	1.80 1.74
06051GJD2	Bank of America Corp Callable Note Cont 6/19/2025 1.319% Due 6/19/2026	3,000,000.00	Various 2.27%	3,007,920.00 3,007,920.00	92.03 6.05%	2,760,780.00 7,914.00	0.56% (247,140.00)	A1 A-	1.80 1.73
46647PCK0	JP Morgan Chase & Co Callable Note Cont 6/23/2024 0.969% Due 6/23/2025	1,565,000.00	Various 2.17%	1,565,968.20 1,565,968.20	95.86 6.12%	1,500,155.79 2,864.47	0.30% (65,812.41)	A1 A-	1.81 1.73
66815L2J7	Northwestern Mutual Gbl Note 4% Due 7/1/2025	2,350,000.00	06/27/2022 4.01%	2,349,201.00 2,349,201.00	97.40 5.51%	2,288,935.25 15,666.67	0.47% (60,265.75)	Aaa AA+	1.84 1.73
3137EAEU9	FHLMC Note 0.375% Due 7/21/2025	6,300,000.00	Various 0.45%	6,277,899.60 6,277,899.60	91.87 4.94%	5,787,696.60 2,625.00	1.17% (490,203.00)	Aaa AA+	1.89 1.84
91282CAB7	US Treasury Note 0.25% Due 7/31/2025	6,000,000.00	03/12/2021 0.72%	5,879,062.50 5,879,062.50	91.61 4.89%	5,496,330.00 1,304.35	1.12% (382,732.50)	Aaa AA+	1.92 1.87
3135G05X7	FNMA Note 0.375% Due 8/25/2025	6,285,000.00	Various 0.46%	6,260,439.20 6,260,439.20	91.42 4.97%	5,746,042.40 392.81	1.17% (514,396.80)	Aaa AA+	1.99 1.93
3137EAEX3	FHLMC Note 0.375% Due 9/23/2025	6,295,000.00	Various 0.44%	6,276,346.05 6,276,346.05	91.24 4.89%	5,743,803.51 10,360.52	1.17% (532,542.54)	Aaa AA+	2.07 2.00
91282CAM3	US Treasury Note 0.25% Due 9/30/2025	3,200,000.00	03/29/2021 0.74%	3,130,375.00 3,130,375.00	91.11 4.79%	2,915,500.80 3,366.12	0.59% (214,874.20)	Aaa AA+	2.08 2.03
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	6,300,000.00	Various 0.59%	6,272,360.85 6,272,360.85	91.22 4.83%	5,747,036.40 10,762.50	1.17% (525,324.45)	Aaa AAA	2.16 2.09
91282CAT8	US Treasury Note 0.25% Due 10/31/2025	6,000,000.00	02/19/2021 0.53%	5,923,125.00 5,923,125.00	90.79 4.78%	5,447,112.00 5,054.35	1.11% (476,013.00)	Aaa AA+	2.17 2.11
3135G06G3	FNMA Note 0.5% Due 11/7/2025	6,300,000.00	Various 0.57%	6,279,895.00 6,279,895.00	91.11 4.84%	5,739,785.11 9,975.00	1.17% (540,109.89)	Aaa AA+	2.19 2.12
47800CAC0	John Deere Owner Trust 2023-A A3 5.01% Due 11/15/2027	1,880,000.00	02/22/2023 5.07%	1,879,657.46 1,879,657.46	99.30 5.42%	1,866,866.32 4,186.13	0.38% (12,791.14)	Aaa NR	2.20 1.93

## City of Corona Consolidated

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## Holdings by Maturity

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
91282CAZ4	US Treasury Note 0.375% Due 11/30/2025	3,800,000.00	03/26/2021 0.77%	3,731,867.19 3,731,867.19	90.77 4.75%	3,449,092.80 3,620.90	0.70% (282,774.39)	Aaa AA+	2.25 2.19
40139LBC6	Guardian Life Glob Fun Note 0.875% Due 12/10/2025	3,000,000.00	Various 1.12%	2,969,700.00 2,969,700.00	89.74 5.75%	2,692,092.00 5,906.26	0.55% (277,608.00)	Aa1 AA+	2.28 2.19
3137F4D41	FHLMC K074 A2 3.6% Due 1/25/2028	3,000,000.00	03/16/2023 4.93%	2,911,054.69 2,911,054.69	95.00 4.88%	2,849,871.00 1,800.00	0.58% (61,183.69)	NR NR	2.31 3.89
66815L2A6	Northwestern Mutual Gbl Note 0.8% Due 1/14/2026	1,870,000.00	12/06/2021 1.47%	1,820,388.90 1,820,388.90	89.88 5.41%	1,680,795.27 1,953.11	0.34% (139,593.63)	Aaa AA+	2.38 2.29
3137FG6X8	FHLMC K077 A2 3.85% Due 5/25/2028	5,320,000.00	Various 4.60%	5,229,125.01 5,229,125.01	95.86 4.84%	5,099,496.64 3,413.66	1.04% (129,628.37)	NR NR	2.49 4.14
3137BSRE5	FHLMC K059 A2 3.12% Due 9/25/2026	3,000,000.00	02/18/2022 1.98%	3,128,437.50 3,128,437.50	94.74 5.01%	2,842,248.00 7,800.00	0.58% (286,189.50)	NR AAA	2.57 2.76
4581X0DV7	Inter-American Dev Bank Note 0.875% Due 4/20/2026	6,390,000.00	04/13/2021 0.97%	6,360,733.80 6,360,733.80	90.62 4.70%	5,790,918.33 20,345.94	1.18% (569,815.47)	Aaa AAA	2.64 2.54
06051GHT9	Bank of America Corp Callable Note 1X 4/23/2026 3.559% Due 4/23/2027	3,000,000.00	Various 4.95%	2,906,255.64 2,906,255.64	94.74 5.72%	2,842,254.00 37,962.67	0.58% (64,001.64)	A1 A-	2.65 2.44
023135BX3	Amazon.com Inc Callable Note Cont 4/12/2026 1% Due 5/12/2026	4,865,000.00	05/10/2021 1.09%	4,843,983.20 4,843,983.20	90.37 4.85%	4,396,510.23 14,730.14	0.89% (447,472.97)	A1 AA	2.70 2.59
91324PEC2	United Health Group Inc Callable Note Cont 4/15/2026 1.15% Due 5/15/2026	805,000.00	Various 1.08%	807,605.05 807,605.05	90.47 4.96%	728,255.33 2,725.82	0.15% (79,349.72)	A2 A+	2.71 2.60
89236TJK2	Toyota Motor Credit Corp Note 1.125% Due 6/18/2026	3,325,000.00	06/15/2021 1.13%	3,323,537.00 3,323,537.00	89.95 5.02%	2,990,907.33 7,585.16	0.61% (332,629.67)	A1 A+	2.80 2.69
57629WDE7	Mass Mutual Global funding Note 1.2% Due 7/16/2026	2,272,000.00	08/10/2021 1.21%	2,271,369.92 2,271,369.92	88.86 5.44%	2,019,003.72 3,408.00	0.41% (252,366.20)	Aa3 AA+	2.88 2.75
3137BSP72	FHLMC K058 A2 2.653% Due 8/25/2026	1,500,000.00	11/12/2021 1.35%	1,586,425.78 1,586,425.78	93.54 5.02%	1,403,173.50 3,316.25	0.29% (183,252.28)	NR NR	2.90 2.75
91282CCW9	US Treasury Note 0.75% Due 8/31/2026	1,570,000.00	09/23/2021 0.90%	1,558,899.61 1,558,899.61	89.53 4.52%	1,405,578.61 32.35	0.29% (153,321.00)	Aaa AA+	3.00 2.90
58989V2D5	Met Tower Global Funding Note 1.25% Due 9/14/2026	1,715,000.00	09/07/2021 1.27%	1,713,422.20 1,713,422.20	88.20 5.53%	1,512,581.98 9,944.62	0.31% (200,840.22)	Aa3 AA-	3.04 2.89

## City of Corona Consolidated

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## Holdings by Maturity

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
931142ER0	Wal-Mart Stores Callable Note Cont 08/17/2026 1.05% Due 9/17/2026	785,000.00	09/08/2021 1.09%	783,516.35 783,516.35	89.62 4.75%	703,513.08 3,754.92	0.14% (80,003.27)	Aa2 AA	3.05 2.92
91282CCZ2	US Treasury Note 0.875% Due 9/30/2026	3,130,000.00	10/18/2021 1.19%	3,083,172.27 3,083,172.27	89.74 4.48%	2,808,808.79 11,523.70	0.57% (274,363.48)	Aaa AA+	3.08 2.97
91282CDG3	US Treasury Note 1.125% Due 10/31/2026	3,120,000.00	11/15/2021 1.25%	3,100,987.50 3,100,987.50	90.15 4.50%	2,812,630.08 11,827.17	0.57% (288,357.42)	Aaa AA+	3.17 3.04
59217GER6	Metlife Note 1.875% Due 1/11/2027	2,485,000.00	01/03/2022 1.90%	2,482,167.10 2,482,167.10	89.34 5.38%	2,220,161.13 6,471.35	0.45% (262,005.97)	Aa3 AA-	3.37 3.17
808513BY0	Charles Schwab Corp Callable Note Cont 2/3/2027 2.45% Due 3/3/2027	1,300,000.00	03/09/2022 2.73%	1,282,866.00 1,282,866.00	89.99 5.64%	1,169,922.00 15,748.06	0.24% (112,944.00)	A2 A-	3.51 3.24
89114TZT2	Toronto-Dominion Bank Note 2.8% Due 3/10/2027	1,900,000.00	03/09/2022 2.97%	1,884,933.00 1,884,933.00	91.73 5.41%	1,742,832.00 25,270.00	0.36% (142,101.00)	A1 A	3.53 3.24
665859AW4	Northern Trust Company Callable Note Cont 4/10/2027 4% Due 5/10/2027	1,345,000.00	05/05/2022 4.04%	1,342,821.10 1,342,821.10	96.03 5.19%	1,291,655.96 16,588.33	0.27% (51,165.14)	A2 A+	3.69 3.33
91324PEG3	United Health Group Inc Callable Note Cont 4/15/2027 3.7% Due 5/15/2027	1,365,000.00	05/17/2022 3.69%	1,365,638.40 1,365,638.40	96.13 4.85%	1,312,186.80 14,870.91	0.27% (53,451.60)	A2 A+	3.71 3.37
22160KAM7	Costco Wholesale Corp Callable Note Cont 2/18/2027 3% Due 5/18/2027	2,500,000.00	07/15/2022 3.53%	2,441,975.00 2,441,975.00	94.43 4.65%	2,360,807.50 21,458.33	0.48% (81,167.50)	Aa3 A+	3.72 3.43
3137FBBX3	FHLMC K068 A2 3.244% Due 8/25/2027	2,650,000.00	11/02/2022 4.79%	2,482,408.20 2,482,408.20	93.98 4.93%	2,490,597.20 7,163.83	0.51% 8,189.00	Aaa NR	3.73 3.57
91282CET4	US Treasury Note 2.625% Due 5/31/2027	2,400,000.00	06/09/2022 3.07%	2,351,156.25 2,351,156.25	93.87 4.42%	2,252,812.80 16,008.20	0.46% (98,343.45)	Aaa AA+	3.75 3.49
89115A2C5	Toronto-Dominion Bank Note 4.108% Due 6/8/2027	1,400,000.00	08/26/2022 4.18%	1,395,310.00 1,395,310.00	95.50 5.44%	1,337,029.40 13,259.71	0.27% (58,280.60)	A1 A	3.77 3.40
91282CEW7	US Treasury Note 3.25% Due 6/30/2027	6,650,000.00	Various 3.12%	6,690,380.86 6,690,380.86	96.00 4.39%	6,384,000.00 36,999.66	1.30% (306,380.86)	Aaa AA+	3.83 3.53
91282CFB2	US Treasury Note 2.75% Due 7/31/2027	1,300,000.00	08/22/2022 3.12%	1,277,960.94 1,277,960.94	94.14 4.40%	1,223,777.10 3,108.70	0.25% (54,183.84)	Aaa AA+	3.92 3.64
91282CFH9	US Treasury Note 3.125% Due 8/31/2027	3,450,000.00	Various 3.45%	3,399,517.58 3,399,517.58	95.42 4.39%	3,292,055.55 296.19	0.67% (107,462.03)	Aaa AA+	4.00 3.70

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## Holdings by Maturity

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
91282CFM8	US Treasury Note 4.125% Due 9/30/2027	2,800,000.00	Various 4.37%	2,770,000.01 2,770,000.01	99.07 4.37%	2,774,077.60 48,598.36	0.57% 4,077.59	Aaa AA+	4.08 3.65
3137FETN0	FHLMC K073 A2 3.35% Due 1/25/2028	6,000,000.00	Various 4.55%	5,707,999.69 5,707,999.69	94.05 4.88%	5,642,988.00 3,350.00	1.15% (65,011.69)	NR NR	4.24 3.90
91282CFZ9	US Treasury Note 3.875% Due 11/30/2027	4,600,000.00	Various 3.74%	4,628,085.94 4,628,085.94	98.16 4.35%	4,515,548.60 45,293.03	0.93% (112,537.34)	Aaa AA+	4.25 3.83
3137FEBQ2	FHLMC K072 A2 3.444% Due 12/25/2027	4,690,000.00	07/20/2023 4.60%	4,471,072.27 4,471,072.27	94.44 4.89%	4,429,432.98 13,460.30	0.90% (41,639.29)	NR NR	4.32 3.84
91282CGC9	US Treasury Note 3.875% Due 12/31/2027	7,000,000.00	Various 3.78%	7,028,945.31 7,028,945.31	98.17 4.34%	6,871,760.00 46,436.82	1.40% (157,185.31)	Aaa AA+	4.34 3.92
756109AU8	Realty Income Corp Callable Note Cont 10/15/2027 3.65% Due 1/15/2028	4,500,000.00	Various 4.99%	4,242,810.00 4,242,810.00	93.52 5.33%	4,208,247.00 20,987.50	0.86% (34,563.00)	A3 A-	4.38 3.94
91324PEP3	United Health Group Inc Callable Note Cont 1/15/2028 5.25% Due 2/15/2028	1,500,000.00	02/16/2023 4.76%	1,532,220.00 1,532,220.00	101.93 4.75%	1,528,953.00 3,500.00	0.31% (3,267.00)	A2 A+	4.38 3.87
24422EWR6	John Deere Capital Corp Note 4.75% Due 1/20/2028	2,450,000.00	01/23/2023 4.40%	2,487,705.50 2,487,705.50	99.55 4.86%	2,439,068.10 13,253.82	0.50% (48,637.40)	A2 A	4.39 3.90
91282CGH8	US Treasury Note 3.5% Due 1/31/2028	7,000,000.00	02/16/2023 4.05%	6,828,007.81 6,828,007.81	96.70 4.33%	6,768,944.00 21,304.35	1.38% (59,063.81)	Aaa AA+	4.42 4.02
57636QAW4	MasterCard Inc Callable Note Cont 2/9/28 4.875% Due 3/9/2028	2,090,000.00	03/06/2023 4.90%	2,087,972.70 2,087,972.70	100.31 4.79%	2,096,485.27 48,679.58	0.44% 8,512.57	Aa3 A+	4.45 3.86
91282CGP0	US Treasury Note 4% Due 2/29/2028	7,000,000.00	02/23/2023 4.16%	6,949,960.94 6,949,960.94	98.77 4.30%	6,913,865.00 769.23	1.40% (36,095.94)	Aaa AA+	4.50 4.07
880591EZ1	Tennessee Valley Authority Note 3.875% Due 3/15/2028	3,500,000.00	05/04/2023 3.56%	3,548,755.00 3,548,755.00	97.97 4.37%	3,428,876.50 56,887.15	0.71% (119,878.50)	Aaa AA+	4.54 4.05
037833ET3	Apple Inc Callable Note Cont 4/10/2028 4% Due 5/10/2028	1,650,000.00	05/08/2023 4.01%	1,649,083.50 1,649,083.50	97.73 4.54%	1,612,596.15 20,350.00	0.33% (36,487.35)	Aaa AA+	4.70 4.17
58933YBH7	Merck & Co Callable Note Cont 4/17/2028 4.05% Due 5/17/2028	1,975,000.00	05/08/2023 4.05%	1,975,392.25 1,975,392.25	97.60 4.62%	1,927,562.48 23,107.50	0.40% (47,829.77)	A1 A+	4.72 4.18
91282CHE4	US Treasury Note 3.625% Due 5/31/2028	7,000,000.00	06/14/2023 4.00%	6,884,335.94 6,884,335.94	97.26 4.27%	6,808,046.00 64,477.46	1.39% (76,289.94)	Aaa AA+	4.75 4.27

## City of Corona Consolidated

Account #10003

## Holdings by Maturity

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody S&P	Term (yrs) Duration
74340XCG4	Prologis LP Callable Note Cont 5/15/2028 4.875% Due 6/15/2028	4,000,000.00	Various 5.11%	3,959,713.70 3,959,713.70	98.96 5.12%	3,958,556.00 34,125.00	0.81% (1,157.70)	A3 A	4.79 4.18
3137FGR31	FHLMC K078 A2 3.854% Due 6/25/2028	1,997,000.00	06/22/2023 4.42%	1,951,677.46 1,951,677.46	95.87 4.83%	1,914,467.98 1,282.74	0.39% (37,209.48)	NR NR	4.82 4.17
3130AWN63	FHLB Note 4% Due 6/30/2028	3,000,000.00	08/21/2023 4.53%	2,931,360.00 2,931,360.00	98.82 4.27%	2,964,705.00 15,666.67	0.60% 33,345.00	Aaa AA+	4.84 4.32
69371RS64	Paccar Financial Corp Note 4.95% Due 8/10/2028	1,670,000.00	08/03/2023 4.99%	1,667,361.40 1,667,361.40	100.44 4.85%	1,677,289.55 4,822.13	0.34% 9,928.15	A1 A+	4.95 4.33
<b>TOTAL PORTFOLIO</b>		<b>510,529,290.13</b>	<b>3.13%</b>	<b>507,164,549.21</b>	<b>5.02%</b>	<b>490,346,665.46</b>	<b>100.00%</b> <b>(16,817,883.75)</b>	<b>Aa1</b> <b>AA+</b>	<b>1.71</b> <b>1.62</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>492,920,755.29</b>			

## City of Corona Consolidated

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## Issuer Report

As of August 31, 2023



Issue Name	Par	Cost	Market Value	MVACC	% Portfolio
Government of United States	\$234,820,000.00	\$232,117,656.25	\$227,407,398.80	\$228,796,842.73	46.42%
Federal Home Loan Mortgage Corp	\$47,814,843.24	\$47,099,245.01	\$44,933,170.99	\$44,993,235.27	9.13%
Local Agency Investment Fund	\$38,526,879.61	\$38,526,879.61	\$38,526,879.61	\$38,769,526.08	7.87%
Federal National Mortgage Association	\$31,775,000.00	\$31,843,363.10	\$29,514,925.18	\$29,575,240.17	6.00%
Federal Home Loan Bank	\$16,800,000.00	\$17,175,325.40	\$16,332,645.00	\$16,444,280.08	3.34%
Inter-American Dev Bank	\$6,390,000.00	\$6,360,733.80	\$5,790,918.33	\$5,811,264.27	1.18%
Intl Bank Recon and Development	\$6,300,000.00	\$6,272,360.85	\$5,747,036.40	\$5,757,798.90	1.17%
Bank of America Corp	\$6,000,000.00	\$5,914,175.64	\$5,603,034.00	\$5,648,910.67	1.15%
JP Morgan Chase & Co	\$5,860,000.00	\$5,902,566.85	\$5,604,829.44	\$5,621,945.69	1.14%
Toyota Motor Corp	\$6,025,000.00	\$6,019,919.00	\$5,554,341.33	\$5,567,146.49	1.13%
Royal Bank of Canada	\$4,870,000.00	\$4,867,658.10	\$4,689,877.94	\$4,733,189.50	0.96%
Paccar Financial	\$4,640,000.00	\$4,636,589.20	\$4,533,639.53	\$4,572,319.66	0.93%
Amazon.com Inc	\$4,865,000.00	\$4,843,983.20	\$4,396,510.23	\$4,411,240.37	0.89%
Realty Income Corp	\$4,500,000.00	\$4,242,810.00	\$4,208,247.00	\$4,229,234.50	0.86%
Prologis Trust	\$4,000,000.00	\$3,959,713.70	\$3,958,556.00	\$3,992,681.00	0.81%
Northwestern Mutual Gbl	\$4,220,000.00	\$4,169,589.90	\$3,969,730.52	\$3,987,350.30	0.81%
US Bancorp	\$4,145,000.00	\$4,136,171.15	\$3,941,422.47	\$3,950,863.86	0.80%
John Deere ABS	\$3,925,379.92	\$3,924,719.53	\$3,844,042.23	\$3,849,508.92	0.78%
Metlife Inc	\$4,200,000.00	\$4,195,589.30	\$3,732,743.11	\$3,749,159.08	0.76%
United Health Group Inc	\$3,670,000.00	\$3,705,463.45	\$3,569,395.13	\$3,590,491.86	0.73%
New York Life Global Funding	\$3,745,000.00	\$3,740,955.40	\$3,538,744.13	\$3,545,833.62	0.72%
Tennessee Valley Authority	\$3,500,000.00	\$3,548,755.00	\$3,428,876.50	\$3,485,763.65	0.71%
State of California	\$3,385,000.00	\$3,462,753.45	\$3,275,495.25	\$3,309,345.25	0.67%
Toronto Dominion Holdings	\$3,300,000.00	\$3,280,243.00	\$3,079,861.40	\$3,118,391.11	0.63%
Hyundai Auto Receivables	\$3,101,146.90	\$3,100,777.80	\$3,007,174.94	\$3,008,909.82	0.61%
Honda ABS	\$3,110,122.98	\$3,109,633.54	\$3,003,017.02	\$3,004,685.77	0.61%
GM Financial Automobile Leasing Trust	\$2,894,322.62	\$2,894,293.02	\$2,858,259.58	\$2,859,505.30	0.58%
Guardian Life Global Funding	\$3,000,000.00	\$2,969,700.00	\$2,692,092.00	\$2,697,998.26	0.55%
American Express ABS	\$2,765,000.00	\$2,764,388.38	\$2,675,057.32	\$2,679,223.25	0.54%
Bank of Montreal Chicago	\$2,761,000.00	\$2,862,660.02	\$2,593,650.27	\$2,610,676.44	0.53%

## City of Corona Consolidated

Account #10003

## Issuer Report

As of August 31, 2023



Issue Name	Par	Cost	Market Value	MVACC	% Portfolio
Toyota Lease Owner Trust	\$2,565,000.00	\$2,564,596.27	\$2,526,332.63	\$2,527,868.78	0.51%
Caterpillar Inc	\$2,593,000.00	\$2,624,484.21	\$2,496,841.19	\$2,514,340.34	0.51%
Deere & Company	\$2,450,000.00	\$2,487,705.50	\$2,439,068.10	\$2,452,321.92	0.50%
Costco Wholesale Corporation	\$2,500,000.00	\$2,441,975.00	\$2,360,807.50	\$2,382,265.83	0.48%
MasterCard Inc	\$2,090,000.00	\$2,087,972.70	\$2,096,485.27	\$2,145,164.85	0.44%
Mass Mutual Insurance	\$2,272,000.00	\$2,271,369.92	\$2,019,003.72	\$2,022,411.72	0.41%
Merck & Company	\$1,975,000.00	\$1,975,392.25	\$1,927,562.48	\$1,950,669.98	0.40%
Toyota ABS	\$1,943,156.30	\$1,942,940.23	\$1,890,727.83	\$1,891,134.82	0.38%
Prudential Financial Inc	\$1,975,000.00	\$1,972,965.75	\$1,866,173.55	\$1,871,536.22	0.38%
Federated Govt Obligation Money Market Fund	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	0.37%
Apple Inc	\$1,650,000.00	\$1,649,083.50	\$1,612,596.15	\$1,632,946.15	0.33%
Morgan Stanley	\$1,600,000.00	\$1,511,376.00	\$1,507,916.80	\$1,519,877.87	0.31%
GM Financial Securitized Term Auto Trust	\$1,373,465.72	\$1,373,390.17	\$1,317,774.94	\$1,318,323.59	0.27%
Northern Trust Corp	\$1,345,000.00	\$1,342,821.10	\$1,291,655.96	\$1,308,244.29	0.27%
Hyundai Auto Lease Securitization	\$1,217,762.53	\$1,217,714.68	\$1,203,531.71	\$1,204,099.16	0.24%
Charles Schwab Corp/The	\$1,300,000.00	\$1,282,866.00	\$1,169,922.00	\$1,185,670.06	0.24%
Verizon Master Trust	\$1,150,000.00	\$1,149,949.40	\$1,140,414.75	\$1,141,721.92	0.23%
BMW ABS	\$1,065,000.00	\$1,064,944.62	\$1,038,066.15	\$1,038,635.93	0.21%
National Rural Utilities	\$940,000.00	\$939,746.20	\$906,311.34	\$913,157.67	0.19%
BMW Vehicle Lease Trust	\$904,860.01	\$904,737.15	\$894,326.15	\$894,457.63	0.18%
Wal-Mart Stores	\$785,000.00	\$783,516.35	\$703,513.08	\$707,268.00	0.14%
Verizon Owner Trust	\$98,761.27	\$98,740.53	\$98,473.48	\$98,487.66	0.02%
<b>TOTAL</b>	<b>\$510,529,290.13</b>	<b>\$507,164,549.21</b>	<b>\$490,346,665.46</b>	<b>\$492,920,755.29</b>	<b>100.00%</b>



Chandler Asset Management, Inc. ("Chandler") is an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at [www.chandlerasset.com](http://www.chandlerasset.com).

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**Custody:** Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

**Valuation:** Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

**Performance:** Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

**Ratings:** Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
ICE BofA 1-5 Yr US Treasury & Agency Index	The ICE BofA 1-5 Year US Treasury & Agency Index tracks the performance of US dollar denominated US Treasury and nonsubordinated US agency debt issued in the US domestic market. Qualifying securities must have an investment grade rating (based on an average of Moody's, S&P and Fitch). Qualifying securities must have at least one year remaining term to final maturity and less than five years remaining term to final maturity, at least 18 months to maturity at time of issuance, a fixed coupon schedule, and a minimum amount outstanding of \$1 billion for sovereigns and \$250 million for agencies.

## Portfolio Performance

*August 31, 2023*

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
7/31/2006			
8/31/2006	0.744%	0.530%	0.633%
9/30/2006	0.559%	0.506%	0.533%
10/31/2006	0.585%	0.445%	0.517%
10/31/2006	0.585%	0.445%	0.517%
11/30/2006	0.655%	0.488%	0.573%
12/31/2006	-0.102%	0.203%	0.044%
1/31/2007	0.159%	0.350%	0.250%
2/28/2007	1.021%	0.604%	0.798%
3/31/2007	0.334%	0.407%	0.372%
4/30/2007	0.403%	0.415%	0.409%
5/31/2007	-0.330%	0.247%	-0.031%
6/30/2007	0.360%	0.413%	0.390%
7/31/2007	0.984%	0.429%	0.672%
8/31/2007	0.940%	0.464%	0.809%
9/30/2007	0.824%	0.415%	0.728%
10/31/2007	0.451%	0.410%	0.443%
11/30/2007	1.608%	0.401%	1.392%
12/31/2007	0.414%	0.404%	0.413%
1/31/2008	1.952%	0.388%	1.600%
2/29/2008	0.908%	0.329%	0.730%
3/31/2008	0.102%	0.321%	0.164%
4/30/2008	-0.683%	0.278%	-0.482%
5/31/2008	-0.519%	0.263%	-0.340%
6/30/2008	0.310%	0.237%	0.288%
7/31/2008	0.468%	0.237%	0.406%
8/31/2008	0.494%	0.232%	0.431%
9/30/2008	-0.920%	0.227%	-0.704%
10/31/2008	0.620%	0.231%	0.549%
11/30/2008	2.483%	0.208%	2.067%
12/31/2008	2.145%	0.206%	1.875%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2009	-0.248%	0.175%	-0.192%
2/28/2009	0.166%	0.141%	0.160%
3/31/2009	0.639%	0.153%	0.555%
4/30/2009	0.500%	0.132%	0.454%
5/31/2009	0.334%	0.134%	0.307%
6/30/2009	-0.121%	0.114%	-0.067%
7/31/2009	0.518%	0.089%	0.428%
8/31/2009	0.662%	0.074%	0.544%
9/30/2009	0.353%	0.062%	0.301%
10/31/2009	0.444%	0.054%	0.386%
11/30/2009	0.866%	0.050%	0.775%
12/31/2009	-1.061%	0.049%	-0.960%
1/31/2010	0.957%	0.048%	0.855%
2/28/2010	0.398%	0.041%	0.322%
3/31/2010	-0.270%	0.046%	-0.218%
4/30/2010	0.514%	0.048%	0.446%
5/31/2010	0.464%	0.047%	0.419%
6/30/2010	0.895%	0.042%	0.743%
7/31/2010	0.670%	0.049%	0.548%
8/31/2010	0.389%	0.043%	0.329%
9/30/2010	0.322%	0.041%	0.285%
10/31/2010	0.490%	0.041%	0.444%
11/30/2010	-0.447%	0.037%	-0.400%
12/31/2010	-0.424%	0.038%	-0.381%
1/31/2011	0.246%	0.038%	0.227%
2/28/2011	-0.060%	0.034%	-0.044%
3/31/2011	0.030%	0.037%	0.031%
4/30/2011	0.678%	0.050%	0.599%
5/31/2011	0.519%	0.036%	0.459%
6/30/2011	-0.059%	0.032%	-0.040%
7/31/2011	0.658%	0.046%	0.536%
8/31/2011	0.423%	0.031%	0.354%
9/30/2011	-0.178%	0.031%	-0.143%
10/31/2011	0.293%	0.035%	0.254%
11/30/2011	-0.053%	0.031%	-0.038%
12/31/2011	0.307%	0.030%	0.264%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2012	0.542%	0.035%	0.466%
2/29/2012	-0.011%	0.030%	-0.002%
3/31/2012	-0.125%	0.032%	-0.095%
4/30/2012	0.449%	0.029%	0.372%
5/31/2012	0.072%	0.031%	0.064%
6/30/2012	0.107%	0.030%	0.089%
7/31/2012	0.431%	0.029%	0.332%
8/31/2012	0.181%	0.030%	0.151%
9/30/2012	0.046%	0.028%	0.042%
10/31/2012	-0.035%	0.028%	-0.024%
11/30/2012	0.243%	0.026%	0.210%
12/31/2012	-0.043%	0.028%	-0.034%
1/31/2013	-0.100%	0.023%	-0.084%
2/28/2013	0.218%	0.022%	0.185%
3/31/2013	0.056%	0.024%	0.052%
4/30/2013	0.225%	0.020%	0.213%
5/31/2013	-0.474%	0.022%	-0.442%
6/30/2013	-0.505%	0.020%	-0.424%
7/31/2013	0.280%	0.022%	0.240%
8/31/2013	-0.238%	0.023%	-0.200%
9/30/2013	0.493%	0.021%	0.438%
10/31/2013	0.339%	0.021%	0.305%
11/30/2013	0.150%	0.022%	0.137%
12/31/2013	-0.423%	0.025%	-0.379%
1/31/2014	0.483%	0.018%	0.434%
2/28/2014	0.175%	0.018%	0.148%
3/31/2014	-0.271%	0.020%	-0.228%
4/30/2014	0.274%	0.017%	0.239%
5/31/2014	0.380%	0.020%	0.338%
6/30/2014	-0.058%	0.019%	-0.047%
7/31/2014	-0.200%	0.019%	-0.157%
8/31/2014	0.289%	0.021%	0.242%
9/30/2014	-0.151%	0.020%	-0.124%
10/31/2014	0.392%	0.020%	0.333%
11/30/2014	0.320%	0.021%	0.273%
12/31/2014	-0.210%	0.023%	-0.175%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2015	0.961%	0.023%	0.800%
2/28/2015	-0.419%	0.020%	-0.297%
3/31/2015	0.404%	0.024%	0.325%
4/30/2015	0.035%	0.021%	0.032%
5/31/2015	0.082%	0.025%	0.071%
6/30/2015	-0.143%	0.024%	-0.105%
7/31/2015	0.166%	0.026%	0.131%
8/31/2015	-0.009%	0.028%	0.000%
9/30/2015	0.490%	0.027%	0.401%
10/31/2015	-0.089%	0.027%	-0.069%
11/30/2015	-0.240%	0.030%	-0.201%
12/31/2015	-0.085%	0.034%	-0.070%
1/31/2016	0.917%	0.034%	0.836%
2/29/2016	0.206%	0.036%	0.180%
3/31/2016	0.383%	0.043%	0.333%
4/30/2016	0.044%	0.041%	0.043%
5/31/2016	-0.121%	0.073%	-0.097%
6/30/2016	0.796%	0.048%	0.683%
7/31/2016	0.045%	0.020%	0.040%
8/31/2016	-0.215%	0.052%	-0.185%
9/30/2016	0.105%	0.053%	0.099%
10/31/2016	-0.128%	0.054%	-0.099%
11/30/2016	-0.814%	0.055%	-0.696%
12/31/2016	0.063%	0.074%	0.064%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2017	0.177%	0.065%	0.163%
2/28/2017	0.203%	0.059%	0.175%
3/31/2017	0.092%	0.062%	0.086%
4/30/2017	0.330%	0.071%	0.282%
5/31/2017	0.236%	0.079%	0.205%
6/30/2017	-0.062%	0.081%	-0.036%
7/31/2017	0.305%	0.086%	0.259%
8/31/2017	0.304%	0.092%	0.269%
9/30/2017	-0.233%	0.092%	-0.181%
10/31/2017	-0.032%	0.094%	-0.007%
11/30/2017	-0.269%	0.096%	-0.213%
12/31/2017	0.026%	0.105%	0.036%
1/31/2018	-0.471%	0.123%	-0.382%
2/28/2018	-0.167%	0.108%	-0.108%
3/31/2018	0.219%	0.129%	0.201%
4/30/2018	-0.200%	0.154%	-0.132%
5/31/2018	0.467%	0.151%	0.405%
6/30/2018	-0.007%	0.151%	0.030%
7/31/2018	-0.005%	0.218%	0.043%
8/31/2018	0.458%	0.169%	0.419%
9/30/2018	-0.167%	0.168%	-0.123%
10/31/2018	0.039%	0.232%	0.062%
11/30/2018	0.384%	0.180%	0.357%
12/31/2018	0.968%	0.196%	0.882%
1/31/2019	0.514%	0.241%	0.477%
2/28/2019	0.166%	0.185%	0.169%
3/31/2019	0.774%	0.206%	0.670%
4/30/2019	0.192%	0.233%	0.200%
5/31/2019	0.822%	0.208%	0.704%
6/30/2019	0.687%	0.201%	0.612%
7/31/2019	-0.046%	0.235%	0.008%
8/31/2019	1.074%	0.199%	0.993%
9/30/2019	-0.144%	0.186%	-0.113%
10/31/2019	0.331%	0.218%	0.320%
11/30/2019	-0.005%	0.169%	0.008%
12/31/2019	0.194%	0.177%	0.193%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2020	0.845%	0.202%	0.804%
2/29/2020	1.016%	0.150%	0.911%
3/31/2020	0.494%	0.151%	0.461%
4/30/2020	0.757%	0.172%	0.707%
5/31/2020	0.513%	0.115%	0.480%
6/30/2020	0.284%	0.102%	0.272%
7/31/2020	0.259%	0.098%	0.238%
8/31/2020	0.049%	0.066%	0.051%
9/30/2020	0.012%	0.056%	0.016%
10/31/2020	-0.041%	0.060%	-0.034%
11/30/2020	0.137%	0.048%	0.133%
12/31/2020	0.164%	0.047%	0.159%
1/31/2021	-0.022%	0.049%	-0.018%
2/28/2021	-0.335%	0.031%	-0.299%
3/31/2021	-0.129%	0.031%	-0.117%
4/30/2021	0.212%	0.035%	0.195%
5/31/2021	0.228%	0.027%	0.213%
6/30/2021	-0.209%	0.022%	-0.181%
7/31/2021	0.329%	0.024%	0.283%
8/31/2021	-0.040%	0.019%	-0.029%
9/30/2021	-0.253%	0.017%	-0.208%
10/31/2021	-0.464%	0.026%	-0.378%
11/30/2021	-0.053%	0.017%	-0.041%
12/31/2021	-0.152%	0.018%	-0.120%

Date	City of Corona	City of Corona Internal Account	City of Corona Consolidated Account
1/31/2022	-0.932%	0.025%	-0.739%
2/28/2022	-0.504%	0.021%	-0.372%
3/31/2022	-1.740%	0.031%	-1.247%
4/30/2022	-0.885%	0.048%	-0.635%
5/31/2022	0.580%	0.059%	0.460%
6/30/2022	-0.619%	0.070%	-0.430%
7/31/2022	0.761%	0.111%	0.607%
8/31/2022	-1.094%	0.109%	-0.816%
9/30/2022	-1.623%	0.125%	-1.178%
10/31/2022	-0.274%	0.160%	-0.152%
11/30/2022	1.162%	0.165%	0.888%
12/31/2022	0.154%	0.182%	0.162%
1/31/2023	0.976%	0.228%	0.757%
2/28/2023	-0.913%	0.193%	-0.550%
3/31/2023	1.599%	0.243%	1.183%
4/30/2023	0.454%	0.265%	0.398%
5/31/2023	-0.352%	0.249%	-0.184%
6/30/2023	-0.477%	0.258%	-0.387%
7/31/2023	0.352%	0.391%	0.356%
8/31/2023	0.286%	0.282%	0.286%

## City of Corona Pension Stabilization Trust

Account #10952

## Portfolio Summary

As of August 31, 2023



## PORTFOLIO CHARACTERISTICS

Average Modified Duration	0.00
Average Coupon	0.00%
Average Purchase YTM	2.30%
Average Market YTM	0.00%
Average S&P/Moody Rating	NR/NR
Average Final Maturity	0.00 yrs
Average Life	0.00 yrs

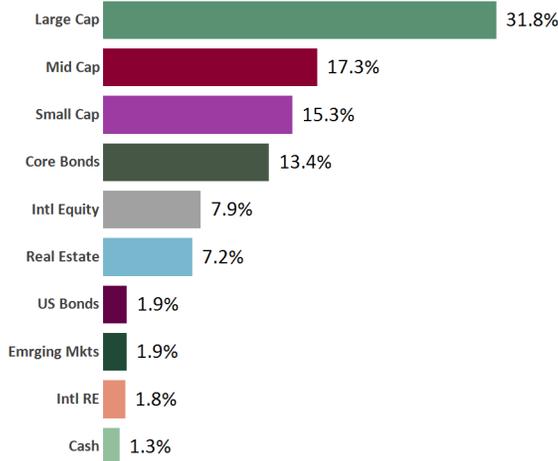
## ACCOUNT SUMMARY

	Beg. Values as of 7/31/23	End Values as of 8/31/23
Market Value	36,456,721	35,564,623
Accrued Interest	0	0
<b>Total Market Value</b>	<b>36,456,721</b>	<b>35,564,623</b>
Income Earned	45,033	16,852
Cont/WD		0
Par	733,173	750,025
Book Value	32,766,094	32,782,946
Cost Value	32,766,094	32,782,946

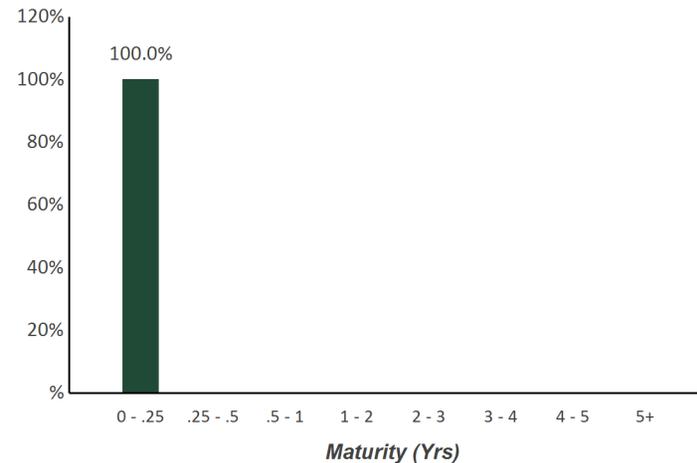
## TOP ISSUERS

iShares Core S&P 500 ETF	31.8%
iShares Core S&P Mid-Cap ETF	17.3%
Vanguard Small-Cap ETF	15.3%
Vanguard Total Bond Market	13.4%
Vanguard FTSE Developed Markets	7.9%
Vanguard Real Estate ETF	7.2%
SPDR Barclays Capital High Yield	1.9%
Vanguard FTSE Emerging Markets	1.9%
<b>Total</b>	<b>96.9%</b>

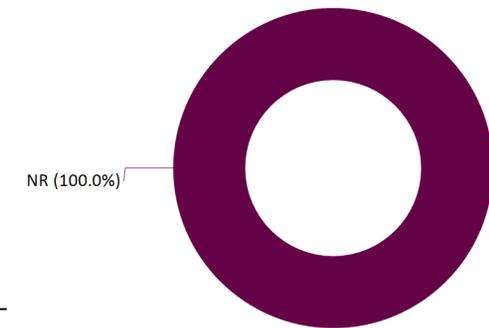
## SECTOR ALLOCATION



## MATURITY DISTRIBUTION



## CREDIT QUALITY (S&amp;P)



## PERFORMANCE REVIEW

TOTAL RATE OF RETURN	Annualized									
	1M	3M	YTD	1YR	2YRS	3YRS	5YRS	10YRS	7/31/2022	
City of Corona Pension Stabilization Trust	-2.45%	6.46%	10.35%	12.20%	N/A	N/A	N/A	N/A	8.72%	
Multi Asset Class Index*	-1.46%	2.90%	8.70%	7.51%	N/A	N/A	N/A	N/A	3.43%	

\*35% S&amp;P 500, 15% MSCI EAFE (Europe, Australasia, Israel and the Far East), 50% ICE BofA US Corporate, Government &amp; Mortgage Index

# Statement of Compliance

As of August 31, 2023



## City of Corona Pension Stabilization Trust

Assets managed by Chandler Asset Management are in full compliance with state law and the Client's investment policy.

Category	Standard	Comment
<b>Fixed Income</b>	10%-30% acceptable range (combined Cash & Fixed Income); Excluding Treasury, Agency obligations, Exchange Traded Funds (ETFs), and Mutual Fund holdings, no individual security holding shall exceed 5% of the portfolio at time of purchase; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix; Individually purchased fixed income securities must, at the time of purchase, have a credit rating of at least "Investment Grade" by one of more NRSRO;  Permitted: Fixed-income securities of U.S. and non-U.S. issuers including governments, quasi-government entities, and corporations; Mortgage-backed securities, Asset-backed securities, Money Market Instruments	<i>Complies</i>
<b>Global Equities</b>	50%-80% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix;  Permitted: Equity securities of U.S. and non-U.S. issuers	<i>Complies</i>
<b>Commodities (not direct investment)</b>	0%-5% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix	<i>Complies</i>
<b>Real Estate Investment Trusts (REITs)</b>	0%-15% acceptable range; The allocation of Trust assets shall be maintained within the acceptable range and the policy mix; Publicly traded Real Estate Investment Trusts (REITs)	<i>Complies</i>
<b>Commingled Funds</b>	Funds that are consistent with the policy's investment objectives	<i>Complies</i>
<b>Mutual Funds</b>	Mutual Funds that invest in securities permitted by the Investment Policy	<i>Complies</i>
<b>Exchange Traded Funds (ETFs)</b>	ETFs that invest in securities permitted by the Investment Policy	<i>Complies</i>
<b>Cash</b>	10%-30% acceptable range (combined Cash & Fixed Income); The allocation of Trust assets shall be maintained within the acceptable range and the policy mix	<i>Complies</i>
<b>Credit Rating</b>	Individually purchased fixed income securities must, at the time of purchase, have a credit rating of at least "Investment Grade" by one of more NRSRO	<i>Complies</i>
<b>Max per Security Holding</b>	Excluding Treasury, Agency obligations, Exchange Traded Funds (ETFs), and Mutual Fund holdings, no individual security holding shall exceed 5% of the portfolio at time of purchase	<i>Complies</i>

## City of Corona Pension Stabilization Trust

Account #10952

## Reconciliation Summary

As of August 31, 2023



BOOK VALUE RECONCILIATION		
<b>BEGINNING BOOK VALUE</b>		<b>\$32,766,093.93</b>
<b>Acquisition</b>		
+ Security Purchases	\$0.00	
+ Money Market Fund Purchases	\$16,852.22	
+ Money Market Contributions	\$0.00	
+ Security Contributions	\$0.00	
+ Security Transfers	\$0.00	
<b>Total Acquisitions</b>		<b>\$16,852.22</b>
<b>Dispositions</b>		
- Security Sales	\$0.00	
- Money Market Fund Sales	\$0.00	
- MMF Withdrawals	\$0.00	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$0.00	
- Calls	\$0.00	
- Principal Paydowns	\$0.00	
<b>Total Dispositions</b>		<b>\$0.00</b>
<b>Amortization/Accretion</b>		
+/- Net Accretion	\$0.00	
		\$0.00
<b>Gain/Loss on Dispositions</b>		
+/- Realized Gain/Loss	\$0.00	
		\$0.00
<b>ENDING BOOK VALUE</b>		<b>\$32,782,946.15</b>

CASH TRANSACTION SUMMARY		
<b>BEGINNING BALANCE</b>		<b>\$458,568.09</b>
<b>Acquisition</b>		
Contributions	\$0.00	
Security Sale Proceeds	\$0.00	
Accrued Interest Received	\$0.00	
Interest Received	\$0.00	
Dividend Received	\$16,852.22	
Principal on Maturities	\$0.00	
Interest on Maturities	\$0.00	
Calls/Redemption (Principal)	\$0.00	
Interest from Calls/Redemption	\$0.00	
Principal Paydown	\$0.00	
<b>Total Acquisitions</b>	<b>\$16,852.22</b>	
<b>Dispositions</b>		
Withdrawals	\$0.00	
Security Purchase	\$0.00	
Accrued Interest Paid	\$0.00	
<b>Total Dispositions</b>	<b>\$0.00</b>	
<b>ENDING BOOK VALUE</b>		<b>\$475,420.31</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>CASH</b>									
90CASH\$00	Cash Custodial Cash Account	475,420.31	Various 0.00%	475,420.31 475,420.31	1.00 0.00%	475,420.31 0.00	1.34% 0.00	NR / NR NR	0.00 0.00
<b>Total Cash</b>		<b>475,420.31</b>	<b>N/A</b>	<b>475,420.31</b>	<b>0.00%</b>	<b>475,420.31</b> <b>0.00</b>	<b>1.34%</b> <b>0.00</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>EMERGING MARKET EQUITY</b>									
922042858	Vanguard FTSE Emerging Markets ETF Due 8/31/2023	16,720.00	Various 3.69%	643,691.94 643,691.94	40.53 0.00%	677,661.60 0.00	1.91% 33,969.66	NR / NR NR	0.00 0.00
<b>Total Emerging Market Equity</b>		<b>16,720.00</b>	<b>3.69%</b>	<b>643,691.94</b>	<b>0.00%</b>	<b>677,661.60</b> <b>0.00</b>	<b>1.91%</b> <b>33,969.66</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>INTERNATIONAL EQUITY</b>									
921943858	Vanguard ETF FTSE Developed Mkts ETF Due 8/31/2023	61,510.00	Various 3.91%	2,420,843.73 2,420,843.73	45.75 0.00%	2,814,082.50 0.00	7.91% 393,238.77	NR / NR NR	0.00 0.00
<b>Total International Equity</b>		<b>61,510.00</b>	<b>3.91%</b>	<b>2,420,843.73</b>	<b>0.00%</b>	<b>2,814,082.50</b> <b>0.00</b>	<b>7.91%</b> <b>393,238.77</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>INTERNATIONAL REAL ESTATE</b>									
922042676	Vanguard Vanguard GBL Ex-US Real Est Due 8/31/2023	15,775.00	05/15/2023 0.00%	655,924.50 655,924.50	40.64 0.00%	641,096.00 0.00	1.80% (14,828.50)	NR / NR NR	0.00 0.00
<b>Total International Real Estate</b>		<b>15,775.00</b>	<b>N/A</b>	<b>655,924.50</b>	<b>0.00%</b>	<b>641,096.00</b> <b>0.00</b>	<b>1.80%</b> <b>(14,828.50)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>LARGE CAP US EQUITY</b>									
464287200	ISHARES S&P 500 Index ETF	25,000.00	Various N/A	9,761,289.05 9,761,289.05	452.69 N/A	11,317,250.00 0.00	31.82% 1,555,960.95	NR / NR NR	0.00 0.00
<b>Total Large Cap US Equity</b>		<b>25,000.00</b>	<b>N/A</b>	<b>9,761,289.05</b>	<b>N/A</b>	<b>11,317,250.00</b> <b>0.00</b>	<b>31.82%</b> <b>1,555,960.95</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>MID CAP US EQUITY</b>									
464287507	ISHARES S&P Midcap ETF Due 8/31/2023	23,300.00	Various 1.59%	5,536,825.68 5,536,825.68	264.30 0.00%	6,158,190.00 0.00	17.32% 621,364.32	NR / NR NR	0.00 0.00
<b>Total Mid Cap US Equity</b>		<b>23,300.00</b>	<b>1.59%</b>	<b>5,536,825.68</b> <b>5,536,825.68</b>	<b>0.00%</b>	<b>6,158,190.00</b> <b>0.00</b>	<b>17.32%</b> <b>621,364.32</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>REAL ESTATE</b>									
922908553	Vanguard ETF REIT Due 8/31/2023	31,200.00	Various 3.46%	2,726,488.43 2,726,488.43	82.38 0.00%	2,570,256.00 0.00	7.23% (156,232.43)	NR / NR NR	0.00 0.00
<b>Total Real Estate</b>		<b>31,200.00</b>	<b>3.46%</b>	<b>2,726,488.43</b> <b>2,726,488.43</b>	<b>0.00%</b>	<b>2,570,256.00</b> <b>0.00</b>	<b>7.23%</b> <b>(156,232.43)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>SMALL CAP US EQUITY</b>									
922908751	Vanguard ETF Small Cap Due 8/31/2023	27,100.00	Various 1.54%	5,022,975.48 5,022,975.48	201.03 0.00%	5,447,913.00 0.00	15.32% 424,937.52	NR / NR NR	0.00 0.00
<b>Total Small Cap US Equity</b>		<b>27,100.00</b>	<b>1.54%</b>	<b>5,022,975.48</b> <b>5,022,975.48</b>	<b>0.00%</b>	<b>5,447,913.00</b> <b>0.00</b>	<b>15.32%</b> <b>424,937.52</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>US CORE BONDS</b>									
921937835	Vanguard ETF Total Bond Index Due 8/31/2023	66,600.00	Various 2.36%	4,862,778.58 4,862,778.58	71.75 0.00%	4,778,550.00 0.00	13.44% (84,228.58)	NR / NR NR	0.00 0.00
<b>Total US Core Bonds</b>		<b>66,600.00</b>	<b>2.36%</b>	<b>4,862,778.58</b> <b>4,862,778.58</b>	<b>0.00%</b>	<b>4,778,550.00</b> <b>0.00</b>	<b>13.44%</b> <b>(84,228.58)</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Holdings Report

As of August 31, 2023



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
<b>US HIGH YIELD BONDS</b>									
78468R622	SPDR Bloomberg High Yield Bond ETF Due 8/31/2023	7,400.00	Various 5.50%	676,708.45 676,708.45	92.46 0.00%	684,204.00 0.00	1.92% 7,495.55	NR / NR NR	0.00 0.00
<b>Total US High Yield Bonds</b>		<b>7,400.00</b>	<b>5.50%</b>	<b>676,708.45</b> <b>676,708.45</b>	<b>0.00%</b>	<b>684,204.00</b> <b>0.00</b>	<b>1.92%</b> <b>7,495.55</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>TOTAL PORTFOLIO</b>		<b>750,025.31</b>	<b>2.30%</b>	<b>32,782,946.15</b> <b>32,782,946.15</b>	<b>0.00%</b>	<b>35,564,623.41</b> <b>0.00</b>	<b>100.00%</b> <b>2,781,677.26</b>	<b>NR / NR</b> <b>NR</b>	<b>0.00</b> <b>0.00</b>
<b>TOTAL MARKET VALUE PLUS ACCRUED</b>						<b>35,564,623.41</b>			

## City of Corona Pension Stabilization Trust

Account #10952

## Transaction Ledger

As of August 31, 2023



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
<b>ACQUISITIONS</b>										
Purchase	08/04/2023	90CASH\$00	12,831.16	Cash Custodial Cash Account	1.000		12,831.16	0.00	12,831.16	0.00
Purchase	08/07/2023	90CASH\$00	3,844.36	Cash Custodial Cash Account	1.000		3,844.36	0.00	3,844.36	0.00
Purchase	08/15/2023	90CASH\$00	176.70	Cash Custodial Cash Account	1.000		176.70	0.00	176.70	0.00
<b>Subtotal</b>			<b>16,852.22</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>TOTAL ACQUISITIONS</b>			<b>16,852.22</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>OTHER TRANSACTIONS</b>										
Dividend	08/04/2023	921937835	66,600.00	Vanguard ETF Total Bond Index	0.000		12,831.16	0.00	12,831.16	0.00
Dividend	08/07/2023	78468R622	7,400.00	SPDR Bloomberg High Yield Bond ETF	0.000		3,844.36	0.00	3,844.36	0.00
Dividend	08/15/2023	90CASH\$00	475,243.61	Cash Custodial Cash Account	0.000		176.70	0.00	176.70	0.00
<b>Subtotal</b>			<b>549,243.61</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>
<b>TOTAL OTHER TRANSACTIONS</b>			<b>549,243.61</b>				<b>16,852.22</b>	<b>0.00</b>	<b>16,852.22</b>	<b>0.00</b>

## City of Corona Pension Stabilization Trust

Account #10952

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
<b>EQUITY</b>						
464287200	ISHARES S&P 500 Index ETF	Various Various 25,000.00	9,761,289.05 0.00 0.00 9,761,289.05	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
464287507	ISHARES S&P Midcap ETF	Various Various 23,300.00	5,536,825.68 0.00 0.00 5,536,825.68	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
78468R622	SPDR Bloomberg High Yield Bond ETF	Various Various 7,400.00	676,708.45 0.00 0.00 676,708.45	0.00 3,844.36 0.00 3,844.36	0.00 0.00 0.00 3,844.36	3,844.36
921937835	Vanguard ETF Total Bond Index	Various Various 66,600.00	4,862,778.58 0.00 0.00 4,862,778.58	0.00 12,831.16 0.00 12,831.16	0.00 0.00 0.00 12,831.16	12,831.16
921943858	Vanguard ETF FTSE Developed Mkts ETF	Various Various 61,510.00	2,420,843.73 0.00 0.00 2,420,843.73	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922042676	Vanguard Vanguard GBL Ex-US Real Est	05/15/2023 05/17/2023 15,775.00	655,924.50 0.00 0.00 655,924.50	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922042858	Vanguard FTSE Emerging Markets ETF	Various Various 16,720.00	643,691.94 0.00 0.00 643,691.94	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
922908553	Vanguard ETF REIT	Various Various 31,200.00	2,726,488.43 0.00 0.00 2,726,488.43	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00

## City of Corona Pension Stabilization Trust

Account #10952

## Income Earned

As of August 31, 2023



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
922908751	Vanguard ETF Small Cap	Various Various 27,100.00	5,022,975.48 0.00 0.00 5,022,975.48	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	0.00
			<b>32,307,525.84</b>	<b>0.00</b>	<b>0.00</b>	
			<b>0.00</b>	<b>16,675.52</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total Equity</b>		<b>274,605.00</b>	<b>32,307,525.84</b>	<b>16,675.52</b>	<b>16,675.52</b>	<b>16,675.52</b>
<b>CASH &amp; EQUIVALENT</b>						
90CASH\$00	Cash Custodial Cash Account	Various Various 475,420.31	458,568.09 16,852.22 0.00 475,420.31	0.00 176.70 0.00 176.70	0.00 0.00 0.00 176.70	176.70
			<b>458,568.09</b>	<b>0.00</b>	<b>0.00</b>	
			<b>16,852.22</b>	<b>176.70</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total Cash &amp; Equivalent</b>		<b>475,420.31</b>	<b>475,420.31</b>	<b>176.70</b>	<b>176.70</b>	<b>176.70</b>
			<b>32,766,093.93</b>	<b>0.00</b>	<b>0.00</b>	
			<b>16,852.22</b>	<b>16,852.22</b>	<b>0.00</b>	
			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>TOTAL PORTFOLIO</b>		<b>750,025.31</b>	<b>32,782,946.15</b>	<b>16,852.22</b>	<b>16,852.22</b>	<b>16,852.22</b>





Chandler Asset Management, Inc. ("Chandler") is an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at [www.chandlerasset.com](http://www.chandlerasset.com).

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**Custody:** Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

**Valuation:** Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

**Performance:** Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

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**Ratings:** Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



Benchmark Index	Disclosure
Multi Asset Class Index*	<p>The S&amp;P 500 is a market value weighted index of 500 large-capitalization stocks. The 500 companies included in the index capture approximately 80% of available US market capitalization.</p> <p>The MSCI EAFE International Equity Index is a market capitalization weighted index that captures international equity performance of large- and mid-cap stocks in the developed stock markets of Europe, Australasia, and the Far East.</p> <p>The ICE BofA US Corporate, Government &amp; Mortgage Index tracks the performance of US dollar denominated investment grade debt publicly issued in the US domestic market, including US Treasuries, quasi-governments, corporates, covered bonds and residential mortgage pass-throughs. Non-residential mortgage collateralized debt such as commercial mortgage backed securities and asset backed securities are excluded from this index, as are all collateralized mortgage obligations. Most qualifying securities must have an investment grade rating (based on an average of Moody's, S&amp;P and Fitch). They must also have at least one year remaining term to final maturity, at least 18 months to final maturity at point of issuance and a fixed coupon schedule. Amounts outstanding for qualifying US Treasuries must be at least \$1 billion; US agencies, foreign governments, supranationals, corporates and covered bonds must have at least \$250 million outstanding. Qualifying residential mortgage pass-through securities include fixed rate securities publicly issued by US agencies. 30-year, 20-year and 15-year fixed rate mortgage pools must have at least one year remaining term to final maturity and a minimum amount outstanding of at least \$5 billion per generic coupon and \$250 million per production year within each generic coupon.</p>

## City of Corona Consolidated

Account #10003

## Corona Supplemental

As of August 31, 2023



Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM
ABS	\$26,113,978.25	\$25,497,198.73	\$26,110,825.32	5.15%			
Agency	\$71,245,000.00	\$67,051,533.92	\$71,691,626.40	14.14%	1,559	1,011	3.761
CMO	\$28,644,843.24	\$27,158,083.75	\$27,975,062.11	5.52%			
Corporate	\$93,276,000.00	\$88,064,531.64	\$92,819,062.39	18.30%			
LAIF	\$38,526,879.61	\$38,526,879.61	\$38,526,879.61	7.60%	1	1	0.680
Money Market Fund	\$1,827,589.03	\$1,827,589.03	\$1,827,589.03	0.36%			
Municipal Bonds	\$3,385,000.00	\$3,275,495.25	\$3,462,753.45	0.68%			
Supranational	\$12,690,000.00	\$11,537,954.73	\$12,633,094.65	2.49%			
US Treasury	\$234,820,000.00	\$227,407,398.80	\$232,117,656.25	45.77%	1,699	864	4.337
<b>TOTAL PORTFOLIO</b>	<b>\$510,529,290.13</b>	<b>\$490,346,665.46</b>	<b>\$507,164,549.21</b>	<b>100.00%</b>	<b>1341</b>	<b>836</b>	<b>1.958</b>
<b>AVERAGE DAILY BALANCE</b>			<b>\$303,261,387.00</b>				